

THE IDEAL OF UNCONDITIONALLY p -COMPACT OPERATORS

JU MYUNG KIM

ABSTRACT. We investigate the ideal \mathcal{K}_{up} , $1 \leq p \leq \infty$, of unconditionally p -compact operators. We obtain the isometric identities $\mathcal{K}_{\text{up}} = \mathcal{K}_{\text{up}} \circ \mathcal{K}_{\text{up}}$, $\mathcal{K}_{\text{up}}^{\max} = \mathcal{L}_p^{\text{sur}}$, $\mathcal{K}_{\text{up}}^{\min} = \widehat{\otimes}/w_{p^*}$ and $\mathcal{K}_{\text{up}} = \mathcal{N}_{\text{up}}^{\text{Qdual}}$ and prove that, if X^* has the approximation property or Y has the \mathcal{K}_{up} -approximation property, then $\mathcal{K}_{\text{up}}(X, Y)$ is isometrically equal to $\mathcal{K}_{\text{up}}^{\min}(X, Y)$, and the dual space $\mathcal{K}_{\text{up}}(X, Y)^*$ is isometric to $(\mathcal{L}_p^{\text{inj}})^*(X^*, Y^*)$. As a consequence, for every Banach space X , we obtain the isometric identities $\mathcal{K}_{\text{up}}^{\max}(\ell_1(\Gamma), X) = \mathcal{L}_p^*(\ell_1(\Gamma), X)$, $\mathcal{K}_{\text{up}}^{\min}(\ell_1(\Gamma), X) = \ell_\infty(\Gamma) \widehat{\otimes}_{w_{p^*}} X$ and $\mathcal{K}_{\text{up}}(\ell_1(\Gamma), X)^* = \mathcal{D}_p^*(\ell_\infty(\Gamma), X^*)$.

1. Introduction. The main notion of the paper stems from the criterion of compactness. Grothendieck [7] proved that a subset K of a Banach space X is relatively compact if and only if, for every $\varepsilon > 0$, there exists a null sequence (x_n) in X such that

$$K \subset \left\{ \sum_{n=1}^{\infty} \alpha_n x_n : (\alpha_n) \in B_{\ell_1} \right\}$$

and $\sup_n \|x_n\| \leq \sup_{x \in K} \|x\| + \varepsilon$, where B_{ℓ_1} denotes the closed unit ball of ℓ_1 and, in general, B_Z denotes the closed unit ball of a Banach space Z . From this result, the operator norm of a compact operator

$$T : Y \longrightarrow X$$

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can be determined via null sequences as follows:

$$(\dagger) \quad \|T\| = \inf \left\{ \sup_n \|x_n\| : \|x_n\| \rightarrow 0, \right. \\ \left. T(B_Y) \subset \left\{ \sum_n \alpha_n x_n : (\alpha_n) \in B_{\ell_1} \right\} \right\}.$$

That criterion of compactness was naturally extended by Sinha and Karn [15] as follows. For $1 \leq p < \infty$ and a subset K of X , K is said to be relatively p -compact if there exists an $(x_n) \in \ell_p(X)$ such that

$$K \subset p\text{-co}(\{x_n\}) := \left\{ \sum_n \alpha_n x_n : (\alpha_n) \in B_{\ell_{p^*}} \right\},$$

where $1/p + 1/p^* = 1$, and $\ell_p(X)$ is the Banach space with the norm $\|\cdot\|_p$ of all X -valued absolutely p -summable sequences. A linear map

$$T : Y \rightarrow X$$

is said to be p -compact if $T(B_Y)$ is a relatively p -compact subset of X . The collection of all p -compact operators from Y to X is denoted by $\mathcal{K}_p(Y, X)$. In view of (\dagger) , the same method may be used for measuring p -compact operators. Similarly, Delgado, Piñeiro and Serrano [4, 5] introduced an operator ideal norm on \mathcal{K}_p . The norm $\|\cdot\|_{\mathcal{K}_p}$, $1 \leq p < \infty$, on the space $\mathcal{K}_p(Y, X)$ is defined by

$$\|T\|_{\mathcal{K}_p} = \inf \{ \| (x_n) \|_p : (x_n) \in \ell_p(X), T(B_Y) \subset p\text{-co}(\{x_n\}) \}.$$

Then $[\mathcal{K}_p, \|\cdot\|_{\mathcal{K}_p}]$ is a Banach operator ideal [5].

For $1 \leq p \leq \infty$, the closed subspace $\ell_p^u(X)$ of $\ell_p^w(X)$, the Banach space with the norm $\|\cdot\|_p^w$ of all X -valued weakly p -summable sequences, consists of sequences (x_n) satisfying

$$\|(0, \dots, 0, x_m, x_{m+1}, \dots)\|_p^w \rightarrow 0,$$

as $m \rightarrow \infty$. Elements in $\ell_p^u(X)$ are called *unconditionally p -summable sequences* [8]. We say that a subset K of X is relatively *unconditionally p -compact* (u - p -compact) if there exists an $(x_n) \in \ell_p^u(X)$ such that $K \subset p\text{-co}(\{x_n\})$. Also, a linear map $T : Y \rightarrow X$ is said to be u - p -compact if $T(B_Y)$ is a relatively u - p -compact subset of X . The collection of all u - p -compact operators from Y to X is denoted by

$\mathcal{K}_{\text{up}}(Y, X)$, and the norm $\|\cdot\|_{\mathcal{K}_{\text{up}}}$ on $\mathcal{K}_{\text{up}}(Y, X)$ is defined by

$$\|T\|_{\mathcal{K}_{\text{up}}} = \inf\{\|(x_n)\|_p^w : (x_n) \in \ell_p^u(X) \text{ and } T(B_Y) \subset p\text{-co}(\{x_n\})\}.$$

Then, the ideal $[\mathcal{K}, \|\cdot\|]$ of compact operators is isometrically equal to $[\mathcal{K}_{\text{u}\infty}, \|\cdot\|_{\mathcal{K}_{\text{u}\infty}}]$, and $[\mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}}]$, $1 \leq p < \infty$, is a Banach operator ideal [8, Theorem 2.1].

The main purpose of this paper is to establish some relationships among the ideals $[\mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}}]$, some well-known operator ideals and tensor norms based on the investigation of the ideal $[\mathcal{K}_p, \|\cdot\|_{\mathcal{K}_p}]$ of Galicer, Lassalle and Turco [6, 11].

2. A factorization of \mathcal{K}_{up} . The following lemma may be verified from a standard argument.

Lemma 2.1. *Let K be a collection of sequences of positive numbers. If*

$$\sup_{(k_j) \in K} \sum_{j=1}^{\infty} k_j < \infty \quad \text{and} \quad \lim_{l \rightarrow \infty} \sup_{(k_j) \in K} \sum_{j \geq l} k_j = 0,$$

then, for every $\varepsilon > 0$, there exists a sequence (b_j) of real numbers with $b_j \nearrow \infty$ and $b_j > 1$ for all j such that

$$\sup_{(k_j) \in K} \sum_{j=1}^{\infty} k_j b_j \leq (1 + \varepsilon) \sup_{(k_j) \in K} \sum_{j=1}^{\infty} k_j \quad \text{and} \quad \lim_{l \rightarrow \infty} \sup_{(k_j) \in K} \sum_{j \geq l} k_j b_j = 0.$$

Theorem 2.2. *Let $1 \leq p \leq \infty$. Then, $T \in \mathcal{K}_{\text{up}}(X, Y)$ if and only if there exist a quotient space Z of ℓ_{p^*} (c_0 if $p = 1$), $R \in \mathcal{K}_{\text{up}}(X, Z)$ and $S \in \mathcal{K}_{\text{up}}(Z, Y)$ such that $T = SR$. In this case, $\|T\|_{\mathcal{K}_{\text{up}}} = \inf \|S\|_{\mathcal{K}_{\text{up}}} \|R\|_{\mathcal{K}_{\text{up}}}$, where the infimum is taken over all such factorizations.*

Proof. The “if” part is clear and, in this case,

$$\|T\|_{\mathcal{K}_{\text{up}}} \leq \inf \|S\|_{\mathcal{K}_{\text{up}}} \|R\|_{\mathcal{K}_{\text{up}}}.$$

Let $T \in \mathcal{K}_{\text{up}}(X, Y)$, and let $\varepsilon > 0$ be given. The following proof is essentially due to [15, Theorem 3.2], [2, Theorem 3.1] and [6, Proposition 2.9]. Choose $(y_n) \in \ell_p^u(Y)$ such that $T(B_X) \subset p\text{-co}(\{y_n\})$

and $\|(y_n)\|_p^w \leq \|T\|_{\mathcal{K}_{\text{up}}}(1 + \varepsilon)$. Define the operators

$$E_y : \ell_{p^*} \longrightarrow Y$$

by

$$E_y \alpha = \sum_n \alpha_n y_n,$$

and

$$\widehat{E}_y : \ell_{p^*}/\ker(E_y) \longrightarrow Y$$

by $\widehat{E}_y[\alpha] = E_y \alpha$. Now, for each $x \in X$, there exists an $\alpha \in \ell_{p^*}$ such that

$$Tx = \sum_n \alpha_n y_n.$$

Define the map

$$T_y : X \longrightarrow \ell_{p^*}/\ker(E_y) \quad \text{by } T_y x = [\alpha].$$

Then, it is easily seen that T_y is well defined, linear and $\|T_y x\| \leq \|x\|$ for every $x \in X$. It follows that $T = \widehat{E}_y T_y$.

Now, by an application of Lemma 2.1, there exists a sequence (β_n) of positive numbers with $\lim_{n \rightarrow \infty} \beta_n = 0$ and $\beta_n < 1$ such that $(z_n) := (y_n/\beta_n) \in \ell_p^u(Y)$ and $\|(y_n/\beta_n)\|_p^w \leq \|(y_n)\|_p^w(1 + \varepsilon)$. Define the operators

$$D_\beta : \ell_{p^*} \longrightarrow \ell_{p^*} \quad \text{and} \quad E_z : \ell_{p^*} \longrightarrow Y$$

by

$$D_\beta \alpha = (\alpha_n \beta_n) \quad \text{and} \quad E_z \alpha = \sum_n \alpha_n z_n,$$

respectively, and the map

$$\widehat{D}_\beta : \ell_{p^*}/\ker(E_y) \longrightarrow \ell_{p^*}/\ker(E_z) \quad \text{by } \widehat{D}_\beta([\alpha]) = [(\beta_n \alpha_n)].$$

Then, we see that \widehat{D}_β is well defined and linear. Consider

$$[x_1] := [\beta_1 e_1], \dots, [x_n] := [\beta_n e_n], \dots \in \ell_{p^*}/\ker(E_z).$$

Then, it is easily verified that $([x_n])_{n=1}^\infty \in \ell_p^u(\ell_{p^*}/\ker(E_z)), \|([x_n])_n\|_p^w \leq 1$ and

$$\widehat{D}_\beta(B_{\ell_{p^*}/\ker(E_y)}) \subset \left\{ \sum_n \alpha_n [x_n] : (\alpha_n) \in B_{\ell_{p^*}} \right\}.$$

Thus, \widehat{D}_β is u - p -compact, and $\|\widehat{D}_\beta\|_{\mathcal{K}_{up}} \leq 1$. Define the map

$$\widehat{E}_z : \ell_{p^*}/\ker(E_z) \longrightarrow Y \quad \text{by } \widehat{E}_z([\alpha]) = E_z\alpha.$$

Recall that $(z_n) \in \ell_p^u(Y)$. Then,

$$\widehat{E}_z(B_{\ell_{p^*}/\ker(E_z)}) \subset \left\{ \sum_n \alpha_n z_n : (\alpha_n) \in B_{\ell_{p^*}} \right\}.$$

Therefore, \widehat{E}_z is u - p -compact and $\|\widehat{E}_z\|_{\mathcal{K}_{up}} \leq \|(y_n)\|_p^w(1 + \varepsilon)$. It follows that $\widehat{E}_y = \widehat{E}_z\widehat{D}_\beta$.

Now, we have the following commutative diagram:

$$\begin{array}{ccc} X & \xrightarrow{T} & Y \\ T_y \downarrow & \nearrow \widehat{E}_y & \uparrow \widehat{E}_z \\ \ell_{p^*}/\ker(E_y) & \xrightarrow{\widehat{D}_\beta} & \ell_{p^*}/\ker(E_z), \end{array}$$

and we have

$$\begin{aligned} \inf \|S\|_{\mathcal{K}_{up}} \|R\|_{\mathcal{K}_{up}} &\leq \|\widehat{E}_z\|_{\mathcal{K}_{up}} \|\widehat{D}_\beta T_y\|_{\mathcal{K}_{up}} \\ &\leq \|(y_n)\|_p^w(1 + \varepsilon) \leq \|T\|_{\mathcal{K}_{up}}(1 + \varepsilon)^2. \end{aligned}$$

Since $\varepsilon > 0$ is arbitrary, $\inf \|S\|_{\mathcal{K}_{up}} \|R\|_{\mathcal{K}_{up}} \leq \|T\|_{\mathcal{K}_{up}}$. □

From the proof of Theorem 2.2, we also obtain a factorization of \mathcal{K}_p via \mathcal{K}_{up} .

Theorem 2.3. *Let $1 \leq p \leq \infty$. Then,*

$$T \in \mathcal{K}_p(X, Y)$$

if and only if there exist a quotient space Z of ℓ_{p^} (c_0 if $p = 1$), $R \in \mathcal{K}_{up}(X, Z)$ and $S \in \mathcal{K}_p(Z, Y)$ such that $T = SR$. In this case,*

$\|T\|_{\mathcal{K}_p} = \inf \|S\|_{\mathcal{K}_p} \|R\|_{\mathcal{K}_{\text{up}}}$, where the infimum is taken over all such factorizations.

Corollary 2.4. *Let $1 \leq p \leq \infty$. Then $[\mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}}] = [\mathcal{K}_{\text{up}} \circ \mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}} \circ \|\cdot\|_{\mathcal{K}_{\text{up}}}]$ and $[\mathcal{K}_p, \|\cdot\|_{\mathcal{K}_p}] = [\mathcal{K}_p \circ \mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_p} \circ \|\cdot\|_{\mathcal{K}_{\text{up}}}]$.*

3. The maximal hull and minimal kernel of $[\mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}}]$.
 Given a Banach operator ideal $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$, we denote by $[\mathcal{A}^{\text{max}}, \|\cdot\|_{\mathcal{A}^{\text{max}}}]$, $[\mathcal{A}^{\text{min}}, \|\cdot\|_{\mathcal{A}^{\text{min}}}]$, $[\mathcal{A}^{\text{sur}}, \|\cdot\|_{\mathcal{A}^{\text{sur}}}]$, $[\mathcal{A}^{\text{inj}}, \|\cdot\|_{\mathcal{A}^{\text{inj}}}]$, $[\mathcal{A}^*, \|\cdot\|_{\mathcal{A}^*}]$ and $[\mathcal{A}^{\text{dual}}, \|\cdot\|_{\mathcal{A}^{\text{dual}}}]$, the *maximal hull*, *minimal kernel*, *surjective hull*, *injective hull*, *adjoint ideal* and *dual ideal*, respectively. The definitions may be found in [3, 14].

A classical p -compact operator $T \in \mathfrak{K}_p(X, Y)$, $1 \leq p \leq \infty$, from X to Y , is represented as

$$T = \sum_n x_n^* \otimes y_n, \quad (x_n^*) \in \ell_p^u(X^*), \quad (y_n) \in \ell_{p^*}^u(Y),$$

and its norm is

$$\|T\|_{\mathfrak{K}_p} := \inf \|(x_n^*)\|_p^w \|(y_n)\|_{p^*}^w,$$

where the infimum is taken over all such representations of T . Then, $[\mathfrak{K}_p, \|\cdot\|_{\mathfrak{K}_p}]$ is a Banach operator ideal, cf., [3, subsection 22.3] and [14, subsection 18.3].

From [3, Proposition 9.8] and [8, Lemma 3.2], we have:

Proposition 3.1. *Let $1 \leq p \leq \infty$. Then, $[\mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}}] = [\mathfrak{K}_p^{\text{sur}}, \|\cdot\|_{\mathfrak{K}_p^{\text{sur}}}]$.*

From Proposition 3.1 and [3, Corollary 9.8], we have:

Corollary 3.2. *Let $1 \leq p \leq \infty$, and let Γ be a set. Then, for every Banach space X , $\mathcal{K}_{\text{up}}(\ell_1(\Gamma), X)$ is isometrically equal to $\mathfrak{K}_p^*(\ell_1(\Gamma), X)$.*

A Banach operator ideal $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$ is said to be *associated* to a tensor norm α if the canonical map

$$(\mathcal{A}(M, N), \|\cdot\|_{\mathcal{A}}) \longrightarrow M^* \otimes_{\alpha} N$$

is an isometry for all finite-dimensional normed spaces M and N . We denote by $/\alpha$, $\alpha\backslash$, $\backslash\alpha$ and $\alpha/$, the *left-injective associate*, *right-injective associate*, *left-projective associate* and *right-projective associate*, respectively, of α . See [3, subsections 20.6, 20.7] for the corresponding definitions.

The following is a crucial tensor norm in this paper. Let $u \in X \otimes Y$. For $1 \leq p \leq \infty$, define

$$w_p(u) = \inf \left\{ \|(x_j)\|_p^w \|(y_j)\|_{p^*}^w : u = \sum_{j=1}^n x_j \otimes y_j, n \in \mathbb{N} \right\}.$$

Then, w_p is a finitely generated tensor norm, cf., [3, Section 12]. For the definition of *accessibility* of tensor norms, see [3, subsection 21.1].

Proposition 3.3. *Let $1 \leq p \leq \infty$. Then, the ideal $[\mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}}]$ is associated to the totally accessible tensor norm $/w_{p^*}$.*

Proof. Since w_{p^*} is accessible, cf., [3, Theorem 21.5 (1)], by the symmetric version of [3, Proposition 21.1 (2)] $/w_{p^*}$ is totally accessible.

Now, let α be a finitely generated tensor norm associated to $[\mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}}]$. Then by Corollary 3.2, for every $n \in \mathbb{N}$ and every finite-dimensional normed space N , we have the following isometries:

$$\ell_\infty^n \otimes_{w_{p^*}} N \longrightarrow \mathfrak{K}_{p^*}(\ell_1^n, N) \longrightarrow \mathcal{K}_{\text{up}}(\ell_1^n, N) \longrightarrow \ell_\infty^n \otimes_\alpha N.$$

Then, using the proof of [6, Theorem 3.3], the proof is complete. \square

Corollary 3.4. *Let $1 \leq p \leq \infty$. Then $[\mathcal{K}_{\text{up}}^{\text{max}}, \|\cdot\|_{\mathcal{K}_{\text{up}}^{\text{max}}}]$, $[\mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}}]$ and $[\mathcal{K}_{\text{up}}^{\text{min}}, \|\cdot\|_{\mathcal{K}_{\text{up}}^{\text{min}}}]$ are all totally accessible.*

Proof. By Proposition 3.3, $[\mathcal{K}_{\text{up}}^{\text{max}}, \|\cdot\|_{\mathcal{K}_{\text{up}}^{\text{max}}}]$ is associated to $/w_{p^*}$. Hence, by Proposition 3.3 and [3, Proposition 21.3], $[\mathcal{K}_{\text{up}}^{\text{max}}, \|\cdot\|_{\mathcal{K}_{\text{up}}^{\text{max}}}]$ is totally accessible. The other parts follow from [3, Exercise 21.2 (b)]. \square

We denote the ideal of p -factorable operators by $[\mathcal{L}_p, \|\cdot\|_{\mathcal{L}_p}]$, cf., [3, Section 18] and [14, subsection 19.3].

Theorem 3.5. *Let $1 \leq p \leq \infty$. Then $[\mathcal{K}_{\text{up}}^{\max}, \|\cdot\|_{\mathcal{K}_{\text{up}}^{\max}}] = [\mathcal{L}_{p^*}^{\text{sur}}, \|\cdot\|_{\mathcal{L}_{p^*}^{\text{sur}}}]$ and $\mathcal{K}_{\text{up}}^{\min}(X, Y)$ is isometric to $X^* \widehat{\otimes}_{/w_{p^*}} Y$ for all Banach spaces X and Y .*

Proof. Since $[\mathcal{L}_{p^*}, \|\cdot\|_{\mathcal{L}_{p^*}}]$ is associated to w_{p^*} , see [3, subsection 17.12], by [3, Theorem 20.11 (2)], $[\mathcal{L}_{p^*}^{\text{sur}}, \|\cdot\|_{\mathcal{L}_{p^*}^{\text{sur}}}]$ is associated to $/w_{p^*}$. By Proposition 3.3, we obtain the first part since the maximal ideal associated to a finitely generated tensor norm is unique. Due to the fact that $/w_{p^*}$ is totally accessible, the second part follows from [3, Corollary 22.2]. □

From [3, Corollary 9.8 and the symmetric version of Corollary 20.7] and Theorem 3.5, we have:

Corollary 3.6. *Let $1 \leq p \leq \infty$, and let Γ be a set. Then, for every Banach space X , $\mathcal{K}_{\text{up}}^{\max}(\ell_1(\Gamma), X)$ is isometrically equal to $\mathcal{L}_{p^*}(\ell_1(\Gamma), X)$ and $\mathcal{K}_{\text{up}}^{\min}(\ell_1(\Gamma), X)$ is isometric to $\ell_\infty(\Gamma) \widehat{\otimes}_{w_{p^*}} X$.*

A Banach space X is said to have the *approximation property* (AP) if, for every compact subset K of X and every $\varepsilon > 0$, there exists a finite rank operator S on X such that $\sup_{x \in K} \|Sx - x\| \leq \varepsilon$. Grothendieck [7] proved that X has the AP if and only if, for every Banach space Y ,

$$\mathcal{K}(Y, X) = \overline{\mathcal{F}(Y, X)}^{\|\cdot\|}.$$

Based on this criterion, Oja [12] and Lassalle and Turco [10] introduced the notion of approximation property related to a Banach operator ideal $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$, where the norm ideal $\|\cdot\|_{\mathcal{A}}$ is taken into account, namely, given a Banach operator ideal $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$, a Banach space X is said to have the \mathcal{A} -AP if, for every Banach space Y ,

$$\mathcal{A}(Y, X) = \overline{\mathcal{F}(Y, X)}^{\|\cdot\|_{\mathcal{A}}}.$$

The \mathcal{K}_{up} -AP was investigated in [9], and it was shown that, if X has the AP, then, for every $1 \leq p \leq \infty$, X has the \mathcal{K}_{up} -AP.

For a definition and further information on accessibility of Banach operator ideals, see [3, subsection 21.2]. The next lemma follows immediately.

Lemma 3.7. *Let $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$ be a totally accessible Banach operator ideal. Then, for all Banach spaces Y and X , $\|T\|_{\mathcal{A}} = \|T\|_{\mathcal{A}^{\min}}$ for every $T \in \mathcal{F}(Y, X)$.*

Proposition 3.8. *Let $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$ be a totally accessible Banach operator ideal. Then, for all Banach spaces Y and X , $\mathcal{A}(Y, X) = \overline{\mathcal{F}(Y, X)}^{\|\cdot\|_{\mathcal{A}}}$ if and only if $\mathcal{A}(Y, X)$ is isometrically equal to $\mathcal{A}^{\min}(Y, X)$.*

Proof. Suppose that $\mathcal{A}(Y, X) = \overline{\mathcal{F}(Y, X)}^{\|\cdot\|_{\mathcal{A}}}$, and let $T \in \mathcal{A}(Y, X)$. Then, there exists a sequence (T_n) in $\mathcal{F}(Y, X)$ such that $\lim_{n \rightarrow \infty} \|T_n - T\|_{\mathcal{A}} = 0$. Then, by Lemma 3.7, (T_n) is a Cauchy sequence in $(\mathcal{A}^{\min}(Y, X), \|\cdot\|_{\mathcal{A}^{\min}})$. Thus, there exists an $R \in \mathcal{A}^{\min}(Y, X)$ such that $\lim_{n \rightarrow \infty} \|T_n - R\|_{\mathcal{A}^{\min}} = 0$. Hence, $T = R \in \mathcal{A}^{\min}(Y, X)$ and

$$\|T\|_{\mathcal{A}^{\min}} = \lim_{n \rightarrow \infty} \|T_n\|_{\mathcal{A}^{\min}} = \lim_{n \rightarrow \infty} \|T_n\|_{\mathcal{A}} = \|T\|_{\mathcal{A}}.$$

From [3, Proposition 22.1 (2)], the converse is always true. □

Consequently, for a totally accessible Banach operator ideal \mathcal{A} , X has the \mathcal{A} -AP if and only if, for every Banach space Y , $\mathcal{A}(Y, X)$ is isometrically equal to $\mathcal{A}^{\min}(Y, X)$. Hence, from Corollary 3.4 and [3, Proposition 22.1 (3)], we have the following result which should be compared with [11, Proposition 3.4].

Corollary 3.9. *Let $1 \leq p \leq \infty$. Then, a Banach space X has the \mathcal{K}_{up} -AP (respectively, $\mathcal{K}_{\text{up}}^{\max}$ -AP) if and only if, for every Banach space Y , $\mathcal{K}_{\text{up}}(Y, X)$ (respectively, $\mathcal{K}_{\text{up}}^{\max}(Y, X)$) is isometrically equal to $\mathcal{K}_{\text{up}}^{\min}(Y, X)$.*

4. The dual space of $(\mathcal{K}_{\text{up}}(X, Y), \|\cdot\|_{\mathcal{K}_{\text{up}}})$.

Proposition 4.1. *Let $1 \leq p \leq \infty$. If X^* has the AP, then, for every Banach space Y , $\mathcal{K}_{\text{up}}(X, Y)$ is isometrically equal to $\mathcal{K}_{\text{up}}^{\min}(X, Y)$.*

Proof. Let Y be a Banach space, and let $T \in \mathcal{K}_{\text{up}}(X, Y)$. Then, by Corollary 2.4, there exist Banach space Z , $R \in \mathcal{K}_{\text{up}}(X, Z)$ and $S \in \mathcal{K}_{\text{up}}(Z, Y)$ such that $T = SR$. It is well known that X^* has the

AP if and only if, for every Banach space Y ,

$$\mathcal{K}(X, Y) = \overline{\mathcal{F}(X, Y)}^{\|\cdot\|}.$$

Thus, we see that $T \in (\mathcal{K}_{\text{up}} \circ \overline{\mathcal{F}})(X, Y)$. By [3, Proposition 25.2 (2)] and Corollary 3.4, $T \in \mathcal{K}_{\text{up}}^{\text{min}}(X, Y)$. Also, by [3, Proposition 22.1 (3) and Corollary 22.5], we have

$$\|T\|_{\mathcal{K}_{\text{up}}^{\text{max}}} \leq \|T\|_{\mathcal{K}_{\text{up}}} \leq \|T\|_{\mathcal{K}_{\text{up}}^{\text{min}}} = \|T\|_{(\mathcal{K}_{\text{up}}^{\text{max}})^{\text{min}}} = \|T\|_{\mathcal{K}_{\text{up}}^{\text{max}}}. \quad \square$$

We denote by α^t and α' , respectively, the *transposed* and *dual* tensor norm of a tensor norm α , see [3, subsection 15.2]. The *adjoint* tensor norm is defined by $\alpha^* := (\alpha^t)' = (\alpha')^t$.

Theorem 4.2. *Let $1 \leq p \leq \infty$. If X^* has the AP or Y has the \mathcal{K}_{up} -AP, then the dual space $(\mathcal{K}_{\text{up}}(X, Y), \|\cdot\|_{\mathcal{K}_{\text{up}}})^*$ is isometric to $((\mathcal{L}_p^{\text{inj}})^*(X^*, Y^*), \|\cdot\|_{(\mathcal{L}_p^{\text{inj}})^*})$. Moreover, we have:*

(a) *if X^* has the AP, then, for every $T \in (\mathcal{L}_p^{\text{inj}})^*(X^*, Y^*)$ and every $S \in \mathcal{K}_{\text{up}}(X, Y)$,*

$$\langle T, S \rangle = \text{tr}_{X^*}(S^*T).$$

(b) *if Y^* has the AP, then, for every $T \in (\mathcal{L}_p^{\text{inj}})^*(X^*, Y^*)$ and every $S \in \mathcal{K}_{\text{up}}(X, Y)$,*

$$\langle T, S \rangle = \text{tr}_{Y^*}(TS^*).$$

Proof. By Corollary 3.9 and Proposition 4.1, $\mathcal{K}_{\text{up}}(X, Y)$ is isometrically equal to $\mathcal{K}_{\text{up}}^{\text{min}}(X, Y)$. In view of Theorem 3.5, the canonical map

$$J/w_{p^*} : X^* \widehat{\otimes}_{/w_{p^*}} Y \longrightarrow \mathcal{K}_{\text{up}}^{\text{min}}(X, Y)$$

in [3, Theorem 22.2] is an isometry.

Now, by [3, Proposition 20.10] and its symmetric version,

$$(/w_{p^*})' = \setminus w'_{p^*} = \setminus w_p^* = (w_p \setminus)^*.$$

Since \mathcal{L}_p is associated to w_p , by [3, Theorem 20.11 (1)] $\mathcal{L}_p^{\text{inj}}$ is associated to $w_p \setminus$. Thus, $(\mathcal{L}_p^{\text{inj}})^*$ is associated to $(w_p \setminus)^* = (/w_{p^*})'$. Hence, by [3,

Theorem 17.5], we have the following isometries.

$$\begin{aligned} ((\mathcal{L}_p^{\text{inj}})^*(X^*, Y^*), \|\cdot\|_{(\mathcal{L}_p^{\text{inj}})^*}) &\longrightarrow (X^* \widehat{\otimes}_{/w_p^*} Y)^* \\ &\longrightarrow (\mathcal{K}_{\text{up}}(X, Y), \|\cdot\|_{\mathcal{K}_{\text{up}}})^*. \end{aligned}$$

(a) Let $T \in (\mathcal{L}_p^{\text{inj}})^*(X^*, Y^*)$. Then, by an application of [3, Theorem 17.15] it may be verified that the map

$$\text{id}_{X^*} \otimes T^* : X^* \otimes_{(w_p \setminus)^t} Y^{**} \longrightarrow X^* \otimes_{\pi} X^{**}$$

is continuous and $(w_p \setminus)^t = /w_p^*$, where π is the projective tensor norm. Let

$$\text{id}_{X^*} \widehat{\otimes} T^* : X^* \widehat{\otimes}_{(w_p \setminus)^t} Y^{**} \longrightarrow X^* \widehat{\otimes}_{\pi} X^{**}$$

be the continuous extension of $\text{id}_{X^*} \otimes T^*$. Let

$$\Phi : (\mathcal{K}_{\text{up}}(X, Y), \|\cdot\|_{\mathcal{K}_{\text{up}}}) \longrightarrow \mathcal{N}(X^*, X^*)$$

be the composition of the following maps, where $[\mathcal{N}, \|\cdot\|_{\mathcal{N}}]$ is the ideal of nuclear operators:

$$\begin{aligned} \mathcal{K}_{\text{up}}(X, Y) &= \mathcal{K}_{\text{up}}^{\text{min}}(X, Y) \xrightarrow{J_{/w_p^*}^{-1}} X^* \widehat{\otimes}_{/w_p^*} Y \\ &= X^* \widehat{\otimes}_{(w_p \setminus)^t} Y \xrightarrow{\text{id}_{X^*} \widehat{\otimes} i_Y} X^* \widehat{\otimes}_{(w_p \setminus)^t} Y^{**} \xrightarrow{\text{id}_{X^*} \widehat{\otimes} T^*} \\ &X^* \widehat{\otimes}_{\pi} X^{**} \xrightarrow{i_t} X^{**} \widehat{\otimes}_{\pi} X^* \xrightarrow{J_{\pi}} \mathcal{N}(X^*, X^*). \end{aligned}$$

Here, $i_Y : Y \rightarrow Y^{**}$ is the canonical isometry and i_t is the transposed map. Since X^* has the AP, it is well known that the trace map

$$\text{tr}_{X^*} : (\mathcal{N}(X^*, X^*), \|\cdot\|_{\mathcal{N}}) \longrightarrow \mathbb{C}$$

is well defined and continuous. It may easily be verified that, for every $R \in \mathcal{F}(X, Y)$,

$$\Phi(R) = R^*T$$

and $\langle T, R \rangle = \text{tr}_{X^*}(R^*T)$, and then it follows that $\langle T, S \rangle = \text{tr}_{X^*}(S^*T)$ for every $S \in \mathcal{K}_{\text{up}}(X, Y)$.

(b) Let $T \in (\mathcal{L}_p^{\text{inj}})^*(X^*, Y^*)$. Then, by [3, Theorem 17.15], the map

$$\text{id}_{Y^{**}} \otimes T : Y^{**} \otimes_{w_p \setminus} X^* \longrightarrow Y^{**} \otimes_{\pi} Y^*$$

is continuous. Let

$$\text{id}_{Y^{**}} \widehat{\otimes} T : Y^{**} \widehat{\otimes}_{w_p} X^* \longrightarrow Y^{**} \widehat{\otimes}_{\pi} Y^*$$

be the continuous extension of $\text{id}_{Y^{**}} \otimes T$. Let

$$\Phi : (\mathcal{K}_{\text{up}}(X, Y), \|\cdot\|_{\mathcal{K}_{\text{up}}}) \longrightarrow \mathcal{N}(Y^*, Y^*)$$

be the composition of the following maps:

$$\begin{aligned} \mathcal{K}_{\text{up}}(X, Y) &= \mathcal{K}_{\text{up}}^{\min}(X, Y) \xrightarrow{J/w_p^*} X^* \widehat{\otimes}_{w_p} Y \xrightarrow{i_t} Y \widehat{\otimes}_{w_p} X^* \xrightarrow{i_Y \widehat{\otimes} \text{id}_{X^*}} \\ &Y^{**} \widehat{\otimes}_{w_p} X^* \xrightarrow{\text{id}_{Y^{**}} \widehat{\otimes} T} Y^{**} \widehat{\otimes}_{\pi} Y^* \xrightarrow{J_{\pi}} \mathcal{N}(Y^*, Y^*). \end{aligned}$$

Since Y^* has the AP, the trace map

$$\text{tr}_{Y^*} : (\mathcal{N}(Y^*, Y^*), \|\cdot\|_{\mathcal{N}}) \longrightarrow \mathbb{C}$$

is well defined and continuous. As in the proof of (a), the proof is complete. □

We denote the ideal of p -dominated operators by \mathcal{D}_p , cf., [3, Section 19] and [14, subsection 17.4].

Corollary 4.3. *Let $1 \leq p \leq \infty$, and let Γ be a set. Then, for every Banach space X , the dual space $\mathcal{K}_{\text{up}}(\ell_1(\Gamma), X)^*$ is isometric to $\mathcal{D}_{p^*}(\ell_{\infty}(\Gamma), X^*)$ and, for every $T \in \mathcal{D}_{p^*}(\ell_{\infty}(\Gamma), X^*)$ and every $S \in \mathcal{K}_{\text{up}}(\ell_1(\Gamma), X)$, $\langle T, S \rangle = \text{tr}_{\ell_{\infty}(\Gamma)}(S^*T)$.*

Proof. Recall that $\ell_{\infty}(\Gamma)$ has the AP. From the symmetric version of [3, Corollary 20.7] and the fact that $w_{p^*}^* = w_p^*$, in the proof of Theorem 4.2, we have the following isometries.

$$\begin{aligned} (\mathcal{L}_p^*(\ell_{\infty}(\Gamma), X^*), \|\cdot\|_{\mathcal{L}_p^*}) &\longrightarrow (\ell_{\infty}(\Gamma) \widehat{\otimes}_{w_p} X^*)^* \\ &\longrightarrow (\mathcal{K}_{\text{up}}(\ell_1(\Gamma), X), \|\cdot\|_{\mathcal{K}_{\text{up}}})^*. \end{aligned}$$

Since \mathcal{L}_p^* is isometrically equal to \mathcal{D}_{p^*} , cf., [3, subsection 17.12], we complete the proof. □

5. The ideal of \mathcal{A} -compact operators. Carl and Stephani [1] introduced the notion of compactness determined by operator ideals. Let $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$ be a Banach operator ideal. A subset K of a Banach

space X is said to be relatively \mathcal{A} -compact if there exist a Banach space Z , $U \in \mathcal{A}(Z, X)$ and a relatively compact subset C of Z such that $K \subset U(C)$. A linear map

$$R : Y \longrightarrow X$$

is said to be \mathcal{A} -compact if $R(B_Y)$ is a relatively \mathcal{A} -compact subset of X . We denote by $\mathcal{K}_{\mathcal{A}}(Y, X)$ the space of all \mathcal{A} -compact operators from Y to X .

Lassalle and Turco [11] introduced a method of measuring the size of relatively \mathcal{A} -compact sets. For a relatively \mathcal{A} -compact subset K of X , let

$$m_{\mathcal{A}}(K; X) := \inf\{\|U\|_{\mathcal{A}} : U \in \mathcal{A}(Z, X), \text{ relatively compact } C \subset B_Z, K \subset U(C)\},$$

and let $\|R\|_{\mathcal{K}_{\mathcal{A}}} := m_{\mathcal{A}}(R(B_Y); X)$ for $R \in \mathcal{K}_{\mathcal{A}}(Y, X)$. Then, $[\mathcal{K}_{\mathcal{A}}, \|\cdot\|_{\mathcal{K}_{\mathcal{A}}}]$ is a Banach operator ideal, see [11, Section 2].

The following lemma combines, with standard modifications, [11, Remark 1.3] and the proof of [6, Proposition 2.9]. We give a proof for the sake of completeness.

Lemma 5.1. *Let $1 \leq p \leq \infty$. If $(x_n) \in \ell_p^u(X)$, then, for every $\varepsilon > 0$, there exist a relatively compact subset M of $B_{\ell_{p^*}}$ and $T \in \mathfrak{K}_{p^*}(\ell_{p^*}, X)$ with*

$$\|T\|_{\mathfrak{K}_{p^*}} \leq \|(x_n)\|_p^w + \varepsilon$$

such that

$$p\text{-co}(\{x_n\}) = T(M).$$

Proof. Let $\varepsilon > 0$ be given. By an application of Lemma 2.1, there exists a sequence (β_n) with $\lim_{n \rightarrow \infty} \beta_n = \infty$ and $\beta_n > 1$ such that $(\beta_n x_n) \in \ell_p^u(X)$ and $\|(\beta_n x_n)\|_p^w \leq \|(x_n)\|_p^w + \varepsilon$. We see that the set

$$M := \left\{ \left(\frac{\alpha_n}{\beta_n} \right)_{n=1}^{\infty} : (\alpha_n) \in B_{p^*} \right\}$$

is a relatively compact subset of B_{p^*} and

$$T := \sum_{n=1}^{\infty} e_n \otimes \beta_n x_n \in \mathfrak{K}_{p^*}(\ell_{p^*}, X),$$

where (e_n) is the sequence of canonical unit vectors in ℓ_p . This yields

$$p\text{-co}(\{x_n\}) = \left\{ \sum_{n=1}^{\infty} \gamma_n \beta_n x_n : (\gamma_n) \in M \right\} = T(M)$$

and

$$\|T\|_{\mathfrak{K}_{p^*}} \leq \|(e_n)\|_{p^*}^w \|(\beta_n x_n)\|_p^w \leq \|(x_n)\|_p^w + \varepsilon. \quad \square$$

Proposition 5.2. *Let $K \subset X$, and let $1 \leq p \leq \infty$. The following statements are equivalent.*

- (a) K is relatively u - p -compact.
- (b) K is relatively \mathfrak{K}_{p^*} -compact.
- (c) K is relatively \mathcal{K}_{up} -compact. In this case,

$$\begin{aligned} m_{\mathcal{K}_{\text{up}}}(K; X) &= m_{\mathfrak{K}_{p^*}}(K; X) \\ &= \inf\{\|(x_n)\|_p^w : K \subset p\text{-co}(\{x_n\}), (x_n) \in \ell_p^u(X)\}. \end{aligned}$$

Proof.

(a) \Rightarrow (b). Let $(x_n) \in \ell_p^u(X)$ be arbitrary such that $K \subset p\text{-co}(\{x_n\})$. Let $\varepsilon > 0$ be given. By Lemma 5.1, there exist a relatively compact subset M of $B_{\ell_{p^*}}$ and $T \in \mathfrak{K}_{p^*}(\ell_{p^*}, X)$ with $\|T\|_{\mathfrak{K}_{p^*}} \leq \|(x_n)\|_p^w + \varepsilon$ such that

$$K \subset p\text{-co}(\{x_n\}) = T(M).$$

Thus, K is relatively \mathfrak{K}_{p^*} -compact and

$$m_{\mathfrak{K}_{p^*}}(K; X) \leq \|T\|_{\mathfrak{K}_{p^*}} \leq \|(x_n)\|_p^w + \varepsilon.$$

Since $\varepsilon > 0$ and $(x_n) \in \ell_p^u(X)$ are arbitrary, we have

$$m_{\mathfrak{K}_{p^*}}(K; X) \leq \inf\{\|(x_n)\|_p^w : K \subset p\text{-co}(\{x_n\}), (x_n) \in \ell_p^u(X)\}.$$

(b) \Rightarrow (c). Simple verification shows that $\mathfrak{K}_{p^*} \subset \mathcal{K}_{\text{up}}$ and $\|\cdot\|_{\mathcal{K}_{\text{up}}} \leq \|\cdot\|_{\mathfrak{K}_{p^*}}$. Hence, (b) \Rightarrow (c) follows and $m_{\mathcal{K}_{\text{up}}}(K; X) \leq m_{\mathfrak{K}_{p^*}}(K; X)$.

(c) \Rightarrow (a). Let Y be a Banach space, and let $T \in \mathcal{K}_{\text{up}}(Y, X)$ be such that M is a relatively compact subset of B_Y and $K \subset T(M)$. Let $\varepsilon > 0$ be given. Choose $(z_n) \in \ell_p^u(X)$ with $\|(z_n)\|_p^w \leq \|T\|_{\mathcal{K}_{\text{up}}} + \varepsilon$ such that

$$K \subset T(M) \subset T(B_Y) \subset p\text{-co}(\{z_n\}).$$

Then, K is relatively u - p -compact, and we have

$$\inf\{\|(x_n)\|_p^w : K \subset p\text{-co}(\{x_n\}), (x_n) \in \ell_p^u(X)\} \leq \|(z_n)\|_p^w \leq \|T\|_{\mathcal{K}_{\text{up}}} + \varepsilon.$$

Since $\varepsilon > 0$ is arbitrary,

$$\inf\{\|(x_n)\|_p^w : K \subset p\text{-co}(\{x_n\}), (x_n) \in \ell_p^u(X)\} \leq m_{\mathcal{K}_{\text{up}}}(K; X). \quad \square$$

Corollary 5.3. *Let $1 \leq p \leq \infty$. Then, we have*

$$[\mathcal{K}_{\text{up}}, \|\cdot\|_{\mathcal{K}_{\text{up}}}] = [\mathcal{K}_{\mathfrak{K}_{p^*}}, \|\cdot\|_{\mathcal{K}_{\mathfrak{K}_{p^*}}}] = [\mathcal{K}_{\mathcal{K}_{\text{up}}}, \|\cdot\|_{\mathcal{K}_{\mathcal{K}_{\text{up}}}}].$$

Proposition 5.2 and [11, Corollary 2.3] improve [8, Corollary 2.9] by the following proposition.

Proposition 5.4. *Let $K \subset X$, and let $1 \leq p \leq \infty$. Then, K is relatively u - p -compact if and only if $i_X(K)$ is relatively u - p -compact in X^{**} . In this case,*

$$\begin{aligned} \inf\{\|(x_n)\|_p^w : K \subset p\text{-co}(\{x_n\}), (x_n) \in \ell_p^u(X)\} \\ = \inf\{\|(x_n^{**})\|_p^w : i_X(K) \subset p\text{-co}(\{x_n^{**}\}), (x_n^{**}) \in \ell_p^u(X^{**})\}. \end{aligned}$$

Also, Proposition 5.2 and [11, Corollary 2.4] give the following:

Corollary 5.5. *Let $1 \leq p \leq \infty$. Then, $T \in \mathcal{K}_{\text{up}}(X, Y)$ if and only if $T^{**} \in \mathcal{K}_{\text{up}}(X^{**}, Y^{**})$. In this case, $\|T\|_{\mathcal{K}_{\text{up}}} = \|T^{**}\|_{\mathcal{K}_{\text{up}}}$.*

For $1 \leq p \leq \infty$, a linear map

$$T : X \longrightarrow Y$$

is called *quasi unconditionally p -nuclear* (quasi u - p -nuclear) [8] if there exists an $(x_n^*) \in \ell_p^u(X^*)$ such that $\|Tx\| \leq \|(x_n^*(x))\|_p$ for every $x \in X$. This notion originates from [13], namely, when the space $\ell_p^u(X^*)$ is replaced by the space $\ell_p(X^*)$, the linear map T is called the *quasi p -nuclear* operator. We denote by $\mathcal{N}_{\text{up}}^Q(X, Y)$ the collection of all quasi u - p -nuclear operators from X to Y . For $T \in \mathcal{N}_{\text{up}}^Q(X, Y)$, let

$$\|T\|_{\mathcal{N}_{\text{up}}^Q} := \inf \|(x_n^*)\|_p^w,$$

where the infimum is taken over all such inequalities. As in the proof of [13, Lemma 4], it may be shown that $[\mathcal{N}_{\text{up}}^Q, \|\cdot\|_{\mathcal{N}_{\text{up}}^Q}]$ is a Banach operator ideal.

Theorem 5.6. *Let $1 \leq p \leq \infty$. Then, $T \in \mathcal{K}_{\text{up}}(X, Y)$ if and only if $T^* \in \mathcal{N}_{\text{up}}^Q(Y^*, X^*)$. In this case, $\|T\|_{\mathcal{K}_{\text{up}}} = \|T^*\|_{\mathcal{N}_{\text{up}}^Q}$.*

Proof. According to [8, Theorem 2.4], we need only to check that $\|T\|_{\mathcal{K}_{\text{up}}} \leq \|T^*\|_{\mathcal{N}_{\text{up}}^Q}$. If $T \in \mathcal{K}_{\text{up}}(X, Y)$, then, by Corollary 5.5 and [8, Theorem 2.3], we have

$$\|T\|_{\mathcal{K}_{\text{up}}} = \|T^{**}\|_{\mathcal{K}_{\text{up}}} = \|T^*\|_{\mathcal{N}_{\text{up}}^Q}. \quad \square$$

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SEJONG UNIVERSITY, DEPARTMENT OF MATHEMATICS, SEOUL, 143-747 KOREA
Email address: kjm21@kaist.ac.kr