

ON A CERTAIN GROUP CONCERNING THE p -ADIC NUMBER FIELD.

By

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In the local class field theory, we consider the norm group of a finite extension field of a p -adic number field k . An abelian extension K of k is uniquely determined by this subgroup of k^* , where k^* is the multiplicative group of all non zero elements of k . We denote this norm group of K by $N_{K/k}^*$. Then the galois group of K/k is isomorphic to the factor group $k^*/N_{K/k}^*$.

We may consider, in some sense dually to the above fact, a subgroup $G(k/K)$ of K^* which consists of all the elements of K^* whose norms to k are unity. It is likely that $G(k/K)$ has close connections with the subfield K . When K/k is cyclic, the structure of $G(k/K)$ was determined by Hilbert. When K/k is abelian, a certain property of $G(k/K)$ was given by Prof. T. Tannaka,¹⁾ who gave also another theorem which is analogous to the ordering theorem of local class field theory. The former property was extended to non-abelian cases, by Mr. T. Nakayama and Mr. Y. Matsushima.²⁾

In this paper, restricting to the abelian case, I shall give a detailed structure of $G(k/K)$, and add a certain remark to a particular non-abelian case.

1. The structure of $G(k/\Omega)$.

Let k be a p -adic number field, and K be a finite extension of k . We denote the multiplicative groups of their non zero elements by k^* , K^* , respectively, and norm group of K/k , by $N_{K/k}^*$. The elements of K whose norm to k are unity, form a subgroup of K^* and we denote this by $G(k/K)$. When K is a normal extension of k with its galois group G , we mean by a factor set of K/k a system of elements $a_{\sigma, \tau}$ ($\sigma, \tau \in G$) of K satisfying

$$(1) \quad a_{\sigma, \tau}^p a_{\sigma\tau, \rho} = a_{\sigma, \tau\rho} a_{\tau, \rho}.$$

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1) T. Tannaka [8].

2) T. Nakayama and Y. Matsushima [4], T. Nakayama [7].

Further, we shall denote by $K_G^{1-\lambda}$ the group generated by $\theta^{1-\sigma}$, $\theta \in K$, $\sigma \in G$.

One of Tannaka's results runs as follows:

Theorem 1.³⁾ *Let Ω be a finite abelian extension field of k with its galois group A , and $(a_{\sigma, \tau})$ be a factor set of Ω/k whose exponent is equal to the degree of extension Ω/k . Then $G(k/\Omega)$ is generated by $\Omega_A^{1-\lambda}$ and $a_{\sigma, \tau}/a_{\tau, \sigma}$ where σ, τ run over A :*

$$(2) \quad G(k/\Omega) = \left(\frac{a_{\sigma, \tau}}{a_{\tau, \sigma}}, \Omega_A^{1-\lambda} \right).$$

Let

$$(3) \quad (n_1, \dots, n_r) \quad n_{i+1} | n_i$$

be an invariant system of A , then A decomposes directly in cyclic groups Z_i of order n_i :

$$(4) \quad A = Z_1 \times Z_2 \times \dots \times Z_r.$$

This decomposition is up to isomorphism unique. Let σ_i be a generator of Z_i , and we shall fix it throughout this section.

In the Theorem 1, it is not necessary to take all the elements of A , but sufficient to do with σ_i of (4). We show this fact in next

Lemma 1.

$$(5) \quad G(k/\Omega) = \left(\frac{a_{\sigma_i^j \sigma_j}}{a_{\sigma_j \sigma_i}}, \Omega_A^{1-\lambda} \right).$$

We prove this by induction. Let $N = Z_1 \times \dots \times Z_{r-1}$ and M be the corresponding intermediate field. We assume the lemma for the extension Ω/M . Then we take an element θ of $G(k/\Omega)$,

$$N_{\Omega/k} \theta = 1.$$

As N/k is cyclic, it follows from Hilbert's lemma that

$$(6) \quad N_{\Omega/M} \theta = n^{1-\sigma_r}, \quad n \in M.$$

Furthermore, as Ω/M is abelian extension with its galois group N , there exists⁴⁾ an element σ of N such that

$$n \equiv a_{\sigma, N} \pmod{N_{\Omega/M}^*}, \quad 5)$$

where

$$\sigma = \prod_i \sigma_i^{x_i}.$$

Then

$$(7) \quad n \equiv \prod_i a_{\sigma_i^i, N} \pmod{N_{\Omega/N}^*}, \quad 4)$$

3) We refer this theorem to [8].

4) T. Nakayama [6] and Y. Akizuki [1].

5) We denote a product $\prod_{\tau \in N} a_{\sigma, \tau}$ by $a_{\sigma, N}$, and in a similar way $\prod_{\tau \in N} a_{\tau, \rho}$ by $a_{\sigma, \rho}^N$.

Next, we calculate $a_{\sigma_i, N}^{1-\sigma_r}$, using the relation (1),

$$(8) \quad \begin{aligned} a_{\sigma_i, N}^{1-\sigma_r} &= \frac{a_{\sigma_i, N}}{a_{\sigma_i, N}^{\sigma_r}} = \frac{a_{\sigma_i, N}}{a_{\sigma_i, N\sigma_r}} \frac{a_{\sigma_i N, \sigma_r}}{a_{N, \sigma_r}} \\ &= \frac{a_{\sigma_i, N}}{a_{\sigma_i, \sigma_r N}} = \frac{a_{\sigma_r, \sigma_i}^N a_{\sigma_r, \sigma_i, N}}{a_{\sigma_r, \sigma_i N}} \frac{a_{\sigma_r, N}}{a_{\sigma_i, \sigma_r}^N a_{\sigma_i \sigma_r, N}} = N_{\Omega|M} \frac{a_{\sigma_r, \sigma_i}}{a_{\sigma_i, \sigma_r}}. \end{aligned}$$

It follows from (6), (7) and (8) that

$$\begin{aligned} N_{\Omega|M} \theta &= (\prod_i a_{\sigma_i, N}^{\sigma_i} \cdot N_{\Omega|M} \omega)^{1-\sigma_r} \\ &= \prod_i (a_{\sigma_i, N}^{1-\sigma_r})^{\sigma_i} N_{\Omega|M} (\omega^{1-\sigma_r}) = N_{\Omega|M} \left(\prod_i \left(\frac{a_{\sigma_r, \sigma_i}}{a_{\sigma_i, \sigma_r}} \right)^{\sigma_i} \cdot \omega^{1-\sigma_r} \right). \end{aligned}$$

therefore

$$N_{\Omega|M} \left[\theta / \prod_i \left(\frac{a_{\sigma_r, \sigma_i}}{a_{\sigma_i, \sigma_r}} \right)^{\sigma_i} \omega^{1-\sigma_r} \right] = 1.$$

From the assumption of the induction, we obtain

$$G(k/\Omega) = \left(\frac{a_{\sigma_i, \sigma_j}}{a_{\sigma_j, \sigma_i}}, \Omega_A^{1-\lambda} \right) \quad \text{q. e. d.}$$

Let K be an abelian extension field of k , whose galois group H has invariant system

$$(n_1, n_2) \quad n_2 | n_1.$$

Then

$$(9) \quad H = H_1 \times H_2, \quad H_i = \{\tau_i\}$$

where K_i are the cyclic groups of order n_i , and τ_i their fixed generators. Let (b) be a factor set of K/k whose exponent is equal to the degree of K/k . From the lemma 1

$$G(k/K) = \left(\frac{b_{\tau_1, \tau_2}}{b_{\tau_2, \tau_1}}, K_H^{1-\lambda} \right).$$

Concerning the order of $b_{\tau_1, \tau_2}/b_{\tau_2, \tau_1} \pmod{K_H^{1-\lambda}}$, we obtain next

Lemma 2. *If $(b_{\tau_2, \tau_1}/b_{\tau_2, \tau_1})^x$ belongs to $K_H^{1-\lambda}$, then*

$$n_2 | x.$$

proof. Let K_i be the intermediate field which corresponds to H_i . From the assumption of the lemma and (9), we have

$$(10) \quad \left(\frac{b_{\tau_1, \tau_2}}{b_{\tau_2, \tau_1}} \right)^x = \theta_1^{1-\tau_1} \theta_2^{1-\tau_2}, \quad \theta_i \in K.$$

Taking the norm with respect to K_2 , the left-hand side of the equation (10) becomes

$$N_{K/K_2} \left(\frac{b_{\tau_1, \tau_2}}{b_{\tau_2, \tau_1}} \right)^x = (b_{\tau_2, H_2}^{1-\tau_1})^x = (b_{\tau_2, H_2}^\sigma)^{1-\tau_1} = (b_{\tau_2, H_2}^\sigma N_{K/K_2} \theta')^{1-\tau_1},$$

and the right-hand side

$$N_{K/K_2} \theta_1^{1-\tau_1},$$

therefore,

$$b_{\tau_2^x, H_2}^{1-\tau_1'} = (N_{K|K_2} \theta)^{1-\tau_1} \quad \theta \in K.$$

In this relation, $b_{\tau_2^x, H_2}$ and $N_{K|K_2} \theta$ belong to the field $K_2^{(5)}$ and as the galois group H_1 of K_2/k is generated by τ_1 , it follows that

$$(11) \quad b_{\tau_2^x, H_2} = \alpha \cdot N_{K|K_2} \theta$$

where α belongs to the field k .

On the other hand we have

$$(12) \quad \alpha \in N_{K|K_2}^* \text{ (7)}$$

where if we regard α as an element of K_2 , for

$$N_{K_2/k} \alpha = \alpha^{n_1} = \left(\alpha \frac{n_1}{n_2} \right)^{n_2} \in N_{K_1/k}^*$$

implies (12), owing to the "verschiebungssatz" of the local class field theory. From (11) and (12) follows

$$b_{\tau_2^x, H_2} \in N_{K|K_2}^*$$

and from this using the Nakayama's theorem⁵⁾ we get

$$\tau_2^x = 1,$$

hence

$$n_2 | x.$$

q. e. d.

Again we return to the extension Ω/k , and use the same notations as in the Theorem 1 and the Lemma 1.

Lemma 3.

$$(13) \quad \left(\frac{a_{\sigma_i} \sigma_j}{a_{\sigma_j} \sigma_i} \right)^{n_j} \in \Omega_A^{1-\lambda}.$$

Proof. Let L_j be an intermediate field which corresponds to the subgroup Z_j of A , then Ω/L_j is a cyclic extension with its galois group Z_j . From this and (8) we get

$$N_{\Omega/L_j} \left(\frac{a_{\sigma_i} \sigma_j}{a_{\sigma_j} \sigma_i} \right)^{n_j} = (a_{\sigma_j, Z_j}^{n_j})^{1-\sigma_i} = (a_{\sigma_j, Z_j}^{n_j} N_{\Omega/L_j} \omega')^{1-\sigma_i} = N_{\Omega/L_j} \omega'^{1-\sigma_i}.$$

Hence,

$$\left(\frac{a_{\sigma_i} \sigma_j}{a_{\sigma_j} \sigma_i} \right)^{n_j} = \omega'^{1-\sigma_i} \omega''^{1-\sigma_j} \in \Omega_A^{1-\lambda}. \quad \text{q. e. d.}$$

Now, we point out a relation between the galois group A of Ω/k and the group $G(k/\Omega)$.

Theorem 2.

$$G(k/\Omega)/\Omega_A^{1-\lambda} = A_2 \times A_3 \times \dots \times A_r$$

7) This proof is given by prof. T. Tannaka. Our original proof was much longer and considerably complicated.

where $A_i \cong Z_i \times Z_{i+1} \times \dots \times Z_r$
 and Z_i are the cyclic groups of (4).

Proof. We assume a relation between $\frac{a_{\sigma_i, \sigma_j}}{a_{\sigma_j, \sigma_i}}$ and $\Omega_A^{1-\lambda}$, i. e.

$$(14) \quad \prod \left(\frac{a_{\sigma_i, \sigma_j}}{a_{\sigma_j, \sigma_i}} \right)^{x_{i,j}} \in \Omega_A^{1-\gamma}.$$

We choose $Z_i, Z_j, i < j$ arbitrary, and let N' be a direct factor excluding $Z_i \times Z_j$, and Z be the corresponding intermediate field, then Z/k is a normal extension with its galois group $Z_i \times Z_j$. We take norm of (14) with respect to Z , then a simple calculation will show that

$$N_{\Omega/Z} \frac{a_{\sigma_i, \sigma_j}}{a_{\sigma_j, \sigma_i}} = 1 \quad \begin{matrix} (t \neq i, j) \\ (s \neq i, j) \end{matrix},$$

$$N_{\Omega/Z} \frac{a_{\sigma_i, \sigma_i}}{a_{\sigma_i, \sigma_i}} = a_{\sigma_i, N'}^{1-\sigma_i} \quad (t \neq i, j),$$

hence (14) changes to the form

$$(15) \quad \left(\frac{a_{\sigma_i, \sigma_i}^N}{a_{\sigma_j, \sigma_i}^N} \right)^{x_{i,j}} \in Z_{Z_i \times Z_j}^{1-\lambda}.$$

From Chevalley's lemma,⁸⁾ $(a_{\sigma_i, \sigma_j}^N)$ is also a factor set of Z/k whose exponent is equal to $(Z:k)$. Thus we can regard $(a_{\sigma_i, \sigma_j}^N)$ and Z as $(b_{\sigma, \tau})$, and K respectively in the lemma 2, hence

$$(16) \quad n_j | x_{i,j}.$$

This shows that in the relation (14) no $\frac{a_{\sigma_i, \sigma_j}}{a_{\sigma_j, \sigma_i}}$ can really appear, and there exists essentially only relations of the form (15) with (16). It follows that $a_{\sigma_i, \sigma_j} / a_{\sigma_j, \sigma_i} \pmod{\Omega_A^{1-\lambda}}$ forms a cyclic subgroup $Z_{j,i}$ of degree n_j , and

$$G(k/\Omega) / \Omega_A^{1-\lambda} = Z_{2,1} \times Z_{3,1} \times Z_{3,2} \times \dots \times Z_{r,1} \times \dots \times Z_{r,r-1}.$$

Then putting

$$A_i = Z_{i+1,i} \times Z_{i+2,i} \times \dots \times Z_{r,i}$$

we obtain the desired theorem. q. e. d.

From this, as an immediate consequence, we obtain the Matsushima's result, namely:

Theorem 3. *Let k be a p -adic number field and Ω be a finite abelian extension field. If*

$$G(k/\Omega) = \Omega_A^{1-\lambda},$$

then Ω/k is a cyclic extension.

This theorem is not true for a nonabelian extension K/k . For example, let K/k be a nonabelian extension with galois group G . And we assume

8) C. Chevalley [3] or E. Witt [9].

that G/G' and G' are both cyclic groups, G' being the commutator subgroup of G . Then after a slight calculation we get

$$G(k/K) = K_G^{1-\lambda}.$$

2. Connections with the class field theory.

Let Ω and k denote the local fields as in the section 1. There exists the maximum abelian extension field $\bar{\Omega}$ of k , and obviously $\bar{\Omega} > \Omega$. Let \bar{A} be an infinite abelian extension field of k and we put

$$(16) \quad H(\bar{A}/k) = \bigwedge N_{A/k}^*$$

where A is any intermediate field of \bar{A}/k of finite degree over k . For the infinite abelian extension \bar{A} of k , we are able to constitute similar theory with finite abelian extension fields by using $H(A/k)$ instead of N^* .

Now, we shall show that $G(k/\Omega)$ is closely connected with the maximum abelian extension field $\bar{\Omega}$ of k .

Lemma 4.

$$(17) \quad H(\bar{\Omega}/k) = 1.$$

Proof. Let $\alpha \in H(\bar{\Omega}/k)$, and we put α in the form

$$\alpha = P^\varepsilon \cdot e$$

where P is a fixed prime element of k , and e an unit element. If $\varepsilon \neq 0$, we denote the group of all the units by E , and construct a subgroup H of k^* generated by E and P^3 , $|\beta| \equiv 0 \pmod{2|\varepsilon|}$. Then H_1 has finite index in k^*

$$(k^*: H_1) < \infty,$$

hence from the existence theorem of the local class field theory, there exists a finite abelian extension A_1 of k such that

$$H_1 = N_{A_1/k}^*.$$

Furthermore from (16)

$$(18) \quad \alpha \in H(\bar{\Omega}/k) < N_{A_1/k}^* = H_1.$$

On the other hand, from the construction of H_1 , it is obvious that

$$\alpha \in H_1,$$

and this contradicts with (18). Therefore $\varepsilon = 0$, α is a unit.

If $\alpha \neq 1$, there exists a natural number n such that

$$(19) \quad \alpha \neq 1 \pmod{p^n},$$

and we denote by E_n the group of all the element e_n of k^* congruent with unity modulus p^n :

$$e_n \equiv 1 \pmod{p^n}.$$

From E_n and P , we construct a subgroup of k^* which has a finite group index in k^* .

$$(20) \quad H_n = (P, E_n).$$

Analogously to the above discussion, we get an abelian extension A_n of k such that

$$H_n = N_{A_n/k}^*$$

And similarly

$$(21) \quad \alpha \in H(\bar{\Omega}/k) < N_{A_n/k}^* = H.$$

From (19) and (20) obviously

$$\alpha \in H.$$

Thus we lead to a contradiction, and the lemma is proved.

Theorem 4.

Let K/k be any finite extension, then

$$(22) \quad G(k/K) = H(K\bar{\Omega}/K).$$

Proof. Obviously

$$G(k/K) < H(K\bar{\Omega}/K).$$

Conversely, we take an element Θ from $H(K\bar{\Omega}/K)$ and put

$$\theta = N_{K/k} \Theta.$$

We assume $\theta \neq 1$ and lead to a contradiction. If $\theta \neq 1$ there exists an abelian extension A of k such that

$$(23) \quad \theta \in N_{A/k}^*.$$

From (16) follows

$$\Theta \in N_{A/K}^*.$$

Therefore, using the *Verschiebungssatz* we get

$$N_{K/k} \Theta = \theta \in N_{A/k}^*.$$

This contradicts with (23), hence we have

$$N_{K/k} \Theta = \theta = 1, \quad \Theta \in G(k/K). \quad \text{q. e. d.}$$

As an immediate consequence of this theorem, using the ordering theorem of the local class field theory, we get one of Chevalley's results [2]:

Corollary. Let k be a p -adic number field and K be its finite extension field. When we take a finite abelian extension A of K , then A/k is abelian, if and only if

$$G(k/K) < N_{A/k}^*.$$

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