

PRIME SUBMODULES OF NOETHERIAN MODULES

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0. Introduction. Let R be a ring. A proper left ideal L of R is prime if, for any elements a and b in R such that $aRb \subseteq L$, either $a \in L$ or $b \in L$. For example, any prime two-sided ideal is a prime left ideal. Prime left ideals have properties reminiscent of prime ideals in commutative rings. For example, Michler [13] and Koh [7] proved that the ring R is left Noetherian if and only if every prime left ideal is finitely generated. Moreover, Smith [14] showed that if R is left Noetherian (or even if R has left Krull dimension) then a left R -module M is injective if and only if, for every essential prime left ideal L of R and homomorphism $\varphi : L \rightarrow M$, there exists a homomorphism $\theta : R \rightarrow M$ such that $\theta|_L = \varphi$.

Several authors have extended the notion of prime left ideals to modules (see, for example, [2, 3, 4, 6, 8, 9, 10, 11]; in particular, [3] has a good bibliography). In this paper, we continue these investigations both in some generality and also in case M is a Noetherian module.

Let M be a left R -module. Then a proper submodule N of M is prime if, for any $r \in R$ and $m \in M$ such that $rRm \subseteq N$, either $rM \subseteq N$ or $m \in N$. It is easy to show that if N is a prime submodule of M then the annihilator P of the module M/N is a two-sided prime ideal of R . We consider which prime ideals P of R are the annihilators of modules M/N with N prime in M . A special class of prime submodules of M are the strongly prime submodules. Let K be a proper submodule of M , and let Q denote the annihilator of M/K . Then K is called strongly prime if (i) Q is a prime ideal of R and the ring R/Q is a left Goldie ring, and (ii) M/K is a torsion-free left (R/Q) -module. We investigate which prime ideals Q arise in this way.

We also are interested in chain conditions on (strongly) prime submodules of M . It is shown that if R satisfies the ascending chain condition (respectively, descending chain condition) on prime ideals then any finitely generated left R -module M satisfies the ascending chain

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condition (descending chain condition) on strongly prime submodules.

Now suppose that the ring R satisfies a polynomial identity. Let M be a left R -module. Then every prime submodule is strongly prime. The results on chain conditions then apply to prime submodules of M . One interesting consequence is that if M is a Noetherian module then M satisfies the descending chain condition on prime submodules.

We briefly consider minimal prime submodules of a left module M over an arbitrary ring R . It is shown that if M is Noetherian then M contains only a finite number of minimal prime submodules. Since every prime submodule contains a minimal prime submodule it follows that the prime radical of a Noetherian module M is a finite intersection of prime submodules. Several attempts have been made to characterize the prime radical of a module M . Even for commutative rings, R , progress has been limited to a number of special cases (see, for example, [3, 4, 9, 10, 11]).

1. Prime submodules. Let R be a ring and M a left R -module. Let N be a submodule of M . Then we define

$$(N : M) = \{r \in R : rM \subseteq N\}.$$

Note that $(N : M)$ is an ideal of R , in fact $(N : M)$ is the annihilator of the left R -module M/N . The submodule N of M is called *prime* if $N \neq M$ and, given $r \in R$ and $m \in M$ such that $rRm \subseteq N$, either $m \in N$ or $r \in (N : M)$. It is not difficult to see that N is a prime submodule of M if and only if $(N : K) = (N : M)$ for all submodules K of M properly containing N . Clearly, any prime (two-sided) ideal of the ring R is a prime submodule of the left R -module R .

Following [5, p. 31], a left R -module M will be called *fully faithful* if every nonzero submodule of M is faithful.

Proposition 1.1. *A submodule N of a left R -module M is prime if and only if $P = (N : M)$ is a prime ideal of the ring R and the left (R/P) -module M/N is fully faithful.*

Proof. Elementary. \square

If R is a simple ring, then every nonzero left R -module is faithful.

Therefore, simple rings R have the property that every proper submodule of every left R -module M is prime. The converse is also true (see [6, Theorem 4.2, 7, Theorem 2]). For a general ring R , any maximal submodule of a left R -module M is a prime submodule of M . However, it is not at all difficult to give examples of modules which have no prime submodules. For example, if \mathbf{Z} denotes the ring of rational integers then, for any prime p , as a \mathbf{Z} -module, the Prüfer group $\mathbf{Z}(p^\infty)$ has no prime submodules. Moreover, the zero submodule is the only prime submodule of the \mathbf{Z} -module \mathbf{Q} of rational numbers.

Let R be a ring and $\varphi : M \rightarrow M'$ a homomorphism of left R -modules M, M' . For any nonempty subset X of M' , $\varphi^{-1}(X) = \{m \in M : \varphi(m) \in X\}$. The proof of the next result is elementary and is omitted (see [2, Proposition 2.2]).

Proposition 1.2. *Let R be any ring, M and M' left R -modules, and $\varphi : M \rightarrow M'$ an R -homomorphism. Let N be a prime submodule of M' such that $\varphi(M) \not\subseteq N$. Then $\varphi^{-1}(N)$ is a prime submodule of M .*

Let R be a ring. Then $N(R)$ will denote the intersection of all prime ideals of R . Proposition 1.2 has the following immediate consequence.

Corollary 1.3. *Let R be any ring and M a left R -module such that $\text{Hom}_R(M, R/N(R)) \neq 0$. Then M contains a prime submodule.*

Before proceeding, we give two further sources of examples of prime submodules of a module. First we recall some definitions. Let R be any ring, and let M be a left R -module. Let N be a submodule of M . A submodule K of M maximal with respect to the property that $N \cap K = 0$ is called a *complement of N in M* . A submodule K of M will be called a *complement in M* if there exists a submodule N of M such that K is a complement of N in M . It is not difficult to prove that K is a complement in M if and only if K has no proper essential extension in M . In consequence, every submodule of M is essential in a complement in M . Following [12, 6.9.3], we call a nonzero module M *compressible* if every nonzero submodule contains an isomorphic copy of M . The next result is known (see, for example, [2, 1.12(1) and Proposition 2.7]), but its proof is included for completeness.

Proposition 1.4. *Let R be any ring. Let M be a left R -module and N a proper submodule of M .*

(i) *If M/N is a compressible module, then N is a prime submodule of M .*

(ii) *If N is a prime submodule of M and K is a submodule containing N such that K/N is a complement in M/N , then K is a prime submodule of M .*

Proof. (i) Let L be a submodule of M properly containing N such that $rL \subseteq N$ for some $r \in R$. There exists a monomorphism $\varphi : M/N \rightarrow \overline{L/N}$. Now $r\varphi(M/N) = 0$ implies $\varphi(r(M/N)) = 0$ and hence $r(M/N) = 0$, i.e., $rM \subseteq N$. It follows that N is a prime submodule of M .

(ii) Let L be a submodule of M properly containing K such that $rL \subseteq K$ for some $r \in R$. Because K/N is a complement in M/N , we know that K/N is not essential in L/N . Thus there exists a submodule L' of L such that $N \subset L'$ and $K \cap L' = N$. Now $rL' \subseteq rL \cap L' \subseteq K \cap L' \subseteq N$. It follows that $rM \subseteq N \subseteq K$, because N is prime. Hence, K is a prime submodule of M . \square

Note that Proposition 1.4 generalizes [6, Lemma 3.5]. A consequence of Proposition 1.1 is that if M is a left R -module and P is a maximal ideal of R such that $M \neq PM$ then every proper submodule K of M containing PM is prime and satisfies $(K : M) = P$ (see [8, Proposition 3]). We now address the question: Given a prime ideal P of R and a left R -module M , does there exist a prime submodule N of M with $P = (N : M)$? Note that if such a submodule N exists then $M \neq PM$. In fact, we can say more:

Lemma 1.5. *Let A be an ideal of a ring R and let M be a left R -module. Then there exists a proper submodule N of M such that $A = (N : M)$ if and only if $AM \neq M$ and $A = (AM : M)$.*

Proof. The sufficiency is clear. Conversely, suppose that $A = (N : M)$ for some proper submodule N of M . Then $AM \subseteq N$, and, hence, $AM \neq M$. Moreover, clearly, $A \subseteq (AM : M)$. On the other

hand, $(AM : M)M \subseteq AM \subseteq N$, so that $(AM : M) \subseteq A$. Thus $A = (AM : M)$. \square

A left R -module M will be called *weakly Noetherian* if, for every element a in R and element m in M , the submodule $RaRm$ is finitely generated. For any ring R , every Noetherian module is weakly Noetherian. If R is a commutative ring, then any R -module is weakly Noetherian. On the other hand, if the (not necessarily commutative) ring R has the property that every ideal is finitely generated as a left ideal, in particular if R is left Noetherian, then every left R -module is weakly Noetherian. Let R be any ring. It is easy to check that if a left R -module M is weakly Noetherian, then so too is any submodule of M and any homomorphic image of M .

Let M be a left R -module, and let P be a prime ideal of R . Then we shall denote by $M(P)$ the following subset of M :

$$M(P) = \{m \in M : Am \subseteq PM \text{ for some ideal } A \not\subseteq P\}.$$

It is clear that $M(P)$ is a submodule of M and $PM \subseteq M(P)$. Note the following fact about $M(P)$.

Lemma 1.6. *Let P be a prime ideal of a ring R . Let M be a left R -module such that there exists a prime submodule K of M with $(K : M) = P$. Then $M(P) \subseteq K$.*

Proof. Let $m \in M(P)$. There exists an ideal A of R such that $A \not\subseteq P$ and $Am \subseteq PM$. However, $PM \subseteq K$, and hence $Am \subseteq K$. Because $A \not\subseteq P$, $m \in K$. It follows that $M(P) \subseteq K$. \square

Now we show that in many situations $M(P)$ itself is a prime submodule of M .

Proposition 1.7. *Let P be a prime ideal of a ring R . Let M be a left R -module such that the left (R/P) -module M/PM is weakly Noetherian. Let $N = M(P)$. Then $N = M$ or N is a prime submodule of M such that $P = (N : M)$.*

Proof. Suppose that $N \neq M$. Let $r \in R$, $m \in M$ satisfy

$rRm \subseteq N$. If $r \in P$, then $rM \subseteq N$. Suppose that $r \notin P$. Let $A = RrR$. Then M/PM weakly Noetherian implies that $Am + PM = Rm_1 + \cdots + Rm_k + PM$, for some positive integer k and elements $m_i \in Am$, $1 \leq i \leq k$. For each $1 \leq i \leq k$, $m_i \in Am \subseteq N$, and, hence, there exists an ideal $B_i \not\subseteq P$ such that $Bm_i \subseteq PM$. Let $B = B_1 \cap \cdots \cap B_k$. Note that B is an ideal of R and $B \not\subseteq P$ because P is prime. Moreover, $BAm \subseteq Bm_1 + \cdots + Bm_k + PM \subseteq PM$. However, P prime implies $BA \not\subseteq P$. Thus, $m \in N$. It follows that N is a prime submodule of M .

Let $C = (N : M)$. Clearly $P \subseteq C$. Suppose that $P \neq C$. Let $c \in C$, $c \notin P$. Let $x \in M$. Then $RcRx \subseteq N$. By the above argument, $x \in N$. It follows that $M = N$, a contradiction. Thus, $P = C$. \square

Proposition 1.7 raises the question: When does $M = M(P)$? We know that $M \neq M(P)$ if M contains a prime submodule K such that $P = (K : M)$ (Lemma 1.6).

Proposition 1.8. *Let P be a prime ideal of a ring R . Let M be a left R -module such that the left (R/P) -module M/PM is finitely generated and weakly Noetherian. Then the following statements are equivalent.*

- (i) $M(P) \neq M$.
- (ii) $M(P)$ is a prime submodule of M .
- (iii) There exists a prime submodule K of M such that $P = (K : M)$.
- (iv) $P = (PM : M)$.

Proof. (i) \Rightarrow (ii) \Rightarrow (iii) by Proposition 1.7.

(iii) \Rightarrow (iv) by Lemma 1.5.

(iv) \Rightarrow (i). Let $N = M(P)$. Suppose that $N = M$. There exist a positive integer k and elements m_i , $1 \leq i \leq k$, in M such that $M = Rm_1 + \cdots + Rm_k + PM$. For each $1 \leq i \leq k$, there exists an ideal $A_i \not\subseteq P$ such that $A_i m_i \subseteq PM$. Let $A = A_1 \cap \cdots \cap A_k$. Note that A is an ideal of R , $A \not\subseteq P$ and $AM \subseteq PM$. It follows that $A \subseteq (PM : M)$, and, hence, $P \neq (PM : M)$. Thus, $N \neq M$. \square

Proposition 1.8 has the following immediate consequence.

Corollary 1.9. *Let P be a prime ideal of a ring R , and let M be a left R -module such that the module M/PM is Noetherian. Then M contains a prime submodule K such that $P = (K : M)$ if and only if $P = (PM : M)$. In this case, $K = M(P)$ is one such prime submodule.*

Consider statement (iii) in Proposition 1.8 for a moment. Let R be a commutative ring and M a finitely generated R -module. Suppose that $P \neq (PM : M)$. Let $c \in (PM : M)$, $c \notin P$. Then $cM \subseteq PM$ gives, by the usual determinant argument, $(c^k + p)M = 0$ for some positive integer k and element p in P . Thus, $M_P = 0$, where M_P is the localization of the module M at the prime ideal P . Thus, $P \neq (PM : M)$ implies that $M_P = 0$. Conversely, if $M_Q = 0$, for some prime ideal Q of R , then it is easy to check that $Q \neq (QM : M)$. The *support* of the module M is defined to be the set of prime ideals P of R such that $M_P \neq 0$. Thus, the support of M consists precisely of all prime ideals P of R such that M contains a prime submodule N with $P = (N : M)$. In particular, if, in addition, M is faithful, then every prime ideal of R belongs to the support of M . Thus, Proposition 1.8 generalizes [8, Theorem 2].

Let $\text{spec}_P(M)$ denote the collection of all prime submodules K of M such that $P = (K : M)$, together with the module M . If $N \in \text{spec}_P(M)$ and $N \neq M$, then we shall call N a *proper* member of $\text{spec}_P(M)$. Compare the next result with [9, Lemma 1].

Proposition 1.10. *Let P be a prime ideal of a ring R . Let M be a left R -module.*

(i) *Let K_i , $i \in I$, be any collection of submodules of $\text{spec}_P(M)$. Then $\cap_I K_i$ also belongs to $\text{spec}_P(M)$.*

(ii) *Now suppose that M/PM is weakly Noetherian. If $\{L_i : i \in I\}$ is any chain in $\text{spec}_P(M)$, then $\cup_I L_i$ also belongs to $\text{spec}_P(M)$. If, in addition, M is finitely generated, then $\text{spec}_P(M)$ contains maximal proper members.*

Proof. (i) Let $K = \cap_I K_i$. Then K is a submodule of M and $PM \subseteq K$. Let A be an ideal of R and m an element in M such that $Am \subseteq K$. If $A \subseteq P$, then $AM \subseteq K$. Suppose that $A \not\subseteq P$. For each $i \in I$, $Am \subseteq K_i$, and, hence, $m \in K_i$. It follows that $m \in \cap_I K_i = K$.

Hence, $K \in \text{spec}_P(M)$.

(ii) Let $L = \cup_I L_i$. Then L is a submodule of M and $PM \subseteq L$. Suppose that $a \in R$, $m \in M$ and $aRm \subseteq L$. There exist a positive integer k and elements $m_i \in RaRm$, $1 \leq i \leq k$, such that $RaRm \subseteq Rm_1 + \cdots + Rm_k + PM$. There exists $j \in I$ such that $m_i \in L_j$ for all $1 \leq i \leq k$. Thus, $aRm \subseteq L_j$, and, hence, $aM \subseteq L_j \subseteq L$ or $m \in L_j \subseteq L$. It follows that L is a prime submodule of M . Now suppose that L_i is proper for all $i \in I$. Let $b \in (L : M)$. If $b \notin P$, then the above argument gives $M \subseteq L$, and, hence, $M = L$. Thus, $(L : M) = P$ or $L = M$. Thus, $L \in \text{spec}_P(M)$. If M is finitely generated, then $L \neq M$ so that L is proper. By Zorn's Lemma, $\text{spec}_P(M)$ has maximal proper members. \square

Let R be any ring and M a left R -module. Suppose that N is a prime submodule of M . Then we shall call N *irreducible* if $N \neq K \cap L$, where K and L are prime submodules of M properly containing N .

Proposition 1.11. *Let R be any ring and M a Noetherian left R -module. Then every prime submodule of M is a finite intersection of irreducible prime submodules. Moreover, if N is an irreducible prime submodule of M , then M/N is a uniform module.*

Proof. Suppose that not every prime submodule of M is a finite intersection of irreducible prime submodules. Let P be a prime submodule maximal with respect to the property that P is not a finite intersection of irreducible prime submodules. In particular, P is not irreducible, so that $P = K \cap L$, for some prime submodules K and L , both properly containing P . By the choice of P , both K and L are finite intersections of irreducible prime submodules, and, hence, so too is P , a contradiction.

Now suppose that N is an irreducible prime submodule of M . Note first that the module M/N is nonzero. Suppose further that there exist submodules X and Y of M such that $N = X \cap Y$. Let $P = (N : M)$. We define submodules X' and Y' of M as follows:

$$X' = \{m \in M : Am \subseteq X \text{ for some ideal } A \text{ of } R \text{ with } A \not\subseteq P\},$$

and

$$Y' = \{m \in M : Am \subseteq Y \text{ for some ideal } A \text{ of } R \text{ with } A \not\subseteq P\}.$$

Clearly, $X \subseteq X'$ and $Y \subseteq Y'$. If $m \in X' \cap Y'$, then there exist ideals B and C of R , neither contained in P , such that $Bm \subseteq X$ and $Cm \subseteq Y$. Thus, $(B \cap C)m \subseteq X \cap Y = N$, and, hence, $m \in N$. It follows that $N = X' \cap Y'$. But, by Proposition 1.7, X' and Y' are prime submodules of M or are equal to M . In any case, $N = X'$ or $N = Y'$. It follows that $N = X$ or $N = Y$. Hence, M/N is uniform. \square

2. Strongly prime submodules. Let R be a ring. An element c in R is called *regular* if $cr \neq 0$ and $rc \neq 0$ for every nonzero element r in R . If A is a proper ideal of R , then $C(A)$ will denote the set of elements c in R such that $c + A$ is a regular element in the ring R/A . Clearly, $c \in C(A)$ if and only if, for any $r \in R$, $cr \in A$ or $rc \in A$ implies $r \in A$.

Let R be a prime left Goldie ring. Let M be a left R -module. Then the singular submodule of M is given by

$$Z(M) = \{m \in M : cm = 0 \text{ for some } c \in C(0)\}.$$

Recall that M is called a *torsion* module if $M = Z(M)$, and M is called *torsion-free* if $Z(M) = 0$.

Let R be any ring. Let M be a left R -module. A proper submodule N of M will be called *strongly prime* if there exists a prime ideal P of R such that

- (i) the ring R/P is left Goldie,
- (ii) $PM \subseteq N$, and
- (iii) for any $c \in C(P)$ and $m \in M$, $cm \in N$ implies $m \in N$.

Note that, in this case, $P = (N : M)$. For, let $A = (N : M)$. Clearly, $P \subseteq A$. If $P \neq A$, then there exists $c \in A \cap C(P)$, by [5, Proposition 5.9 or 12, 2.3.4 and 2.3.5]. In this case, $cM \subseteq N$ implies that $M = N$, a contradiction.

Proposition 2.1. *Let N be a strongly prime submodule of a left R -module M . Then N is prime.*

Proof. There exists a prime ideal P of R satisfying (i), (ii) and (iii). Let A be an ideal of R and m an element of M such that $Am \subseteq N$. Suppose that $A \not\subseteq P$. Then there exists $c \in A \cap C(P)$, as above. Now $cm \in N$ gives that $m \in N$. It follows that N is prime. \square

The converse of Proposition 2.1 is true for commutative rings R . In fact, the converse is true for a wider class of rings and we shall consider such rings at the end of this section.

Let P be a prime ideal of a ring R such that R/P is a left Goldie ring. Let M be a left R -module. For any submodule N of M such that $PM \subseteq N$, let $\text{cl}_P(N)$ denote the submodule T of M containing N such that T/N is the singular submodule of the left (R/P) -module M/N , i.e.,

$$\text{cl}_P(N) = \{m \in M : cm \in N \text{ for some } c \in C(P)\}.$$

Before we proceed to the next result, note that, for any submodule K containing PM , the submodule K/PM is a complement in the left (R/P) -module M/PM if M/K is a torsion-free left (R/P) -module (see [5, Proposition 3.27]). Compare the next result with Proposition 1.4 (ii).

Proposition 2.2. *Let P be a prime ideal of a ring R such that the ring R/P is (prime) left Goldie. Let M be a left R -module. Let $T = \text{cl}_P(PM)$. Then the following statements are equivalent for a submodule N of M .*

- (i) N is a strongly prime submodule of M such that $P = (N : M)$.
- (ii) The module M/N is a nonzero torsion-free left (R/P) -module.
- (iii) $T \subseteq N$ and N/T is a proper complement in M/T .

Proof. Elementary. \square

Corollary 2.3. *Let P be a prime ideal of a ring R such that the ring R/P is a (prime) left Goldie ring. Let M be a left R -module. Then the following statements are equivalent.*

- (i) $\text{cl}_P(PM)$ is a strongly prime submodule of M .
- (ii) There exists a strongly prime submodule K of M such that

$P = (K : M)$.

(iii) M/PM is not a torsion left (R/P) -module.

Proof. (i) \Rightarrow (ii). Let $T = \text{cl}_P(PM)$. Let $A = (T : M)$. Clearly, $P \subseteq A$. If $P \neq A$, then there exists $c \in A \cap C(P)$. If $m \in M$, then $cm \in T$, and, hence, $m \in T$. It follows that $T = M$, which contradicts the fact that T is strongly prime. Thus, $P = (T : M)$.

(ii) \Rightarrow (iii). We know that $PM \subseteq K \subset M$, and M/K is torsion-free as a left (R/P) -module. (iii) follows.

(iii) \Rightarrow (i). T/PM is the singular submodule of M/PM , so that, by (iii), $T \neq M$. The left (R/P) -module M/T is a torsion-free left (R/P) -module, so that T is strongly prime by Proposition 2.2. \square

Let P be a prime ideal of a ring R such that R/P is a left Goldie ring. Let M be a left R -module. Let $\text{Spec}_P(M)$ denote the set of all strongly prime submodules K of M such that $P = (K : M)$, together with the module M . By Proposition 2.1, $\text{Spec}_P(M) \subseteq \text{spec}_P(M)$. Any member of $\text{Spec}_P(M)$ other than M will be called *proper*. The following analogue of Proposition 1.10 can be proved by adapting its proof.

Proposition 2.4. *Let P be a prime ideal of a ring R such that R/P is a (prime) left Goldie ring. Let M be a left R -module.*

(i) *Let $K_i, i \in I$, be any collection of submodules in $\text{Spec}_P(M)$. Then $\cap_I K_i$ also belongs to $\text{Spec}_P(M)$.*

(ii) *Let $\{L_i : i \in I\}$ be any chain in $\text{Spec}_P(M)$. Then $\cup_I L_i$ also belongs to $\text{Spec}_P(M)$. If, in addition, M/PM is finitely generated, then $\text{Spec}_P(M)$ contains maximal proper members.*

Let R be a prime left Goldie ring. Let M be a torsion-free left R -module. Let Ω denote the collection of submodules N of M such that the module M/N is torsion-free. Then Ω is a lattice, where we define

$$K \wedge L = K \cap L, \quad \text{and} \quad K \vee L = \text{cl}_0(K + L),$$

for all K and L in Ω . The ring R has a simple Artinian classical left quotient ring Q (see, for example, [5, Theorem 5.12, or 12, 2.3.6]).

Consider the left Q -module $M' = Q \otimes_R M$. Any Q -submodule of M' has the form $N' = Q \otimes_R N$, where $N \in \Omega$. The mapping $N \rightarrow N'$ from the lattice Ω to the lattice Λ of Q -submodules of M' is an isomorphism with inverse $\varphi : \Lambda \rightarrow \Omega$ defined by

$$\varphi(K') = \{m \in M : 1 \otimes m \in K'\}.$$

Theorem 2.5. *Let P be a prime ideal of a ring R such that the ring R/P is a (prime) left Goldie ring. Let Q denote the classical left quotient ring of R/P . Let M be a left R -module. Let M' denote the left Q -module $Q \otimes_{R/P} (M/PM)$. Then $\text{Spec}_P(M)$ is a lattice isomorphic to the lattice of Q -submodules of the left Q -module M' . Moreover, $\text{Spec}_P(M)$ is a complete complemented modular lattice.*

Proof. Let $T = \text{cl}_P(PM)$. Because T/PM is the singular submodule of the left (R/P) -module M/PM , the module M/T is a torsion-free left (R/P) -module and $\text{Spec}_P(M)$ consists of all submodules N of M such that $T \subseteq N$ and M/N is a torsion-free left (R/P) -module (Proposition 2.2). Thus, by the remarks immediately preceding the theorem, $\text{Spec}_P(M)$ is a lattice isomorphic to the lattice of submodules of the left Q -module M' . The Q -module M' is semisimple, so that its lattice of submodules is complete, complemented and modular. The result follows. \square

Let R be a ring. The prime ring R will be called *left bounded* if, for each regular element c in R , there exists an ideal A of R and a regular element d such that $Rd \subseteq A \subseteq Rc$. A general ring R will be called *left fully bounded* if every prime homomorphic image of R is left bounded. The relevance of this notion to our present discussion can be seen from the next result.

Lemma 2.6. *Let R be a ring and P a prime ideal of R such that the ring R/P is left bounded left Goldie. Let M be a left R -module. Then the following statements are equivalent for a submodule N of M .*

- (i) N is a prime submodule of M such that $P = (N : M)$.
- (ii) N is a strongly prime submodule of M such that $P = (N : M)$.

Proof. (ii) \Rightarrow (i) by Proposition 2.1.

(i) \Rightarrow (ii). Suppose that N is a prime submodule of M . Suppose that $c \in C(P)$ and $m \in M$ satisfy $cm \in N$. Because R/P is left bounded, there exists an ideal A of R such that $A \not\subseteq P$ and $A \subseteq Rc + P$. Then $Am \subseteq N$ and, hence, $m \in N$. It follows that N is strongly prime. \square

Note further that, with the notation of Lemma 2.6,

$$M(P) = \text{cl}_P(PM).$$

This fact is easy to prove because, for any ideal A of R , $A \not\subseteq P$ if and only if the set $A \cap C(P)$ is nonempty (see, for example, [5, Proposition 5.9 or 12, 2.3.4 and 2.3.5]). A ring R is called a *left FBN-ring* if R is left fully bounded and left Noetherian. Lemma 2.6 has the following immediate consequence.

Corollary 2.7. *Let R be a left FBN-ring. Let M be a left R -module. Then a submodule N of M is prime if and only if N is strongly prime.*

Another class of rings for which prime submodules of modules are strongly prime is the class of rings with polynomial identity (PI-rings). It is well known that if R is a PI-ring and P is a prime ideal of R , then the ring R/P is (left and right) bounded and (left and right) Goldie [12, 13.6.6]. Thus, Lemma 2.6 has the following consequence.

Corollary 2.8. *Let R be a PI-ring. Let M be a left R -module. Then a submodule N of M is prime if and only if N is strongly prime.*

We make one final observation in this section.

Proposition 2.9. *Let R be a PI-ring. Let M be a finitely generated left R -module. Then N is an irreducible prime submodule of M if and only if M/N is a compressible uniform module.*

Proof. Suppose first that M/N is a compressible uniform module. Then N is a prime submodule of M by Proposition 1.4, and clearly N is irreducible.

Conversely, suppose that N is an irreducible prime submodule of M . Without loss of generality, we can suppose that $N = 0$. Let $P = (0 : M)$. Without loss, we can suppose that $P = 0$, and thus R is a prime Goldie ring and M a nonzero finitely generated torsion-free left R -module. By Proposition 1.11, M is uniform. Now [5, Corollary 6.20] gives M isomorphic to a left ideal A of R . Let K be a nonzero submodule of M . Note that $A \neq 0$ implies $AK \neq 0$. There exists $k \in K$ such that $Ak \neq 0$. Define $\theta : A \rightarrow K$ by $\theta(a) = ak$, $a \in A$. Clearly, θ is a homomorphism. Suppose that $\theta(a) = 0$ for some $0 \neq a \in A$. Let $b \in A$. Because A is uniform, there exists $c \in C(0)$ such that $cb \in Ra$ (see, for example, [5, Proposition 5.9]). Then $ak = 0$ gives $cbk = 0$ and, hence, $bk = 0$. It follows that $Ak = 0$, a contradiction. Thus, θ is a monomorphism. It follows that M is compressible. \square

3. Chain conditions. Let R be a ring and M a left R -module. A proper submodule N of M will be called *virtually maximal* if M/N is a direct sum of isomorphic simple modules. By [1, Proposition 9.4], any proper submodule K containing N is also virtually maximal. Moreover, for the submodule N , if P is the annihilator of the simple direct summands of M/N , then it is clear that $P = (N : M) = (N : L)$ for any submodule $N \subseteq L \subseteq M$ with $L \neq N$. It follows that N is a prime submodule of M . We record this fact as follows.

Lemma 3.1. *Let M be a left R -module. Then any virtually maximal submodule of M is prime.*

Now we prove a partial converse of Lemma 3.1 in the case of finitely generated Artinian modules M .

Proposition 3.2. *Let R be a PI-ring, and let M be a finitely generated Artinian left R -module. Then every prime submodule of M is virtually maximal.*

Proof. Let N be a prime submodule of M . Let $P = (N : M)$. Note that M/N is a faithful left (R/P) -module. By [12, 13.6.6], the ring R/P is a left bounded left Goldie ring. Now [5, Proposition 8.7] gives that R/P embeds as a left R -module in a finite direct sum of copies of

M/N . It follows that the ring R/P is left Artinian, and, hence, R/P is simple Artinian. Thus, the left (R/P) -module M/N is a direct sum of isomorphic simple modules. Therefore, N is virtually maximal. \square

Proposition 3.2 is not true in general. In fact, we have the following result. Recall that a ring R is called a *left V-ring* if every simple left R -module is injective.

Proposition 3.3. *Let R be a simple ring such that, for every essential left ideal L of R , the left R -module R/L is Artinian and has the property that every prime submodule is virtually maximal. Then R is a left V-ring.*

Proof. Let U be a simple left R -module. Let A be an essential left ideal of R and $\varphi : A \rightarrow U$ a nonzero homomorphism. Let $B = \text{Ker } \varphi$. Note that A/B is simple. If B is not an essential submodule of A , then A contains a minimal submodule. Thus, R has a minimal left ideal and, hence, R is semiprime Artinian whence a left V-ring. Suppose now that B is essential in A . Then B is an essential left ideal of R [1, Proposition 5.16]. Moreover, $B \neq A$ so that 0 is a prime submodule of R/B . By hypothesis, R/B is semisimple. There exists a maximal left ideal P of R , containing B , such that $R/B = (A/B) \oplus (P/B)$. It follows that the mapping φ can be lifted to R . Hence, U is injective. Thus, R is a left V-ring. \square

The first Weyl algebra $R = A_1(\mathbf{C})$ is a simple Noetherian domain such that R/L is Artinian for each essential left ideal L of R (see [12, 1.3.5 and 6.6.15]). However, R is not a left V-ring.

A partial converse of Proposition 3.2 is proved next.

Proposition 3.4. *Let R be a left Noetherian PI-ring. Let M be a finitely generated left R -module such that $P = (PM : M)$ for every prime ideal P of R . Suppose further that every prime submodule of M is virtually maximal. Then M is Artinian.*

Proof. Let P be any prime ideal of R . By Proposition 1.8, there exists a prime submodule K of M such that $P = (K : M)$. Now M/K is a direct sum of isomorphic simple modules, so that P must be the annihilator of these simples. Hence, P is left primitive, so that R/P is a simple Artinian ring by [12, 13.3.8]. Thus, R/P is Artinian for every prime ideal P of R . It follows that R is left Artinian (see, for example, the proof of [5, Proposition 3.20]), and, hence, M is Artinian. \square

The condition that R be left Noetherian can be dropped in case R is commutative, and we have the following result.

Theorem 3.5. *Let R be a commutative ring. A finitely generated R -module M is Artinian if and only if M is Noetherian and every prime submodule of M is virtually maximal.*

Proof. Without loss of generality, we can suppose that M is a faithful R -module. Suppose first that M is Artinian. Then R embeds in M^n for some positive integer n . It follows that R is an Artinian ring, and, hence, R is a Noetherian ring. It follows that M is Noetherian. By Proposition 3.2, every prime submodule is virtually maximal.

Conversely, suppose that M is Noetherian and every prime submodule is virtually maximal. If P is any prime ideal of R , then $P = (PM : M)$ (see the remarks after Corollary 1.9). Thus, we can apply Proposition 3.4 to obtain that M is Artinian. \square

Now we consider more general chain conditions on strongly prime submodules.

Theorem 3.6. *Let R be any ring which satisfies ACC (respectively, DCC) on prime ideals. Let M be any finitely generated left R -module. Then M satisfies ACC (respectively, DCC) on strongly prime submodules.*

Proof. We prove the result in the DCC case; the ACC case is similar. Suppose that R satisfies DCC on prime ideals. Suppose that M is a finitely generated left R -module which does not satisfy DCC

on strongly prime submodules. Let $N_1 \supseteq N_2 \supseteq N_3 \supseteq \dots$ be any infinite properly descending chain of strongly prime submodules of M . Then $(N_1 : M) \supseteq (N_2 : M) \supseteq \dots$ is a descending chain of prime ideals of R . By hypothesis, there exists a positive integer k such that $(N_k : M) = (N_{k+1} : M) = (N_{k+2} : M) = \dots$.

Without loss of generality, we can suppose that $P = (N_i : M)$ for all $i \geq 1$. This means that $N_i \in \text{Spec}_P(M)$ for all $i \geq 1$. Let Q denote the classical left quotient ring of the prime left Goldie ring R/P . Let $M' = Q \otimes_{R/P}(M/PM)$. Because M is finitely generated, it follows that M' is a finitely generated left Q -module and, hence, M' is Artinian. By Theorem 2.5, it follows that $\text{Spec}_P(M)$ is Artinian, a contradiction. Thus, M satisfies DCC on prime submodules. \square

Theorem 3.6 has a particularly pleasing consequence in case R is a PI-ring. It is well known that any commutative Noetherian ring satisfies DCC on prime ideals. This is also true for any left Noetherian PI-ring (see, for example, [12, 13.7.15]). We now extend this fact to modules.

Theorem 3.7. *Let R be a PI-ring, and let M be a Noetherian left R -module. Then M satisfies DCC on prime submodules.*

Proof. Suppose that the result is false. Let $N_1 \supseteq N_2 \supseteq N_3 \supseteq \dots$ be any infinite properly descending chain of prime submodules of M . Let $N = \bigcap N_i$. It is clear (or see [9, Lemma 1]) that N is a prime submodule of M . Without loss of generality, we can suppose that $N = 0$. Let $P = (0 : M)$. Then P is a prime ideal of R and R/P is a prime bounded Goldie ring. By [5, Proposition 8.7], R/P embeds in M^n for some positive integer n and, hence, R/P is left Noetherian. By [12, 13.7.15], R/P satisfies DCC on prime ideals. Now apply Theorem 3.6 and Lemma 2.6 to obtain a contradiction. \square

For commutative rings, we can go further. Let R be a ring and M a left R -module. Let N be a prime submodule of M . Then we define the *height* of N to be the maximal positive integer k , if such exists, such that there exists a chain of prime submodules of M as follows:

$$N = N_0 \supset N_1 \supset N_2 \supset \dots \supset N_k.$$

We shall denote the height of N in M by $\text{ht}_M(N)$. In particular, if P is a prime ideal of the ring R , then $\text{ht}_R(P)$ is the height of P in R . Let M be a finitely generated module. Then $g(M)$ will denote the minimal number of elements required to generate M .

Theorem 3.8. *Let R be a commutative ring, and let M be a finitely generated R -module. Let P be any prime ideal of R such that $A \subseteq P$, where A is the annihilator of M . Let R' denote the ring R/A . Then*

$$\text{ht}_{R'}(P/A) \leq \sup\{\text{ht}_M(N) : N \in \text{spec}_P(M)\} \leq g(M)[\text{ht}_R(P) + 1].$$

Proof. Suppose that $\text{ht}_R(P) = n < \infty$. Let $N \in \text{spec}_P(M)$. Let

$$(1) \quad N = N_0 \supset N_1 \supset N_2 \supset \cdots$$

be any descending chain of prime submodules of M . Then

$$(N : M) = (N_0 : M) \supseteq (N_1 : M) \supseteq (N_2 : M) \supseteq (N_3 : M) \supseteq \cdots$$

is a descending chain of prime ideals of R . Because $\text{ht}_R(P) = n$, the collection $\{(N_i : M) : i \geq 1\}$ contains at most $n+1$ distinct prime ideals. If $Q = (N_i : M)$ for some $i \geq 1$, then $\text{spec}_Q(M)$ is lattice isomorphic to the lattice of submodules of the vector space $V = F \otimes (M/QM)$ over F , where F is the field of fractions of the integral domain R/Q . Because M can be generated by $g(M)$ elements, it follows that V can be spanned by $g(M)$ vectors, so that V has dimension at most $g(M)$ over F . Thus, any descending chain in $\text{spec}_Q(M)$ contains at most $g(M)$ terms. It follows that the number of “steps” in (1) is at most $(n+1)g(M)$. Thus, $\text{ht}_M(N) \leq g(M)[\text{ht}_R(P) + 1]$.

Now suppose that $\sup\{\text{ht}_M(N) : N \in \text{spec}_P(M)\} = k < \infty$. Let

$$P = P_0 \supset P_1 \supset \cdots \supset P_{k+1} \supseteq A,$$

where P_i , $1 \leq i \leq k+1$ is a prime ideal. There exists a prime submodule L_{k+1} such that $(L_{k+1} : M) = P_{k+1}$, by Proposition 1.8. Now consider the finitely generated faithful (R/P_{k+1}) -module M/L_{k+1} . By another application of Proposition 1.8, there exists a prime submodule L_k/L_{k+1} of M/L_{k+1} such that $P_k = (L_k/L_{k+1} : M/L_{k+1})$ for some submodule

L_k of M containing L_{k+1} . It is easy to check that L_k is a prime submodule of M and $P_k = (L_k : M)$. In this way we can produce a chain $L_{k+1} \subseteq L_k \subseteq \cdots \subseteq L_0$ of prime submodules of M such that $P_i = (L_i : M)$ for all $0 \leq i \leq k+1$. However, $P = P_0$ means that $L_0 \in \text{spec}_P(M)$ and $\text{ht}_M(L_0) \geq k+1$, a contradiction. It follows that $\text{ht}_{R'}(P/A) \leq k$. The result follows. \square

4. Minimal prime submodules. Let R be any ring. Let M be a left R -module. A prime submodule N of M is called *minimal* if, for any prime submodule K of M such that $K \subseteq N$, $K = N$. Let L be a prime submodule of M . Let

$$\Lambda = \{K : K \text{ is a prime submodule of } M \text{ and } K \subseteq L\}.$$

If $\{K_i : i \in I\}$ is any chain in Λ , then it can easily be checked that $\bigcap_I K_i$ also belongs to Λ (see [9, Lemma 1]). By Zorn's Lemma, Λ contains a minimal member which is clearly a minimal prime submodule of M . Thus, every prime submodule of M contains a minimal prime submodule of M . If the module M is finitely generated, then M has maximal submodules which are prime and, hence, M contains minimal prime submodules. Note the following elementary fact, whose proof is left to the reader.

Lemma 4.1. *Let R be a ring, and let M be a left R -module. Let $K \subseteq N$ be submodules of M . Then N is a prime submodule of M if and only if N/K is a prime submodule of M/K . Moreover, if N is a minimal prime submodule of M , then N/K is a minimal prime submodule of M/K .*

Theorem 4.2. *Let R be a ring, and let M be a Noetherian left R -module. Then M contains only a finite number of minimal prime submodules.*

Proof. Suppose that the result is false. Let Λ denote the collection of proper submodules N of M such that the module M/N has an infinite number of minimal prime submodules. The collection Λ is nonempty, because $0 \in \Lambda$ and, hence, has a maximal member K . Clearly, K is not a prime submodule of M . Thus, there exists a submodule L of

M properly containing K and an ideal A in R such that $AL \subseteq K$ but $AM \not\subseteq K$. Hence, $K \subset K + AM$. Let V be a submodule of M containing K such that V/K is a minimal prime submodule of M/K . Then $AL \subseteq K \subseteq V$. By Lemma 4.1, $AM \subseteq V$ or $L \subseteq V$. Again, by Lemma 4.1, $V/(K + AM)$ is a minimal prime submodule of $M/(K + AM)$ or V/L is a minimal prime submodule of M/L . But by the choice of K , both the modules $M/(K + AM)$ and M/L have only finitely many minimal prime submodules. Thus, there are only a finite number of possibilities for the module V and, hence, also for V/K , a contradiction. Thus, M has only a finite number of minimal prime submodules. \square

Let R be a ring and M a left R -module. Then the *prime radical* $\text{rad } M$ of M is defined to be the intersection of M and all prime submodules of M . Because every maximal submodule of M is a prime submodule, it is clear that $\text{rad } M$ is contained in the Jacobson radical $\text{Rad } M$ of M . If every prime submodule of M is virtually maximal it is not hard to see that $\text{rad } M = \text{Rad } M$.

Corollary 4.3. *Let R be a ring and M a Noetherian left R -module. Then $\text{rad } M = M$ or there exist a positive integer k and prime ideals P_i , $1 \leq i \leq k$, such that $P_i = (P_i M : M)$, $1 \leq i \leq k$, and $\text{rad } M = M(P_1) \cap \cdots \cap M(P_k)$.*

Proof. By Lemma 1.6, Proposition 1.8 and Theorem 4.2. \square

It has proved a difficult task to determine which elements of M belong to $\text{rad } M$ in general. Corollary 4.3 gives less help than might be supposed at first, because of the difficulty of knowing which prime ideals P_i feature there. In general, the prime ideals P_i , $1 \leq i \leq k$, need not all be minimal prime ideals of R or of R/A , where A is the annihilator of M . Perhaps a simple example would be helpful here.

Let M be a finitely generated \mathbf{Z} -module. In the most general case,

$$M = M_1 \oplus \cdots \oplus M_k \oplus M',$$

for some positive integer k , where, for each $1 \leq i \leq k$, M_i is a cyclic module of prime power order $p_i^{k(i)}$, for some prime p_i and positive

integer $k(i)$, and M' is a free module of finite rank. The prime ideals of \mathbf{Z} are, of course, $0, \mathbf{Z}p$ (p prime). Clearly,

$$M(0) = M_1 \oplus \cdots \oplus M_k,$$

$$M(\mathbf{Z}p) = M_1 \oplus \cdots \oplus M_k \oplus pM', \quad \text{if } p \neq p_i, 1 \leq i \leq k,$$

and

$$M(\mathbf{Z}p_i) = M_1 \oplus \cdots \oplus M_{i-1} \oplus p_i M_i \oplus M_{i+1} \oplus \cdots \oplus M_k \oplus p_i M', \quad \text{if } 1 \leq i \leq k.$$

Thus, the minimal prime submodules of M are $M(0), M(\mathbf{Z}p_i), 1 \leq i \leq k$, and

$$\text{rad } M = p_1 M_1 \oplus \cdots \oplus p_k M_k.$$

In fact, this calculation can readily be extended to a finitely generated module over any Dedekind domain. More generally, if R is a one-dimensional commutative domain (i.e., all nonzero prime ideals are maximal), then

$$\text{rad } M = T \cap \text{Rad } M,$$

for any R -module M , where T denotes the torsion submodule of M . For, in this case, $M(0)$ is again the torsion submodule of M and, for any nonzero prime ideal P of R , $M(P) = PM$, because P is a maximal ideal. Moreover, for any nonzero prime ideal P of R , M/PM is a vector space over the field R/P so that $\text{Rad } M \subseteq PM$. Thus,

$$\begin{aligned} T \cap \text{Rad } M &\subseteq M(0) \cap \{\cap_P PM\} = M(0) \cap \{\cap_P M(P)\} = \text{rad } M \\ &\subseteq T \cap \text{Rad } M. \end{aligned}$$

Hence, $\text{rad } M = T \cap \text{Rad } M$.

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