

## ON FULL SUBGROUPS OF CHEVALLEY GROUPS\*

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**Introduction.** Let  $G$  be a split algebraic absolutely almost simple group defined over a field  $k$ . For a split maximal  $k$ -subtorus  $T$  of  $G$  let  $\Sigma = \Sigma(G, T)$  denote the root system of  $G$  with respect to  $T$ . Let  $\{x_\varepsilon, \varepsilon \in \Sigma\}$  be a system of isomorphisms, normalized as usual (see, for example, Steinberg [4]), from the additive group onto the root subgroups with respect to  $T$ .

We say (in the spirit of O'Meara [2, 3]) that a subgroup  $H$  of  $G(k)$  is *full* if for every  $g$  in  $G(k)$  and  $\varepsilon$  in  $\Sigma$  there exists a non-zero  $c = c(g, \varepsilon)$  in  $k$  such that  $g^{-1}x_\varepsilon(c)g \in H$ . Thus,  $H$  is full if and only if its intersection with any root subgroup (relative to any maximal split  $k$ -torus) contains at least two elements.

For a subset  $R$  of  $k$  we denote by  $G^E(R)$  the subgroup of  $G(k)$  generated by all  $x_\varepsilon(a)$ , where  $\varepsilon \in \Sigma$  and  $a \in R$ . Here "E" stands for "elementary".

A subset  $R$  of  $k$  is called *full* (cf., Vaserstein [7]) if for every  $y$  in  $k$  there is a non-zero  $r$  in  $R$  such that  $yr \in R$ . For a subring  $R$  it means that  $k$  is its field of fractions. Note that in this paper a ring is not required to have identity.

The results of the present paper are modeled on the results of Vaserstein [7], the methods are also similar. However the situation for groups of type  $C_n$  in characteristic 2 turns out to be more complicated.

We assume throughout (except in the last section) that the rank of  $G$  is greater than one. If  $\text{rank}(G) = 1$ , i.e.,  $G$  is of type  $A_1$ , then the conclusions of Theorems 1-5 below are false, see [7] and the last section, where we also discuss possible generalizations of our results.

The following Theorems 1-5 summarize our main results. More precise and detailed statements are given in the corresponding sections.

**THEOREM 1.** *For every full subring  $R$  of  $k$ , the subgroup  $G^E(R)$  of  $G(k)$  is full.*

**THEOREM 2.** ("Arithmeticity Theorem"). *Every full subgroup  $H$  of  $G(k)$  contains  $G^E(A)$  for some full subring  $A$  of  $k$  with the exception of*

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the case when  $G$  is of type  $C_n$  ( $n \geq 2$ ),  $\text{char}(k) = 2$  and the dimension of  $k$  over  $k^2$  is uncountable.

Here, for a field  $k$  of characteristic 2,  $k^2$  denotes the subfield of  $k$ , consisting of all squares. In the exceptional case we will show that not every full subgroup  $H$  contains  $G^E(A)$  for a full subring  $A$  (see Sections 8 and 9 for details).

**THEOREM 3.** *If  $H$  is a full subgroup of  $G(k)$  and  $g_1, \dots, g_m$  are in  $G(k)$  then the intersection of all  $g_i H g_i^{-1}$  is a full subgroup of  $G(k)$ .*

**THEOREM 4.** *Assume that  $k$  does not consist of 2 elements when  $G$  is of type  $B_2$  or  $G_2$ . If  $H$  is a full subgroup of  $G(k)$  and  $M$  is a subgroup of  $G(k)$  normalized by  $H$  then either  $H \cap M$  is full or  $M$  lies in the center of  $G$ .*

Theorems 1-4 for  $G = SL_n$  were proved by Vaserstein [7]. According to [10], Serezhkin considered subgroups  $H$  of  $G(k) = SL_n(k)$ ,  $n \geq 3$ , more general than full subgroups. Assuming that  $H$  is irreducible (in the standard representation) he proves that a conjugate of  $H$  either contains  $G^E(A) = E_n(A)$  for a full subring  $A$  of  $k$  or is contained in  $HSp_n(k)$ , the group of symplectic similitudes. Since a full  $H$  is irreducible and  $HSp_n(k)$  is not full, this result combined with our Theorem 8.4 gives Theorem 2 for  $G = SL_n$ ,  $n \geq 3$ . He also tried to prove Theorem 2 for  $G = Sp_{2n}$  with  $\text{char}(k) \neq 2$ , see [11].

**THEOREM 5.** *Let  $H$  be a subgroup of  $G(k)$ . Set  $R_\varepsilon(H) := \{t \in k: x_\varepsilon(t) \in H\}$ . Suppose that  $R_\varepsilon := R_\varepsilon(H) \neq 0$  for every root  $\varepsilon$  in  $\Sigma$ . Suppose further that  $G$  is not of type  $B_n$ ,  $C_n$ , or  $F_4$  when  $\text{char}(k) = 2$ , and that  $G$  is not of type  $G_2$  when  $\text{char}(k) = 3$ . Then there is a non-zero subring  $A$  of  $k$  such that  $R_\varepsilon A \subset R_\varepsilon$  (i.e.  $R_\varepsilon$  is an  $A$ -module) and  $(AR_\varepsilon)(AR_{-\varepsilon}) \subset A$  for every root  $\varepsilon$  in  $\Sigma$ .*

We do not assume here that  $H$  is full. Here and throughout the paper  $BC := \{bc: b \in B, c \in C\}$  for any subsets  $B, C \subset k$ . About the cases excluded from Theorem 5, see the next section.

The groups  $H$  in Theorem 5 are similar to "tableau", "carpet" or "net" groups considered in many papers including Riehm [12], [13], James [14], Borevich [15], Vavilov [16]. The main two differences are that our  $R_\varepsilon(H)$  need not be ideals of  $A$  and are not allowed to be 0.

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NOTATIONS AND CONVENTIONS. If all roots in  $\Sigma$  have the same length, we set  $\Sigma_l := \Sigma_s := \Sigma$ . Otherwise there are roots of only two lengths in  $\Sigma$  (see, for example, [4]). We denote then by  $\Sigma_l$  (resp.,  $\Sigma_s$ ) the set of long (resp., short) roots in  $\Sigma$ . Always,  $\Sigma_l$  is a subsystem of  $\Sigma$ .

Let  $e(\Sigma)$  be the square ratio of lengths of long and short roots. Recall that  $e(\Sigma) = 1$  when  $\Sigma$  is of type  $A_n$ ,  $D_n$ , or  $E_n$ ;  $e(\Sigma) = 2$  when  $\Sigma$  is of type  $B_n$ ,  $C_n$  or  $F_4$ ;  $e(\Sigma) = 3$  when  $\Sigma$  is of type  $G_2$ .

We say that a subset of  $\Sigma$  is *connected* if it is not a union of two orthogonal non-empty subsets.

If  $\alpha, \beta$  are in  $\Sigma$  and  $\alpha \neq \beta \neq -\alpha$ , then we have a commutation relation of the form  $[x_\alpha(t), x_\beta(u)] = \prod x_{i\alpha+j\beta}(\pm p_{\alpha,\beta,i,j} t^i u^j)$  for all  $t, u$  in  $k$ , where the product is taken over all roots  $i\alpha + j\beta$  in  $\Sigma$  with natural  $i, j \geq 1$ , the factors in the product are ordered lexicographically ( $i$  and, for fixed  $i$ , also  $j$  increase from the left to the right),  $p_{\alpha,\beta,i,j}$  are natural numbers, and the signs  $\pm$  do not depend on  $t$  and  $u$  but only on  $\alpha, \beta, i, j$  (once the parametrizations  $x_\varphi$  were chosen). When  $\alpha + \beta$  is not a root, the product is taken over an empty set and equals 1.

For a subset  $A \subset k$  and an integer  $n$  we set  $A^n := \{a^n : a \in A\}$ . For  $A, B \subset k$  we set  $AB := \{ab : a \in A, b \in B\}$ .

We define  $p$  as follows: if  $\text{char}(k) \neq e(\Sigma)$ , then  $p := 1$ ; otherwise,  $p := \text{char}(k) = e(\Sigma)$ .

For a subgroup  $H$  of  $G(k)$  and a root  $\varepsilon$  in  $\Sigma$  we set  $R_\varepsilon(H) := \{t \in k : x_\varepsilon(t) \in H\}$ .

**1. A generalization of Theorem 5.**

1.1. THEOREM. *Let  $H$  be a subgroup of  $G(k)$  such that  $R_\varepsilon(H) \neq \{0\}$  for every root  $\varepsilon$  in  $\Sigma$ . Set  $R_\varepsilon := R_\varepsilon(H)$ . Then there exist additive subgroups  $A$  and  $B$  of  $k$  and (for every root  $\varepsilon$ ) non-zero  $a_\varepsilon, b_\varepsilon$  in  $k$  such that:*

- (i)  $a_\delta B \subset R_\delta \subset b_\delta B$ ,  $R_\delta A^p \subset R_\delta$ , and  $A R_\delta R_{-\delta} \subset A$  for every long root  $\delta$  in  $\Sigma$ ;
- (ii)  $a_\gamma A \subset B_\gamma \subset b_\gamma A$ ,  $R_\gamma B \subset R_\gamma$ , and  $B'(R_\gamma R_{-\gamma})^p \subset B$  for every short root  $\gamma$  in  $\Sigma$ , where  $B' := BB$  when  $\text{char}(k) = 2 = e(\Sigma) - 1$ ,  $B' := e(\Sigma)! B$  when  $\text{char}(k) = 0$ , and  $B' := B$  otherwise;
- (iii)  $AB \subset A$ ,  $BA^p \subset B$ , and  $A^p \subset B \subset A$ ;
- (iv)  $B$  is a subring of  $k$  (i.e.  $BB \subset B$ ) when  $\Sigma_l$  is connected;  $A$  is a subring of  $k$  when  $\Sigma_s$  is connected.

The case  $p = 1$  of this theorem contains Theorem 5 (indeed, (iii) with  $p = 1$  implies that  $A = B$  is a subring, and to obtain  $AAR_\gamma R_{-\gamma} \subset A$  when  $\text{char}(k) = 0$ , we replace  $A$  by  $e(\Sigma)!A$ ). Note that  $R_\varepsilon A \subset R_\varepsilon$  and  $AAR_\varepsilon R_{-\varepsilon} \subset A$

imply  $c_\varepsilon A \subset R_\varepsilon \subset c_{-\varepsilon}^{-1} A$  for any  $c_\varepsilon$  in  $R_\varepsilon$  and  $c_{-\varepsilon} \neq 0$  in  $AA R_{-\varepsilon}$ . When  $p \neq 1$  and  $k$  is not algebraic over its prime subfield, the conclusion of Theorem 5 is false for some  $H$  with  $R_\varepsilon(H) \neq 0$  for all  $\varepsilon$  in  $\Sigma$ , see Theorem 6.1 below (namely, for  $H = G^E(k_0, k_0^p)$  with subfields  $k_0^p \subset k_0 \subset k$ ).

We will prove Theorem 1.1 in Sections 2, 3-4, and 5 in cases  $e(\Sigma) = 1, 2$  and 3 respectively. The following technical lemmas will be used in our proof of Theorem 1.1.

1.2. LEMMA. *Let  $m \geq 2$  be an integer;  $A, B \subset k$ ;  $AB \subset A$ ,  $A^m B \subset B$ . Then:*

(i) *if  $a$  is in the multiplicative set generated by  $A$  and  $b$  is in the multiplicative set generated by  $B$ , then  $Ba^m \subset B$  and  $Ab \subset A$ ; therefore, for  $A_1 := Aa$ ,  $B_1 := Bb$  we have  $A_1 B_1 \subset A_1$ ,  $A_1^m B_1 \subset B_1$ ;*

(ii) *if  $a \in A$ ,  $b \in B$ , then for  $A_2 := Aa^{m-1}b$ ,  $B_2 := Ba^m b$  we have  $A_2 B_2 \subset A_2$ ,  $A_2^m B_2 \subset B_2$ , and  $B_2 \subset A_2$ ;*

(iii) *if  $b \in B \subset A$ , then for  $A_3 := Ab$ ,  $B_3 := Bb^{m-1}$  we have  $A_3 B_3 \subset A_3$ ,  $A_3^m B_3 \subset B_3$ , and  $A_3^m \subset B_3 \subset A_3$ ;*

(iv) *if  $B \neq 0 \neq cAA \subset A$  for some  $c$  in  $k$ , then there is a non-zero  $a_0$  in  $A$  such that  $(a_0^{m-1}A)(a_0^{m-1}A) \subset a_0^{m-1}A$ ;*

(v) *if  $A \neq 0 \neq cBB \subset B$  for some  $c$  in  $k$ , then there is a non-zero  $b_0$  in  $B$  such that  $(b_0^{m-1}B)(b_0^{m-1}B) \subset b_0^{m-1}B$ .*

PROOF. (i) We write  $a = a_1 \cdots a_n$  with  $a_i \in A$ . Then  $Ba_1^m \subset BA^m \subset B$  and, by induction on  $n$ ,  $Ba^m = B(a_1 \cdots a_{n-1})^m a_n^m \subset Ba_n^m \subset B$ . Similarly  $Ab \subset A$ .

(ii) Since  $a^{m-1}b = a^{m-2}ab \in a^{m-2}AB \subset a^{m-2}A$  and  $a^m b \in A^m B \subset B$ , by (i) we have  $A_2 B_2 = A_2$  and  $A_2^m B_2 \subset B_2$ . Moreover,  $B_2 = Ba^m b = (Ba)a^{m-1}b \subset Aa^{m-1}b = A_2$ .

(iii) Again, the first two inclusions follow from (i), which implies also that  $b^{m-2}B \subset A$ . Hence  $B_3 = b^{m-1}B \subset Ab = A_3$ . Finally,  $A_3^m = A^m b^m = A^m b b^{m-1} \subset A^m B b^{m-1} \subset B b^{m-1} = B_3$ .

(iv) We have  $(cA)(cA) \subset cA$ , that is,  $cA$  is a multiplicative set in  $k$ . In particular,  $(cA)^{2m} \subset ((cA)(cA))^m \subset (cA)^m$ , so  $B(cA)^{2m}A \subset B(cA)^m A = c^m B A^m A \subset c^m B A \subset c^m A$ .

On the other hand,  $B(cA)^{2m}A = c^{2m}(BA^{2m})A \subset c^{2m}BA \subset c^{2m}A$ .

Therefore  $c^m A \cap c^{2m} A \neq 0$ , i.e., there are non-zero  $a_0$  and  $a$  in  $A$  such that  $c^m = a_0/a$ . Then  $a_0^{m-1} = a_0^{m-2}a_0 = a_0^{m-2}ac^m = (a_0 c)^{m-2}(ac)c \in (cA)^{m-2}(cA)c \subset (cA)c = c^2 A$ . Hence  $A(a_0^{m-1}A) \subset A(c^2 A)A = Ac(cAA) \subset AcA \subset A$ . Multiplying both sides by  $a_0^{m-1}$ , we get  $(a_0^{m-1}A)(a_0^{m-1}A) \subset a_0^{m-1}A$ .

(v) From  $(cB)(cB) \subset cB$  we deduce that  $A(cB)^2 \subset AcB = cAB \subset cA$ . On the other hand,  $A(cB)^2 = c^2 AB^2 \subset c^2(AB)B \subset c^2 AB \subset c^2 A$ .

Therefore,  $cA \cap c^2 A \supset A(cB)^2 \neq 0$ , hence  $a_0 = ac$  for some non-zero  $a$ ,

$a_0$  in  $A$ . Pick a non-zero  $b'$  in  $B$ . Then  $0 \neq b := a^m b' \in A^m B \subset B$ ,  $0 \neq b_0 := a_0^m b' \in A^m B \subset B$ , and  $b_0 = c^m b$ .

We have  $b_0^{m-1} = c^m b b_0^{m-2} = (bc)(b_0 c)^{m-2} c \in (Bc)(Bc)^{m-2} c \subset (Bc)c = Bc^2$ . Therefore,  $B(b_0^{m-1} B) \subset B(Bc^2)B = Bc(BcB) \subset BcB \subset B$ . Multiplying this with  $b_0^{m-1}$ , we get  $(b_0^{m-1} B)(b_0^{m-1} B) \subset b_0^{m-1} B$ .

**1.3. LEMMA.** *Let  $n, m, N$  be natural numbers. Let non-empty  $A, B, R_i \subset k$ , and  $c_i, d_i \in k$  for  $i = 1, \dots, N$ . Assume that  $0 \neq AB^n \subset A$ ,  $A^m B \subset B$ ,  $0 \neq c_i A \subset R_i \subset d_i A$  ( $i = 1, \dots, N$ ). Then there is a non-zero  $b$  in  $B$  such that  $d_i A(bB)^n \subset c_i A$  and, therefore,  $R_i(bB)^n \subset R_i$  for  $i = 1, \dots, N$ .*

**PROOF.** From  $c_i A \subset d_i A$  it follows that  $A(c_i/d_i) \subset A$ . Therefore  $A(c_i/d_i)^r \subset A$  for every integer  $r \geq 0$ . Pick non-zero  $a_0$  in  $A$  and  $b'$  in  $B$ . Set  $a_i := a_0 c_i/d_i \in A$ ,  $b_i := b' a_i^m \in BA^m \subset B$  for  $i = 1, \dots, N$ , and  $b_0 := b' a_0^m \in B$ .

We have:  $(c_i/d_i)^m = (a_i/a_0)^m = b_i/b_0$  and  $b_i^n A \subset b_i^n A/b_0^n = A(b_i/b_0)^n = A(c_i/d_i)^{mn} \subset A c_i/d_i$  for  $i = 1, \dots, N$ .

Let  $a$  be the product of all  $a_i$ ,  $i = 1, \dots, N$ , and  $b := b' a^m \in BA^m \subset B$ . We have:  $bB = b_1 B$  when  $N = 1$ , and  $bB \subset b_i A^m B \subset b_i B$  for  $i = 1, \dots, N$  when  $N > 1$ .

Therefore,  $A(bB)^n \subset A(b_i B)^n = AB^n b_i^n \subset Ab_i^n \subset A c_i/d_i$ . Hence  $d_i A(bB)^n \subset A c_i$  and  $R_i(bB)^n \subset d_i A(bB)^n \subset A c_i \subset R_i$  for  $i = 1, \dots, N$ .

**2. Proof of Theorem 1.1 for groups  $G$  of type  $A_n$  ( $n \geq 2$ ),  $D_n$  ( $n \geq 3$ ), and  $E_n$  ( $n = 6, 7, 8$ ).** Recall that  $H$  is a subgroup of  $G(k)$  and that the  $R_\varepsilon := R_\varepsilon(H) := \{t \in k: x_\varepsilon(t) \in H\}$  are assumed to be non-zero for all roots  $\varepsilon$  in  $\Sigma$ . In this section we consider the case when  $\Sigma = \Sigma_l = \Sigma_r$ .

**2.1. LEMMA.** (i) *If  $\gamma, \delta, \gamma + \delta \in \Sigma$  then  $R_\gamma R_\delta \subset R_{\gamma+\delta}$ ;*

(ii) *for any  $\alpha, \beta$  in  $\Sigma$  there exists a non-zero  $c_{\alpha,\beta}$  in  $k$  such that  $c_{\alpha,\beta} R_\beta \subset R_\alpha$ .*

**PROOF.** (i) We have  $[x_\gamma(t), x_\delta(u)] = x_{\gamma+\delta}(\pm tu)$  for all  $t, u$  in  $k$  (see, e.g., [4, Examples to Lemma 14]). Taking here  $t \in R_\gamma$ ,  $u \in R_\delta$  we see that  $R_\gamma R_\delta \subset R_{\gamma+\delta}$ .

(ii) There exist  $\gamma_1, \dots, \gamma_m$  in  $\Sigma$  such that  $\beta + \gamma_1 + \dots + \gamma_m \in \Sigma$  for all  $i \leq m$  and  $\alpha = \beta + \gamma_1 + \dots + \gamma_m$ . Let us proceed by induction on  $m$ . If  $m = 0$ , then  $R_\alpha = R_\beta$  and we can take  $c_{\alpha,\beta} = 1$ . For  $m \geq 1$ , we set  $\gamma := \gamma_m$ ,  $\delta = \beta + \gamma_1 + \dots + \gamma_{m-1}$ . Pick a non-zero  $c_\gamma$  in  $R_\gamma$ . Applying (i) and the inductive assumption to  $\delta$ , we have:  $R_{\gamma+\delta} = R_\alpha \supset R_\gamma R_\delta \supset c_{\delta,\beta} R_\beta R_\gamma \supset c_\gamma c_{\delta,\beta} R_\beta = c_{\alpha,\beta} R$  with  $c_{\alpha,\beta} := c_\gamma c_{\delta,\beta} \neq 0$ .

Now we can complete our proof of Theorem 1.1 in the case  $\Sigma = \Sigma_l$ .

For every pair  $\alpha, \beta$  of roots in  $\Sigma$  we fix a non-zero  $c_{\alpha,\beta} \in k$  such that  $c_{\alpha,\beta}R_\beta \subset R_\alpha$  (see, Lemma 2.1 (ii)).

Pick roots  $\alpha, \beta, \gamma$  in  $\Sigma$  such that  $\gamma = \alpha - \beta$ . By Lemma 2.1,  $R_\alpha \supset R_\beta R_\gamma \supset c_{\beta,\alpha}R_\alpha c_{\gamma,\alpha}R_\alpha = cR_\alpha R_\alpha$ , where  $c := c_{\beta,\alpha}c_{\gamma,\alpha} \neq 0$ , hence  $A := cR_\alpha \supset cR_\alpha cR_\alpha = AA$  is a subring of  $k$ .

For any root  $\varepsilon$  in  $\Sigma$  set  $a_\varepsilon := c^{-1}c_{\varepsilon,\alpha}$ ,  $b_\varepsilon := c^{-1}c_{\alpha,\varepsilon}^{-1} \neq 0$ , hence  $R_\varepsilon \supset c_{\varepsilon,\alpha}R_\alpha = c_{\varepsilon,\alpha}c^{-1}A = a_\varepsilon A$  and  $R_\varepsilon \subset c_{\alpha,\varepsilon}^{-1}R_\alpha = c^{-1}c_{\alpha,\varepsilon}^{-1}A = b_\varepsilon A$ .

By Lemma 1.3 (with  $A = B$ ,  $m = n = 1$ ,  $N := \text{card}(\Sigma)$ ),  $(aA)R_\varepsilon \subset R_\varepsilon$  for all  $\varepsilon$  in  $\Sigma$  with some non-zero  $a$  in  $A$ . Replace  $A$  by  $aA$  and  $a_\varepsilon, b_\varepsilon$  by  $a_\varepsilon a^{-1}, b_\varepsilon a^{-1}$  respectively. Then  $AR_\varepsilon \subset R_\varepsilon$  for all  $\varepsilon$  in  $\Sigma$  and still  $a_\varepsilon A \subset R_\varepsilon \subset b_\varepsilon A$  for all  $\varepsilon$ .

Now for every  $\varepsilon$  in  $\Sigma$  we can find  $\delta$  in  $\Sigma$  such that  $\varepsilon + \delta \in \Sigma$ . Then  $R_\delta R_\varepsilon R_{-\varepsilon} \subset R_{\delta+\varepsilon} R_{-\varepsilon} \subset R_\delta$  by Lemma 2.1 (i). Take the product  $R$  of all  $R_\delta$  over  $\delta \in \Sigma$ . Then  $RR_\varepsilon R_{-\varepsilon} \subset R$  for all  $\varepsilon$  in  $\Sigma$ .

Since  $R_\varepsilon \subset b_\varepsilon A$  for all  $\varepsilon$ , we have  $R \subset bA$ , where  $b \neq 0$  is the product of all  $b_\varepsilon$ . Replacing  $A$  by its subring generated by  $Rb^{-1}$ , we have  $R_\varepsilon A \subset R_\varepsilon$  and  $AR_\varepsilon R_{-\varepsilon} \subset A$  for every root  $\varepsilon$  in  $\Sigma$ .

**3. Proof of Theorem 1.1 for  $G$  of type  $B_2$ .** Since  $G$  is split over  $k$ , it is isogenous to the symplectic group of a non-singular alternating form in dimension 4.

The root system (see, Figure 1) consists of 8 roots. Four of them  $(\pm\alpha, \pm(\alpha + 2\beta))$  are long, and four  $(\pm\beta, \pm(\alpha + \beta))$  are short.

Let us call a pair  $(\gamma, \delta)$  of roots *admissible*, if  $\gamma \in \Sigma_s, \delta \in \Sigma_l$ , and  $\delta - \gamma \in \Sigma_s$ . In other words,  $\gamma$  is short and  $\delta$  makes an angle  $\pm 45^\circ$  with  $\gamma$ . Every root is contained therefore in exactly two admissible pairs.

As in Theorem 1.1,  $R_\varepsilon := R_\varepsilon(H) \neq \{0\}$ . For any pair  $(\gamma, \delta)$  of roots we set  $R_{\gamma,\delta} := R_{\gamma,\delta}(H) := \{(t, u) \in k \oplus k : x_\gamma(t)x_\delta(u) \in H\}$ . Let  $R'_{\gamma,\delta}$  (resp.,  $R''_{\gamma,\delta}$ ) be the projection of  $R_{\gamma,\delta}$  on the first (resp., second) factor. Clearly,

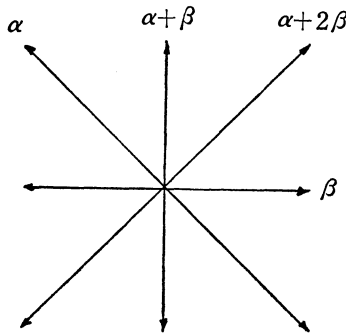


FIGURE 1. System of roots of type  $B_2$ .

$R'_{\gamma,\delta} \supset R_\gamma$  and  $R''_{\gamma,\delta} \supset R_\delta$ .

**3.1 LEMMA.** *Let  $(\gamma, \delta)$  be an admissible pair of roots,  $a \in R_{2\gamma-\delta}$ ,  $b \in R_{\delta-2\gamma}$ ,  $(c, d) \in R_{\gamma,\delta}$ , and  $t_1, t_2 \in R_{\gamma-\delta}$ . Then (i)  $(abc, ab^2c^2) \in R_{\gamma,\delta}$ ; (ii)  $2t_1t_2d \in R_{2\gamma-\delta}$ .*

**PROOF.** Set  $\varepsilon := \delta - 2\gamma$ .

(i) Since both  $x_\varepsilon(k)$  and  $x_{-\varepsilon}(k)$  commute with  $x_\delta(k)$ , we have:  $H \ni [x_{-\varepsilon}(a), [x_\varepsilon(b), x_\gamma(c)x_\delta(d)]] = [x_{-\varepsilon}(a), x_{\delta-\gamma}(\pm bc)x_\delta(\pm bc^2)] = x_\gamma(\pm abc)x_\delta(\pm ab^2c^2)$ . Since  $R_{\pm\varepsilon}$  are additive subgroups of  $k$ , we can, changing if necessary signs of  $a$  and  $b$ , obtain that  $R_{\gamma,\delta} \ni (abc, ab^2c^2)$ , as claimed.

(ii) We have  $H \ni y(t) := [x_{\gamma-\delta}(t), x_\gamma(c)x_\delta(d)] = x_\gamma(\pm td)x_{2\gamma-\delta}(\pm t^2d \pm 2ct)$  for any  $t$  in  $R_{\gamma-\delta}$ , hence  $H \ni y(t_1 + t_2)y(-t_1)y(-t_2) = x_{2\gamma-\delta}(\pm 2t_1t_2d)$ . Thus,  $R_{2\gamma-\delta} = -R_{2\gamma-\delta} \ni 2t_1t_2d$ .

**3.2. COROLLARY.** *In the notation of Lemma 3.1:*

- (i)  $R_\gamma \supset 2R_\varepsilon R_{-\varepsilon} R'_{\gamma,\delta}$  and  $R_\delta \supset 8R_\varepsilon R_\varepsilon R_{-\varepsilon} R_\gamma R'_{\gamma,\delta}$ , where  $\varepsilon := \delta - 2\gamma$ ;
- (ii)  $R_\delta C_{\gamma-\delta} C_{\gamma-\delta} \subset R_\delta \supset 4R_\delta C_{\gamma-\delta} C_{\gamma-\delta} C_{\gamma-\delta}$ , where  $C_{\gamma-\delta} := 2R_{\gamma-\delta} R_{\delta-\gamma}$ .

**PROOF.** (i) Let  $a, b, c, d$  be as in Lemma 3.1, and  $c' \in R_\gamma$ ,  $b' \in R_\varepsilon$ . By Lemma 3.1,  $R_{\gamma,\delta} \ni z(c) := (abc, ab^2c^2) \in k \oplus k$ . Since  $x_\gamma(k)$  and  $x_\delta(k)$  commute,  $R_{\gamma,\delta}$  is an additive subgroup of  $k \oplus k$ . Therefore,  $R_{\gamma,\delta} \ni z(c) - z(-c) = (2abc, 0)$ , so  $R_\delta \supset 2R_{-\varepsilon} R_\varepsilon R'_{\gamma,\delta}$ , which proves the first inclusion.

Similarly,  $R_{\gamma,\delta} \ni z(c) + z(-c) = (0, 2ab^2c^2)$ , hence  $R_\delta \ni 2ab^2c^2$ . Therefore  $R_\delta \ni 2ab^2(c + c')^2 - 2ab^2c^2 - 2ab^2c'^2 = 4ab^2cc'$  and  $R_\delta \ni 4a(b + b')^2cc' - 4ab^2cc' - 4ab'^2cc' = 8abb'cc'$ . This establishes the second inclusion in Corollary 3.2(i).

(ii) By Lemma 3.1 (ii),  $R''_{\gamma,\delta}(2R_{\gamma-\delta}R_{\gamma-\delta}) \subset R_{2\gamma-\delta}$ . Replacing here  $(\gamma, \delta)$  by the admissible pair  $(\gamma, 2\gamma - \delta)$ , we get  $R''_{\gamma,2\gamma-\delta}(2R_{\delta-\gamma}R_{\delta-\gamma}) \subset R_\delta$ . Combining the last two inclusions we get  $R''_{\gamma,\delta}C_{\gamma-\delta}C_{\gamma-\delta} \subset R_{2\gamma-\delta}(2R_{\delta-\gamma}R_{\delta-\gamma}) \subset R_\delta$ .

To prove the second inclusion in (ii) we take arbitrary  $u$  in  $R_{\gamma-\delta}$ ,  $v$  in  $R_{\delta-\gamma}$ , and  $t$  in  $R_\delta$ . Then  $H \ni [[x_\delta(t), x_{\gamma-\delta}(u)], x_{\delta-\gamma}(v)] = x_\gamma(\pm tuv)x_\delta(\pm 2tuv \pm tu^2v^2)$ , hence (changing if necessary signs of  $t$  and  $u$ )  $R''_{\gamma,\delta} \ni 2tuv + u^2v^2t$ . Since  $R''_{\gamma,\delta} \supset R_\delta \supset R_\delta C_{\gamma-\delta} C_{\gamma-\delta} \ni 4u^2v^2t$ , it follows that  $R''_{\gamma,\delta} \ni 8tuv$ . Thus,  $R''_{\gamma,\delta} \supset 4R_\delta C_{\gamma-\delta}$ . Combining this with  $R''_{\gamma,\delta} C_{\gamma-\delta} C_{\gamma-\delta} \subset R_\delta$ , we get Corollary 3.2 (ii).

**PROOF OF THEOREM 1.1 FOR TYPE  $B_2$  WHEN  $\text{char}(k) \neq 2$ .** For every root  $\varphi$  in  $\Sigma$  we pick a non-zero  $c_\varphi$  in  $R_\varphi$ .

By Corollary 3.2 (i),  $R_\gamma \supset c_{\gamma,\varepsilon} R_\varepsilon$ ,  $R_\gamma \supset c_{\gamma,-\varepsilon} R_{-\varepsilon}$ , where  $c_{\gamma,\varepsilon} := 2c_\gamma c_{-\varepsilon}$ ,  $c_{\gamma,-\varepsilon} := 2c_\gamma c_\varepsilon$ . Similarly,  $R_\delta \supset c_{\delta,\varepsilon} R_\varepsilon$ ,  $c_{\delta,-\varepsilon} R_{-\varepsilon}$ ,  $c_{\delta,\gamma} R_\gamma$  with  $c_{\delta,\varepsilon} := 8c_{-\varepsilon} c_\gamma^2 c_\varepsilon$ ,  $c_{\delta,-\varepsilon} := 8c_\varepsilon^2 c_\gamma^2$ ,  $c_{\delta,\gamma} := 8c_\varepsilon^2 c_{-\varepsilon} c_\gamma$ .

Applying the above inclusions (with other admissible pairs of roots) successively, one easily establishes that for any  $\varphi, \psi$  in  $\Sigma$  there is a

non-zero  $c_{\varphi, \psi}$  in  $k$  such that  $R_{\varphi} \supset c_{\varphi, \psi} R_{\psi}$ . Fix such  $c_{\varphi, \psi}$ .

Let  $A$  be the subring of  $k$  generated by  $2R_{\alpha}R_{-\alpha}$ . We have  $A \supset 2c_{-\alpha}R_{\alpha}$ . Applying Corollary 3.2 (i) with  $\gamma := \beta$ ,  $\delta := \alpha + 2\beta$ ,  $\varepsilon := \delta - 2\gamma = \alpha$ , we get  $R_{\beta} \supset AR_{\beta}$  hence  $R_{\beta} \supset c_{\beta}A$ . Therefore  $a_{\varphi}A \subset R_{\varphi} \subset b_{\varphi}A$  for every root  $\varphi$  in  $\Sigma$ , where  $a_{\varphi} := c_{\varphi, \beta}c_{\beta}$ ,  $b_{\varphi} := (2c_{-\alpha}c_{\alpha, \varphi})^{-1}$ . Using Lemma 1.3 with  $m = n = 1$ ,  $A = B$ , we find a non-zero  $a$  in  $A$  such that all  $R_{\varphi}$  are  $aA$ -modules.

Replacing  $A$  by  $aA$  and changing  $a_{\varphi}$ ,  $b_{\varphi}$  accordingly, we have  $R_{\varphi}A \subset R_{\varphi}$  for all  $\varphi$  and still  $a_{\varphi}A \subset R_{\varphi} \subset b_{\varphi}A$  for all  $\varphi$  with non-zero  $a_{\varphi}$ ,  $b_{\varphi}$ .

By Lemma 3.1 (i),  $R_{\varepsilon}R_{-\varepsilon}R'_{\gamma, \delta} \subset R'_{\gamma, \delta}$  for any admissible pair  $(\gamma, \delta)$ , where  $\varepsilon := \delta - 2\gamma$ . Consider the product  $A_1$  of all  $R'_{\gamma, \delta}$ . Then  $A_1R_{\varepsilon}R_{-\varepsilon} \subset A_1$  for every long root  $\varepsilon$  in  $\Sigma$ . Using Corollary 3.2 (i) and  $AA \subset A$ , we see that  $0 \neq cA_1 \subset A$  for some  $c$  in  $k$ . Replacing  $A$  by its subring generated by  $cAA_1$ , we get  $AR_{\delta}R_{-\delta} \subset A$  for all  $\delta$  in  $\Sigma_l$ . We still have  $R_{\varepsilon}A \subset R_{\varepsilon}$  for all  $\varepsilon$  in  $\Sigma$  and  $R_{\varepsilon} \subset b'_{\varepsilon}A$  for all  $\varepsilon$  in  $\Sigma$  with some  $b'_{\varepsilon} \neq 0$  in  $k$ .

Let now  $(\gamma, \delta)$  be an admissible pair. Using  $R_{\varepsilon} \subset b'_{\varepsilon}A$  for  $\varepsilon = \delta - \gamma$  and  $\varepsilon = \gamma - \delta$ , we get  $uR_{\gamma-\delta} \subset A$ , where  $u := (b'_{\gamma-\delta}b'_{\delta-\gamma})^{-1} \neq 0$ . Multiplying the inclusions in Corollary 3.2 (ii) by  $u^2$  and  $u^3$  accordingly, we get  $R_{\delta} \cap R_{\delta}u^2 \neq 0 \neq R_{\delta} \cap 4R_{\delta}u^3$ . Since  $R_{\delta} \subset bA$  for some  $b$  in  $k$  (it follows from  $AR_{\delta}R_{-\delta} \subset A \neq 0$ ),  $uA \cap A \neq 0$ . Therefore,  $0 \neq vR_{\gamma-\delta} \subset A$  for some  $v$  in  $A$ . We have  $(R_{\delta} \cup R_{\delta}C_{\gamma-\delta})C_{\gamma-\delta} \subset R_{\delta} \cup R_{\delta}C_{\gamma-\delta}$  and  $R_{\delta} \cup R_{\delta}C_{\gamma-\delta} \subset bA \cup bAC_{\gamma-\delta} \subset b(A \cup C_{\gamma-\delta}) \subset bv^{-1}A$ , hence  $w_{\gamma, \delta}(R_{\delta} \cup R_{\delta}C_{\gamma-\delta}) \subset A$ , where  $w_{\gamma, \delta} := vb^{-1}$ .

Let  $A_2$  be the product of all  $w_{\gamma, \delta}(R_{\delta} \cup R_{\delta}C_{\gamma-\delta})$ . Then  $A_2C_{\gamma} \subset A_2 \subset A$  for all  $\gamma$  in  $\Sigma_s$ . Replacing  $A$  by its subring generated by  $AA_2$ , we get  $AC_{\gamma} \subset A$  for all  $\gamma$  in  $\Sigma_s$ . We still have  $A(R_{\delta}R_{-\delta}) \subset A$  for all  $\delta$  in  $\Sigma_l$  and  $R_{\varepsilon}A \subset R_{\varepsilon}$  for all  $\varepsilon$  in  $\Sigma$ .

Thus, Theorem 1.1 is proved for  $G$  of type  $B_2$  when  $\text{char}(k) \neq 2$ . For the rest of this section we assume that  $\text{char}(k) = 2$ . Then  $[x_{\pm\beta}(k), x_{\pm(\alpha+\beta)}(k)] = 1$ .

**3.3. LEMMA.** *Let  $(\gamma, \delta)$  be an admissible pair of roots. Then  $(rs, rs^2) \in R_{\delta-\gamma, \delta}$  for any  $s$  in  $R'_{\gamma, \delta}$  and  $r$  in  $R''_{\delta-\gamma, \delta-2\gamma}$ . In particular,*

- (i)  $R'_{\delta-\gamma, \delta} \supset R'_{\gamma, \delta}R''_{\delta-\gamma, \delta-2\gamma}$
- (ii)  $R''_{\delta-\gamma, \delta} \supset R''_{\delta-\gamma, \delta-2\gamma}(R'_{\gamma, \delta})^2$ .

**PROOF.** Let  $(s, t) \in R_{\gamma, \delta}$ ,  $(q, r) \in R_{\delta-\gamma, \delta-2\gamma}$ . Then  $H \ni [x_{\gamma}(s)x_{\delta}(t), x_{\delta-\gamma}(q) \times x_{\delta-2\gamma}(r)] = [x_{\gamma}(s), x_{\delta-\gamma}(q)x_{\delta-2\gamma}(r)] = [x_{\gamma}(s), x_{\delta-2\gamma}(r)] = x_{\delta-\gamma}(sr)x_{\delta}(rs^2)$ , as claimed.

**3.4. NOTATION.** For a long root  $\delta$  in  $\Sigma$  denote by  $A_{\delta}$  the subring of  $k$  generated by  $R''_{\delta-\gamma, \delta-2\gamma}R'_{\gamma, 2\gamma-\delta}$ , where  $(\delta - \gamma, \delta - 2\gamma)$  and  $(\gamma, 2\gamma - \delta)$  are the admissible pairs  $(\gamma', \delta')$  such that  $2\gamma' - \delta' = \delta$ . For a short root  $\gamma$  in  $\Sigma$  we denote by  $A_{\gamma}$  the subring of  $k$  generated by  $R'_{\delta-\gamma, \delta}R'_{\gamma-\delta, 2\gamma-\delta}$ , where  $(\delta - \gamma, \delta)$  and  $(\gamma - \delta, 2\gamma - \delta)$  are the admissible pairs  $(\gamma', \delta')$  with



$$\delta' - \gamma' = \gamma.$$

3.5. COROLLARY. *Let  $(\gamma, \delta)$  be an admissible pair. Then:*

- (i)  $R'_{\delta-\gamma, \delta}$  and  $R'_{\gamma, \delta}$  are  $A_\delta$ -modules;
- (ii)  $R''_{\gamma, \delta}$  and  $R''_{\gamma, 2\gamma-\delta}$  are  $A_\gamma^2$ -modules;
- (iii)  $A_\delta$  and  $A_{2\gamma-\delta}$  are  $A_\gamma^2$ -modules;
- (iv)  $A_\gamma$  and  $A_{\delta-\gamma}$  are  $A_\delta$ -modules.

PROOF. Applying Lemma 3.3 (i) to the pair  $(\delta - \gamma, \delta)$  instead of  $(\gamma, \delta)$  we obtain  $R'_{\delta-\gamma, \delta} \supset R'_{\delta-\gamma, \delta} R''_{\gamma, 2\gamma-\delta}$ . When we substitute this in the inclusion 3.3 (i), we obtain  $R'_{\delta-\gamma, \delta} \supset R'_{\delta-\gamma, \delta} (R'_{\delta-\gamma, \delta-2\gamma} R''_{\gamma, 2\gamma-\delta})$ . Thus,  $R'_{\delta-\gamma, \delta}$  is an  $A_\delta$ -module. Replacing here  $(\delta - \gamma, \delta)$  by  $(\gamma, \delta)$  we prove (i).

To prove (ii) we apply Lemma 3.3 (ii) to the pair  $(-\gamma, \delta - 2\gamma)$  instead of  $(\gamma, \delta)$ . We get  $R''_{\delta-\gamma, \delta-2\gamma} \supset R''_{\delta-\gamma, \delta} (R'_{-\gamma, \delta-2\gamma})^2$ . Substituting this in 3.3 (ii) we obtain  $R''_{\delta-\gamma, \delta} \supset R''_{\delta-\gamma, \delta} (R'_{-\gamma, \delta-2\gamma} R'_{\gamma, \delta})^2$ . Thus  $R''_{\delta-\gamma, \delta}$  is an  $A_{\delta-\gamma}^2$ -module. Replacing here  $(\delta - \gamma, \delta)$  by  $(\delta - \gamma, \delta - 2\gamma)$  we see that  $R''_{\delta-\gamma, \delta-2\gamma}$  is also an  $A_{\delta-\gamma}^2$ -module. Now it remains to replace  $\delta - \gamma$  by  $\gamma$  (and keep  $\delta$  the same) to obtain (ii).

Statements (iii) and (iv) are direct consequences of (ii) and (i) respectively and the definition of the rings  $A_\varepsilon$  (see Notation 3.4).

3.6. LEMMA. *Let  $(\gamma, \delta)$  be an admissible pair. Then there exist non-zero  $c_1$  and  $c_2$  in  $k$  such that*

- (i)  $R_\delta \supset c_1^2 R''_{\delta-\gamma, \delta-2\gamma} (R'_{\gamma, \delta})^2$ .
- (ii)  $R_{\delta-\gamma} \supset c_2 R''_{\delta-\gamma, \delta-2\gamma} R'_{\gamma, \delta}$ .

PROOF. Assume first that  $\text{card}(A_\varepsilon) = 2$  for some root  $\varepsilon$  in  $\Sigma$ . Since  $A_\varepsilon$  is a ring this implies that  $A_\varepsilon = \{0, 1\}$ . By Corollary 3.5 (iii) and (iv),  $A_\varepsilon$  is a module over  $A_\varphi^2$ , where  $\varphi$  is the root making an angle  $45^\circ$  with  $\varepsilon$ . Since  $A_\varepsilon = \{0, 1\}$ , it follows that  $A_\varphi^2 = \{0, 1\}$ , hence  $A_\varphi = \{0, 1\}$ . Applying now the same argument to  $A_\varphi$  instead of  $A_\varepsilon$  and repeating it 7 times, we obtain that  $A_\psi = \{0, 1\}$  for all roots  $\psi$  in  $\Sigma$ . The definition of  $A_\psi$  now implies that  $\text{card}(R'_{\gamma, \delta}) = \text{card}(R''_{\gamma, \delta}) = 2$  for all admissible pairs  $(\gamma, \delta)$ . Since  $R'_{\gamma, \delta} \supset R_\gamma \neq 0$  and  $R''_{\gamma, \delta} \supset R_\delta \neq 0$  we see that  $R'_{\gamma, \delta} = R_\gamma$  and  $R''_{\gamma, \delta} = R_\delta$  for all admissible pairs  $(\gamma, \delta)$ . Therefore Lemma 3.3 reduces to our claim with  $c_1 = c_2 = 1$ .

Now we can assume that  $\text{card}(A_{\delta-\gamma}) > 2$ . Pick  $a \neq 0, 1$  in  $A_{\delta-\gamma}$  and  $b \neq 0$  in  $A_\delta$ . By Corollary 3.5 (iii),  $ba^2 \in A_\delta (A_{\delta-\gamma})^2 \subset A_\delta$ . By Corollary 3.5 (i) and (ii), for any  $r$  in  $R''_{\delta-\gamma, \delta-2\gamma}$  and any  $s$  in  $R'_{\gamma, \delta}$ , we have:  $ra^2, ra^4 \in R''_{\delta-\gamma, \delta-2\gamma}$  and  $sb, sba^2 \in R'_{\gamma, \delta}$ .

Set  $y(u, t) := (ut, tu^2) \in k \oplus k$ . By Lemma 3.3,  $y(u, t) \in R_{\delta-\gamma, \delta}$  if  $u \in R'_{\gamma, \delta}$ ,  $t \in R''_{\delta-\gamma, \delta-2\gamma}$ . Therefore  $y(sba^2, r), y(sk, ra^2), y(sb, ra^4) \in R_{\delta-\gamma, \delta}$ . Since  $x_{\delta-\gamma}(k)$

and  $x_\delta(k)$  commute,  $R_{\delta-\gamma,\delta}$  is an additive subgroup of  $k \oplus k$ . Therefore,  $R_{\delta-\gamma,\delta} \ni y(sba^2, r) + y(sb, ra^2) = (0, rs^2a^2b^2(1+a)^2)$  and  $R_{\delta-\gamma,\delta} \ni y(sba^2, r) + y(sb, ra^4) = (rsba^2(1+a^2), 0)$ . Thus, our claim holds with  $c_1 := ab(1+a) \neq 0$  and  $c_2 := ba^2(1+a^2) \neq 0$ .

3.7. COROLLARY. For each pair  $(\varphi, \psi)$  of roots of the same length there exists a non-zero  $c_{\varphi,\psi}$  in  $k$  such that

- (i)  $R_\varphi \supset c_{\varphi,\psi}^2 R_\psi$  if  $\varphi, \psi \in \Sigma_l$ ,
- (ii)  $R_\varphi \supset c_{\varphi,\psi} R_\psi$  if  $\varphi, \psi \in \Sigma_s$ .

PROOF. (i) Lemma 3.6 (i) applied to  $(\gamma, \delta)$  gives  $R_\delta \supset c_1^2 c_\gamma^2 R_{\delta-2\gamma}$ , where  $0 \neq c_\gamma \in R_\gamma \subset R'_{\gamma,\delta}$  (we used also the inclusion  $R_{\delta-2\gamma} \subset R''_{\delta-\gamma,\delta-2\gamma}$ ).

This shows that  $c_{\delta,\delta-2\gamma}$  exists (and can be taken to be  $c_1 c_\gamma$ ). Note that  $\delta$  was an arbitrary long root and  $\delta - 2\gamma$  makes an angle  $\pm 90^\circ$  with  $\delta$  if  $\gamma$  makes an angle  $\pm 45^\circ$  with  $\delta$ . Thus, repeating the argument 3 times, we obtain (i).

(ii) We apply Lemma 3.6 (ii) to  $(\delta - \gamma, \delta)$  to get that  $R_\gamma \supset c_2 c_{\delta-\gamma,\delta} R_{\delta-\gamma} =: c_{\gamma,\delta-\gamma} R_{\delta-\gamma}$ . Similarly,  $R_{\delta-\gamma} \supset c_{\delta-\gamma,-\gamma} R_{-\gamma}$ ,  $R_{-\gamma} \supset c_{-\gamma,\gamma-\delta} R_{\gamma-\delta}$ ,  $R_{\gamma-\delta} \supset c_{\gamma-\delta,\gamma} R_\gamma$ .

Now we are prepared to complete our Proof of Theorem 1.1 for  $G$  of type  $B_2$ .

PROOF OF THEOREM 1.1 FOR  $G$  OF TYPE  $B_2$  WHEN  $\text{char}(k) = 2$ . For every root  $\varphi$  we pick a non-zero  $c_\varphi$  in  $R_\varphi$ .

By Lemma 3.6 and Corollary 3.7,  $R_\alpha \supset c_1^2 R_{\alpha+2\beta} (R_{-\beta})^2 \supset c_1^2 c_{\alpha+2\beta,\alpha}^2 c_{-\beta,\alpha+\beta}^2 R_\alpha (R_{\alpha+\beta})^2$  and  $R_{\alpha+\beta} \supset c_2 R_{\alpha+2\beta} R_{-\beta} \supset c_2 c_{\alpha+2\beta,\alpha}^2 c_{-\beta,\alpha+\beta} R_\alpha R_{\alpha+\beta}$ .

Set  $d_1 := c_1 c_{\alpha+2\beta,\alpha} c_{-\beta,\alpha+\beta}$ ,  $d_2 := c_2 c_{\alpha+2\beta,\alpha}^2 c_{-\beta,\alpha+\beta}$ ,  $A := d_1 R_{\alpha+\beta}$ ,  $B := d_2 R_\alpha$ . Then the above inclusions become  $d_2^{-1} B \supset d_2^{-1} B A^2$  and  $d_1^{-1} A \supset d_1^{-1} A B$ . Thus,  $B \supset B A^2$ ,  $A \supset A B$ .

By Corollary 3.7,  $d_2^{-1} c_{\delta,\alpha}^2 B \subset R_\delta \subset c_{\alpha,\beta}^{-2} d_2^{-1} B$  for  $\delta \in \Sigma_l$  and  $d_1^{-1} c_{\gamma,\alpha+\beta} A \subset R_\gamma \subset c_{\alpha+\beta,\gamma}^{-1} d_1^{-1} A$  for  $\gamma \in \Sigma_s$ . This proves the existence of  $a_\varepsilon, b_\varepsilon$  for all  $\varepsilon$  in  $\Sigma$ .

Consider now  $A' := A A_\alpha A_{\alpha+2\beta} A_{-\alpha} A_{-\alpha-2\beta}$ ,  $B' := B (A_\beta A_{\alpha+\beta} A_{-\beta} A_{-\alpha-\beta})^2$ . Using Corollary 3.5 (iii) and (iv), we see that  $B' \supset B' A'^2$  and  $A' \supset A' B'$ . It is clear that  $A' \supset a_1 A$  and  $B' \supset a_2 B$  for some non-zero  $a_i$  in  $k$ . Using Corollary 3.5 (i), (ii), Lemma 3.6, and the inclusions  $B \supset B A^2$ ,  $A \supset A B$ , we see that  $A' \subset b_1 A$  and  $B' \subset b_2 B$  for non-zero  $b_i$  in  $k$ ,  $i = 1, 2$ .

Replacing  $A, B$  by  $A', B'$ , we get  $A A_\delta \subset A$ ,  $B A_\delta^2 \subset B$  for all  $\delta \in \Sigma_l$ ,  $\gamma \in \Sigma_s$ , and we still have  $A^2 B \subset B$ ,  $A B \subset A$  and (after appropriate change of  $a_\varepsilon, b_\varepsilon$ )  $A a_\gamma \subset R_\gamma \subset A b_\gamma$ ,  $B a_\delta \subset R_\delta \subset B b_\delta$  for all  $\gamma \in \Sigma_s, \delta \in \Sigma_l$ .

Using Lemma 1.3 with  $N = 4, n = 1, m = 2$  and with  $N = 4, n = 2, m = 1$ , we find non-zero  $a \in A, b \in B$  such that  $R_\delta (aA)^2 \subset R_\delta$  and  $B_\gamma (bB) \subset R_\gamma$  for all  $\delta \in \Sigma_l, \gamma \in \Sigma_s$ . Replacing  $A, B$  by  $aA, bB$  (and changing accordingly  $a_\varepsilon, b_\varepsilon$ ) we gain the additional property:  $R_\delta A^2 \subset R_\delta, R_\gamma B \subset R_\gamma$  for all  $\gamma \in \Sigma_s$ ,

$\delta \in \Sigma_i$ .

Now it is time to use Lemma 1.2 (ii) and then (iii) with  $m = 2$  to obtain new  $A, B$  satisfying  $A^2 \subset B \subset A$ .

We do not lose the property that  $AA_\delta \subset A$  and  $BA_\delta^2 \subset B$  for all  $\delta \in \Sigma_i, \gamma \in \Sigma_s$ . Since  $A_\gamma \supset R_{\delta-2\gamma}R_{\delta-\gamma}$  and  $A_\gamma \supset R_{\delta-\gamma}R_{\gamma-\delta}$ , we have, in particular, that  $AR_\delta R_{-\delta} \subset A$  and  $BR_\gamma^2 R_{-\gamma}^2 \subset B$  for all  $\gamma \in \Sigma_s, \delta \in \Sigma_i$ .

**4. Proof of Theorem 1.1 for  $G$  of type  $B_n$  ( $n \geq 3$ ),  $C_n$  ( $n \geq 3$ ), and  $F_4$ .**

4.1. LEMMA. *Let  $\varphi, \psi \in \Sigma$  have the same length. Then there exists a non-zero  $c_{\varphi, \psi}$  in  $k$  such that  $R_\varphi \supset c_{\varphi, \psi} R_\psi$ . When  $G$  is of type  $C_n, \varphi, \psi \in \Sigma_i$ , and  $p = 2$ , we can choose  $c_{\varphi, \psi}$  in  $k^2$ .*

PROOF. If both  $\varphi$  and  $\psi$  lie in a subsystem of type  $A_2$  or  $B_2$ , the first claim was established in Lemma 2.1 (ii) and Theorem 1.1 for  $G$  of type  $B_2$ , respectively. In the general case there exist roots  $\gamma_1, \dots, \gamma_m$  in  $\Sigma$  of the same length as  $\varphi$  and  $\psi$  such that  $\varphi = \gamma_1, \psi = \gamma_m$  and  $\gamma_i, \gamma_{i+1}$  lie in a subsystem  $\Sigma_i$  of type  $A_2$  or  $B_2$  for  $i = 1, 2, \dots, m - 1$ . Since the claim holds in every  $\Sigma_i$ , it holds in  $\Sigma$  as well, by induction on  $m$ . When  $G$  is of type  $C_n, \varphi, \psi \in \Sigma_i$ , and  $p = 2$ , we can use Lemma 3.7 (i).

4.2. Now we pick  $\alpha \in \Sigma_i$  and  $\beta \in \Sigma_s$  which are simple roots in a subsystem of type  $B_2$ . By Theorem 1.1, there are additive subgroups  $A$  and  $B$  of  $k$  and elements  $a_\alpha, b_\alpha, a_\beta, b_\beta$  of  $k$  such that  $a_\alpha B \subset R_\alpha \subset b_\alpha B, a_\beta A \subset R_\beta \subset b_\beta A$  and, moreover,

$$(4.3) \quad AR_\alpha R_{-\alpha} \subset A, \quad B(e(\Sigma)/p)(R_\beta R_{-\beta})^p \subset B,$$

$$(4.4) \quad AB \subset A, \quad BA^p \subset B,$$

where  $e(\Sigma) = 2$ , and  $p = 1$  or  $2$  (are integers depending on  $\text{char}(k)$ ).

By Lemma 4.1,  $a_\delta B \subset R_\delta \subset b_\delta B$  and  $a_\gamma A \subset R_\gamma \subset b_\gamma A$  for all  $\delta \in \Sigma_i$  and  $\gamma \in \Sigma_s$ , where  $a_\gamma := a_\beta c_{\gamma, \beta} \neq 0, b_\gamma := b_\beta c_{\beta, \gamma}^{-1}, a_\delta := a_\alpha c_{\delta, \alpha} \neq 0, b_\delta := b_\alpha c_{\alpha, \delta}^{-1}$ .

Applying Lemma 1.3 with  $N := \text{card}(\Sigma_s), n = 1, m = p$  and with  $N := \text{card}(\Sigma_i), n = p, m = 1$ , we find non-zero  $a$  in  $A$  and  $b$  in  $B$  such that  $R_\delta(aA)^p \subset R_\delta$  and  $R_\gamma(bB) \subset R_\gamma$  for all  $\delta$  in  $\Sigma_i$  and  $\gamma$  in  $\Sigma_s$ .

Replacing  $A$  and  $B$  by  $Aa$  and  $Bb$  and changing  $a_\epsilon$  and  $b_\epsilon$ , we have (4.3), (4.4), and:

$$(4.5) \quad a_\delta B \subset R_\delta \subset b_\delta B \quad \text{and} \quad R_\delta A^p \subset R_\delta \quad \text{for all } \delta \text{ in } \Sigma_i;$$

$$(4.6) \quad a_\gamma A \subset R_\gamma \subset b_\gamma A \quad \text{and} \quad R_\gamma B \subset R_\gamma \quad \text{for all } \gamma \text{ in } \Sigma_s.$$

Since every short root  $\gamma$  in  $\Sigma$  can be included as a simple root in a subsystem of type  $B_2$  or  $A_2$ , we have  $B_\gamma(2/p)(R_\gamma R_{-\gamma})^p \subset B_\gamma$  for an additive subgroup  $B_\gamma$  of  $k$  such that  $u_\gamma B \subset B_\gamma \subset v_\gamma B$  with non-zero  $u_\gamma, v_\gamma$  in  $k$  (for

$\gamma = \beta$  we can take  $B_\gamma = B$ , see (4.3)). It follows that  $B_\gamma C_\gamma \subset B_\gamma$ , where  $C_\gamma$  is the subring of  $k$  generated by  $(2/p)(R_\gamma R_{-\gamma})^p$ . Let  $C_s$  be the product of all  $C_\gamma$ ,  $\gamma \in \Sigma_s$ . Then  $(BC_s)C_\gamma \subset BC_s$  for all  $\gamma$  in  $\Sigma_s$ . Replacing  $B$  by its additive subgroup generated by  $BC_s c$  for some  $c \neq 0$  (and changing  $a_s, b_s$ ), we get  $BC_\gamma \subset B$  for all  $\gamma$  in  $\Sigma_s$ , and we still have (4.3)-(4.6).

Similarly, for every long root  $\delta$  in  $\Sigma$  there are non-zero  $u_s, v_s$  in  $k$  and an additive subgroup  $A_s$  of  $k$  such that  $A_s(R_s R_{-\delta}) \subset A_s$  and  $u_s A \subset A_s \subset v_s A$ , hence  $A_s C_s \subset A_s$ , where  $C_s$  is the subring of  $k$  generated by  $R_s R_{-\delta}$ . Let  $C_l$  be the product of all  $C_s, \delta \in \Sigma_l$ . Then  $(AC_l)C_s \subset (AC_l)$  for all  $\delta$  in  $\Sigma_l$ . Moreover,  $u_l A \subset AC_l \subset v_l A$  for non-zero  $u_l, v_l$  in  $k$ . Replacing  $A$  by the additive subgroup generated by  $AC_l v_l^{-1}$  (and changing  $a_\gamma, b_\gamma$ ), we get  $AC_s \subset A$  for all  $\delta$  in  $\Sigma_l$  and we still have (4.3)-(4.6) and  $BC_\gamma \subset B$  for all  $\gamma$  in  $\Sigma_s$ .

If  $\Sigma_l$  is connected (type  $B_n, n \geq 3$ , or  $F_4$ ), then there are long roots  $\varphi$  and  $\psi$  in  $\Sigma$  such that  $\varphi + \psi$  is also in  $\Sigma_l$ . We have  $[x_\varphi(t), x_\psi(u)] = x_{\varphi+\psi}(\pm tu)$  for all  $t, u$  in  $k$ , hence  $R_{\varphi+\psi} \supset R_\varphi R_\psi$ . By (4.5),  $Bb_{\varphi+\psi} \supset R_{\varphi+\psi} \supset R_\varphi R_\psi \supset a_\varphi a_\psi BB$ , so  $cBB \subset B$  with  $c := a_\varphi a_\psi / b_{\varphi+\psi} \neq 0$ . By Lemma 1.2 (v) with  $m := 2$ , we can find a non-zero  $b_0$  in  $B$  such that  $(b_0 B)(b_0 B) \subset (b_0 B)$ . Replacing  $B$  by  $b_0 B$  (and changing  $a_s, b_s$ ), we can assume that  $BB \subset B$  (when  $\Sigma_l$  is connected).

Similarly, if  $\Sigma_s$  is connected (type  $C_n, n \geq 3$ , or  $F_4$ ), then there are  $\varphi, \psi, \varphi + \psi \in \Sigma_s$ , hence  $R_{\varphi+\psi} \supset R_\varphi R_\psi$ , so  $A \supset cAA$  with  $c := a_\varphi a_\psi / b_{\varphi+\psi} \neq 0$ . By Lemma 1.2 (iv) with  $m = 2$ ,  $(a_0 A)(a_0 A) \subset a_0 A \neq 0$  for some  $a_0$  in  $A$ . Replacing  $A$  by  $a_0 A$  (and changing  $a_\gamma, b_\gamma$ ) we have  $AA \subset A$ .

Still (4.3)-(4.6) hold and so do Theorem 1.1 (i) and (ii). To get the last part of Theorem 1.1 (iii), we use Lemma 1.2 (ii) and (iii) with  $m = 2$  when  $p = 2$ , and we just replace both  $A$  and  $B$  by  $AB$  when  $p = 1$  (and change  $a_\varepsilon, b_\varepsilon$ ).

**5. Proof of Theorem 1.1 for  $G$  of type  $G_2$ .** The root system  $\Sigma$  of type  $G_2$  consists of 6 short roots  $(\pm\beta, \pm(\alpha + \beta), \pm(2\beta + \alpha))$  and 6 long roots  $(\pm\alpha, \pm(\alpha + 3\beta), \pm(2\alpha + 3\beta))$ , see Figure 2.

We use, sometimes without explicit reference, commutation relations given in [4, § 10, after Lemma 57].

For every root  $\varepsilon$  in  $\Sigma$ , we fix a non-zero  $c_\varepsilon$  in  $R_\varepsilon := R_\varepsilon(H)$ .

**5.1. LEMMA.** *There is a subring  $B$  of  $k$  such that  $0 \neq R_s B \subset R_s$ , and  $BR_s R_{-\delta} \subset B$  for every  $\delta$  in  $\Sigma_l$ .*

**PROOF.** It is a direct consequence of the results of Section 2 (namely, Theorem 1.1 for  $G$  of type  $A_2$ ) applied to the algebraic group generated by all long root subgroups (which is of type  $A_2$ ).

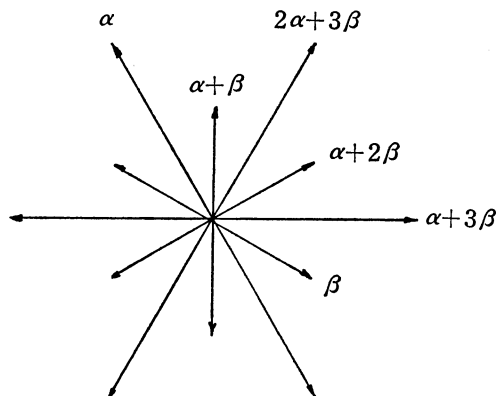


FIGURE 2. Root system of type  $G_2$ .

5.2. LEMMA. For every short root  $\gamma$  in  $\Sigma$  there exist non-zero  $a_\gamma, b_\gamma, d_\gamma$  in  $k$  such that:

- (i)  $3b_\gamma R_\gamma \subset B$ ; (ii)  $a_\gamma B \subset R_\gamma$ ; (iii)  $4d_\gamma R_\gamma^3 \subset B$ .

PROOF. Let  $\delta$  be a long root forming angle  $30^\circ$  with  $\gamma$ . Pick a non-zero  $b$  in  $B$ .

We have  $[x_\gamma(t), x_{\delta-\gamma}(u)] = x_\delta(\pm 3tu)$  for all  $t, u$  in  $k$ . Therefore,  $R_\delta \supset 3R_\gamma R_{\delta-\gamma} \supset 3c_{\delta-\gamma} R_\gamma$ . By Lemma 5.1,  $B \supset BR_{-\delta} R_\delta \supset bc_{-\delta} R_\delta$ . Thus, (i) holds with  $b_\gamma := bc_{-\delta} c_{\delta-\gamma} \neq 0$ .

Part (ii) will be proved separately in the following three cases:  $\text{char}(k) \neq 2$ ;  $\text{card}(B) = 2$ ;  $\text{char}(k) = 2$  and  $\text{card}(B) > 2$ .

When  $\text{char}(k) \neq 2$ , we take any  $t$  in  $R_{3\gamma-2\delta}$  and  $u$  in  $R_{\delta-\gamma}$ . Then  $H \ni y(t, u) := [x_{3\gamma-2\delta}(t), x_{\delta-\gamma}(u)] = x_{2\gamma-\delta}(\pm tu)x_\gamma(\pm tu^2)x_\delta(\pm tu^3)x_{3\gamma-\delta}(\pm t^2u^3)$ , hence  $H \ni z(t, u) := y(-t, -u)^{-1}y(t, u) = x_\gamma(\pm 2tu^2)x_{3\gamma-\delta}(\pm 2t^2u^3)$  and  $H \ni z(t, u)z(t, -u) = x_\gamma(\pm 4tu^2)$ . Therefore,  $R_\gamma \supset 4R_{3\gamma-2\delta}R_{\delta-\gamma}^2 \supset 4Bc_{3\gamma-2\delta}c_{\delta-\gamma}^2$ , so (ii) holds with  $a_\gamma := 4c_{3\gamma-2\delta}c_{\delta-\gamma}^2 \neq 0$ .

When  $\text{card}(B) = 2$ , then  $B = \{0, 1\}$  and we have (ii) with  $a_\gamma := c_\gamma$ .

When  $\text{char}(k) = 2$  and  $\text{card}(B) > 2$ , we pick  $b \neq 0, 1$  in  $B$ . For any  $a$  in  $R_{-\delta}$ ,  $d$  in  $R_\delta$  and  $u$  in  $R_\gamma$  we have:  $H \ni y_1(a, d) := [x_\delta(d), [x_{-\delta}(a), x_\gamma(u)]] = [x_\delta(d), x_{\gamma-\delta}(ua)x_{2\gamma-\delta}(u^2a)x_{3\gamma-\delta}(u^3a)x_{3\gamma-2\delta}(u^3a^2)] = [x_\delta(d), x_{\gamma-\delta}(ua)][x_\delta(d), x_{3\gamma-2\delta}(u^3a^2)] = x_\gamma(uad)x_{2\gamma-\delta}(u^2a^2d)x_{3\gamma-2\delta}(u^3a^3d)x_{3\gamma-\delta}(u^3a^3d^2)x_{3\gamma-\delta}(u^3a^2d)$ , hence  $H \ni y_2(a, d) := y_1(ab, d)y_1(a, db^2)^{-1} = x_\gamma(uad(b+b^2))x_{3\gamma-2\delta}(u^3a^3d(b^3+b^2))x_{3\gamma-\delta}(u^3a^3d^2(b^3+b^4))$ , and, finally,  $H \ni y_2(ab^3, d)y_2(ab^2, db^3)y_2(ab, db^3)y_2(a, db^6) = x_\gamma(uad(b+b^2)(b^3+b^5+b^4+b^6)) = x_\gamma(uadb^4(1+b^4))$ .

Thus,  $R_\gamma \supset R_\gamma R_\delta R_{-\delta} b^4(1+b^4) \supset c_\gamma(Bc_\delta)c_{-\delta} b^4(1+b^4) = Ba_\gamma$ , where  $a_\gamma := c_\gamma c_\delta c_{-\delta} b^4(1+b^4) \neq 0$ .

To prove (iii) we consider the same  $z(t, u) = x_\gamma(\pm 2tu^2)x_{3\gamma-\delta}(\pm 2t^2u^3) \in H$  as in the proof of (ii). Then  $H \ni z(t, u)z(-t, u) = x_{3\gamma-\delta}(\pm 4t^2u^3)$ . Therefore,

$R_{3\gamma-\delta} \supset 4R_{3\gamma-2\delta}^2 R_{\delta-\gamma}^3 \supset 4c_{3\gamma-2\delta}^2 R_{\delta-\gamma}^3$ . Since  $bc_{\delta-3\gamma} R_{3\gamma-\delta} \subset BR_{3\gamma-\delta} R_{\delta-3\gamma} \subset B$  by Lemma 5.1, we get  $4d_{\delta-\gamma} R_{\delta-\gamma}^3 \subset B$  with  $d := bc_{\delta-3\gamma} c_{3\gamma-2\delta}^2$ . Similarly,  $4d_\gamma R_\gamma^3 \subset B$  with some  $d_\gamma \neq 0$  in  $k$ .

5.3. LEMMA. *Let  $\gamma$  be a short root in  $\Sigma$  and  $\delta$  form angle  $\pm 150^\circ$  with  $\gamma$ . Let  $C_\gamma := 6R_\gamma R_{-\gamma}$ . Then  $R_\delta C_\gamma C_\gamma C_\gamma \subset R_\delta$ .*

PROOF. Let  $t, t_i \in R_\gamma, u \in R_\delta, s, s_i \in R_{-\gamma}$ . Then  $H \ni z_1(t) := [x_\delta(u), x_\gamma(t)] = x_{\delta+\gamma}(\pm tu) x_{\delta+2\gamma}(\pm t^2 u) x_{\delta+3\gamma}(\pm t^3 u) x_{2\delta+3\gamma}(\pm t^3 u^2)$ , hence  $H \ni z_2(t_1) := z_1(t_1)^{-1} \times z_1(t_2)^{-1} z_1(t_1 + t_2) = x_{\delta+3\gamma}(\pm 3(t_1 + t_2)t_1 t_2 u) x_{\delta+2\gamma}(\pm 2t_1 t_2 u) x_{2\delta+3\gamma}(\pm u^2 3t_1 t_2 (t_1 + t_2)) \times x_{2\delta+3\gamma}(\pm 3u^2 t_1 t_2^2)$ , hence  $H \ni z_3 := z_2(t_1 + t_3) z_2(t_1)^{-1} z_2(t_3)^{-1} = x_{\delta+3\gamma}(\pm 6t_1 t_2 t_3 u) \times x_{2\delta+3\gamma}(\pm 6t_1 t_2 t_3 u^2) = x_{\delta+3\gamma}(u') x_{2\delta+3\gamma}(\pm u' u)$ , where  $u' := \pm 6t_1 t_2 t_3 u$ . Similarly,  $H \ni z_4(s) := [z_\delta, x_{-\gamma}(s)] = [x_{\delta+3\gamma}(u'), x_{-\gamma}(s)]$ , hence  $H \ni z_5(s_1) := z_4(s_1)^{-1} z_4(s_2)^{-1} \times z_4(s_1 + s_2)$ ,  $H \ni z_6(u) := z_5(s_1 + s_3) z_5(s_1)^{-1} z_5(s_3)^{-1} = x_\delta(\pm 6s_1 s_2 s_3 u') x_{2\delta+3\gamma}(\pm 6s_1 s_2 s_3 u'^2)$ . Finally,  $H \ni z_7(u) z_6(-u)^{-1} = x_\delta(\pm 12s_1 s_2 s_3 u')$ , hence  $R_\delta \ni 12s_1 s_2 s_3 u' = \pm 72s_1 s_2 s_3 \times t_1 t_2 t_3 u$ . Since we have this for arbitrary  $t_i \in R_\gamma, s_i \in R_{-\gamma}, u \in R_\delta$ , it follows that  $R_\delta \supset C_\gamma C_\gamma C_\gamma R_\delta$ .

PROOF OF THEOREM 1.1 FOR  $G$  OF TYPE  $G_2$  WHEN  $\text{char}(k) \neq 3$ . By Lemma 5.2 (i), (ii),  $a_\gamma B \subset R_\gamma \subset (3b_\gamma)^{-1} B$  for all short roots  $\gamma$  in  $\Sigma$ . By Lemma 1.3 with  $A := B, n = m = 1, N := \text{card}(\Sigma_s) = 6$ , we have:  $R_\gamma(bB) \subset R_\gamma$  for all short  $\gamma$  with some  $b \neq 0$  in  $B$ . Replacing  $B$  by  $Bb$  and  $(3b_\gamma b)^{-1}$  by  $b'_\gamma$ , we get  $R_\gamma B \subset R_\gamma, R_\gamma \subset b'_\gamma B$  for all  $\gamma$  in  $\Sigma_s$  and we still have  $R_\delta B \subset R_\delta$  and  $BR_\delta R_{-\delta} \subset B$  for all  $\delta$  in  $\Sigma_l$ .

Let  $\gamma$  be in  $\Sigma_s$  and  $\delta$  make an angle  $30^\circ$  with  $\gamma$ . Then  $3c_{\delta-2\gamma} c_{\delta-\gamma} \in 3R_{\delta-2\gamma} R_{\delta-\gamma} \subset R_{2\delta-3\gamma}, 3c_\gamma c_{\delta-\gamma} \in R_\delta$ , and  $3c_{-\gamma} c_{\delta-2\gamma} \in R_{\delta-3\gamma}$ , hence  $(3c_\gamma c_{\delta-\gamma})(3c_{-\gamma} c_{\delta-2\gamma}) \in R_\delta R_{\delta-3\gamma} \subset R_{2\delta-3\gamma}$ . So both  $3c_{\delta-2\gamma} c_{\delta-\gamma}$  and  $(3c_{\delta-2\gamma} c_{\delta-\gamma})(3c_\gamma c_{-\gamma})$  are in  $R_{2\delta-3\gamma}$ . Since  $BR_{2\delta-3\gamma} R_{3\gamma-2\delta} \subset B$ , we have  $R_{2\delta-3\gamma} \subset Bd_1$  for some  $d_1 \neq 0$  in  $k$ . Writing  $3c_{\delta-2\gamma} c_{\delta-\gamma} = b_1 d_1$  and  $(3c_{\delta-2\gamma} c_{\delta-\gamma})(3c_\gamma c_{-\gamma}) = b_2 d_1$  with  $b_1$  and  $b_2$  in  $B$ , we see that  $c_\gamma c_{-\gamma} = b_2/3b_1$ . Since  $c_\gamma c_{-\gamma} B \subset R_\gamma R_{-\gamma} \subset Rd_2$  for some  $d_2 \neq 0$  in  $k$ , we can use Lemma 1.3 with  $n = m = N = 1, A = B$  and get  $b_3 R_\gamma R_{-\gamma} \subset b_3 d_2 B \subset c_\gamma c_{-\gamma} B$  for some  $b_3 \neq 0$  in  $B$ . Therefore  $3b_1 b_3 R_\gamma R_{-\gamma} \subset 3b_1 c_\gamma c_{-\gamma} B \subset b_2 B \subset B$ , hence  $u_\gamma R_\gamma R_{-\gamma} \subset B$  for  $0 \neq u_\gamma := 3b_1 b_3 \in B$ .

Let  $u$  be the product of all  $u_\gamma, \gamma \in \Sigma_s$ . Then  $u R_\gamma R_{-\gamma} \subset B$  for all  $\gamma$  in  $\Sigma_s$  and  $0 \neq u \in B$ . Replacing  $B$  by  $uB$ , we have  $BBR_\gamma R_{-\gamma} \subset B$  for all  $\gamma$  in  $\Sigma_s$ . Still we have  $R_\epsilon B \subset R_\epsilon$  for all  $\epsilon$  in  $\Sigma$  and  $BR_\delta R_{-\delta} \subset B$  for all  $\delta$  in  $\Sigma_l$ .

If  $\text{char}(k) = 2$ , we are done. Otherwise,  $C_\gamma := 6R_\gamma R_{-\gamma} \neq 0$ , and  $R_\delta C_\gamma C_\gamma C_\gamma \subset R_\delta$  by Lemma 5.3, where  $\delta$  makes angle  $150^\circ$  with  $\gamma$ , for any short root  $\gamma$  in  $\Sigma$ . Let  $B_\gamma := R_\delta \cup R_\delta C_\gamma \cup R_\delta C_\gamma C_\gamma$ . Then  $B_\gamma C_\gamma \subset B_\gamma$ . Since  $R_\delta \subset d_3 B$  for some  $d_3 \neq 0$  in  $k$ , we have  $B^4 B_\gamma \subset d_3 B \cup d_3 B \cup d_3 B = d_3 B$ , hence  $e_\gamma B_\gamma \subset B$  for some  $e_\gamma \neq 0$  in  $k$ .

Let  $B'$  be the product of all  $e_\gamma B_\gamma, \gamma \in \Sigma_s$ . Then  $B' \subset B$  and  $B' C_\gamma \subset B'$

for all  $\gamma$  in  $\Sigma_s$ . Replacing  $B$  by its subring generated by  $BB'$  we have  $BC_\gamma \subset B$  for all  $\gamma$  in  $\Sigma_s$ . Still we have  $R_\varepsilon B \subset R_\varepsilon$  for all  $\varepsilon$  in  $\Sigma$  and  $BR_\delta R_{-\delta} \subset B$  for all  $\delta$  in  $\Sigma_l$ .

PROOF OF THEOREM 1.1 FOR  $G$  OF TYPE  $G_2$  WHEN  $\text{char}(k) = 3$ . Let  $B$  be as in Lemma 5.1. Since  $3 = 0$  in  $k$ , the algebraic subgroup of  $G$  generated by all short root subgroups is also of type  $A_2$ . So  $R_\gamma A \subset R_\gamma$  and  $AR_\gamma R_{-\gamma} \subset A$  for some non-zero subring  $A$  of  $k$  and all short roots  $\gamma$  in  $\Sigma$ .

Using  $R_\beta A \subset R_\beta$ ,  $AR_\beta R_{-\beta} \subset A$ , and Lemma 5.2 (ii), (iii) with  $\gamma = \beta$ , we get  $A \supset c_1 B$  and  $B \supset c_2 A^3$  with non-zero  $c_i$  in  $k$ .

Let  $B_0$  (resp.  $A_0$ ) be the additive subgroup of  $k$  generated by  $BA^3$  (resp., by  $BA$ ). Then  $A_0 R_\varepsilon R_{-\varepsilon} \subset A_0$ ,  $B_0 R_\delta R_{-\delta} \subset B_0 \supset B_0 (R_\gamma R_{-\gamma})^3$  for all  $\varepsilon \in \Sigma$ ,  $\delta \in \Sigma_l$ ,  $\gamma \in \Sigma_s$ .

Since  $(BA)^3 \subset BA^3 \subset BA$ , it follows that  $A_0^3 \subset B_0 \subset A_0$ . From  $c_1 B \subset A$  and  $c_2 A^3 \subset B$  it follows that  $BA \subset AA c_1^{-1} \subset A c_1^{-1}$  and  $BA^3 \subset BB c_2^{-1} \subset B c_2^{-1}$ , hence  $c_2 B_0 \subset B$ ,  $c_1 A_0 \subset A$ . Since  $A$  and  $B$  are subrings of  $k$ , so are  $A_0$  and  $B_0$ .

Using Lemma 1.3 with  $N = 6$ ,  $m = 3$ ,  $n = 1$ ,  $A = A_0$ ,  $B = B_0$  and then with  $N = 6$ ,  $m = 1$ ,  $n = 3$ ,  $A = B_0$ ,  $B = A_0$ , we find non-zero  $a$  in  $A_0$ ,  $b$  in  $B_0$  such that  $R_\gamma (bB_0) \subset R_\gamma$  and  $R_\delta (aA_0)^3 \subset R_\delta$  for all  $\gamma \in \Sigma_s$ ,  $\delta \in \Sigma_l$ . Let  $c := a^3 b \in A_0^3 B_0 \subset B_0 B_0 \subset B_0 \subset A_0$ . Then  $R_\delta (A_0 c)^3 \subset R_\delta (A_0 a)^3 \subset R_\delta$  and  $R_\gamma (cB_0) \subset R_\gamma (bB_0) \subset R_\gamma$ . Moreover,  $(A_0 c)^3 \subset B_0 c \subset A_0 c$ .

Replacing  $A$  and  $B$  by  $A_0 c$  and  $B_0 c$ , we get  $A^3 \subset B \subset A$ ,  $BB \subset B$ ,  $AA \subset A$ ,  $R_\delta A^3 \subset R_\delta$  for all  $\delta \in \Sigma_l$  and  $R_\gamma B \subset R_\gamma$  for all  $\gamma \in \Sigma_s$ . Moreover,  $B(R_\gamma R_{-\gamma})^3 \subset B$  and  $A(R_\varepsilon R_{-\varepsilon}) \subset A$  for all  $\gamma$  in  $\Sigma_s$  and  $\varepsilon$  in  $\Sigma$ .

**6. Existence of groups described by Theorem 1.1.** For any subsets  $A$  and  $B$  of  $k$  let  $G^E(A, B)$  denote the subgroup of  $G(k)$  generated by all  $x_\gamma(a)$  and  $x_\delta(b)$  with  $\delta$  in  $\Sigma_l$ ,  $\gamma$  in  $\Sigma_s$ ,  $a$  in  $A$ , and  $b$  in  $B$ . In particular,  $G^E(A, A) = G^E(A)$ . Evidently,  $R_\gamma(G^E(A, B)) \supset A$  and  $R_\delta(G^E(A, B)) \supset B$  for all  $\gamma$  in  $\Sigma_s$  and  $\delta$  in  $\Sigma_l$ .

**6.1. THEOREM.** *Let  $A$  and  $B$  be additive subgroups of  $k$  satisfying Theorem 1.1 (iii), (iv). Then  $R_\gamma(G^E(A, B)) = A$  and  $R_\delta(G^E(A, B)) = B$  for all long roots  $\delta$  in  $\Sigma$  and short roots  $\gamma$  in  $\Sigma$ .*

To prove this theorem, we will exhibit a certain subgroup  $G(A, B)$  of  $G(k)$  such that  $G(A, B) \supset G^E(A, B)$  and  $R_\gamma(G(A, B)) = A$  and  $R_\delta(G(A, B)) = B$  for all  $\gamma \in \Sigma_s$  and  $\delta \in \Sigma_l$ .

We use here that  $G$  defined in the introduction over  $k$  may be defined as a Chevalley group scheme over the integers  $\mathbb{Z}$  (see [17]). There is a matrix representation  $G \subset SL_N$  such that  $G$  is defined by polynomial equa-

tions in the matrix entries with integral coefficients.

Given any commutative ring  $R$  (with or without 1) we define  $G(R)$  as the group of all ring morphisms from the ring of regular functions on  $G$  vanishing at the identity of  $G$  to the ring  $R$ . If  $R$  is an ideal of a ring  $R'$  then  $G(R)$  is the kernel of  $G(R') \rightarrow G(R'/R)$ . If  $R$  is a subring of  $k$ , the group  $G(R)$  can be also defined as  $G(k) \cap SL_N(R)$ , where  $SL_N(R)$  is the group of all matrices  $(a_{i,j})$  with the determinant 1 such that  $a_{i,j}, a_{i,i} - 1 \in R$  for all  $i \neq j$ .

The monomorphisms  $x_\varepsilon$  ( $\varepsilon \in \Sigma$ ) are also defined over  $\mathbf{Z}$ . Moreover, the corresponding maps of the rings of regular functions are ring morphisms onto the polynomial ring  $\mathbf{Z}[t]$ . Therefore we have

6.2. LEMMA. *For any subring  $R$  of  $k$  and any root  $\varepsilon$  in  $\Sigma$ , we have  $G^\varepsilon(R) \subset G(R)$  and  $R_\varepsilon(G(R)) = R$ .*

This lemma implies Theorem 6.1 in the case  $A = B$ . In particular, the theorem holds when  $p = 1$ . To prove it when  $p \neq 1$ , we consider a few cases separately.

PROOF OF THEOREM 6.1 FOR  $G$  OF TYPES  $F_4$  AND  $G_2$ . We assume that  $\text{char}(k) = 2$  in the case of type  $F_4$  and  $\text{char}(k) = 3$  in the case of type  $G_2$ . Then there is a bijection  $\rho: \Sigma \rightarrow \Sigma$  and a non-central isogeny (defined over  $\mathbf{Z}/p\mathbf{Z}$ )  $\iota: G \rightarrow G$  such that  $\rho(\Sigma_i) = \Sigma_s, \rho(\Sigma_s) = \Sigma_i, \iota x_\delta(t) = x_{\rho\delta}(\pm t)$ , and  $\iota x_\gamma(t) = x_{\rho\gamma}(\pm t^p)$  for all  $\delta \in \Sigma_i, \gamma \in \Sigma_s$ , and  $t \in k$  (see, for example, [4]).

For any subrings  $A$  and  $B$  of  $k$  such that  $A^p \subset B \subset A$ , let  $G(A, B)$  be the set of all  $g$  in  $G(A)$  such that  $\iota(g) \in G(B)$ . Then  $G(A, B) \supset G^\varepsilon(A, B), R_\delta(G(A, B)) = B$  (since  $B \subset A$ ), and  $R_\gamma(G(A, B)) = A$  (since  $A^p \subset B$ ), for all  $\gamma \in \Sigma_s$  and  $\delta \in \Sigma_i$ .

Therefore  $R_\delta(G^\varepsilon(A, B)) = B$  and  $R_\gamma(G^\varepsilon(A, B)) = A$ .

6.3. "PSEUDO-ORTHOGONAL" GROUPS. To prove Theorem 6.1 for  $G$  of types  $B_n$  and  $C_n$  (with  $p = 2$ ) we use some of  $(*, \varepsilon, A)$ -orthogonal groups of [8].

Namely, let  $n \geq 1, Q$  a  $n$  by  $n$  integral matrix,  $A$  a commutative ring (with or without 1),  $B$  an  $A^2$ -submodule of  $A$  containing  $2A$ . Then let  $O(Q; A, B)$  denote the set of matrices  $g$  in  $GL_n(A)$  such that  $g^*Qg - Q \in \mathcal{D}$ , where  $*$  means transposition and  $\mathcal{D}$  is the set of all symmetric matrices over  $A$  with the diagonal entries in  $B$ .

Since  $\mathcal{D}$  is an additive subgroup and  $a^*ba \in \mathcal{D}$  for any  $b \in \mathcal{D}$  and any matrix  $a$  over  $A$ , the set  $O(Q; A, B)$  is a subgroup of  $GL_n(A)$ .

6.4. PROOF OF THEOREM 6.1 FOR  $G$  OF TYPES  $B_2$  WITH  $AA \subset A$  AND  $C_n$  ( $n \geq 3$ ). Consider the ring of  $2n$  by  $2n$  integral matrices with the usual matrix units  $e_{i,j}$  and the matrix  $Q := \sum_{i=1}^n e_{i,2n+1-i}$ . The group



$Sp_{2n} = \{g \in SL_{2n} : g^*(Q - Q^*)g = Q - Q^*\}$  can be considered as an affine group scheme over  $\mathbf{Z}$ . It is a simply connected almost simple Chevalley group scheme of type  $C_n$  ( $C_2 = B_2$  when  $n = 2$ ). The root elements with respect to the torus of diagonal matrices are  $y_{i,2n+1-i}(t) := 1_{2n} + te_{i,2n+1-i}$  (correspond to the long roots) and  $y_{i,j}(t) := 1_{2n} + te_{i,j} \pm te_{2n+1-j,2n+1-i}$  with  $i + j < 2n + 1$  (correspond to the short roots).

Let now  $A$  and  $B$  be as in Theorem 6.1 and  $\text{char}(k) = 2$ .

For any  $G$  of type  $C_n$  there is a bijection  $\rho$  from  $\Sigma$  to the set  $\{(i, j) : 1 \leq i, j \leq 2n, i + j \leq 2n + 1\}$  and a central isogeny  $\iota : Sp_{2n} \rightarrow G$  over  $\mathbf{Z}$  such that  $\iota y_{\rho_\varepsilon}(t) = x_\varepsilon(t)$  for all  $\varepsilon$  in and all  $t$ . The kernel of  $\iota$  is either trivial or isomorphic to the algebraic group of square roots of 1.

Let now  $A$  and  $B$  be as in Theorem 6.1,  $AA \subset A$ , and  $\text{char}(k) = 2$ . Set  $G(A, B) := \iota(Sp_{2n}(A, B))$ , where  $Sp_{2n}(A, B) := O(Q; A, B)$  (see 6.3). Then  $G(A, B) \supset G^E(A, B)$ ,  $R_\gamma(G(A, B)) = \{t \in k : y_{\rho_\gamma}(t) \in O(Q; A, B)\} = A$ , and  $R_\delta(G(A, B)) = \{t \in k : y_{\rho_\delta}(t) \in O(Q; A, B)\} = B$  for all  $\gamma$  in  $\Sigma_s$  and  $\delta$  in  $\Sigma_l$ .

6.5. PROOF OF THEOREM 6.1 FOR  $G$  OF TYPE  $B_2$  WITH  $BB \subset B$  AND TYPE  $B_n$  ( $n \geq 3$ ). Let  $Q := \sum_{i=1}^n e_{i,2n+1-i} + e_{2n+1,2n+1}$ . For any commutative ring  $R$ , let  $SO_{2n+1}(R) := O(Q; R, 0) \cap SL_{2n+1}(R)$  (see 6.3).

Then  $SO_{2n+1}$  can be considered as an affine group scheme over  $\mathbf{Z}$ . It is a simple Chevalley group of type  $B_n$ . The root elements with respect to the torus of diagonal matrices are

$$z_{i,2n+1-i}(t) := 1_{2n+1} - t^2 e_{i,2n+1-i} + te_{2n+1,2n+1-i} - 2te_{i,2n+1}$$

(correspond to the short roots) and

$$z_{i,j}(t) := 1_{2n+1} + te_{i,j} - te_{2n+1-j,2n+1-i} \quad \text{with } i + j < 2n + 1$$

(correspond to the long roots).

For any  $G$  of type  $B_n$  there is a bijection  $\rho$  from  $\Sigma$  to the set  $\{(i, j) : 1 \leq i, j \leq 2n, i + j \leq 2n + 1\}$  and a central isogeny  $\iota : G \rightarrow SO_{2n+1}$  over  $\mathbf{Z}$  such that  $\iota z_{\rho_\varepsilon}(t) = x_\varepsilon(t)$  for all  $\varepsilon$  in  $\Sigma$  and all  $t$ . The kernel of  $\iota$  is either trivial or isomorphic to the algebraic group of square roots of 1.

For any commutative ring  $R$  of characteristic 2, every matrix in  $SO_{2n+1}(R)$  has the form  $\begin{pmatrix} g & 0 \\ u & 1 \end{pmatrix}$ , where  $g$  is in  $Sp_{2n}(R)$  and  $u$  is a  $2n$ -row over  $R$ . It gives a non-central isogeny  $\iota' : SO_{2n+1} \rightarrow Sp_{2n}$  over  $\mathbf{Z}/2\mathbf{Z}$ . We have

$$\iota' z_{i,j}(t) = \begin{cases} y_{i,j}(t) & \text{when } i + j < 2n + 1 \\ y_{i,j}(t^2) & \text{when } i + j = 2n + 1 \end{cases}$$

for all  $t$  in  $k$ .

Let now  $A$  and  $B$  be as in Theorem 6.1 and  $p = 2$ .  $\text{char}(k) = 2$  and

$BB \subset B$ . Set  $G(A, B) := \{g \in G(A) : \iota'(g) \in Sp_{2n}(B, A^2)\}$  (see 6.4).

Then  $G(A, B) \supset G^E(A, B)$ ,  $R_\gamma(G(A, B)) = A$ , and  $R_\delta(G(A, B)) = B$  for all  $\gamma$  in  $\Sigma_s$  and  $\delta$  in  $\Sigma_t$ .

**6.6. PROOF OF THEOREM 6.1 FOR  $G$  OF TYPE  $B_2$  WITH  $p = 2$ .** Let  $A'$  (resp.  $B'$ ) be the subring of  $k$  generated by  $A$  (resp.  $B$ ). By 6.4, there is a subgroup  $H_1$  of  $G(k)$  such that  $H_1 \supset G^E(A', B)$ ,  $R_\gamma(H_1) = A'$ , and  $R_\delta(H_1) = B$  for all  $\gamma$  in  $\Sigma_s$  and  $\delta$  in  $\Sigma_t$ . By 6.5, there is a subgroup  $H_2$  of  $G(k)$  such that  $H_2 \supset G^E(A, B')$ ,  $R_\gamma(H_2) = A$ , and  $R_\delta(H_2) = B'$  for all  $\gamma$  in  $\Sigma_s$  and  $\delta$  in  $\Sigma_t$ .

Set  $G(A, B) := H_1 \cap H_2$ . Then  $G(A, B) \supset G^E(A, B)$ ,  $R_\gamma(G(A, B)) = A$ , and  $R_\delta(G(A, B)) = B$  for all  $\gamma$  in  $\Sigma_s$  and  $\delta$  in  $\Sigma_t$ .

**7. Full subsets of  $k$ .** The following lemmas will be used in next sections.

**7.1. LEMMA.** (i) *If  $R$  is a full subset of  $k$ , then so is  $tR$  for any non-zero  $t$  in  $k$ ;*

(ii) *if  $C$  is a full subring of  $k$  and  $t_1, \dots, t_m$  are non-zero elements of  $k$ , then there exists a non-zero  $c$  in  $C$  such that  $t_i C \supset cC$  for  $i = 1, \dots, m$ .*

**PROOF.** The statement (i) is evident; (ii) is contained in [7, Lemma 4].

**7.2. LEMMA.** *Let  $A$  and  $B$  be subsets of  $k$  such that  $A$  is full,  $BA^2 \subset B$ , and  $Bk^2 = k$ . Then:*

(i)  *$B$  is a full subset of  $k$ ;*

(ii) *for any non-zero  $t_1, \dots, t_m$  in  $k$ , the intersection  $B'$  of all  $Bt_i$  is full and  $B'k^2 = k$ .*

**PROOF.** (i) Fix a non-zero  $b_0$  in  $B$ . Given any  $t$  in  $k$ , we can write  $tb_0 = bu^2$  with  $b$  in  $B$  and  $u$  in  $k$ . Since  $A$  is full in  $k$ , we can write  $u = a_1/a_2$  with  $a_i$  in  $A$  and  $a_2 \neq 0$ . Then  $t = ba_1^2/b_0a_2^2$  with both  $ba_1^2$  and  $b_0a_2^2$  in  $B$ . Thus,  $B$  is full.

(ii) Let  $z$  be in  $k$ . Since  $Bk^2 = k$ , we can write  $z/t_i = b_iu_i^2$  for  $i = 1, \dots, m$  with  $b_i$  in  $B$  and  $u_i$  in  $k$ . Since  $A$  is full,  $u_i = v_i/w_i$  with  $v_i, w_i$  in  $A$ . Let  $w$  be the product of all  $w_i$ . Then  $zw^2 = t_i b_i v_i^2 (w/w_i)^2 \in t_i B$  for all  $i = 1, \dots, m$ , so  $zw^2 \in B'$ , hence  $z \in B'k^2$ . Thus,  $k = B'k^2$ . It is clear that  $B'A^2 \subset B'$ . By (i),  $B'$  is full.

**7.3. LEMMA.** *Let  $F$  be a field but not an algebraic extension of a finite field. Then there exists a full subring  $A$  of  $k$  and a non-trivial homomorphism  $N$  of the multiplicative group of  $F$  into the additive group  $\mathbb{Q}$  of the rational numbers such that  $N(a) \geq 0$  for all  $a$  in  $A$ .*

**PROOF.** Let  $X$  be a transcendence basis of  $F$  over its prime subfield

$F_0$ . Let  $A_0$  be the integers when  $X$  is empty, and  $A_0 = F_0[X]$ , the polynomial ring, otherwise. Let  $A$  be the integral closure of  $A_0$  in  $F$ , i.e. the set of all roots in  $F$  of all monic polynomials in  $t$  with coefficients in  $A_0$ .

Fix  $x \in X$  when  $X$  is not empty and set  $x = 2 \in A_0$  otherwise. We define  $N_0(a) = n$  for  $0 \neq a \in A_0$ , if  $x^n$  is the maximal power of  $x$  dividing  $a$  in  $A_0$ . We define  $N_0(a_1/a_2) := N_0(a_1) - N_0(a_2)$  for non-zero  $a_i$  in  $A_0$ .

For any  $z$  in  $F$ ,  $z \neq 0$ , let  $f_z(t)$  be the monic polynomial in  $t$ , with coefficients in the field of fractions of  $A_0$ , of the minimal degree  $\deg(z)$  such that  $f_z(z) = 0$ . We define  $N(z) := N_0(f_z(0))/\deg(z)$ ; it is a rational number.

If  $a \in A$ , then  $f_a(t) \in A_0[t]$ , so  $f_a(0) \in A$  hence  $N(a) = N_0(f_a(0))/\deg(a) \geq 0$ .

For any non-zero  $z, z'$  in  $F$  we have  $f_z(0)^{d/\deg(z)} f_{z'}(0)^{d/\deg(z')} = f_{zz'}(0)^{d/\deg(zz')}$  with some  $d \neq 0$  divisible by  $\deg(z), \deg(z'), \deg(zz')$ , so  $N(zz') = N(z) + N(z')$ . The homomorphism  $N$  is not trivial, because  $N(x) = 1 \neq 0$ .

Let us check now that  $A$  is full in  $F$ . For any  $z \neq 0$  in  $F$  we can find a non-zero  $a_0$  in  $A_0$  such that  $a_0 f_z(t) \in A_0[t]$ . Let  $a$  be the leading coefficient of  $a_0 f_z(t)$ . Then  $0 \neq a \in A$  and  $a^{\deg(z)-1} f_z(t/a) a_0$  is a monic polynomial in  $t$  with coefficients from  $A_0$  with a root  $za$ , so  $za \in A$ . Thus,  $A$  is full and Lemma 7.3 is proved.

*For the rest of this section,  $\text{char}(k) = 2$ .*

7.4. NOTATION. For any finite subset  $S \subset k$ , let  $v_S$  denote the product of all  $y$  in  $S$ . In particular,  $v_S = 1$  for the empty subset  $S$ .

7.5. LEMMA. *There is a set  $Y_0 \subset k$  such that the all  $v_S$ , finite  $S \subset Y_0$ , form a basis for the vector space  $k$  over  $k^2$ .*

PROOF. We call a subset  $Y \subset k$  algebraically almost independent (AAI), if all  $v_S, S$  a finite subset of  $Y$ , are linearly independent over  $k^2$ . (Note that  $k$  is an algebraic extension of  $k^2$ .) It is clear, that the union of any chain of AAI subsets of  $k$  is again AAI. Also the empty subset of  $k$  is AAI. By Zorn's lemma, there is a maximal AAI  $Y_0 \subset k$ .

Let  $V$  be the linear subspace of  $k$  over  $k^2$  spanned by all  $v_S$  with finite  $S \subset Y_0$ . We have to prove that  $V = k$ .

Since  $Y_0$  is a maximal AAI subset, for every  $z \notin Y_0$  in  $k$  we have a linear relation (because  $Y_0 \cup \{z\}$  is not AAI):  $\sum a_S v_S + z \sum b_S v_S = 0$  with coefficients  $a_S, b_S$  in  $k^2$ , only finitely many of them  $\neq 0$ , both sums are taken over all finite  $S \subset Y_0$ , and the second sum  $\neq 0$  (because  $Y_0$  is AAI). Then  $z = \sum a_S v_S / \sum b_S v_S = (\sum a_S v_S) (\sum b_S v_S) / a^2 \in VV k^2 \subset V k^2 \subset V$ , where  $a := \sum b_S v_S \in V \subset k$ . Thus,  $V = k$ .

7.6. LEMMA. *The following two statements are equivalent:*

- (a)  $R = k$  for every full vector subspace  $R \subset k$  over  $k^2$ ;  
 (b) the dimension of  $k$  over  $k^2$  is 1 or 2.

PROOF. *Implication (b)  $\Rightarrow$  (a).* Since  $R$  is full in  $k$ ,  $R \ni y_1 \neq 0$ . If  $k^2 = k$ , then  $R = Rk^2 = Rk \supset y_1 k = k$ . When  $k \neq k^2$ ,  $R \ni y_2$  outside  $y_1 k^2$  (otherwise, only elements of  $k^2$  can be written as  $r_1/r_2$  with  $r_i \in R = y_1 k^2$ ). Therefore,  $k^2 y_1 + k^2 y_2 = k$  when the dimension of  $k$  over  $k^2$  is 2.

*Implication (a)  $\Rightarrow$  (b).* We assume that the dimension of  $k$  over  $k^2$  is larger than 2 and will find a full vector subspace  $R \neq k$ . First, we find  $Y_0$  as in Lemma 7.5. Pick distinct  $x, y$  in  $Y_0$ , and let  $Y$  be the complement of  $\{x, y\}$  in  $Y_0$ . Consider the linear subspace  $V$  spanned by all  $v_s$  with finite  $S \subset Y$ ;  $V$  is a subfield of  $k$ , containing  $k^2$ .

Put  $R := V + xV + yV$ ;  $R \neq k$ , because  $xy$  is outside  $R$ . We have to prove that  $R$  is full in  $k$ . Every  $z$  in  $k - R$  can be written as  $z = c_0(xy + c_1x + c_2y + c_3)$  with  $c_i \in V$ ,  $c_0 \neq 0$ . Then  $0 \neq r_1 := x + c_2 \in R$ ,  $r_2 := c_0(yr_1^2 + x(c_3 + c_1c_2) + c_1r_1^2 + c_2(c_3 + c_1c_2)) \in R$ , and  $z = r_2/r_1$ .

7.7. LEMMA. (i) *If the dimension of  $k$  over  $k^2$  is finite or countable, then, for any full subring  $C$  of  $k$  and any  $C^2$ -submodule  $B$  of  $k$  such that  $Bk^2 = k$ ,  $B$  contains a full subring of  $k$ .*

(ii) *If the dimension of  $k$  over  $k^2$  is uncountable, then there is a full subring  $A$  of  $k$  and an  $A^2$ -submodule  $B$  in  $A$  such that  $Bk^2 = k$ ,  $B \supset A^2$ , and  $B$  does not contain any full subset of  $k$  closed under multiplication.*

PROOF. (i) Let  $X \subset B$  be a basis for  $k$  over  $k^2$ . For every finite  $S \subset X$  we can find a non-zero  $a_S$  in  $C$  such that  $v_S a_S^2 \in B \cap C$  (see, Notation 7.4).

If  $X$  is finite, let  $c$  be the product of all  $a_S^2$ . Then  $0 \neq c \in C^2$  and  $v_S c \in B \cap C$  for all  $S \subset X$  (recall that  $BC^2 \subset B$ ). The  $C^2$ -submodule  $R$  of  $B$  generated by all  $v_S c^2$  is a subring of  $k$  (namely,  $(v_S c^2)(v_{S'} c^2) = (v_{S+S'} c^2)(v_{S \cap S'} c)^2 \in v_{S+S'} c^2 C^2 \subset R$ , where  $S + S' := S \cup S' - S \cap S'$ ).

We claim that  $R$  is full. Indeed, every  $y$  in  $k$  can be written as  $y = \sum x t_x^2$  with  $t_x$  in  $k$ , where the summation is taken over  $x$  in  $X$ . Since  $C$  is full, we can find a non-zero  $a_0$  in  $C$  such that  $t_x a_0 \in C$  for all  $x$  in  $X$  (see, Lemma 7.1 (ii)). Then  $y c^2 a_0^2 \in R$  and  $0 \neq c^2 a_0^2 \in R$ . So  $R$  is full.

If  $X$  is infinite, let us enumerate it,  $X = \{u_1, u_2, \dots\}$ . For any  $i \geq 1$ , let  $a_i$  be the product of all  $a_T$  with  $T \subset \{u_1, \dots, u_i\}$ . Then, for any finite  $S \subset X$ , we have  $\prod_{u_i \in S} (u_i a_i^2) = v_S \prod_{u_i \in S} a_i^2 \in B$ , because  $\prod a_i \in a_S C$  and  $BC^2 \subset B$ .

Therefore, the  $C^2$ -submodule  $R$  of  $B$  generated by  $a_0^2$  and all  $u_i a_i^2$

with  $u_i$  in  $X$  lies in  $B$ . As before, we see that  $R$  is full in  $k$ .

(ii) Find  $Y_0$  as in Lemma 7.5. Since the dimension of  $k$  over  $k^2$  is uncountable  $Y_0$  is uncountable. By Jech [1], there is a function  $r: Y_0 \times Y_0 \rightarrow \mathbf{Q}$  (with values in the rational numbers) with the property that for every function  $t: Y_0 \rightarrow \mathbf{Q}$  there are  $x, y$  in  $Y_0$  such that  $r(x, y) > t(x)$  and  $r(x, y) > t(y)$ .

Find  $A$  and  $N$  as in Lemma 7.3 with  $F = k$ . For any finite  $S \subset Y_0$  choose a non-zero  $a_s$  in  $A$  such that  $v_s a_s \in A$  and  $N(a_s) > 2r(x, y)$  in the case  $S = \{x, y\}$  consisting of two distinct elements. Define  $B$  as the  $A^2$ -submodule in  $k$  generated by all  $v_s a_s^2$  and  $A^2$ .

Let us check that  $Bk^2 = k$ . If we write any  $z$  in  $k$  as  $\sum b_s^2 v_s$  with  $b_s$  in  $k$  and only finitely many  $b_s \neq 0$ , then we see that  $za^2 \in R$  for some non-zero  $a$  in  $A$  hence  $z \in Bk^2$ .

Let now  $C$  be a full subset of  $k$  closed under multiplication. Since it is full in  $k$ , every  $x$  in  $Y_0$  can be written as  $x = c/c_x = cc_x/c_x^2$ , where  $c$  and  $c_x$  are in  $C$ . So  $C \ni cc_x = xc_x^2$  with  $0 \neq c_x \in C$ . Let  $t(x) := N(c_x)$ .

By the choice  $r: Y_0 \times Y_0 \rightarrow \mathbf{Q}$  above, there are  $x, y$  in  $Y_0$  such that  $r(x, y) > t(x), t(y)$ . For these  $x, y$  we have  $N(c_x c_y) = N(c_x) + N(c_y) = t(x) + t(y) < 2r(x, y)$  and  $C \ni CC \ni xc_x^2 yc_y^2 = xy(c_x c_y)^2$ , so  $xy(c_x c_y)^2$  is not in  $B$  by the definition of  $B$ , but it is in  $C$ . Thus,  $C$  is not contained in  $B$ .

### 8. Proof of Theorem 1.

8.1. LEMMA. *Let  $A$  and  $B$  be additive subgroups of  $k$  such that  $A^p \subset B \subset A$ ,  $BA^p \subset B$ ,  $BA \subset A$ , where  $p$  is as in Section 1. Assume that  $BB \subset B$  when  $\Sigma_1$  is connected. Let  $u \in k$ ,  $b \in B$ , and  $\varphi, \varepsilon \in \Sigma$ . Assume that  $bu \in B^2$ . Set  $D_\varepsilon := B$  when  $\varepsilon$  is long and  $D_\varepsilon := A$  otherwise. For any  $t$  in  $k$  we set  $y(t) := [x_\varphi(u), x_\varepsilon(t)]$ . Then:*

- (i)  $y(t) \in G^E(A, B)$  if  $\varphi + \varepsilon \neq 0$  and  $t$  is in  $b^4 D_\varepsilon$ ;
- (ii)  $y(t) \in G^E(A, B)$  if  $t$  is in  $b^{16}(b-1)^2(b^2-1)D_\varepsilon$ .

PROOF. We can assume that  $y(t) \neq 1$  for some  $t$  in  $k$  (otherwise the statement is trivial). Pick a connected subsystem  $\Sigma' \subset \Sigma$  of rank 2 containing both  $\varphi$  and  $\varepsilon$ . Then  $\varphi + \varepsilon$  is in  $\Sigma'$  or else  $\varphi + \varepsilon = 0$ . We will prove (i) (and then (ii)) for the three possible cases, when  $\Sigma'$  is of type  $A_2, B_2$ , or  $G_2$ , separately.

*Type  $A_2$  with  $\varepsilon + \varphi \neq 0$ .* Then  $y(b^2 t) = x_{\varepsilon+\varphi}(\pm b^2 t u) = [x_\varphi(b), x_\varepsilon(t b u)] \in G^E(A, B)$  for all  $t$  in  $D_\varepsilon$ , because  $b \in B \subset D_\varphi$  and  $t b u \in D_\varepsilon B^2 \subset D_\varepsilon$  for all  $t$  in  $D_\varepsilon$ . Thus,  $y(b^2 D_\varepsilon) \subset G^E(A, B)$ , hence  $y(b^4 D_\varepsilon) \subset y(b^2 D_\varepsilon) \subset G^E(A, B)$ .

*Type  $B_2$  with  $\varepsilon + \varphi \neq 0$ .* If  $\varepsilon, \varphi \in \Sigma_s$ , then  $y(t) = x_{\varepsilon+\varphi}(\pm 2 t u) \in G^E(B)$  provided  $t \in bA = bD_\varepsilon$ . In particular, we can take any  $t$  in  $b^4 D_\varepsilon = b^4 A \subset bA$  (the last inclusion follows from  $BA \subset A$ ).

If  $\varepsilon \in \Sigma_s$  and  $\varphi \in \Sigma_l$ , then  $y(t) = x_{\varphi+\varepsilon}(\pm tu)x_{\varphi+2\varepsilon}(\pm t^2u) \in G^E(A, B)$  provided  $t \in bA = bD_\varepsilon$  (because  $A bu \subset AB^2 \subset A$  and  $(bA)^2u = bA^2bu \subset BA^2B^2 \subset B = D_{\varphi+2\varepsilon}$ ). In particular,  $y(t) \in G^E(A, B)$  for any  $t$  in  $b^4A \subset bA$ .

If  $\varepsilon \in \Sigma_l$  and  $\varphi \in \Sigma_s$ , then  $y(t) = x_{\varphi+\varepsilon}(\pm tu)x_{2\varphi+\varepsilon}(\pm tu^2) \in G^E(A, B)$  provided  $t \in b^2B = b^2D_\varepsilon$  (because  $(b^2B)u = bBbu \subset BBB^2 \subset A = D_{\varphi+\varepsilon}$  and  $(b^2B)u^2 = B(bu)^2 \subset BB^4 \subset BB^2 \subset B = D_{2\varphi+\varepsilon}$ ). In particular,  $y(t) \in G^E(A, B)$  for any  $t$  in  $b^4D_\varepsilon = b^4B \subset b^2B$ .

*Type  $G_2$  with  $\varphi + \varepsilon \neq 0$ .* If  $\varphi$  and  $\varepsilon$  are long, they lie in  $\Sigma'_l$  of type  $A_2$ . Therefore, as shown above,  $y(b^4D_\varepsilon) \subset y(b^2D_\varepsilon) \subset G^E(A, B)$ .

If  $\varphi$  and  $\varepsilon$  are short and make the angle  $\pm 60^\circ$  then  $y(t) = x_{\varphi+\varepsilon}(\pm 3ut) \in G^E(B)$  provided  $t \in bA \supset b^4A = b^4D_\varepsilon$  (recall that  $3A \subset B$ ).

If  $\varphi$  and  $\varepsilon$  are short and make the angle  $\pm 120^\circ$ , then  $y(t) = x_{\varphi+\varepsilon}(\pm 2tu)x_{2\varphi+\varepsilon}(\pm 3tu^2)x_{\varphi+2\varepsilon}(\pm 3t^2u) \in G^E(B) \subset G^E(A, B)$  provided  $t \in b^2A \supset b^4A = b^4D_\varepsilon$  (because then  $tu \in B$ ,  $3tu^2 \subset 3A \subset B$ , and  $3t^2u \subset 3A \subset B$ ).

If  $\varphi$  is short and  $\varepsilon$  is long, then  $y(t)^{-1} = x_{\varphi+\varepsilon}(\pm tu)x_{2\varphi+\varepsilon}(\pm u^2t)x_{3\varphi+\varepsilon}(\pm u^3t) \times x_{3\varphi+2\varepsilon}(\pm u^3t^2) \in G^E(B) \subset G^E(A, B)$  provided  $t \in b^3B$  (because then  $tu \in BB^2 \subset B$ ,  $u^2t \subset B^3BB \subset B$ ,  $u^3t \in B^3B \subset B$ ,  $u^3t^2 \in B^3B^3B \subset B$ ). In particular,  $y(t) \in G^E(A, B)$  when  $t \in b^4B \subset b^3B$ .

Finally, if  $\varphi$  is long and  $\varepsilon$  is short, then  $y(t) = x_{\varphi+\varepsilon}(\pm tu)x_{\varphi+2\varepsilon}(\pm t^2u) \times x_{\varphi+3\varepsilon}(\pm ut^3)x_{2\varphi+3\varepsilon}(\pm u^2t^3) \in G^E(A, B)$  provided  $t \in b^3A$  (because then  $tu \in A = D_{\varphi+\varepsilon}$ ,  $t^2u \in A = D_{\varphi+2\varepsilon}$ ,  $t^3u \in B = D_{\varphi+3\varepsilon}$ , and  $t^3u^2 \in b^3B \subset B = D_{2\varphi+3\varepsilon}$ ). In particular, we can take any  $t$  in  $b^4D_\varepsilon = b^4A \subset b^3A$ .

Thus, (i) is proved in all cases. Since  $b^4D_\varepsilon \subset D_\varepsilon$  for all  $\varepsilon$  in  $\Sigma$ , (i) can be stated also as follows: the subgroup  $H := x_\varphi(u)^{-1}G^E(A, B)x_\varphi(u)$  contains all  $x_\varepsilon(b^4D_\varepsilon)$  with  $\varepsilon \neq -\varphi$ . Now we want to prove (ii), i.e.,  $H \supset x_\varepsilon(b^{16}(b-1)^2(b^2-1)D_\varepsilon)$  for all  $\varepsilon$ . When  $\varepsilon + \varphi \neq 0$ , this has been proved, because  $b^{16}(b-1)^2(b^2-1)D_\varepsilon \subset b^4D_\varepsilon$ . So we assume that  $\varepsilon = -\varphi$  and consider again separately the cases when  $\Sigma'$  is of type  $A_2$ ,  $B_2$ , or  $G_2$ .

*Type  $A_2$  with  $\varepsilon = -\varphi$ .* Pick  $\alpha$  and  $\beta$  in  $\Sigma'$  such that  $\alpha + \beta = \varepsilon$ . From  $H \supset x_\alpha(b^4D_\alpha), x_\beta(b^4D_\beta)$  it follows that  $H \supset [x_\alpha(b^4D_\beta), x_\beta(b^4D_\beta)] = x_\varepsilon(b^3D_\alpha D_\beta) = x_\varepsilon(b^3D_\varepsilon D_\varepsilon) \supset x_\varepsilon(b^9D_\varepsilon) \supset x_\varepsilon(b^{16}(b-1)^2(b^2-1)D_\varepsilon)$ .

*Type  $B_2$  with  $\varepsilon = -\varphi$ .* Pick  $\alpha$  in  $\Sigma'_l$  and  $\beta$  in  $\Sigma'_s$  such that  $\varepsilon = \alpha + \beta$  when  $\varepsilon$  is short and  $\varepsilon = \alpha + 2\beta$  when  $\varepsilon$  is long. Then  $H \ni z(v, w) := [x_\alpha(v), x_\beta(w)] = x_{\alpha+\beta}(\pm vw)x_{\alpha+2\beta}(\pm vw^2)$  provided  $v \in b^4B = b^4D_\alpha$  and  $w \in b^4A = b^4D_\beta$ . Therefore,  $H \ni z(b^4c, b^7)z(b^8c, b^5)^{-1} = x_{\alpha+2\beta}(\pm c(b^{18} - b^{16}))$  for all  $c$  in  $B$  and  $H \ni z(b^7, db^4)z(b^5, db^5)^{-1} = x_{\alpha+\beta}(\pm d(b^{11} - b^{10}))$  for all  $d$  in  $A$ .

Thus,  $R_{\alpha+2\beta}(H) \supset B(b^{18} - b^{16}) = D_{\alpha+2\beta}b^{16}(b^2 - 1) \supset D_{\alpha+2\beta}b^{16}(b^2 - 1)(b - 1)^2$  and  $R_{\alpha+\beta}(H) \supset A(b^{11} - b^{10}) = D_{\alpha+\beta}b^{10}(b - 1) \supset D_{\alpha+\beta}b^{16}(b - 1)^2(b^2 - 1)$ .

*Type  $G_2$  with  $\varepsilon = -\varphi$ .* If  $\varepsilon$  is long, we can include  $\varepsilon$  and  $\varphi$  in a subsystem of type  $A_2$  (namely,  $\Sigma'_l$ ), so  $H \supset x_\varepsilon(Bb^9) = x_\varepsilon(D_\varepsilon b^9) \supset x_\varepsilon(D_\varepsilon(b -$

$1)^2(b^2 - 1)b^{16}$ .

If  $\varepsilon$  is short, we find  $\alpha$  in  $\Sigma'_1$  and  $\beta$  in  $\Sigma'_2$  such that  $\varepsilon = \alpha + \beta$ . Then  $H \supset x_\alpha(b^4B), x_\beta(Ab^4)$ , hence  $H \ni z_1(v, w) := [x_\alpha(v), x_\beta(w)] = x_{\alpha+\beta}(\pm vw) \times x_{\alpha+2\beta}(\pm vw^2)x_{\alpha+3\beta}(\pm vw^3)x_{2\alpha+3\beta}(\pm v^2w^3)$  for any  $v$  in  $b^4B$  and  $w$  in  $b^4A$ . Therefore, for such  $v$  and  $w$ , we have  $H \ni z_2(v, w) := z_1(vb^2, w)z_1(v, wb)^{-1} = x_{\alpha+\beta}(\pm vw(b^2 - b))x_{\alpha+3\beta}(\pm vw^3(b^2 - b^3))x_{2\alpha+3\beta}(\pm v^2w^3(b^4 - b^3))$ , so  $H \ni z_3(v, w) := z_2(vb^3, w)z_2(v, wb^2)^{-1} = x_{\alpha+\beta}(\pm vw(b^2 - b)(b^3 - b^2))x_{\alpha+3\beta}(\pm vw^3(b^2 - b^3)(b^3 - b^2))$ , hence  $H \ni z_3(vb^3, w)z_3(v, wb)^{-1} = x_{\alpha+\beta}(\pm vw(b^2 - b)(b^3 - b^2)(b^3 - b))$ .

Thus,  $R_\varepsilon(H) \supset (b^4B)(b^4A)(b^2 - b)(b^3 - b^2)(b^3 - b) = ABb^{12}(b - 1)^2(b^2 - 1) \supset D_\varepsilon b^{16}(b - 1)^2(b^2 - 1)$ , because  $AB \supset Ab^4 = D_\varepsilon b^4$ .

**8.2. COROLLARY.** *Let  $A$  and  $B$  be as in Lemma 8.1. Assume that  $B$  is full and  $Bk^2 = k$ . Then for any  $g$  in  $G^E(k)$  there is a non-zero  $b_g$  in  $B$  such that  $gG^E(A, B)g^{-1} \supset G^E(Ab_g^2, Bb_g^2)$ .*

**PROOF.** If  $\text{card}(B) \leq 9$ , then  $B = A = k$  and  $G^E(k) = G^E(A, B) \ni g$ , so we can take  $b_g = 1$ .

Otherwise we pick some  $b_1 \neq b_1^9$  in  $B$ .

Consider first the case  $g = x_\varphi(u)$  with  $\varphi$  in  $\Sigma$  and  $u$  in  $k$ . Since  $Bk^2 = k$  and  $B$  is full, we can find  $b_i$  in  $B$  such that  $u = b_2(b_3/b_4)^2$  and  $b_2b_4 \neq 0$ . For  $b_5 := b_2^3b_4^2 \in BB^2B^2 \subset BB^2 \subset B$  we have  $b_5 \neq 0$  and  $b_5u = (b_3b_2^2)^2 \in B^2$ .

Let  $b := b_5$  when  $b_5 \neq \pm 1$  and  $b := b_5b_1^4$  otherwise. Then  $bu \in B^2$  and  $0 \neq b \in B$ . Set  $b_0 := b^3(b - 1)(b^2 - 1) \in B$ . Then  $b_0 \neq 0$  and, by Lemma 8.1,  $gG^E(A, B)g^{-1} =: H \supset G^E(Ab_0^2/(b^2 - 1), Bb_0^2/(b^2 - 1))$ . Since  $B(b^2 - 1) \subset B$  and  $A(b^2 - 1) \subset A$ , it follows that  $H \supset G^E(Ab_0^2, Bb_0^2)$ . Thus, we can take  $b_g = b_0$  in the case  $g = x_\varphi(u)$ .

In the general case we write  $g = g_1 \cdots g_m$  and proceed by induction on  $m$ , where every  $g_i$  is a root element. The case  $m = 1$  has been considered, so let  $m \geq 2$ . By induction, for  $g' = g_1^{-1}g$  there is a non-zero  $b'$  in  $B$  such that  $g'G^E(A, B)g'^{-1} \supset G^E(Ab'^2, Bb'^2)$ . Since  $Ab'^2$  and  $Bb'^2$  enjoy the same properties as  $A$  and  $B$ , there is a non-zero  $b''$  in  $Bb'^2$ , such that  $g_1G^E(Ab'^2, Bb'^2)g_1^{-1} \supset G^E(Ab''^2b''^2, Bb''^2b''^2)$ . Set  $b_g := b''^2b'' \in b'^4B \subset B$  to obtain the statement.  $gG^E(A, B)g^{-1} \supset G^E(Ab''^2b''^2, Bb''^2b''^2) \supset G^E(Ab_g^2, Bb_g^2)$ .

**8.3. LEMMA.** *In the situation of Theorem 1.1, assume that  $B$  is full and  $Bk^2 = k$  (both conditions evidently do not depend on the choice of  $A$  and  $B$ ). Then there is a non-zero  $b_0$  in  $B$  such that  $b_0B \subset R_\delta \subset b_0^{-1}B$  and  $b_0A \subset R_\gamma \subset b_0^{-1}A$  for all  $\delta$  in  $\Sigma_l$  and  $\gamma$  in  $\Sigma_s$ .*

**PROOF.** If  $BB \subset B$ , then, by Lemma 7.1(ii) with  $C = B$ , we can find a non-zero  $b_0$  in the intersection of  $B$  with all  $Ba_\varepsilon \cap Bb_\varepsilon^{-1}$ , where  $\varepsilon \in \Sigma$ . Therefore,  $b_0B \subset a_\varepsilon B \subset R_\delta \subset Bb_\varepsilon \subset Bb_\varepsilon^{-1}$  and  $b_0A \subset a_\gamma A \subset R_\gamma \subset Ab_\gamma \subset Ab_\gamma^{-1}$  for all  $\delta$  in  $\Sigma_l$  and  $\gamma$  in  $\Sigma_s$ .

If  $BB$  is not contained in  $B$ , then  $\Sigma$  is of type  $C_n$  ( $n \geq 2$ ), and  $p = 2$ . Fix a long root  $\alpha$  in  $\Sigma$ . By Lemma 1.3,  $b_\alpha B(\alpha A)^2 \subset \alpha_\alpha B \subset R_\alpha$  for some  $\alpha \neq 0$  in  $A$ . In particular,  $\alpha^4 b_\alpha B \subset R_\alpha$ .

By Lemma 4.1,  $R_\varphi \supset c_\varphi R_{\gamma\psi}$  with  $0 \neq c_\varphi \in k^2$  for all  $\varphi, \psi$  in  $\Sigma_l$ . Let  $C$  be the ring generated by  $B$ . Then  $B \subset C \subset A$ ,  $CA \subset A$ , and  $BC^2 \subset B$ .

Since  $C$  is full in  $k$ ,  $C^2$  is full in  $k^2$ . By Lemma 7.1 (ii) there is a non-zero  $c$  in  $C$  such that  $c^2 \in c_{\delta,\alpha} \alpha^4 C^2 \cap c_{\alpha,\delta} C^2$  for all  $\delta$  in  $\Sigma_l$  and  $c^2 \in \alpha_\gamma^2 C^2 \cap b_\gamma^{-2} C^2$  for all  $\gamma$  in  $\Sigma_s$ .

So for such  $\delta$  and  $\gamma$  we have  $cA \subset (\alpha_\gamma C)A \subset \alpha_\gamma A \subset R_\gamma \subset b_\gamma A \subset (c^{-1}C)A \subset c^{-1}A$  and  $b_\alpha c^2 B \subset b_\alpha (c_{\delta,\alpha} \alpha^4 C^2)B \subset b_\alpha c_{\delta,\alpha} \alpha^4 B \subset c_{\delta,\alpha} R_\alpha \subset R_\delta \subset c_{\alpha,\delta}^{-1} R_\alpha \subset Bb_\alpha / c_{\alpha,\delta} \subset Bb_\alpha (C^2 c^{-2}) \subset Bb_\alpha c^{-2}$ .

Since  $Bk^2 = B$  and  $B$  is full, there are non-zero  $b_i$  in  $B$  such that  $b_\alpha = b_1(b_2/b_3)^2 = b_4/b_3^2$ , where  $b_4 := b_1 b_2^2 \in BB^2 \subset B$ . Set  $b_0 := b_4 c^2 b_3^2 \in BC^2 B^2 \subset B$ . Then  $b_0 A \subset cA \subset R_\gamma \subset c^{-1}A \subset b_0^{-1}A$  for all  $\gamma$  in  $\Sigma_s$  and  $b_0 B = b_\alpha b_3^2 c^2 B \subset b_\alpha e^2 B \subset R_\delta \subset Bb_\alpha c^{-2} = Bb_4^2 b_0^{-1} \subset Bb_0^{-1}$  for all  $\delta$  in  $\Sigma_l$ .

**8.4. THEOREM.** *Let  $A$  and  $B$  be additive subgroups of  $k$  satisfying Theorem 1.1 (iii), (iv). Assume that  $B$  is full and  $Bk^2 = k$ . Then for any  $g$  in  $G(k)$  there is a non-zero  $b_0$  in  $B$  such that  $gG^E(A, B)g^{-1} \supset G^E(Ab_0, Bb_0)$ . In particular,  $G^E(A, B)$  is full.*

**PROOF.** Every  $g$  in  $G(k)$  can be written as  $g = hg'$  with  $h$  in  $T(k)$  and  $g'$  in  $G^E(k)$  (see, Tits [5] and Borel-Tits [9, Prop. 6.2]). Set  $H' := g'G^E(A, B)g'^{-1}$  and  $H := gG^E(A, B)g^{-1} = hH'h^{-1}$ .

By Corollary 8.2,  $H' \supset G^E(Ab^2, Bb^2)$  with  $0 \neq b \in B$ . Since  $h \in T(k)$ , we have  $R_\varepsilon(H) = R_\varepsilon(H')t_\varepsilon$  for all  $\varepsilon$  in  $\Sigma$  with non-zero  $t_\varepsilon$  in  $k$ . Therefore  $R_\varepsilon(H) \supset D_\varepsilon b^2 t_\varepsilon$ , where  $D_\varepsilon := B$  when  $\varepsilon \in \Sigma_l$  and  $D_\varepsilon := A$  when  $\varepsilon \in \Sigma_s$ .

Applying Lemma 8.3 to  $H$ , we find additive subgroups  $A'$  and  $B'$  of  $k$  and a non-zero  $b'$  in  $B'$  such that  $b'B' \subset R_\delta(H) \subset B'b'^{-1}$  and  $b'A' \subset R_\gamma(H) \subset A'b'^{-1}$  for all  $\delta$  in  $\Sigma_l$  and  $\gamma$  in  $\Sigma_s$ .

Fix  $\alpha$  in  $\Sigma_l$  and  $\beta$  in  $\Sigma_s$ . Then  $R_\delta(H) \supset b'B' \supset b'^2 R_\alpha(H) \supset b'^2 b^2 t_\alpha B$  and  $R_\gamma(H) \supset b'A' \supset b'^2 R_\beta(H) \supset b'^2 b^2 t_\beta A$  for all  $\delta$  in  $\Sigma_l$  and  $\gamma$  in  $\Sigma_s$ .

Since  $B$  is full and  $Bk^2 = k$ , there are non-zero  $b_1$  and  $b_2$  in  $B$  such that  $b_3 := b_1 b'^2 t_\beta \in B$  and  $b_4 := b_2^2 b'^2 t_\alpha \in B$ . Set  $b_0 := b_4 b_3^2 b^2 \in BB^2 B^2 \subset BB^2 \subset B$ .

Then  $R_\delta(H) \supset b'^2 b^2 t_\alpha B \supset b'^2 b^2 t_\alpha (b_3^2 b^2 B) = b_0 B$  and  $R_\gamma(H) \supset b'^2 b^2 t_\beta A \supset b'^2 b^2 t_\beta (b_3 b_4 b_1 A) = b_0 A$  for all  $\delta$  in  $\Sigma_l$  and  $\gamma$  in  $\Sigma_s$ . Thus  $H \supset G^E(Ab_0, Bb_0)$  with  $0 \neq b_0 \in B$ .

**PROOF OF THEOREM 1.** Let  $A$  be a full subring of  $k$ . Set  $B := A$ . Then Theorem 1.1 (iii), (iv) are satisfied. Moreover, given any  $u$  in  $k$  we can write  $u = b_1/b_2$  with  $b_i$  in  $B$  and  $b_2 \neq 0$ , hence  $u = b_1 b_2 b_2^{-2} \in Bk^2$ . Thus,  $Bk^2 = k$ . By Theorem 8.4,  $G^E(A) = G^E(A, B)$  is full.



**9. Proof of Theorems 2 and 3.**

9.1. LEMMA. *Let  $H$  be a full subgroup of  $G(k)$ . Then*

- (i)  *$R_\varepsilon(H)$  is full, if  $\varepsilon$  lies in a subsystem  $\Sigma' \subset \Sigma$  of type  $A_2$ ;*
- (ii)  *$R_\gamma(H)$  is full for any short root  $\gamma$  in  $\Sigma$ .*

PROOF. (i) We apply an argument of [7]. Namely, we find a root  $\varphi$  in  $\Sigma'$  such that  $\varphi + \varepsilon$  is in  $\Sigma'$  too. Fix non-zero  $c_1$  in  $R_{-\varphi}(H)$  and  $c_2$  in  $R_\varphi(H)$ . Take an arbitrary  $t$  in  $k$ . Since  $H$  is full,  $H \ni x_\varphi(t)x_\varepsilon(u)x_\varphi(t)^{-1} = x_{\varepsilon+\varphi}(\pm tu)x_\varepsilon(u) =: g$  for a non-zero  $u$  in  $k$ . Therefore,  $H \ni [g, x_{-\varphi}(c_1)] = x_\varepsilon(\pm tuc_1)$  and  $H \ni [[g, x_\varphi(c_2)], x_{-\varphi}(c_1)] = [x_{\varepsilon+\varphi}(uc_2), x_{-\varphi}(c_1)] = x_\varepsilon(\pm uc_1c_2)$ . Thus,  $R_\varepsilon(H)$  contains both  $tuc_1 := a_1$  and  $uc_1c_2 := a_2 \neq 0$ . Since  $a_1a_2^{-1} = tc_2^{-1}$  can be an arbitrary element of  $k$ ,  $R_\varepsilon(H)$  is full in  $k$ .

(ii) If  $\Sigma$  contains a system of type  $A_2$ , then we can use (i) and, by Theorem 1.1, conclude that  $A$  and all  $R_\gamma(H)$  with  $\gamma$  in  $\Sigma_s$  are full. Otherwise,  $\Sigma$  is of type  $B_2$ .

Let  $\delta$  in  $\Sigma$  make an angle  $45^\circ$  with  $\gamma$ . Since  $H$  is full, for any  $t$  in  $k$  there exists a non-zero  $u$  in  $k$  such that  $H \ni x_{\delta-2\gamma}(t)x_\gamma(u)x_{\delta-2\gamma}(-t) = x_\gamma(u)x_{\delta-\gamma}(\pm tu)x_\delta(\pm tu^2) =: g$ , where the signs  $\pm$  depend on  $\gamma$  and  $\delta$ .

Now we pick non-zero  $c_1$  in  $R_{\delta-2\gamma}(H)$  and  $c_2$  in  $R_{2\gamma-\delta}(H)$ . We have successively  $H \ni [x_{\delta-2\gamma}(c_1), g] = [x_{\delta-2\gamma}(c_1), x_\gamma(u)] = x_{\delta-\gamma}(\pm c_1u)x_\delta(\pm c_1u^2) =: g'$ ;  $H \ni [x_{2\gamma-\delta}(c_2), g'] = x_\gamma(\pm c_1c_2u)x_\delta(\pm c_1^2c_2u^2)$ ; and  $H \ni [x_{2\gamma-\delta}(c_2), g] = x_\gamma(\pm c_2tu) \times x_\delta(\pm c_2t^2u^2)$ .

Thus,  $R_{\gamma,\delta} \ni (c_2c_1u, \pm c_2c_1^2u^2), (c_2tu, \pm c_2t^2u^2)$ , hence  $R'_{\gamma,\delta} \ni c_2c_1u =: a_2$  and  $R'_{\gamma,\delta} \ni c_2tu =: a_1$  (see, the beginning of Section 3 for notation). Since  $a_1/a_2 = t/c_1$  is arbitrary,  $R'_{\gamma,\delta}$  is full.

By Corollary 3.2 (i) it follows that  $R_\gamma(H)$  is full when  $2 \neq 0$  in  $k$ . If  $\text{char}(k)=2$ ,  $R_{\delta-\gamma}(H)$  is full by Lemma 3.6 (ii). Replacing here  $(\gamma, \delta)$  by  $(\delta - \gamma, \delta)$ , we obtain that  $R_\gamma(H)$  is full.

9.2. LEMMA. *Let  $H$  be a full subgroup of  $G(k)$ . Then  $R_\varepsilon(H)$  is full and  $R_\varepsilon(H)k^2 = k$  for any root  $\varepsilon$  in  $\Sigma$ .*

PROOF. Find  $A$  and  $B$  as in Theorem 1.1. Since  $\alpha_\varepsilon B \subset R_\varepsilon(H)$  for every  $\varepsilon$  in  $\Sigma$  with  $\alpha_\varepsilon \neq 0$ , the statement of Lemma 9.2 will follow from:  $B$  is full and  $Bk^2 = k$ . By Lemma 9.1 (ii),  $A$  is full.

If  $B = A$  (for example,  $p = 1$ ), then  $BB = BA \subset A = B$ , so  $B$  is a subring of  $k$ . When  $B$  is a full subring of  $k$  (for example, if  $B = A$ ), every  $t$  in  $k$  can be written as  $t = b_1/b_2 = (b_1b_2)(b_2)^{-2} \in Bk^2$  with  $b_i$  in  $B$ ,  $b_2 \neq 0$ , hence  $k = Bk^2$ .

If  $B$  is not a full subring of  $k$ , then (using Lemma 9.1 (i) to exclude type  $D_n$  and  $G_2$ )  $G$  is of type  $C_n$  ( $n \geq 2$ ) and  $p = 2$ .

Then we pick a subsystem  $\Sigma' \subset \Sigma$  of type  $B_2$  and an admissible pair  $(\gamma, \delta)$  in  $\Sigma'$ . Take an arbitrary  $t$  in  $k$  and set  $g := x_\delta(t)$ .

Applying Theorem 1.1 to  $H' := gHg^{-1}$ , we find a non-zero  $u$  in  $k$  such that  $R_\gamma(H') \subset uR_{-\gamma}(H')$ . Then  $a_\gamma A \subset R_\gamma(H) = R_\gamma(H') \subset uR_{-\gamma}(H')$ . Since  $A$  is full,  $a_{-\gamma}u/a_\gamma = a_1/a_2$  with non-zero  $a_i$  in  $A$ . Then  $0 \neq v := a_\gamma a_1/u = a_2 a_{-\gamma} \in Aa_\gamma/u \cap Aa_{-\gamma} \subset R_{-\gamma}(H') \cap R_{-\gamma}(H)$ , hence  $x_{-\gamma}(v) \in H \cap H'$ .

Therefore  $H = g^{-1}H'g \ni g^{-1}x_{-\gamma}(v)g =: g'$  and  $H \ni g'x_{-\gamma}(v)^{-1} = [g^{-1}, x_{-\gamma}(v)] = x_{\delta-\gamma}(tv)x_{\delta-2\gamma}(tv^2)$ , hence  $R''_{\delta-\gamma, \delta-2\gamma} \ni tv^2$ .

By Lemma 3.6 (i),  $R_\varepsilon(H) \ni c^2t$  for some  $c \neq 0$  in  $k$  ( $c$  depends on  $H$  and  $t$ ), so  $t \in R_\varepsilon(H)k^2$ . Thus,  $R_\varepsilon(H)k^2 = k$ , i.e.  $Bk^2 = k$ . By Lemma 7.2 (using that  $B$  is a module over the ring generated by  $A^2$ ),  $B$  is full, so  $R_\varepsilon(H)$  is full for every root  $\varepsilon$  in  $\Sigma$ .

**9.3. THEOREM.** *Let  $H$  be a full subgroup of  $G(k)$ . Then there are additive subgroups  $A$  and  $B$  of  $k$  and a non-zero  $c$  in  $B$  such that  $B$  is full,  $Bk^2 = k$ , and Theorem 1.1 (i)–(iv) hold with  $a_\varepsilon = 1$  and  $b_\varepsilon = c^{-1}$  for all  $\varepsilon$  in  $\Sigma$ .*

**PROOF.** Find  $A$  and  $B$  by Theorem 1.1. By Lemma 9.2,  $B$  is full and  $Bk^2 = k$ . By Lemma 8.3, there is a non-zero  $b_0$  in  $B$  such that  $b_0B \subset R_\delta \subset Bb_0^{-1}$  and  $b_0A \subset R_\gamma \subset Ab_0^{-1}$  for all  $\delta$  in  $\Sigma_i$  and  $\gamma$  in  $\Sigma_s$ . Set  $A' := Ab_0$ ,  $B' := Bb_0$ , and  $c := b_0^2 \in B'$ . Replacing  $A$  and  $B$  by  $A'$  and  $B'$ , we obtain our statement.

**9.4. COROLLARY.** *Let  $H$  be a subgroup of  $G(k)$ . Then the following three statements are equivalent: (a)  $H$  is full; (b)  $H \supset G^E(B)$  for a full additive subgroup  $B$  of  $k$  such that  $BB^2 \subset B$  and  $Bk^2 = k$ ; (c)  $H \supset G^E(R)$  for a full subset  $R$  of  $k$  such that  $Rk^2 = k$ .*

**PROOF.** By Theorem 9.3, (a) implies (b). Clearly, (b) implies (c). Now assume (c). Find  $A$  and  $B$  as in Theorem 1.1. Since  $R \subset R_\delta(H) \subset b_\delta B$  for any  $\delta$  in  $\Sigma_i$  with  $b_\delta \neq 0$ , our assumption on  $R$  implies that  $B$  is full and  $Bk^2 = k$ . By Lemma 8.3,  $H \supset G^E(Ab_0, Bb_0)$  with  $0 \neq b_0 \in B$ . By Theorem 8.4,  $H$  is full. Thus, (c) implies (a).

**9.5. COROLLARY.** *Let  $H$  be a subgroup of  $G(k)$ . If  $G$  is of type  $C_n$ , assume that  $\text{char}(k) \neq 2$ . Then the following three statements are equivalent:*

- (a)  $H$  is full;
- (b)  $H \supset G^E(B)$  for a full subring  $B$  of  $k$ ;
- (c)  $H \supset G^E(R)$  for a full subset  $R$  of  $k$ .

**PROOF.** By Theorem 9.3, (a) implies (b). The implication (b)  $\implies$  (c) is trivial. Now assume (c). Since we excluded type  $C_n$  with  $p = 2$ , we

can find  $A, B$  as in Theorem 1.1 with  $BB \subset B$ . Since  $R \subset R_\delta(H) \subset b_\delta B$  with  $\delta \in \Sigma_i$ ,  $b_\delta \neq 0$ , it follows that  $B$  is a full subring of  $k$ . So  $Bk^2 = k$ . In view of the implication 9.4 (c)  $\Rightarrow$  9.4 (a),  $H$  is full.

9.6. COROLLARY. Assume that  $G$  is of type  $C_n$  ( $n \geq 2$ ) and  $\text{char}(k) = 2$ . Then:

- (i) every full subgroup  $H$  of  $G(k)$  contains  $G^E(B)$  for a full subring  $B$  of  $k$ , if and only if the dimension of  $k$  over  $k^2$  is finite or countable;
- (ii)  $G^E(R)$  is full in  $G(k)$  for every full subset  $R$  of  $k$ , if and only if the dimension over  $k^2$  is 1 or 2.

PROOF. (i) Assume first that  $H$  is full. By Theorem 9.3,  $H \supset G^E(A, B)$  with full  $B$  such that  $Bk^2 = k$ ,  $BA^2 \subset B \subset A$ . By Lemma 7.7 (i),  $B$  contains a full subring  $R$  of  $k$ , provided the dimension of  $k$  over  $k^2$  is countable. So,  $H \supset G^E(R)$ .

Assume now that the dimension is uncountable. Then we can find  $A$  and  $B$  as in Lemma 7.7 (ii). Then for  $H := G^E(A, B)$  we have  $R_\delta(H) = B$  for all  $\delta$  in  $\Sigma_i$  (see, Theorem 6.1). So, by Lemma 7.7 (ii),  $H$  does not contain  $G^E(C)$  for any subring  $C$ .

(ii) Let first  $R$  be full. By Lemma 7.6, then  $Rk^2 = k$  provided the dimension is 1 or 2. By Corollary 9.4,  $G^E(R)$  is full.

Assume now that the dimension is larger than 2. By Lemma 7.6, we find a proper full subspace  $R$  of  $k$ . Replacing  $R$  by  $Ry^{-1}$  with  $0 \neq y$  in  $R$ , we can assume that  $R \ni 1$ . By Theorem 6.1,  $R_\delta(G^E(k, R)) = R$  for any  $\delta$  in  $\Sigma_i$ . By Theorem 9.3,  $G^E(k, R)$  is not full. So its subgroup  $G^E(R)$  is not full.

REMARK. Theorem 2 is contained in Corollaries 9.5 and 9.6.

PROOF OF THEOREM 3. Let  $H$  and  $g_i$  be as in Theorem 3. By Theorem 9.3,  $H \supset G^E(A, B)$ , where  $B$  is full and  $Bk^2 = k$ . By Theorem 8.4,  $H_i := g_i H g_i^{-1} \supset G^E(A b_i, B b_i)$  for  $i = 1, \dots, m$  with  $0 \neq b_i \in B$ . By Lemma 7.2 (i), the intersection  $B'$  of all  $B b_i$  is full and  $B'k^2 = k$ . Since  $A \supset B$ , we have  $H_i \supset G^E(B')$  for all  $i = 1, \dots, m$ . By Corollary 9.4,  $G^E(B')$  is full, so the intersection of  $H_i$  is full.

REMARK. If all  $g_i \in G^E(k)$ , then the intersection of all  $H_i$  contains  $G^E(A b_0, B b_0)$  for some  $b_0 \neq 0$  in  $B$ , see Corollary 8.2.

### 10. Proof of Theorem 4.

10.1. THEOREM. Assume that  $k$  contains at least 3 elements, if  $G$  is of type  $B_2$  or  $G_2$ . Let  $A$  and  $B$  be additive subgroups of  $k$  satisfying Theorem 1.1 (iii), (iv). Assume that  $B$  is full and  $Bk^2 = k$ . Let  $M$  be a

*non-central subgroup of  $G(k)$  normalized by  $G^E(A, B)$ . Then  $M \supset G^E(dA, dB)$  for a non-zero  $d$  in  $B$ .*

In view of Corollary 9.4, this theorem implies Theorem 4. Indeed, let  $M$  be a non-central subgroup of  $G(k)$  normalized by a full subgroup  $H$  of  $G(k)$ . By Theorem 9.3,  $H \supset G^E(A, B)$ , where  $A$  and  $B$  are as in Theorem 10.1. By Theorem 10.1, there is a non-zero  $d$  in  $B$  such that  $M \supset G^E(Ad, Bd)$ . By Lemma 7.2 (ii),  $B \cap Bd := B'$  is a full additive subgroup of  $k$  such that  $B'B^2 \subset B'$  and  $B'k^2 = k$ . By Corollary 9.4,  $G^E(B')$  is full in  $G(k)$ . Thus,  $H \cap M \supset G^E(B')$  is full.

REMARK. If  $G$  is of type  $B_2 = C_2$  or  $G_2$  and  $k = \{0, 1\}$ , then  $G^E(k)$  contains a normal subgroup  $M$  of index 2 (see, for example, [4, Remark after Theorem 5]). Since  $G^E(k)$  is the smallest full subgroup of  $G(k)$ ,  $M$  is not full (and  $M$  does not sit in the center of  $G(k)$ ).

10.2. LEMMA. *Theorem 10.1 holds if  $k$  is finite.*

PROOF. Any full subring of a finite  $k$  is  $k$  itself. In particular, if  $B$  and  $A$  are as in Theorem 10.1, then the subring of  $k$  generated by  $B$  is  $k$ . It follows easily that  $A = k$  and  $B = k$ .

Therefore,  $G^E(A, B) = G^E(k)$ . By Theorem 8.4,  $G^E(k)$  is normal in  $G(k)$ . It is well-known (see, for example, [5]) that every non-central subgroup  $M$  of  $G(k)$  normalized by  $G^E(k)$  contains  $G^E(k)$ . In particular,  $M \supset G^E(k) = G^E(dA, dB)$  for any  $d \neq 0$  in  $B = k$ .

*For the rest of this section we assume that  $k$  is infinite.*

10.3. LEMMA. *Fix an ordering on  $\Sigma$ . Let  $\alpha$  be the maximal root and  $U$  the algebraic subgroup of  $G$  generated by all  $x_\epsilon(k)$  with positive  $\epsilon$  in  $\Sigma$ . Then there are  $w$  in  $G^E(k)$  and  $c$  in  $k$  such that  $UwTU$  is Zariski open in  $G$  and  $wx_\alpha(t)w^{-1} = x_{-\alpha}(ct)$  for all  $t$  in  $k$ .*

PROOF. Let  $U'$  be the algebraic subgroup of  $G$  generated by all  $x_\epsilon(k)$  with negative  $\epsilon$ . Then  $U'TU$  is open in  $G$  (see, for example, [4, Theorem 7 (a)]).

We pick any  $w$  in  $G^E(k)$  such that  $wTw^{-1} = T$  and  $wU'w^{-1} = U'$ . Then  $wx_\alpha(t)w^{-1} = x_{-\alpha}(ct)$  for some  $c$  in  $k$ .

10.4. LEMMA. *In the conditions of Theorem 10.1,  $M$  is Zariski dense in  $G$ .*

PROOF. Since  $k$  is infinite, so is  $B$ . Therefore  $x_\epsilon(B)$  is Zariski dense in  $x_\epsilon(k)$  for each root  $\epsilon$  in  $\Sigma$  and  $H := G^E(A, B) \supset G^E(B)$  is Zariski dense in  $G$ . Since  $H$  normalizes  $M$ , it follows that  $G$  normalizes the Zariski closure of  $M$  in  $G$ . Since  $G$  is almost simple and  $M$  is not central, the

closure is  $G$ , so  $M$  is dense in  $G$ .

10.5. LEMMA. *In the conditions of Theorem 10.1, let  $\alpha \in \Sigma_i$ . Then there are  $g$  in  $G^E(k)$  and  $u$  in  $k$  such that  $g$  commutes with  $x_\alpha(k)$  and  $x_\alpha(b)x_{-\alpha}(ub) \in gMg^{-1}$  for all  $b$  in  $B$ .*

PROOF. We can choose an ordering on  $\Sigma$  in such a way that  $\alpha$  becomes the maximal root (because the maximal root is always long and the Weyl group acts transitively on the long roots). Let  $U, w$ , and  $c$  be as in Lemma 10.3.

Since  $UwTU$  is open in  $G$  and  $M$  is dense in  $G$  (see, Lemma 10.4), there is some  $m$  in  $UwTU \cap M$ . We write  $m = g^{-1}whg'$  with  $g, g' \in U(k)$  and  $h \in T(k)$ . Since  $[U, x_\alpha(k)] = 1$  and  $hx_\alpha(t)h^{-1} = x_\alpha(\alpha(h)t)$  for all  $t$  in  $k$ , we have  $M \ni [x_\alpha(b), m] = x_\alpha(b)g^{-1}whg'x_\alpha(-b)g'^{-1}h^{-1}w^{-1}g = x_\alpha(b)g^{-1}x_{-\alpha}(-c\alpha(h)b)g = g^{-1}(x_\alpha(b)x_{-\alpha}(-c\alpha(h)b))g$  for all  $b$  in  $B$ . Thus,  $gMg^{-1} \ni x_\alpha(b)x_{-\alpha}(ub)$  for all  $b$  in  $B$  with  $u := -c\alpha(h)$ .

10.6. COROLLARY. *In the conditions of Lemma 10.5, there is a non-zero  $d_\alpha$  in  $k$  such that  $M \supset x_\alpha(d_\alpha B)$ .*

PROOF. Let  $g$  and  $u$  be as in Lemma 10.5. Let  $b_\varrho \in B$  be as in Corollary 8.2. Then  $gMg^{-1} =: M'$  is normalized by  $gG^E(A, B)g^{-1} \supset G^E(Ab_\varrho^2, Bb_\varrho^2)$ . Pick a  $b' \neq b'^3$  in  $Bb_\varrho^2$ .

If  $\alpha$  belongs to a subsystem  $\Sigma' \subset \Sigma$  of type  $A_2$ , we find  $\delta \in \Sigma'$  such that  $\alpha + \delta \in \Sigma'$ . Since  $gMg^{-1}$  contains  $x_\alpha(b)x_\alpha(bu)$  for all  $b$  in  $B$  and is normalized by  $x_\delta(Bb_\varrho^2)$  and  $x_{-\delta}(Bb_\varrho^2)$ , we have  $M' \ni y := [x_\delta(b'), x_\alpha(b)x_{-\alpha}(bu)] = x_{\delta+\alpha}(\pm bb')$  and  $M' \ni [x_{-\delta}(b'), y] = x_\alpha(\pm b'^2b)$ . So,  $M' \supset x_\alpha(b'^2B)$ . Since  $[g, x_\alpha(k)] = 1$ , it follows that  $M \supset x_\alpha(b'^2B)$ . Thus, we can take  $d := b'^2$ .

If  $\alpha$  does not belong to a subsystem of type  $A_2$ , then it belongs to a subsystem  $\Sigma'$  of type  $B_2$ . We pick a short root  $\beta$  in  $\Sigma'$  such that  $\alpha + \beta \in \Sigma'$ . Then  $[x_\beta(k), x_{-\alpha}(k)] = 1$ .

Since  $M'$  is normalized by  $x_\beta(Bb_\varrho^2)$ , we have  $M' \ni z_1(v, t) := [x_\beta(v), x_\alpha(b)x_{-\alpha}(bu)] = x_{\beta+\alpha}(\pm vt)x_{2\beta+\alpha}(\pm v^2b)$  for all  $v$  in  $Bb_\varrho^2$  and  $b$  in  $B$ , hence  $M' \ni z_1(b'^3, b)z_1(b', b'^2b)^{-1} = x_{\alpha+2\beta}(\pm b'^4(b'^2 - 1)b)$  for all  $b$  in  $B$ . So,  $M' \supset x_{\alpha+2\beta}(Bb'^4(b'(b'^2 - 1)))$ .

Since  $M'$  is normalized by  $x_{-\beta}(b_\varrho^2B)$ , we have  $M' \ni z_2(v, t) = [x_{-\beta}(v), x_{2\beta+\alpha}(t)] = x_{\beta+\alpha}(\pm vt)x_\alpha(\pm v^2t)$  for all  $v$  in  $b_\varrho^2B$  and  $t$  in  $b'^4(b'^2 - 1)B$ , hence  $M' \ni z_2(b'^3, t)z_2(b', b'^2t)^{-1} = x_\alpha(\pm b'^4(b'^2 - 1)t)$  for all  $t$  in  $b'^4(b'^2 - 1)B$ . Thus,  $M' \supset x_\alpha(d_\alpha B)$  for  $d_\alpha := b'^8(b'^2 - 1)^2 \neq 0$ , hence  $M \supset x_\alpha(d_\alpha B)$ .

10.7. LEMMA. *For any  $\beta \in \Sigma_s$  there is a non-zero  $d_\beta$  in  $k$  such that  $M \supset x_\beta(d_\beta A)$ .*

PROOF. If  $\beta$  is long, we can use Corollary 10.6. Otherwise,  $\beta$  lies

in a subsystem  $\Sigma' \subset \Sigma$  of type  $B_2$  or  $G_2$ . Pick  $b \neq b^3$  in  $B$ .

If  $\Sigma'$  is of type  $B_2$ , we pick a short root  $\gamma$  in  $\Sigma'$  such that  $\gamma + \beta \in \Sigma'_1$ . Since  $M$  is normalized by  $G^E(A, B)$  and  $M \supset x_{\gamma+\beta}(d_{\gamma+\beta}B)$  (see, Corollary 10.6), we have  $M \ni z(u, t) := [x_{-\gamma}(u), x_{\gamma+\beta}(t)] = x_\beta(\pm ut)x_{\beta-\gamma}(\pm u^2t)$  for all  $u$  in  $A$  and  $t$  in  $d_{\gamma+\beta}B$ . Therefore,  $M \ni z(u, b^3d_{\gamma+\beta})z(ub, bd_{\gamma+\beta})^{-1} = x_\beta(\pm u(b^3 - b^2)d_{\gamma+\beta})$ . Thus,  $M \supset x_\beta(d_\beta A)$  with  $d_\beta := b^2(b - 1)d_{\gamma+\beta} \neq 0$ .

If  $\Sigma'$  is of type  $G_2$ , then we find a long  $\alpha$  in  $\Sigma'$  such that  $\alpha + \beta \in \Sigma'_1$ . Since  $M \supset x_{-\alpha}(d_{-\alpha}B)$  and  $M$  is normalized by  $G^E(A, B) \supset x_{\alpha+\beta}(A)$ , we have  $M \ni z_1(t, u) := [x_{-\alpha}(t), x_{\alpha+\beta}(u)] = x_\beta(\pm tu)x_{\alpha+2\beta}(\pm tu^2)x_{2\alpha+3\beta}(\pm tu^3)x_{\alpha+3\beta}(t^2u^3)$  for all  $t$  in  $d_{-\alpha}B$  and  $u$  in  $A$ .

Therefore,  $M \ni z_2(t, u) := z_1(t, ub)z_1(tb^3, u)^{-1} = x_\beta(\pm tu(b - b^3)x_{\alpha+2\beta}(\pm tu^2 \times (b^2 - b^3))x_{\alpha+3\beta}(\pm t^2u^3(b^3 - b^2)))$ , hence,  $M \ni z_3(t, u) := z_2(tb^3, u)z_2(t, ub^3)^{-1} = x_\beta(\pm tu(b - b^3)(b^3 - b^2)x_{\alpha+2\beta}(\pm tu^2(b^2 - b^3)(b^3 - b^4)))$ , so  $M \ni z_3(tb^2, u)z_3(t, ub)^{-1} = x_\beta(\pm tu(b - b^3)(b^3 - b^2)(b^2 - b))$  for all  $t \in d_{-\alpha}B$  and  $u \in A$ .

Thus,  $M \supset x_\beta(Ad_\beta)$  with  $d_\beta := d_{-\alpha}b^4(b^2 - 1)(b - 1)^2 \neq 0$ .

PROOF OF THEOREM 10.1. Now we are ready to complete our Proof of Theorem 10.1 (for infinite  $k$ ).

By Theorem 1.1, Lemma 8.3, and Corollaries 10.6 and 10.7,  $M \supset G^E(A', B')$  with additive subgroups  $A'$  and  $B'$  of  $k$  satisfying  $A' \subset d_1A$  and  $B' \subset d_1B$ , where  $0 \neq d_1, d_2 \in k$ .

Since  $Bk^2 = k$ , we have  $d_2 = b_1c^2$  with  $0 \neq b_1 \in B$  and  $0 \neq c \in k$ . Since  $B$  is full,  $c = b_2/b_3$  and  $d_1 = b_4/b_5$  with non-zero  $b_i$  in  $B$ . Therefore,  $A' \supset d_1A = b_4A/b_5 \supset b_4A \supset b_4^2b_1b_2^2A$  (since  $BA \subset B$ ) and  $B' \supset d_2B = b_1c^2B = b_1b_2^2B/b_3^2 \supset b_1b_2^2B \supset b_1^2b_1b_2^2B$  (since  $BB^2 \subset B$ ).

Thus,  $A' \supset dA$  and  $B' \supset dB$ , where  $0 \neq d := b_1^2b_1b_2^2 \in B$ , hence  $M \supset G^E(Ad, Bd)$ .

**11. Type  $A_1$  and non-split groups.** First we give counter examples to Theorems 1-4 for  $G = SL_2$ .

11.1. A COUNTER EXAMPLE TO THEOREM 1. See [7, the last section].

11.2. A COUNTER EXAMPLE TO THEOREMS 2 AND 9.3. Let  $k$  be a field such that  $\text{char}(k) = 2$  and  $k \neq k^2$ . Let  $T(k)$  be the subgroup of diagonal matrices in  $SL_2(k)$ . Here is our choice of parametrizations of the root subgroups:  $x_\alpha(t) = \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}$  and  $x_\beta(t) = \begin{pmatrix} 1 & 0 \\ t & 1 \end{pmatrix}$  for all  $t$  in  $k$ .

Set  $H := \{hg : h \in T(k), g \in SL_2(k^2)\}$ . Since  $T(k)$  normalizes  $SL_2(k^2)$ ,  $H$  is a subgroup of  $SL_2(k)$ . We claim that it is a full subgroup. Indeed, given any  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $SL_2(k)$ , we set  $u := 1/(1 + ac)$  when  $ac \neq 1$  and  $u := 1/(1 + z^2)$  with any  $z \neq 0, 1$  when  $ac = 1$ . Then  $v := u/(1 + auc) \in k^2$ , hence

$$\begin{aligned}
 g \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} g^{-1} &= \begin{pmatrix} 1 + auc & aua \\ cuc & 1 + cua \end{pmatrix} \\
 &= \begin{pmatrix} u/v & 0 \\ 0 & v/u \end{pmatrix} \begin{pmatrix} 1 & ava \\ ucuc/v & (u/v)^2 \end{pmatrix} \in T(k)SL_2(k^2) = H.
 \end{aligned}$$

Similarly, there is a non-zero  $u'$  in  $k$  such that  $H \ni gx_\beta(u')g^{-1}$ . Thus,  $H$  is full.

But  $R_\alpha(H) = k^2$  is not full when  $k \neq k^2$ . Therefore,  $H$  does not contain  $E_2(R)$  with a full subset  $R$  of  $k$ .

11.3. A COUNTER EXAMPLE TO THEOREM 3. Let  $k$  and  $H$  be as in 11.2. Take any  $w$  in  $k$  outside  $k^2$ . Set  $g := x_\alpha(w)$ . Then  $H$  is full, but  $H \cap gHg^{-1} \cap x_\beta(k)$  is trivial, so  $H \cap gHg^{-1}$  is not full.

11.4. A COUNTER EXAMPLE TO THEOREM 4. Let  $k$  and  $H$  be as in 11.2. Then  $SL_2(k^2)$  is normalized by full  $H$ , but  $SL_2(k^2)$  is not full and is not contained in the center of  $SL_2(k)$ .

Now we will discuss extensions of our results to non-split groups. Let  $G$  be an almost simple algebraic group defined over a field  $k$ . Fixing a maximal  $k$ -split torus  $T$  and a matrix representation  $G \subset SL_N$ , we have "root" subgroups  $U_\alpha$ . Given any subset  $R$  of  $k$ , we can define  $G^E(R)$  to be the subgroup of  $G(k)$  generated by all root elements with (non-diagonal) entries in  $R$ . We can call a subgroup  $H$  of  $G(k)$  full, if for any  $g$  in  $G(k)$  the intersection of  $gHg^{-1}$  with each root subgroup is not trivial. I believe that Theorems 1-5 hold (for this more general class of  $G$ 's), if the  $k$ -rank of  $G$  is at least 2 and  $G$  is absolutely (almost) simple, and have checked this for all classical  $G$ . For some groups it follows from results of [7].

REMARK. It is easy to see that when  $k$  is a number field every arithmetic (or, more generally,  $S$ -arithmetic) subgroup of  $G(k)$  is full. I believe that, conversely, every full subgroup contains an arithmetic subgroup, and have checked this for all classical  $G$ .

REMARK. Some of our groups  $G^E(A, B)$  for Chevalley groups  $G$  were introduced by Abe [18] and studied by Abe-Suzuki [19].

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