

## ON THE THEORY OF MULTIVALENT FUNCTIONS

By

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I would like to dedicate this paper to the late Professor Shigeo Ozaki.

### 1. Introduction.

Let  $A(p)$  be the class of functions of the form

$$(1) \quad f(z) = \sum_{n=p}^{\infty} a_n z^n \quad (a_p \neq 0; p \in N = \{1, 2, 3, \dots\})$$

which are regular in  $|z| < 1$ .

A function  $f(z)$  in  $A(p)$  is said to be  $p$ -valently starlike iff

$$\operatorname{Re} \frac{z f'(z)}{f(z)} > 0 \quad (|z| < 1).$$

We denote by  $S(p)$  the subclass of  $A(p)$  consisting of functions which are  $p$ -valently starlike in  $|z| < 1$ .

Further, a function  $f(z)$  in  $A(p)$  is said to be  $p$ -valently convex iff

$$1 + \operatorname{Re} \frac{z f''(z)}{f'(z)} > 0 \quad (|z| < 1).$$

Also we denote by  $C(p)$  the subclass of  $A(p)$  consisting of all  $p$ -valently convex functions in  $|z| < 1$ .

### 2. Preliminaries.

At first, we prove the following lemma by using the method of Ozaki [10].

LEMMA 1. *Let  $f(z) \in A(p)$  and*

$$(2) \quad \operatorname{Re} \frac{z f'(z)}{f(z)} > K \quad \text{in } |z| < 1$$

where  $K$  is a real bounded constant, then we have

$$f(z) \neq 0 \quad \text{in } 0 < |z| < 1.$$

PROOF. Suppose that  $f(z)$  has a zero of order  $n$  ( $n \geq 1$ ) at a point  $\alpha$  that satisfies  $0 < |\alpha| < 1$ . Then  $f(z)$  can be written as  $f(z) = (z - \alpha)^n g(z)$ ,  $g(\alpha) \neq 0$  and

it follows that

$$\frac{zf'(z)}{f(z)} = \frac{nz}{z-\alpha} + \frac{zg'(z)}{g(z)}$$

By a brief calculation, we have

$$\begin{aligned} \lim_{z \rightarrow \alpha} (z-\alpha) \frac{zf'(z)}{f(z)} &= \lim_{z \rightarrow \alpha} \left( nz + (z-\alpha) \frac{zg'(z)}{g(z)} \right) \\ &= n\alpha \neq 0 \end{aligned}$$

which result contradicts (2), because (2) shows that  $zf'(z)/f(z)$  has no pole in  $0 < |z| < 1$ . Therefore  $f(z)$  can not have any zero in  $0 < |z| < 1$ .

Applying the same method as the proof of Lemma 1, we have the following lemma.

LEMMA 2. Let  $f(z) \in A(p)$  and

$$1 + \operatorname{Re} \frac{zf''(z)}{f'(z)} > K \quad \text{in } |z| < 1,$$

where  $K$  is a real bounded constant, then

$$f'(z) \neq 0 \quad \text{in } 0 < |z| < 1.$$

We owe this lemma to Ozaki [10] and we owe the following lemma to Ozaki [10, 11].

LEMMA 3. Let the function  $f(z)$  defined by (1) be in the class  $A(p)$  and  $f^{(k)}(z) \neq 0$  for  $k=0, 1, 2, \dots, p$  on  $|z|=1$ .

Then we have

$$\int_{|z|=1} |d \arg d^j f(z)| \leq \int_{|z|=1} |d \arg d^{j+1} f(z)|$$

for  $j=0, 1, 2, \dots, p-1$ , or, by a modification of the above inequalities,

$$\int_0^{2\pi} \left| j + \operatorname{Re} \frac{zf^{(j+1)}(z)}{f^{(j)}(z)} \right| d\theta \leq \int_0^{2\pi} \left| j+1 + \operatorname{Re} \frac{zf^{(j+2)}(z)}{f^{(j+1)}(z)} \right| d\theta$$

for  $j=0, 1, 2, \dots, p-1$ , where  $z=e^{i\theta}$  and  $0 \leq \theta \leq 2\pi$ .

LEMMA 4. Let  $f(z)$  be regular in  $|z| \leq 1$  and  $f'(z) \neq 0$  on  $|z|=1$ .

If the next relation

$$\int_0^{2\pi} \left| 1 + \operatorname{Re} \frac{zf''(z)}{f'(z)} \right| d\theta < 2\pi(p+1)$$

holds, then  $f(z)$  is at most  $p$ -valent in  $|z| \leq 1$ .

We owe this lemma to Umezawa [15, 17].

LEMMA 5. *If  $F(z)$  and  $G(z)$  are regular in  $|z| < 1$ ,  $F(0) = G(0) = 0$ ,  $G(z)$  maps  $|z| < 1$  onto a many-sheeted region which is starlike with respect to the origin, and  $\operatorname{Re}(F'(z)/G'(z)) > 0$  in  $|z| < 1$ , then*

$$\operatorname{Re}(F(z)/G(z)) > 0 \quad \text{in } |z| < 1.$$

We owe the above lemma to Sakaguchi [12] and Libera [4, Lemma 1].

Applying the same method as the proof of [4, Lemma 2], we can prove the following lemma.

LEMMA 6. *Let  $f(z) \in S(p)$ . Then*

$$F(z) = \int_0^z f(t) dt \in S(p+1)$$

or

$$\operatorname{Re} \frac{zF'(z)}{F(z)} > 0 \quad \text{in } |z| < 1.$$

PROOF. Put  $D(z) = zF'(z) = zf(z)$  and  $N(z) = F(z)$ , then  $D(z)$  is  $(p+1)$ -valently starlike with respect to the origin, since

$$\operatorname{Re} \frac{zD'(z)}{D(z)} = 1 + \operatorname{Re} \frac{zf'(z)}{f(z)} > 1 > 0 \quad \text{in } |z| < 1.$$

By an easy calculation, we can have

$$\operatorname{Re} \frac{D'(z)}{N'(z)} = 1 + \operatorname{Re} \frac{zf'(z)}{f(z)} > 0 \quad \text{in } |z| < 1.$$

Therefore we have

$$\operatorname{Re} \frac{N'(z)}{D'(z)} > 0 \quad \text{in } |z| < 1.$$

Applying Lemma 5, we have

$$\operatorname{Re} \frac{N(z)}{D(z)} > 0 \quad \text{in } |z| < 1$$

or

$$\operatorname{Re} \frac{D(z)}{N(z)} > 0 \quad \text{in } |z| < 1.$$

This shows that

$$\operatorname{Re} \frac{zF'(z)}{F(z)} > 0 \quad \text{in } |z| < 1.$$

This completes our proof.

LEMMA 7. *If  $f(z) \in S(p)$ , then  $f(z)$  is  $p$ -valent in  $|z| < 1$ .*

PROOF. From the definition of  $S(p)$  and Lemma 1, we have

$$f(z) \neq 0 \quad \text{in } 0 < |z| < 1.$$

Therefore we have

$$\int_0^{2\pi} \operatorname{Re} \frac{re^{i\theta} f'(re^{i\theta})}{f(re^{i\theta})} d\theta = 2p\pi$$

for an arbitrary  $r$ ,  $0 < r < 1$ .

This shows that  $f(z)$  is  $p$ -valent in  $|z| < 1$  [1, p. 212].

From the definition of  $C(p)$ , Lemma 2 and [1, p. 211], we have the following lemma.

LEMMA 8. *If  $f(z) \in C(p)$ , then  $f(z)$  is  $p$ -valent in  $|z| < 1$ .*

REMARK 1. *Let  $f(z) \in A(p)$ . Then we can easily confirm that  $f(z)$  is  $p$ -valently convex if and only if  $zf'(z)$  is  $p$ -valently starlike.*

LEMMA 9. *Let  $f(z) \in A(p)$  and suppose there exists a positive integer  $j$  for which*

$$j + \operatorname{Re} \frac{zf^{(j+1)}(z)}{f^{(j)}(z)} > 0 \quad \text{in } |z| < 1$$

where  $1 \leq j \leq p$ .

Then we have

$$j-1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} > 0 \quad \text{in } |z| < 1.$$

PROOF. For the case  $p=1$ , from [5, 14] it is clear.

Therefor we assume  $p \geq 2$ . Put

$$g(z) = \frac{f^{(j-1)}(z)}{p(p-1)\cdots(p-j+2)a_p} = z^{p-j+1} + \cdots.$$

Then we have

$$1 + \operatorname{Re} \frac{zg''(z)}{g'(z)} = 1 + \operatorname{Re} \frac{zf^{(j+1)}(z)}{f^{(j)}(z)} > 1-j \quad \text{in } |z| < 1.$$

From Lemma 2, we have

$$(3) \quad g'(z) = \frac{f^{(j)}(z)}{p(p-1)\cdots(p-j+2)a_p} \neq 0 \quad \text{in } 0 < |z| < 1.$$

On the other hand, if  $f^{(j-1)}(z)$  has such a zero as  $z = \alpha$  of multiplicity  $l (l \geq 1)$  in  $0 < |z| < 1$ , then we can choose  $\rho$  such that  $0 < |\alpha| < \rho < 1$  and so

$$f^{(j-1)}(z) \neq 0 \quad \text{on } |z| = \rho,$$

because if this reasoning is impossible, then from elementary analytic function theory (for emample [2, Theorem 8.1.3, p. 198], we have

$$f^{(j-1)}(z) \equiv 0 \quad \text{in } |a| < |z| < 1,$$

which contradicts

$$f^{(j-1)}(z) \neq \text{constant}.$$

Applying the principle of the argument, Lemma 3, (3) and the assumption of Lemma 9, we have the following inequalities :

$$\begin{aligned} 2\pi(p+l) &\leq \int_0^{2\pi} \left( j-1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} \right) d\theta \\ &\leq \int_0^{2\pi} \left| j-1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} \right| d\theta \\ &= \int_{|z|=r} |d \arg d^{j-1}f(z)| \\ &\leq \int_{|z|=r} |d \arg d^j f(z)| \\ &= \int_0^{2\pi} \left| j + \operatorname{Re} \frac{zf^{(j+1)}(z)}{f^{(j)}(z)} \right| d\theta \\ &= \int_0^{2\pi} \left( j + \operatorname{Re} \frac{zf^{(j+1)}(z)}{f^{(j)}(z)} \right) d\theta \\ &= 2p\pi \end{aligned}$$

where  $z = \rho e^{i\theta}$  and  $0 \leq \theta \leq 2\pi$ .

But this result contradicts  $2p\pi < 2\pi(p+l)$ .

This shows that  $f^{(j-1)}(z) \neq 0$  in  $0 < |z| < 1$  ( $f^{(j-1)}(z)$  has a zero  $z=0$  of order  $p-j+1$ ).

Therefore we have

$$\begin{aligned} 2p\pi &= \int_0^{2\pi} \left( j-1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} \right) d\theta \\ &= \int_0^{2\pi} \left| j-1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} \right| d\theta \\ &= 2p\pi \end{aligned}$$

for an arbitrary  $r$ ,  $0 < r < 1$ ,  $z = re^{i\theta}$  and  $0 \leq \theta \leq 2\pi$ .

This shows

$$(4) \quad j-1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} \geq 0 \quad \text{in } |z| < 1.$$

But if there is a point  $z_0$  satisfying  $|z_0| < 1$  and

$$j-1 + \operatorname{Re} \frac{z_0 f^{(j)}(z_0)}{f^{(j-1)}(z_0)} = 0,$$

then we can choose a point  $z$  in some neighborhood of  $z_0$  in  $|z| < 1$  such that

$$j-1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} < 0.$$

This contradicts (4). Therefore we have

$$j-1 + \operatorname{Re} \frac{zf^{(j)}(z)}{f^{(j-1)}(z)} > 0 \quad \text{in } |z| < 1.$$

### 3. Statement of results.

**THEOREM 1.** *Let  $f(z) \in A(p)$  and suppose*

$$(5) \quad p + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} > 0 \quad \text{in } |z| < 1.$$

*Then  $f(z)$  is  $p$ -valent in  $|z| < 1$  and*

$$k + \operatorname{Re} \frac{zf^{(k+1)}(z)}{f^{(k)}(z)} > 0 \quad \text{in } |z| < 1$$

*for  $k=0, 1, 2, \dots, p-1$ .*

*This shows that  $f(z) \in C(p)$  and  $f(z) \in S(p)$ .*

**PROOF.** From Lemma 9 and (5), we easily have

$$k + \operatorname{Re} \frac{zf^{(k+1)}(z)}{f^{(k)}(z)} > 0 \quad \text{in } |z| < 1$$

for  $k=0, 1, 2, \dots, p-1$ .

This shows that  $f(z)$  is  $p$ -valent in  $|z| < 1$ ,  $f(z) \in C(p)$  and  $f(z) \in S(p)$ .

**THEOREM 2.** *Let  $f(z) \in A(p)$  and*

$$p + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} > -\frac{1}{2} \quad \text{in } |z| < 1.$$

*Then  $f(z)$  is  $p$ -valent in  $|z| < 1$ .*

**PROOF.** For the case  $p=1$ , this is due to Umezawa [15, 17].

If we put

$$g(z) = \frac{f^{(p-1)}}{p(p-1)\cdots 3 \cdot 2 \cdot a_p} = z + \cdots, \quad p \geq 2,$$

then we have

$$1 + \operatorname{Re} \frac{zg''(z)}{g'(z)} > \frac{1}{2} - p \quad \text{in } |z| < 1.$$

From Lemma 2, we have

$$f^{(p)}(z) = p(p-1)\cdots 3 \cdot 2 \cdot a_p g'(z) \neq 0 \quad \text{in } |z| < 1.$$

On the other hand, if  $f^{(p-1)}(z)$  has a zero  $z = \alpha$  of multiplicity  $l (l \geq 1)$  in  $0 < |z| < 1$ , then we can choose  $r$  satisfying  $0 < |\alpha| < r < 1$  such that

$$f^{(p-1)}(z) \neq 0 \quad \text{on } |z| = r,$$

because if this supposition is impossible, then from elementary analytic function theory (for example [2, Theorem 8.1.3, p. 198]), we have

$$f^{(p-1)}(z) \equiv 0 \quad \text{in } |\alpha| < |z| < 1.$$

This contradicts

$$f^{(p-1)}(z) \neq \text{constant} \quad \text{in } |\alpha| < |z| < 1.$$

Applying the principle of the argument and Lemma 3, we have the following inequalities:

$$\begin{aligned} (6) \quad 2\pi(p+l) &\leq \int_0^{2\pi} \left( p-1 + \operatorname{Re} \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} \right) d\theta \\ &\leq \int_0^{2\pi} \left| p-1 + \operatorname{Re} \frac{zf^{(p-1)}(z)}{f^{(p-1)}(z)} \right| d\theta \\ &= \int_{|z|=r} |d \arg d^{p-1}f(z)| \\ &\leq \int_{|z|=r} |d \arg d^p f(z)| \\ &= \int_0^{2\pi} \left| p + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right| d\theta \\ &= \int_0^{2\pi} \left| p + \frac{1}{2} + \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} - \frac{1}{2} \right| d\theta \\ &< \int_0^{2\pi} \left| p + \frac{1}{2} + \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right| d\theta + \pi \\ &= \int_0^{2\pi} \left( p + \frac{1}{2} + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right) d\theta + \pi \\ &= 2\pi(p+1), \end{aligned}$$

where  $z = re^{i\theta}$  and  $0 \leq \theta \leq 2\pi$ .

But this result contradicts  $2\pi(p+1) \leq 2\pi(p+l)$ . Thus it is not possible for  $f^{(p-1)}(z)$  to vanish in  $0 < |z| < 1$ .

From (6) we have

$$\begin{aligned} (7) \quad &\int_0^{2\pi} \left| p-1 + \operatorname{Re} \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} \right| d\theta \\ &= \int_{|z|=r} |d \arg d^{p-1}f(z)| < 2\pi(p+1) \end{aligned}$$

for an arbitrary  $r$ ,  $0 < r < 1$ , and  $z = re^{i\theta}$ .

Repeating the same method as the above, we have  $f^{(p-2)}(z), f^{(p-3)}(z), \dots, f''(z), f'(z)$  that do not vanish in  $0 < |z| < 1$  and for an arbitrary  $r, 0 < r < 1$ ,

$$(8) \quad \int_{|z|=r} |d \arg df(z)| \\ = \int_0^{2\pi} \left| 1 + \operatorname{Re} \frac{zf''(z)}{f'(z)} \right| d\theta < 2\pi(p+1).$$

From Lemma 4, (8) shows that  $f(z)$  is  $p$ -valent in  $|z| < 1$ .

This is a generalization of the theorem in [11, 15].

Applying the same method as the proof of Theorem 2 and Lemma 4, we have the following theorems.

**THEOREM 3.** *Let  $f(z) \in A(p)$  and suppose*

$$\int_0^{2\pi} \left| p + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right| d\theta < 2\pi(p+1)$$

for an arbitrary  $r, 0 < r < 1$ , and  $z = re^{i\theta}$ .

Then  $f(z)$  is  $p$ -valent in  $|z| < 1$ .

This is a generalization of [10, 15, 16, 17].

**THEOREM 4.** *Let  $f(z) \in A(p)$  and*

$$\int_0^{2\pi} \left| 1 + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right| d\theta < 4\pi$$

for an arbitrary  $r, 0 < r < 1$ , and  $z = re^{i\theta}$ .

Then  $f(z)$  is  $p$ -valent in  $|z| < 1$ .

**THEOREM 5.** *Let  $f(z) \in A(p)$  and suppose*

$$\operatorname{Re} \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} > 0 \quad \text{in } |z| < 1.$$

Then we have

$$\operatorname{Re} \frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0 \quad \text{in } |z| < 1$$

or

$$f^{(p-k)}(z) \in S(k)$$

for  $k=1, 2, 3, \dots, p$ .

**PROOF.** For the case  $p=1$ , the theorem is trivial, so we assume  $p \geq 2$ .

Put

$$g(z) = \frac{f^{(p-1)}(z)}{p(p-1)\cdots 3 \cdot 2 \cdot a_p} = z + \dots$$



Then we have

$$\operatorname{Re} \frac{zg'(z)}{g(z)} = \operatorname{Re} \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} > 0 \quad \text{in } |z| < 1.$$

This shows that  $g(z)$  is univalently starlike in  $|z| < 1$ .

An application of Lemma 6 shows that

$$\int_0^z g(t) dt = \frac{f^{(p-2)}(z)}{p(p-1)\cdots 3 \cdot 2 \cdot a_p} \in S(2)$$

or

$$\operatorname{Re} \frac{zf^{(p-1)}(z)}{f^{(p-2)}(z)} > 0 \quad \text{in } |z| < 1.$$

Applying the same method as the above over again, we have

$$f^{(p-k)}(z) \in S(k)$$

or

$$\operatorname{Re} \frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0 \quad \text{in } |z| < 1.$$

for  $k=1, 2, 3, \dots, p$ . This completes our proof.

**THEOREM 6.** *Let  $f(z) \in A(p)$  and if there exists a positive integer  $q(1 \leq q \leq p)$  that satisfies*

$$\int_0^{2\pi} \left| \operatorname{Re} \frac{zf^{(q)}(z)}{f^{(q-1)}(z)} \right| d\theta \leq 2\pi(p+1-q)$$

for an arbitrary  $r, 0 < r < 1$ , and  $z = re^{i\theta}$ , then we have

$$\operatorname{Re} \frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0 \quad \text{in } |z| < 1$$

or

$$f^{(k-1)}(z) \in S(p+1-k)$$

for  $k=1, 2, 3, \dots, q$ .

**PROOF.** From the principle of the argument and the assumption, we have

$$\begin{aligned} 2\pi(p+1-q) &\leq \int_0^{2\pi} \operatorname{Re} \frac{zf^{(q)}(z)}{f^{(p-1)}(z)} d\theta \\ &\leq \int_0^{2\pi} \left| \operatorname{Re} \frac{zf^{(q)}(z)}{f^{(q-1)}(z)} \right| d\theta \leq 2\pi(p+1-q) \end{aligned}$$

for an arbitrary  $r, 0 < r < 1$ , and  $z = re^{i\theta}$ .

Therefore we must have

$$\operatorname{Re} \frac{zf^{(q)}(z)}{f^{(q-1)}(z)} \geq 0 \quad \text{in } |z| < 1.$$

Applying the same method as the proof of Theorem 1, we can show

$$\operatorname{Re} \frac{zf^{(q)}(z)}{f^{(q-1)}(z)} > 0 \quad \text{in } |z| < 1$$

or

$$f^{(q-1)}(z) \in S(p+1-q).$$

Integrating  $f^{(q-1)}(z)$ , then from Lemma 6, we have

$$f^{(p-2)}(z) \in S(p+2-q).$$

Repeating the same method as the above, we can complete the proof of Theorem 6.

Applying the same method as the proof of Theorem 1 and 2, we can easily prove

**THEOREM 7.** *Suppose  $f(z) \in C(p)$ . Then we have  $f(z) \in S(p)$ .*

**REMARK 2.** *For the case  $p=1$ ,  $C(p)$  and  $S(p)$  are the subclasses of classical univalent functions which are convex and starlike respectively, and  $S(1) \supset C(1)$ .*

It is worth noting that for  $p \geq 2$ , then  $S(p) \not\supset C(p)$ , if  $f(z)$  is not normalized such that  $f(z) = \sum_{n=p}^{\infty} a_n z^n$ , ( $a_p \neq 0$ ).

A. W. Goodman noticed Remark 2 [1, p. 212].

**THEOREM 8.** *Let  $f(z) \in A(p)$  and if there exists a  $(p-k+1)$ -valent starlike function  $g(z) = \sum_{n=p-k+1}^{\infty} b_n z^n$ , ( $b_{p-k+1} \neq 0$ ) that satisfies*

$$(9) \quad \operatorname{Re} \frac{zf^{(k)}(z)}{g(z)} > 0 \quad \text{in } |z| < 1,$$

*then  $f(z)$  is  $p$ -valent in  $|z| < 1$ .*

**PROOF.** For the case  $p=1$ , it is well-known in [3]. So we assume  $p \geq 2$ .

If we put  $g(z) = z\varphi'(z)$ , then from Remark 1,  $\varphi(z)$  is a  $(p-k+1)$ -valently convex function. From Theorem 7,  $\varphi(z)$  is  $(p-k+1)$ -valently starlike in  $|z| < 1$  and from (9) we can have

$$\operatorname{Re} \frac{f^{(k)}(z)}{\varphi'(z)} > 0 \quad \text{in } |z| < 1.$$

Applying Lemma 5 repeatedly, we have

$$\operatorname{Re} \frac{f'(z)}{\phi(z)} > 0 \quad \text{in } |z| < 1$$

where  $\phi^{(k-2)}(z) = \varphi(z)$ ,  $\phi(0) = \phi'(0) = \phi''(0) = \dots = \phi^{(k-2)}(0) = 0$ .

Then from Lemma 6,  $\phi(z)$  is a  $(p-1)$ -valently starlike function.

On the other hand, if we put  $G(z) = z\phi(z)$ , then we have

$$\begin{aligned} \operatorname{Re} \frac{zG'(z)}{G(z)} &= \frac{d \arg G(z)}{d\theta} = \frac{d \arg z\phi(z)}{d\theta} \\ &= 1 + \frac{d \arg \phi(z)}{d\theta} > 1 \end{aligned}$$

for an arbitrary  $r$ ,  $0 < r < 1$ ,  $z = re^{i\theta}$  and  $0 \leq \theta \leq 2\pi$ , and furthermore we have

$$\begin{aligned} \int_0^{2\pi} \operatorname{Re} \frac{zG'(z)}{G(z)} d\theta &= \int_0^{2\pi} \left( 1 + \frac{d \arg \phi(z)}{d\theta} \right) d\theta \\ &= 2p\pi. \end{aligned}$$

It shows that  $G(z)$  is  $p$ -valently starlike in  $|z| < 1$ .

Therefore we have

$$\operatorname{Re} \frac{zf'(z)}{\phi(z)} = \operatorname{Re} \frac{zG'(z)}{G(z)} > 0 \quad \text{in } |z| < 1$$

where  $G(z)$  is a  $p$ -valently starlike function.

From [6, 18],  $f(z)$  is  $p$ -valent in  $|z| < 1$ . This completes our proof.

Let  $f(z) \in A(p)$  and let  $\alpha$  be a real number. Then  $f(z)$  is said to be  $p$ -valently  $\alpha$ -convex in  $|z| < 1$  iff

$$(10) \quad \operatorname{Re} \left[ (1-\alpha) \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} + \alpha \left( 1 + \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right) \right] > 0$$

holds in  $|z| < 1$ .

This is a generalization of  $\alpha$ -convex functions [7, 8, 9].

**THEOREM 9.** *Let  $f(z)$  defined by (1) be  $p$ -valently  $\alpha$ -convex in  $|z| < 1$  and let  $(\alpha-1)$  not be a positive integer.*

*Then we have that  $f(z)$  is  $p$ -valent in  $|z| < 1$  and*

$$\operatorname{Re} \frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0 \quad \text{in } |z| < 1$$

for  $k=1, 2, 3, \dots, p$ .

**PROOF.** For the case  $\alpha=1$ , from the assumption we have

$$(11) \quad 1 + \operatorname{Re} \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} > 0 \quad \text{in } |z| < 1.$$

If we put

$$g(z) = \frac{f^{(p-1)}(z)}{p(p-1)\dots 3 \cdot 2 \cdot a_p} = z + \dots,$$

then from (11) we have

$$1 + \operatorname{Re} \frac{z g''(z)}{g'(z)} > 0 \quad \text{in } |z| < 1,$$

and so  $g(z) \in C(1)$ .

By Marx-Strohhäcker's theorem [5, 14], we have

$$\operatorname{Re} \frac{z g'(z)}{g(z)} = \operatorname{Re} \frac{z f^{(p)}(z)}{f^{(p-1)}(z)} > \frac{1}{2} > 0 \quad \text{in } |z| < 1$$

Then, from Theorem 5, we have

$$\operatorname{Re} \frac{z f^{(k)}(z)}{f^{(k-1)}(z)} > 0 \quad \text{in } |z| < 1$$

for  $k=1, 2, 3, \dots, p$ .

Next, we assume that  $\alpha$  is not a positive integer. Applying the same method as the proof of [13, Theorem 2] (It is the same idea as the proof of Lemma 1), we can prove that  $f^{(p-1)}(z) \neq 0$  in  $0 < |z| < 1$  and  $f^{(p)}(z) \neq 0$  in  $0 < |z| < 1$ . Because, if  $f^{(p-1)}(z)$  has a zero of order  $n$  ( $n \geq 1$ ) at a point  $\beta$  such that  $0 < |\beta| < 1$ , then  $f^{(p-1)}(z)$  may be put

$$f^{(p-1)}(z) = (z - \beta)^n g(z), \quad g(\beta) \neq 0.$$

Then by an easy calculation, we can have

$$\begin{aligned} \lim_{z \rightarrow \beta} (z - \beta) \left\{ (1 - \alpha) \frac{z f^{(p)}(z)}{f^{(p-1)}(z)} + \alpha \left( 1 + \frac{z f^{(p+1)}(z)}{f^{(p)}(z)} \right) \right\} \\ = \beta(n - \alpha) \neq 0 \end{aligned}$$

But this is a contradiction to (10), because

$$(1 - \alpha) \frac{z f^{(p)}(z)}{f^{(p-1)}(z)} + \alpha \left( 1 + \frac{z f^{(p+1)}(z)}{f^{(p)}(z)} \right)$$

has no zero in  $|z| < 1$ . Therefore  $f^{(p-1)}(z)$  can not have any zero in  $0 < |z| < 1$ . Then from the assumption (10),  $f^{(p)}(z)$  has no zero in  $0 < |z| < 1$  either.

Hence we have that  $f^{(p-1)}(z) \neq 0$  in  $0 < |z| < 1$  and  $f^{(p)}(z) \neq 0$  in  $0 < |z| < 1$ . Therefore, if we put  $p(z) = z f^{(p)}(z) / f^{(p-1)}(z)$  in (10), then we can obtain

$$\operatorname{Re} [p(z) - i\alpha \frac{\partial}{\partial \theta} \log p(z)] > 0$$

for an arbitrary  $r$ ,  $0 < r < 1$  and  $z = r e^{i\theta}$ .

Applying the same method as the proof of [7], we can have

$$\operatorname{Re} \frac{z f^{(p)}(z)}{f^{(p-1)}(z)} > 0 \quad \text{in } |z| < 1.$$

From Theorem 5, it follows that

$$\operatorname{Re} \frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0 \quad \text{in } |z| < 1$$

for  $k=1, 2, 3, \dots, p$ .

This completes our proof.

Applying the same method as the proof of [13, Theorem 2] and Theorem 5, we can prove

THEOREM 10. *Let  $f(z) \in A(p)$  and suppose*

$$\operatorname{Re} \left( 1 + \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} - \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} \right) > -\frac{1}{2} \quad \text{in } |z| < 1.$$

*Then we have*

$$\operatorname{Re} \frac{zf^{(k)}(z)}{f^{(k-1)}(z)} > 0 \quad \text{in } |z| < 1$$

for  $k=1, 2, 3, \dots, p$ .

THEOREM 11. *Let  $f(z) \in A(p)$  and if  $f(z)$  satisfies the following condition*

$$\int_0^{2\pi} \left| 1 + \operatorname{Re} \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} \right| d\theta \leq 4\pi$$

*for an arbitrary  $r, 0 < r < 1$  and  $z = re^{i\theta}$ , then  $f^{(k-1)}(z) \in S(p+1-k)$  for  $k=1, 2, 3, \dots, p-1$ .*

PROOF. From the principle of the argument and assumption, we have

$$\begin{aligned} (12) \quad 4\pi &\leq \int_0^{2\pi} \left( 1 + \operatorname{Re} \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} \right) d\theta \\ &\leq \int_0^{2\pi} \left| 1 + \operatorname{Re} \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} \right| d\theta \leq 4\pi \end{aligned}$$

for an arbitrary  $r, 0 < r < 1$  and  $z = re^{i\theta}$ .

Applying the same reason as in the proof of Theorem 1 and from (12), we can have

$$1 + \operatorname{Re} \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} > 0 \quad \text{in } |z| < 1.$$

From the definition of the class  $C(p)$ , this shows  $f^{(p-2)}(z) \in C(2)$ .

Then from Theorem 7, we have  $f^{(p-2)}(z) \in S(2)$ .

Applying Theorem 5, we have

$$f^{(k-1)}(z) \in S(p+1-k)$$

for  $k=1, 2, 3, \dots, p-1$ . This completes our proof.

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