

## CONSTRUCTIBILITY AND GEOMETRIC FINITENESS OF KLEINIAN GROUPS

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**1. Preliminaries.** Let  $g = (a, b; c, d)$  ( $ad - bc = 1$ ) denote a Moebius transformation acting on  $\bar{H} = \{(z, t); z \in \mathbb{C}, t \geq 0\} \cup \{\infty\}$  in the manner

$$g: (z, t) \mapsto \left( \frac{ac(|z|^2 + t^2) + a\bar{d}z + b\bar{c}\bar{z} + b\bar{d}}{|cz + d|^2 + c^2t^2}, \frac{t}{|cz + d|^2 + |c|^2t^2} \right).$$

Obviously  $g$  keeps the upper half space  $H = \{(z, t); z \in \mathbb{C}, t > 0\}$  and the boundary  $\hat{C} = \mathbb{C} \cup \{\infty\}$  of  $H$  invariant, that is,  $\{g(\zeta); \zeta \in H\} = H$  and  $\{g(\zeta); \zeta \in \hat{C}\} = \hat{C}$ . Let  $SL'$  be the group of all such Moebius transformations. A Kleinian group  $G$  is a subgroup of  $SL'$  which acts discontinuously at some point of  $\hat{C}$ . A Kleinian group acts discontinuously in  $H$ . The set of all points in  $\hat{C}$  at which  $G$  acts discontinuously is denoted by  $\Omega(G)$ , and  $\Lambda(G) = \hat{C} - \Omega(G)$  is called the limit set of  $G$ .

An elementary group  $G$  is a Kleinian group such that  $\Lambda(G)$  is a finite set. A finitely generated Kleinian group  $G$  is quasi-Fuchsian, if  $\Omega(G)$  consists of two invariant components. A finitely generated Kleinian group  $G$  is a web group, if each component of  $\Omega(G)$  is the image of an open disc under a quasi-conformal automorphism of  $\hat{C}$ . Quasi-Fuchsian groups give the simplest examples of web groups. A finitely generated Kleinian group is totally degenerate if  $\Omega(G)$  is connected and simply connected. A finitely generated Kleinian group with an invariant component is a function group. For a set  $S$  in  $\bar{H}$ , we denote by  $\bar{S}$  the closure of  $S$  in  $\bar{H}$ . The points  $\zeta$  and  $\zeta'$  in  $\bar{H}$  are equivalent under  $G$  if there exists an element  $g \in G$  which transforms  $\zeta$  into  $\zeta'$ . Let  $S$  be an invariant subset of  $\bar{H} - \Lambda(G)$  under  $G$ . A subset  $D$  of  $S$  is a fundamental region for  $G$  in  $S$ , if no pair of distinct points in  $D$  are equivalent under  $G$  and if  $G\bar{D} = \{g(\zeta); g \in G, \zeta \in \bar{D}\}$  covers  $S$ .

A set  $S$  in  $H$  is convex, if any two points in  $S$  can be joined by a part of a line or a circle in  $S$  orthogonal to  $C$ . A Kleinian group  $G$  is geometrically finite if there is a convex fundamental region for  $G$  in  $H$  surrounded by a finite number of hyperbolic planes, that is, hemispheres or half planes orthogonal to  $C$ .

Beardon-Maskit [4] proved that any convex fundamental region for a geometrically finite group is surrounded by a finite number of hyperbolic planes. It is known that elementary groups and quasi-Fuchsian groups are geometrically finite and it is also known that totally degenerate groups are not (Greenberg [7]). In his paper [16], Maskit showed the existence of geometrically finite web groups and that of geometrically infinite web groups.

For  $g = (a, b; c, d) \in \text{SL}'$ ,  $c \neq 0$ , we define the isometric sphere  $I(g)$  of  $g$  as  $\{(z, t) \in \bar{H}; |z - p(g)|^2 + t^2 = r(g)^2\}$ , where  $p(g) = g^{-1}(\infty)$  and  $r(g) = |c|^{-1}$ . As is well known,  $g$  can be decomposed into a product  $g = u_3 \circ u_2 \circ u_1$  of motions, where  $u_1$  is the inversion in  $I(g)$ ,  $u_2$  is the reflection about the plane  $\{\zeta \in \bar{H}; |\zeta - p(g)| = |\zeta - p(g^{-1})|\}$  and  $u_3$  is the rotation with the line  $\{(z, t) \in \bar{H}; z = p(g^{-1})\}$ . Since  $u_2$  and  $u_3$  are Euclidean motions, the Jacobian  $J_g(\zeta)$  of  $g$  at the point  $\zeta \in \bar{H}$  is more than, equal to or less than 1, respectively, if  $\zeta$  is in the bounded component  $\text{int } I(g)$  of  $\bar{H} - I(g)$ , on  $I(g)$  or in the unbounded component  $\text{ext } I(g)$  of  $\bar{H} - I(g)$ .

Let  $H$  be a subgroup of a Kleinian group  $G$ . A set  $S$  in  $\hat{C}$  is precisely invariant under  $H$  in  $G$  if  $HS = S$  and if  $(G - H)S \cap S = \emptyset$ . For a cyclic subgroup  $H$ , a precisely invariant disc  $B$  for  $H$  is a Jordan subdomain of  $\hat{C}$  such that  $\bar{B} - \Lambda(H)$  is precisely invariant under  $H$ , and  $(\bar{B} - \Lambda(H)) \subset \Omega(G)$ . For later use we state Maskit's combination theorems in the following form.

COMBINATION THEOREM I (Maskit [9], [10]). *For  $i = 1, 2$ , let  $B_i$  be a precisely invariant disc for  $H_i$ , a finite or parabolic cyclic subgroup of both  $G_1$  and  $G_2$ . Assume that  $B_1$  and  $B_2$  have the common boundary  $\gamma$  and  $B_1 \cap B_2 = \emptyset$ . Let  $G$  be the group generated by  $G_1$  and  $G_2$ . Then*

- (1)  $G$  is Kleinian and  $(\gamma - \Lambda(H)) \subset \Omega(G)$ ,
- (2)  $G$  is the free product of  $G_1$  and  $G_2$  with the amalgamated subgroup  $H$ , and
- (3)  $\Omega(G)/G = (\Omega(G_1)/G_1 - B_1/H) \cup (\Omega(G_2)/G_2 - B_2/H)$ , where  $(\Omega(G_1)/G_1 - B_1/H) \cap (\Omega(G_2)/G_2 - B_2/H) = (\gamma \cap \Omega(H))/H$ .

In this case,  $G$  is said to be constructed from  $G_1$  and  $G_2$  via Combination theorem I.

COMBINATION THEOREM II (Maskit [9], [11]). *Let  $G_1$  be a Kleinian group. For  $i = 1, 2$ , let  $B_i$  be a precisely invariant disc for a finite or parabolic cyclic subgroup  $H_i$  and let  $\gamma_i$  be the boundary of  $B_i$ . Assume that  $G_1(\bar{B}_1 - \Lambda(H_1)) \cap (\bar{B}_2 - \Lambda(H_2)) = \emptyset$ . Let  $F$  be a cyclic group generated by  $f \in \text{SL}'$ , where  $f\gamma_1 = \gamma_2$ ,  $fB_1 \cap B_2 = \emptyset$ , and  $fH_1f^{-1} = H_2$ . Let  $G$  be the group generated by  $G_1$  and  $F$ . Then*

- (1)  $G$  is Kleinian and  $(\gamma_i - A(H_i)) \subset \Omega(G)$ ,  $i = 1, 2$ ,
- (2) every relation in  $G$  is a consequence of the relations in  $G_1$  and the relation  $fH_1f^{-1} = H_2$ , and
- (3)  $\Omega(G)/G = \Omega(G_1)/G_1 - (B_1/H_1 \cup B_2/H_2)$ , where  $(\gamma_1 \cap \Omega(G))/H_1$  is identified in  $\Omega(G)/G$  with  $(\gamma_2 \cap \Omega(G))/H_2$ .

In this case,  $G$  is said to be constructed from  $G_1$  and  $f$  via Combination theorem II. The loops  $\gamma$  and  $\gamma_1$  appearing in Combination theorems are called structure loops.

Recently Abikoff and Maskit proved the following basic decomposition theorem of finitely generated Kleinian groups.

**THEOREM A** (Maskit [13], [14], Abikoff-Maskit [2]). *Every finitely generated Kleinian group can be constructed from elementary groups, totally degenerate groups and web groups by a finite number of applications of Combination theorems I and II.*

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**2. Statement of results.** The main purpose of this paper is to prove the following.

**THEOREM 1.** *A Kleinian group is geometrically finite if and only if it is constructed from elementary groups and geometrically finite web groups by a finite number of applications of Combination theorems I and II.*

The following corollary to Theorem 1 was proved by Maskit, whose proof was different from ours.

**COROLLARY** (Maskit [15]). *A function group is geometrically finite if and only if it is constructed from elementary groups and quasi-Fuchsian groups by a finite number of applications of Combination theorems I and II.*

The proof of Theorem 1 is immediately obtained by Theorem A and Lemmas 3 through 7. We note that these lemmas are valid, even if the groups are not finitely generated.

Lemmas 3 through 7 together with (1) and (2) in Combination theorems I and II proved in Maskit [9], give a simpler proof of (3) in Combination theorems proved in Maskit [10], [11], if we regard the Kleinian groups as those acting only on  $\hat{C}$ .

The following Theorem 2 is an immediate corollary to Theorem 1

and to the fact that totally degenerate groups are geometrically infinite. This theorem was proved originally by Abikoff, whose proof was based on instability of totally degenerate groups.

**THEOREM 2** (Abikoff [1]). *Totally degenerate groups cannot be constructed from elementary groups by a finite number of applications of Combination theorems.*

Finally, we can find a proof of the following generalization of a classical theorem on the method of constructing a fundamental region in Lemma 4 (see Ford [6, p. 45]).

**THEOREM 3.** *Let  $G$  be a Kleinian group such that  $\infty \in \Omega(G)$  or such that  $\infty$  is fixed by a parabolic element of  $G$ . Let  $P$  be a convex fundamental region for the stabilizer subgroup  $G_\infty = \{g \in G; g(\infty) = \infty\}$  of  $\{\infty\}$  in  $G$ . Then  $P \cap (\bigcap_{g \in G - G_\infty} \text{ext } I(g))$  is a fundamental region for  $G$  in  $\bar{H} - \Omega(G)$ .*

Thus, in the remainder of this paper, we shall only give the proof of Theorem 1.

**3. Reduction.** Let  $A \subset \hat{C}$  be a domain whose boundary consists of more than two points. Then we can define the Poincaré metric  $\lambda_A(z)|dz|$  with the constant negative curvature  $-1$  in  $A$ . We denote by  $l(\alpha, A)$  the length of a curve  $\alpha$  in  $A$  measured by  $\lambda_A(z)|dz|$ . As is well known,  $l(g(\alpha), g(A)) = l(\alpha, A)$  for each  $g \in \text{SL}'$ , and  $l(\alpha, A) \leq l(\alpha, A')$  for sets  $A$  and  $A'$  ( $\subset A$ ). For a Kleinian group  $G$ ,  $G'$  denotes  $G$  with the identity removed. Set  $\Omega'(G) = \Omega(G) - \{z \in \hat{C}; g(z) = z \text{ for some } g \in G'\}$  and  $\Sigma(G) = \hat{C} - \Omega'(G)$ .

A parabolic fixed point  $\zeta \in \Lambda(G)$  is a cusped parabolic fixed point, if there is an open set which is precisely invariant under  $G_\zeta = \{g \in G; g(\zeta) = \zeta\}$  in  $G$  and which consists of two disjoint nonempty discs, or if  $G_\zeta$  is not a finite extension of a cyclic group. A point  $\zeta \in \Lambda(G)$  is a point of approximation, if there is a point  $z \in \Omega(G)$ , a constant  $M_1$  and a sequence  $\{g_j\}_{j=1}^\infty \subset G$  such that  $|g_j(\zeta) - g_j(z)| > M_1$ . The following lemma plays a central role in the proof of Theorem 1.

**LEMMA 1.** *Let  $w$  be a quasi-conformal automorphism of  $\hat{C}$  compatible with  $G$ , that is,  $wGw^{-1}$  is again Kleinian. Then  $wGw^{-1}$  is geometrically finite if and only if  $G$  is.*

**PROOF.** Without loss of generality we may assume that both  $\Lambda(G)$  and  $\Lambda(wGw^{-1})$  are bounded. Note that  $\Lambda(wGw^{-1}) = w\Lambda(G)$ . If  $G$  is geometrically finite, then every point of  $\Lambda(G)$  is either a cusped parabolic

fixed point or a point of approximation (Beardon-Maskit [4]). If  $\zeta \in \Lambda(G)$  is the former, then clearly so is  $w(\zeta) \in \Lambda(wGw^{-1})$ . If  $\zeta \in \Lambda(G)$  is the latter, then there are a point  $z \in \Omega(G)$ , a constant  $M_1$  and a sequence  $\{g_j\}_{j=1}^\infty \subset G$  such that  $|g_j(\zeta) - g_j(z)| > M_1$ . Therefore we have

$$\begin{aligned} |w \circ g_j \circ w^{-1}(w(\zeta)) - w \circ g_j \circ w^{-1}(w(z))| &= |w(g_j(\zeta)) - w(g_j(z))| \\ &\geq ((1/M_2)|g_j(\zeta) - g_j(z)|)^K \geq (M_1/M_2)^K, \end{aligned}$$

where the first inequality is immediate from Ahlfors [3, p. 51] and  $K$  is a constant depending only on  $w$ . This means that  $w(\zeta) \in \Lambda(wGw^{-1})$  is a point of approximation and  $wGw^{-1}$  is geometrically finite (Beardon-Maskit [4]). We can prove the rest of the lemma in the same way as above.

We denote by  $\hat{\gamma}$  (or  $\hat{\gamma}_1$ ) a connected fundamental region for  $H$  (or  $H_1$ ) in  $\gamma - \Lambda(H)$  (or  $\gamma_1 - \Lambda(H_1)$ ), where  $\gamma$  and  $\gamma_1$  are structure loops appearing in Combination theorems. Bers [4] showed the existence of a quasi-conformal automorphism  $w$  of  $\hat{C}$  compatible with  $G$  such that  $l(w(\hat{\gamma}), \Omega'(wGw^{-1}))$  (or  $l(w(\hat{\gamma}_1), \Omega'(wGw^{-1}))$ ) is sufficiently small. By Lemma 1 quasi-conformal deformations preserve both the assumption and the conclusion of Theorem 1, so, from now on, we assume that  $l(\hat{\gamma}, \Omega'(G))$  (or  $l(\hat{\gamma}_1, \Omega'(G))$ ) is sufficiently small.

Next we give a simpler proof of the following lemma due to Maskit [10].

LEMMA 2. *Let  $\gamma_1, \gamma_2, \dots$  be translates of the structure loop  $\gamma$  under  $G$  constructed via Combination theorems. Then the spherical diameter of  $\gamma_j$  tends to zero.*

PROOF. If  $\gamma$  is contained in  $\Omega(G)$ , then this lemma was proved by Maskit [12]. Therefore we need only to consider the case that  $\gamma \cap \Lambda(G) \neq \emptyset$ . In this case  $\gamma$  and the point  $\xi = \gamma \cap \Lambda(G)$  are fixed by a parabolic cyclic group  $H$ . Without loss of generality, we may assume that  $\infty \in \Omega(G)$ , that  $G_\infty = \{\text{id}\}$  and that all  $\gamma_j$ 's are contained in a bounded domain.

Suppose that the conclusion in our lemma is false. Then there exists a subsequence, again denoted by  $\{\gamma_j\}_{j=1}^\infty$ , of  $\{\gamma_j\}_{j=1}^\infty$  such that the Euclidean diameter  $\text{dia } \gamma_j$  of  $\gamma_j$  is greater than a constant. Let  $g_j$  be an element in  $G$  with  $g_j(\gamma) = \gamma_j$ . Set  $\gamma_j = g_j(\gamma)$ . Then two cases can occur; (i) there exist infinitely many distinct  $\xi_j$ 's, and (ii) otherwise.

First we consider the case (i). Let  $h_j$  be the element in  $g_jHg_j^{-1}$  with  $r(h_j) \geq r(h)$  for each  $h \in g_jHg_j^{-1}$ . Since  $r(h_j) \rightarrow 0$  (Ford [6, p. 41]), we see  $\text{dia } \gamma_j/r(h_j) \rightarrow \infty$ . Let  $\zeta_j$  be a point in  $\Lambda(G)$  with  $|\xi_j - \zeta_j| \geq$

$|\xi_j - \zeta|$  for all  $\zeta \in A(G)$ . Let  $p_j$  be a linear transformation  $C \ni z \rightarrow a_j z + b_j \in C$ ,  $a_j, b_j \in C$ , such that  $p_j(\xi_j) = 0$  and  $p_j(I(h_j^{-1}) \cap C) = \{z \in C; |z - 1| = 1\}$ . Set  $\hat{\gamma}_j = \gamma_j \cap \text{ext } I(h_j) \cap \text{ext } I(h_j^{-1})$ . Then we obtain  $l(\hat{\gamma}_j, \Omega'(G)) \geq l(\hat{\gamma}_j, \hat{C} - \{\xi_j, \zeta_j, h_j(\zeta_j)\}) = l(p_j(\hat{\gamma}_j), \hat{C} - \{0, p_j(\zeta_j), p_j(h_j(\zeta_j))\}) \rightarrow 0$ , because  $p_j(\zeta_j) \rightarrow \infty$ ,  $p_j(h_j(\zeta_j)) \rightarrow 1$ ,  $\sup_{z \in p_j(\hat{\gamma}_j)} |z| \rightarrow \infty$  and  $p_j(\hat{\gamma}_j) \cap \{z \in C; |z| < 2\} \neq \emptyset$  for all  $j$ . On the other hand,  $l(\hat{\gamma}_j, \Omega'(G)) = l(\hat{\gamma}_{j+1}, \Omega'(G))$ ,  $j = 1, 2, \dots$ , which is a contradiction.

Next we consider the case (ii). In this case we may assume that  $\gamma_j = \gamma_i$ ,  $j = 1, 2, \dots$ . So  $\bigcup_{j=1}^{\infty} g_j$  is a subset of an elementary group with one limit point, and our conclusion is obvious.

**4. Proof.** In this section we give a proof of Theorem 1. First we consider the case where the group is constructed via Combination theorem I.

**LEMMA 3.** *Let  $G$  be a Kleinian group constructed from  $G_1$  and  $G_2$  via Combination theorem I, where  $H$  is finite cyclic. Then  $G$  is geometrically finite if and only if both  $G_1$  and  $G_2$  are.*

**PROOF.** We may assume that  $\Sigma(G_i) - \Sigma(H)$  contains the point  $z_i = i - 1$  for  $i = 1, 2$ , and that  $\gamma$  passes through  $\infty$ . Thus we can define the isometric sphere of  $g$  for each  $g \in G'$ .

Since  $\gamma$  separates  $\Sigma(G_1) - \Sigma(H)$  from  $\Sigma(G_2) - \Sigma(H)$  and since  $l(\gamma, \Omega'(G))$  is sufficiently small, we may assume that  $(\Sigma(G_i) - \Sigma(H)) \subset D_i = \{z \in C; |z - z_i| < 1/100\}$  and  $\Sigma(G) \subset (D_1 \cup D_2)$ . For any  $g \in G_1 - H$ , we have  $g(1) \in D_1$ , since  $B_1$  is precisely invariant under  $H$  in  $G_1$  and  $\Sigma(G)$  is invariant under  $G$ . Moreover,  $g(0) \in g(\Sigma(G_1)) = \Sigma(G_1) \subset D_1$ . If  $2r(g) \geq |p(g)|$ , then

$$\begin{aligned} 1/50 > |g(0) - g(1)| &= |u_1(0) - u_1(1)| = (|1 - 0| \cdot |p(g) - u_1(0)|) / |p(g) - 1| \\ &= (r(g)^2 / |p(g) - 0|) / |p(g) - 1| \geq r(g) / 2(1 + 2r(g)). \end{aligned}$$

If  $2r(g) \leq |p(g)|$ , then

$$1/100 + r(g) \geq |\xi(g)| + r(g) \geq |p(g)| \geq 2r(g),$$

where  $\xi(g)$  is a fixed point of  $g$  on  $i(g) = I(g) \cap C$  or on the bounded subdomain of  $C$  surrounded by  $i(g)$ . In any case  $(|z|^2 + t^2) < ((|\xi(g)| + 2r(g))^2 + r(g)^2) < 1/3$  for any  $g \in G_1 - H$  and any  $(z, t) \in I(g)$ . So  $D_1^* = \bigcap_{g \in G_1 - H} \text{ext } I(g)$  contains  $\{(z, t) \in \bar{H}; \text{Re } z > 1/2\}$ . Similarly  $D_2^* = \bigcap_{g \in G_2 - H} \text{ext } I(g)$  contains  $\{(z, t) \in H; \text{Re } z < 1/2\}$ .

Set  $D_H = \bigcap_{g \in H'} \text{ext } I(g)$ . Then  $D_i = D_i^* \cap D_H$  is a convex fundamental region for  $G_i$ ,  $i = 1, 2$ , and  $D = \bigcap_{g \in G'} \text{ext } I(g)$  is a convex fundamental region for  $G$ . Obviously  $D_1 \cap D_2$  is surrounded by a finite number of hyperbolic planes if and only if so are both  $D_1$  and  $D_2$ .

To complete the proof of our lemma, it suffices to show that  $D_1 \cap D_2 = D$ . Clearly  $(D_1 \cap D_2) \supset D$ . Let  $\zeta \in D_1 \cap D_2$ . Then obviously  $\zeta \in \bigcap_{g \in H} \text{ext } I(g)$ . By the conclusion (2) of Combination theorem I each  $g \in G - H$  can be written in the form  $g_n \circ \dots \circ g_1$ , where  $g_j \in \bigcup_{i=1}^n (G_i - H)$ ,  $j = 1, \dots, n$ , and both  $g_j$  and  $g_{j+1}$  are not in some  $G_i - H$ ,  $j = 1, \dots, n - 1$ . We may assume that  $g_1 \in G_1 - H$ . Then  $J_{g_1}(\zeta) < 1$  by virtue of  $\zeta \in D_1 \subset \text{ext } I(g_1)$ . Since  $g_1(\zeta) \in \text{int } I(g_1) \subset \text{ext } I(g_2)$ , we see  $J_{g_2 \circ g_1}(\zeta) = J_{g_2}(g_1(\zeta)) \cdot J_{g_1}(\zeta) < 1$ . For the same reasoning, we have  $J_g(\zeta) < 1$ , which implies  $\zeta \in \text{ext } I(g)$ . This means that  $D_1 \cap D_2 = D$ .

LEMMA 4. *Let  $G$  be a Kleinian group constructed from  $G_1$  and  $G_2$  via Combination theorem I, where  $H$  is parabolic cyclic. Then  $G$  is geometrically finite if and only if both  $G_1$  and  $G_2$  are.*

PROOF. We may assume that  $H$  is generated by  $h = (1, 1; 0, 1)$ , that  $\gamma$  passes through 0 and that  $B_1$  contains  $\{z \in \mathbb{C}; \text{Im } z > y_0\}$ . Since  $B_i$  is precisely invariant under  $H$  in  $G_i$ , the stabilizer subgroup  $G_{i\infty} = \{g \in G_i; g(\infty) = \infty\}$  of  $\{\infty\}$  in  $G_i$  is identical with  $H$  or is generated by  $h$  and by an elliptic element of order two (Ford [6, p. 142]), whose fixed points in  $\hat{\mathbb{C}}$  are  $\infty$  and  $\xi_i \in \hat{\mathbb{C}} - (B_i \cup \gamma)$ . Since the stabilizer subgroup  $G_\infty$  of  $\{\infty\}$  in  $G$  is generated by  $G_{1\infty}$  and  $G_{2\infty}$ , we see  $J_g = 1$  for each  $g \in G_\infty$ . If  $G_{i\infty} = H$ , then we set  $P_i = \{(z, t) \in \bar{H}; 0 < \text{Re } z < 1\}$ ,  $i = 1, 2$ , and we also set  $P_1 = \{(z, t) \in \bar{H}; 0 < \text{Re } z < 1, \text{Im } z > \text{Im } \xi_1\}$  if  $G_{1\infty}$  is not cyclic and  $P_2 = \{(z, t) \in \bar{H}; 0 < \text{Re } z < 1, \text{Im } z < \text{Im } \xi_2\}$  if  $G_{2\infty}$  is not cyclic. It is clear that  $P = P_1 \cap P_2$  is a fundamental region for  $G_\infty$ .

We shall show that  $D = P \cap (\bigcap_{g \in G - G_\infty} \text{ext } I(g))$  is a fundamental region for  $G$ . Obviously no two points in  $D$  are equivalent under  $G$ . Let  $\zeta \in \bar{H} - \Lambda(G)$ . Then there exists a  $g_0 \in G$  with  $J_{g_0}(\zeta) \geq J_g(\zeta)$  for each  $g \in G$ . If it were false, then there would exist a sequence  $\{g_j\}_{j=1}^\infty \subset G$  with  $J_{g_{j+1}}(\zeta) > J_{g_j}(\zeta) > J_h(\zeta) = 1$ . This means  $\zeta \in \text{int } I(g_j)$ . Then two cases can occur; (i) there exists a subsequence, again denoted by  $\{g_j\}_{j=1}^\infty$ , of  $\{g_j\}_{j=1}^\infty$  with  $\lim_{j \rightarrow \infty} r(g_j) = 0$ , and (ii) otherwise. In the case (i), we see  $\zeta = \lim_{j \rightarrow \infty} g_j^{-1}(\infty) \in \Lambda(G)$ , which is a contradiction. In the case (ii), we can find a constant  $r_0$  and a subsequence, again denoted  $\{g_j\}_{j=1}^\infty$ , of  $\{g_j\}_{j=1}^\infty$  with  $r(g_j) \geq r_0$  for each  $j$ . Set  $P_0 = \{(z, t) \in \bar{H}; z \in P \cap \mathbb{C}, t > 1\}$ . Since  $r(g) \leq 1$  for each  $g \in G - G_\infty$  (Kra [8, p. 51]),  $P_0$  is contained in  $D$ . Let  $p_j$  be an element in  $G_\infty$  with  $g_j(\infty) \in p_j P$ . Then the Euclidean volume  $\text{vol } g_j^{-1} \circ p_j P_0$  of  $g_j^{-1} \circ p_j P_0$  is more than a constant  $v_0$  for each  $j$ . Since  $g_j^{-1} \circ p_j P_0$  is contained in  $\text{int } I(g_j)$ ,  $\bigcup_{j=1}^\infty g_j^{-1} \circ p_j P_0$  is contained in a bounded subset  $V$  of  $\bar{H}$ . Therefore we see, for each natural number  $N$ ,

$$\text{vol } V \geq \text{vol } \bigcup_{j=1}^N g_j^{-1} \circ p_j P_0 = \sum_{j=1}^N \text{vol } g_j^{-1} \circ p_j P_0 \geq N v_0,$$

which is a contradiction. Let  $g_0$  be an element in  $G$  satisfying  $J_{g_0}(\zeta) \geq J_g(\zeta)$  for each  $g \in G$ . If  $p$  is an element in  $G$  with  $p(g_0(\zeta)) \in \bar{P}$ , then  $p(g_0(\zeta))$  is in  $\bar{D}$ . If it were not true, then there would exist a  $q \in G$  with  $p(g_0(\zeta)) \in \text{int } I(q)$ . So  $J_{q \circ p \circ g_0}(\zeta) > J_{g_0}(\zeta)$ , which is a contradiction. Similarly  $D_i = P_i \cap (\bigcap_{g \in G_i - G_{i\infty}} \text{ext } I(g))$  is a fundamental region for  $G_i$ ,  $i = 1, 2$ .

Since  $l(\hat{\gamma}, \Omega'(G))$  is sufficiently small, where  $\hat{\gamma} = \gamma \cap \{z \in \mathbb{C}; 0 < \text{Re } z < 1\}$ , we have  $\bigcup_{g \in G_1 - G_{1\infty}} p(g) \subset \Sigma(G_1) \subset \{z \in \mathbb{C}; \text{Im } z < -2\} \cup \{\infty\}$  and  $\bigcup_{g \in G_2 - G_{2\infty}} p(g) \subset \Sigma(G_2) \subset \{z \in \mathbb{C}; \text{Im } z > 2\} \cup \{\infty\}$ . Since  $r(g) \leq 1$  for each  $g \in G - G_\infty$ ,  $D_1 \cap D_2$  is surrounded by a finite number of hyperbolic planes if and only if so are both  $D_1$  and  $D_2$ . As in the proof of Lemma 3, we can show that  $D_1 \cap D_2 = D$  and we complete the proof of our lemma.

Next we consider the case where the group is constructed via Combination theorem II.

LEMMA 5. *Let  $G$  be a Kleinian group constructed from  $G_1$  and  $f$  via Combination theorem II, where both  $H_1$  and  $H_2$  are trivial. Then  $G$  is geometrically finite if and only if  $G_1$  is.*

PROOF. Without loss of generality, we may assume that  $f = (a, 0; 0, a^{-1})$ ,  $|a| > 1$  and that  $1 = \inf \{|z|; z \in \gamma_2\}$ . We note that each  $g \in G'_1$  is of the form  $(*, *, c, *)$ ,  $c \neq 0$ . Since  $l(\gamma_2, \Omega'(G))$  is sufficiently small, the set  $\Sigma(G) \cap (\hat{C} - B_2)$  is contained in the set  $\{z \in \mathbb{C}; |z| < 1/100\}$ . In particular, it holds that  $|g(0)| < 1/100$  for each  $g \in G_1$ . Since  $l(g(\gamma_1), C - \{0, g(0)\}) \leq l(g(\gamma_1), \Omega'(G))$  is sufficiently small,  $g(\gamma_1)$  is contained in the set  $\{z \in \mathbb{C}; |z| < 1/2\}$ . Set  $B_1 = \{(z, t) \in \bar{H}; |z|^2 + t^2 < |a|^{-2}\}$  and  $B_2 = \{(z, t) \in \bar{H}; |z|^2 + t^2 > 1\} \cup \{\infty\}$ . Then  $B_1$  is precisely invariant under  $H_1$  in  $G_1$ ,  $G_1 \bar{B}_1 \cap \bar{B}_2 = \emptyset$  and  $f(\gamma_1) = \gamma_2$ , where  $\gamma_i = \bar{B}_i - B_i$ ,  $i = 1, 2$ . Since both  $g(0)$  and  $g(\infty) = p(g^{-1})$  are in  $\Sigma(G) \cap (\hat{C} - B_2)$  for each  $g \in G'_1$ , we have  $|u_1(0) - u_1(\infty)| = |g(0) - g(\infty)| < 1/50$ . Therefore  $r(g) < 1/5$ , and  $\text{ext } I(g)$  contains  $B_2$ . Now we see that  $B_2$  is precisely invariant under  $H_2$  in  $G_1$ . These properties of  $B_1$  and  $B_2$  show that no pair of points in  $D = (\bigcap_{g \in G_1} \text{ext } I(g)) - G_1(\bar{B}_1 \cup \bar{B}_2)$  are equivalent under  $G$ , since each  $g \in G'$  can be written in the form  $f^{\alpha_{n+1}} \circ g_n \circ \dots \circ g_1 \circ f^{\alpha_1}$ , where  $\alpha_k \neq 0$ ,  $k = 2, \dots, n$ , and  $g_k \in G'_1$ ,  $k = 1, \dots, n$ .

Let  $F$  be the cyclic group generated by  $f$ . Set  $A_1 = G_1(\bar{D} \cup A(G_1))$ ,  $A_2 = FA_1$ ,  $A_{2j-1} = G_1 A_{2j-2}$  and  $A_{2j} = FA_{2j-1}$ ,  $j = 2, 3, \dots$ . Let  $\zeta \in \bar{H} - G(\bar{D} \cup A(G_1))$ . Then  $\zeta \in \bar{H} - A_j$ ,  $j = 1, 2, \dots$ . Let  $\delta_j$  be the component of  $\bar{H} - A_j$  containing  $\zeta$ . Since  $\delta_j$  is a translate of  $B_1$  or  $B_2$  under an element of  $G$  and since  $(\bar{B}_i - B_i) \cap \hat{C}$  is a structure loop,  $i = 1, 2$ , the Euclidean diameter of  $\delta_j$  tends to zero by Lemma 2. So we can find a sequence  $\{g_j\}_{j=1}^\infty \subset G$  with  $g_j(\gamma_1) \rightarrow \zeta$ . Hence we see that  $\bar{H} - G(\bar{D} \cup A(G_1)) \subset$



$A(G)$  and that  $\bar{H} - A(G_1) \subset A(G)$  and that  $\bar{H} - A(G) \subset G\bar{D}$ . Therefore we have obtained a convex fundamental region  $D$  for  $G$  in  $\bar{H} - A(G)$ .

Next we observe that for at most finitely many  $g_n$ 's of  $G_1$ ,  $I(g_n)$  meets  $B_1 \cup B_2$ . If it were false, then there would exist a sequence  $\{g_n\}_{n=1}^\infty \subset G_1$  and some  $B_i$ , say  $B_1$ , such that  $I(g_n) \cap B_1 \neq \emptyset$ , which contradicts the fact that  $\bar{B}_1 \cap A(G_1) = \emptyset$  (Ford [6]). Moreover, this means immediately that at most finitely many translates of  $\bar{B}_i$  under  $G_1$  can intersect  $\bigcap_{g \in G_1} \text{ext } I(g)$ ,  $i = 1, 2$ , because  $I(g) \cap B_i \neq \emptyset$  if and only if  $I(g^{-1}) \cap g(B_i) \neq \emptyset$ . Thus we complete the proof of our lemma.

LEMMA 6. *Let  $G$  be a Kleinian group constructed from  $G_1$  and  $f$  via Combination theorem II, where both  $H_1$  and  $H_2$  are elliptic cyclic. Then  $G$  is geometrically finite if and only if  $G_1$  is.*

PROOF. Without loss of generality we may assume that  $f$  is of the form  $(a, 0; 0, a^{-1})$ ,  $|a| > 1$ , and that  $1 = \inf \{|z|; z \in \gamma_1\}$ . Since  $l(\gamma_1, \Omega'(G))$  is sufficiently small, we see  $\xi_1 \in \{z \in C; |z| \leq 1/100\}$  and  $\xi_2 \in \{z \in C; |z| \geq 100\} \cup \{\infty\}$ , where  $\xi_i$  is the fixed point of a generator of  $H_i$  in  $B_i$ ,  $i = 1, 2$ . Let  $\tilde{B}_1 \subset B_1$  be the largest disc invariant under  $H_1$ . Then  $\tilde{B}_1$  contains the disc  $\{z \in C; |z| < 1/2\}$ , because  $(\xi_1 - z)(\xi_2 - w)/(\xi_1 - w)(\xi_2 - z) = 1$  for any  $z$  and  $w$  on the boundary of  $\tilde{B}_1$ , where we understand  $\infty/\infty = 1$ . Set  $B_1 = \{(z, t) \in \bar{H}; |z - z_0|^2 + t^2 < r_0\}$ , where  $B_1 \cap C = \tilde{B}_1$ . If we set  $B_2 = \bar{H} - \bar{f}(B_1)$ , then, as in the proof of the previous lemma, we can show that  $B_i$  is precisely invariant under  $H_i$  in  $G$ ,  $i = 1, 2$ , and that  $G_1\bar{B}_1 \cap \bar{B}_2 = \emptyset$ . The rest of the proof of our lemma is similar to that of Lemma 5.

LEMMA 7. *Let  $G$  be a Kleinian group constructed from  $G_1$  and  $f$  via Combination theorem II, where both  $H_1$  and  $H_2$  are parabolic cyclic. Then  $G$  is geometrically finite if and only if  $G_1$  is.*

PROOF. We may assume that  $H_1$  is generated by  $h_1 = (1, 1; 0, 1)$  and that  $B_1$  contains the set  $\{z \in C; \text{Im } z > y_0\}$ . Let  $B_1^* = \{z \in C; \text{Im } z > y_1\}$  be the smallest half plane containing  $B_1$ . Set  $B_1 = \{(z, t) \in \bar{H}; z \in B_1^*\}$ . Obviously  $B_2 = \bar{H} - \bar{f}(B_1)$  is precisely invariant under  $H_2$  in  $G_1$ . Since  $B_1$  is precisely invariant under  $H_1$  in  $G_1$ , the subgroup  $G_{1\infty} = \{g \in G_1; g(\infty) = \infty\}$  is the cyclic group  $H_1$  or is the group  $\hat{H}_1$  generated by  $h_1$  and by an elliptic element  $e$  of order two whose fixed points in  $\hat{C}$  are  $\infty$  and  $\eta \in \hat{C} - \bar{B}_1$ .

First we consider the case  $G_{1\infty} = \hat{H}_1$ . Set  $\hat{\gamma}_1 = \{z \in \gamma_1; 0 < \text{Re } z < 1\}$ . Since  $l(\hat{\gamma}_1, \Omega'(G))$  is sufficiently small, we have  $\eta \in \hat{C} - \bar{B}_1^*$ . So  $(G_{1\infty} - H_1)(\bar{B}_1 - A(H_1)) \cap (\bar{B}_1 - A(H_1)) = \emptyset$ . By the same reasoning as in the proof of Lemma 4 we see  $(G_1 - G_{1\infty})\bar{B}_1 \cap \bar{B}_1 = \emptyset$ . These imply that  $\bar{B}_1 - A(H_1)$

is precisely invariant under  $H_1$  in  $G_1$ . Since  $g(\gamma_2)$  passes through the fixed point of  $g \circ h_2 \circ g^{-1}$  for each  $g \in G'_1$ , the same reasoning as in the proof of Lemma 4 yields

$$(g(\gamma_2) \cap \text{ext } I(g \circ h_2 \circ g^{-1}) \cap \text{ext } I(g \circ h_2^{-1} \circ g^{-1})) \subset (\hat{C} - \bar{B}_1^*).$$

Therefore  $G_1(\bar{B}_2 - A(H_2)) \cap (\bar{B}_1 - A(H_1)) = \emptyset$ . In the case that  $G_{1\infty} = H$ , we can also obtain the same result as above.

The remainder of the proof of our lemma is similar to that of the previous lemmas.

It is easy to see that Theorem A and a finite number of applications of Lemmas 3 through 7 yield the proof of Theorem 1.

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