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REMARKS ON THE STANLEY DEPTH AND HILBERT DEPTH OF MONOMIAL IDEALS WITH LINEAR QUOTIENTS

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ABSTRACT. We prove that if I is a monomial ideal with linear quotients in a ring of polynomials S in n indeterminates and depth(S/I) = n - 2, then sdepth(S/I) = n - 2 and, if I is squarefree, hdepth(S/I) = n - 2. Also, we prove that sdepth(S/I) > depth(S/I) for a monomial ideal I with linear quotients which satisfies certain technical conditions.

### 1. Introduction

15 Let *K* be a field and let  $S = K[x_1, x_2, ..., x_n]$  be the ring of polynomials in *n* variables. Let *M* be a 16  $\mathbb{Z}^n$ -graded S-module. A Stanley decomposition of M is a direct sum 17

$$\mathscr{D}: M = \bigoplus_{i=1}^r m_i K[Z_i],$$

20 as K-vector spaces, where  $m_i \in M$  are homogeneous,  $Z_i \subset \{x_1, \ldots, x_n\}$  such that  $m_i K[Z_i]$  is a free 21  $K[Z_i]$ -module;  $m_i K[Z_i]$  is called a *Stanley subspace* of *M*. We define sdepth( $\mathscr{D}$ ) = min\_{i=1}^r |Z\_i| and 22

 $sdepth(M) = max\{sdepth(\mathcal{D}) : \mathcal{D} \text{ is a Stanley decomposition of } M\}.$ 

24 The number sdepth(M) is called the *Stanley depth* of *M*. Herzog Vlădoiu and Zheng [8] proved 25 that this invariant can be computed in a finite number of steps, when M = I/J, where  $J \subset I \subset S$  are 26 monomial ideals. 27

We say that the multigraded module M satisfies the Stanley inequality if

sdepth(M) > depth(M).

<sup>30</sup> Stanley conjectured in [13] that sdepth(M)  $\geq$  depth(M), for any  $\mathbb{Z}^n$ -graded S-module M. In fact, in 31 this form, the conjecture was stated by Apel in [1]. The Stanley conjecture was disproved by Duval 32 et. al [6], in the case M = I/J, where  $(0) \neq J \subset I \subset S$  are monomial ideals, but it remains open in 33 the case M = I, a monomial ideal.

34 A monomial ideal  $I \subset S$  has *linear quotients*, if there exists  $u_1 \leq u_2 \leq \cdots \leq u_m$ , an ordering on 35 the minimal set of generators G(I), such that, for any  $2 \le j \le m$ , the ideal  $(u_1, \ldots, u_{j-1}) : u_j$  is 36 generated by variables.

37 Given a monomial ideal with linear quotients  $I \subset S$ , Soleyman Jahan [11] noted that I satisfies 38 the Stanley inequality, i.e. 39

 $sdepth(I) \ge depth(I)$ .

40 However, a similar result for S/I, if true, is more difficult to prove, only some particular cases being 41 known. For instance, Seyed Fakhari [7] proved the inequality 42

$$sdepth(S/I) \ge depth(S/I)$$

44 for weakly polymatroidal ideals  $I \subset S$ , which are monomial ideals with linear quotients. 45

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In Theorem 2.4, we prove that if  $I \subset S$  is a monomial ideal with linear quotients with depth(S/I) =2 n-2, then sdepth(S/I) = n-2. In Theorem 2.6, we prove that if  $I \subset S$  is a monomial ideal <sup>3</sup> with linear quotients which has a Stanley decomposition which satisfies certain conditions, then 4 sdepth(S/I) > depth(S/I). Also, we conjecture that for any monomial ideal  $I \subset S$  with linear 5 quotients, there is a variable  $x_i$  such that depth $(S/(I, x_i)) \ge depth(S/I)$  and  $sdepth(S/(I, x_i)) \le$ sdepth(S/I). In Theorem 2.12 we prove that if this conjecture is true, then sdepth(S/I) > depth(S/I), for any monomial ideal  $I \subset S$  with linear quotients. 8 9 10 Given a finitely graded S-module M, its Hilbert depth is hdepth(M) = max  $\left\{ r : \text{ there exists a f.g. graded S-module } N \\ \text{with } H_M(t) = H_N(t) \text{ and } \text{depth}(N) = r \right\}.$ 11 12 It is well known that  $hdepth(M) \ge sdepth(M)$ . See [3] for further details. Let  $0 \subset I \subseteq J \subset S$  be two squarefree monomial ideals. For any  $0 \leq j \leq n$ , we let  $\alpha_i(J/I)$  to be 13 the number of squarefree monomials  $u \in S$  of degree j such that  $u \in J \setminus I$ . (In particular,  $\alpha_i(I)$  is 14 the number of squarefree monomials of degree j which belong to I and  $\alpha_j(S/I) = \binom{n}{j} - \alpha_j(I)$  is 15 the number of squarefree monomials of degree j which do not belong to I.) 16 Also, for  $0 \le k \le d \le n$ , we let 17 18 19  $\beta_k^d(J/I) = \sum_{i=0}^k (-1)^{k-j} \binom{d-j}{k-i} \alpha_j(J/I).$ (1.1)20 (In particular,  $\beta_k^d(S/I) = \sum_{j=0}^k (-1)^{k-j} {d-j \choose k-j} \alpha_j(S/I)$  and  $\beta_k^d(I) = \sum_{j=0}^k (-1)^{k-j} {d-j \choose k-j} \alpha_j(I)$ .) From (1.1), using an inversion formula, it follows that 21 22 23 24  $\alpha_k(J/I) = \sum_{i=0}^k \binom{d-j}{k-j} \beta_j^d(J/I) \text{ for all } 0 \le k \le d \le n.$ (1.2)25 26 With the above notations, we proved in [2, Theorem 2.4] that 27 hdepth $(J/I) = \max\{d : \beta_k^d(J/I) \ge 0 \text{ for all } 0 \le k \le d\}.$ 28 If  $I \subset S$  is a proper squarefree monomial ideal, we claim that 29 hdepth $(S/I) < \max\{k : \alpha_k(S/I) > 0\}$ . (1.3)30 31 Note that  $\alpha_n(S/I) = 0$ , since  $x_1 \cdots x_n \in I$ , and thus  $m := \max\{k : \alpha_k(S/I) > 0\} < n$ . From (1.3) it 32 follows that  $\alpha_{m+1}(S/I) = \sum_{i=0}^{m+1} \beta_j^{m+1}(S/I).$ 33 34 35 Since  $I \neq S$  it follows that  $1 \notin I$  and thus  $\beta_0^{m+1}(S/I) = \alpha_0(S/I) = 1$ . The above identity implies that there exists some  $1 \leq k \leq m+1$  with  $\beta_k^{m+1}(S/I) < 0$  and therefore hdepth $(S/I) \leq m$ , as required. 36 37 Also, we will make use of the well known fact that 38 39 (1.4)hdepth(J/I) > sdepth(J/I).40 In Section 3 of our paper we study the Hilbert depth of S/I, where I is a squarefree monomial ideal with linear quotients. In Proposition 3.2 we compute the numbers  $\beta_k^d(I)$ 's and  $\beta_k^d(S/I)$ 's. In 42 Corollary 3.3, we express these numbers in combinatorial terms, thus showing the difficulty in 43 finding explicit formulas for hdepth(I) and hdepth(S/I). 44 The main result of this section is Theorem 3.4, in which we show that if I is a squarefree 45 monomial ideal with linear quotients with depth(S/I) = n - 2 then 46 hdepth(S/I) = sdepth(S/I) = depth(S/I) = n - 2.47

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### 2. Main results

2 3 4 5 6 7 8 9 Let  $I \subset S$  be a monomial ideal and let G(I) be the set of minimal monomial generators of I. We recall that I has *linear quotients*, if there exists a linear order  $u_1 \leq u_2 \leq \cdots \leq u_m$  on G(I), such that for every  $2 \le j \le m$ , the ideal  $(u_1, \ldots, u_{j-1}) : u_j$  is generated by a subset of  $n_j$  variables. We let  $I_j := (u_1, ..., u_j)$ , for  $1 \le j \le m$ . Let  $Z_1 = \{x_1, ..., x_n\}$  and  $Z_j = \{x_i : x_i \notin (I_{j-1} : u_j)\}$  for  $2 \le j \le m$ . Note that, for any  $2 \le j \le m$ , we have  $I_i/I_{i-1} = u_i(S/(I_{i-1}:u_i)) = u_iK[Z_i].$ 10 Hence the ideal I has the Stanley decomposition 11  $I = u_1 K[Z_1] \oplus u_2 K[Z_2] \oplus \cdots \oplus u_m K[Z_m].$ (2.1)12 13 According to [10, Corollary 2.7], the projective dimension of S/I is 14  $pd(S/I) = max\{n_j : 2 \le j \le m\} + 1.$ 15 Hence, Ausländer-Buchsbaum formula implies that 16 (2.2)17  $depth(S/I) = n - \max\{n_j : 2 \le j \le m\} - 1 = \min\{n - n_j : 2 \le j \le m\} - 1 = \min\{|Z_j| : 2 \le j \le m\} - 1.$ 18 Note that, (2.1) and (2.2) implies sdepth I > depth I, a fact which was proved in [11]. We recall the 19 20 following results: 21 **Proposition 2.1.** *Let*  $I \subset S$  *be a monomial ideal and*  $u \in S \setminus I$  *a monomial. Then:* 22 (1) depth(S/(I:u))  $\geq$  depth(S/I). ([9, Corollary 1.3]) 23 (2) sdepth(S/(I:u))  $\geq$  sdepth(S/I). ([5, Proposition 2.7(2)]) 24 25 **Proposition 2.2.** Let  $0 \to U \to M \to N \to 0$  be a short exact sequence of finitely generated  $\mathbb{Z}^n$ -26 graded S-modules. Then  $sdepth(M) \ge min\{sdepth(U), sdepth(N)\}$ . ([9, Lemma 2.2]) 27 Note that, a proper monomial ideal  $I \subset S$  is principal if and only if depth(S/I) = n - 1 if and 28 only if sdepth(S/I) = n - 1. 29 <u><sup>30</sup></u> Lemma 2.3. Let  $I \subset S$  be a monomial ideal with linear quotients with depth(S/I) = n - 2. Then 31 there exists some  $i \in [n]$  and a monomial  $u \in G(I)$  such that  $(I, x_i) = (u, x_i)$  and  $(I : x_i)$  has linear 32 quotients. 33 *Proof.* If n = 2 and I = S then  $u = 1 \in G(I)$  and the assertion is obvious. Hence, we may assume 34

that *I* is proper. First, note that *I* is not principal. Since *I* has linear quotients, we can assume that  $G(I) = \{u_1, \ldots, u_m\}$  such that  $((u_1, \ldots, u_{j-1}) : u_j)$  is generated by variables, for every  $2 \le j \le m$ . We consider the decomposition (2.1), that is

$$I = u_1 K[Z_1] \oplus u_2 K[Z_2] \oplus \cdots \oplus u_m K[Z_m]$$

where  $Z_1 = \{x_1, \dots, x_n\}$  and  $Z_j$  is the set of variables which do not belong to  $((u_1, \dots, u_{j-1}) : u_j)$ , for  $2 \le j \le m$ . From (2.2), it follows that  $|Z_j| = n - 1$  for  $2 \le j \le m$ . Since  $((u_1, \dots, u_{j-1}) : u_j) = \frac{42}{43}$   $(x_i : x_i \notin Z_j)$ , it follows that for any  $2 \le j \le m$  we have

 $(u_1,\ldots,u_{j-1})\cap u_jK[Z_j] = \{0\}.$ 

We assume, by contradiction, that for any  $i \in [n]$  there exists  $k_i > \ell_i \in [m]$  such that  $x_i \nmid u_{\ell_i}$ . We claim that  $x_i \in Z_{k_i}$ . Indeed, otherwise  $Z_{k_i} = \{x_1, \dots, x_n\} \setminus \{x_i\}$  and therefore  $u_{k_i}u_{\ell_i} \in u_{\ell_i}S \cap u_{k_i}K[Z_{k_i}]$ , a contradiction to (2.3) for  $j = k_i$ .

Without any loss of generality, we can assume  $k_n = \max\{k_i : i \in [n]\}$ . Since  $x_n \in Z_{k_n}$ , it follows that  $Z_{k_n} = \{x_1, \dots, x_n\} \setminus \{x_t\}$  for some  $t \le n-1$ . Since  $x_t \in Z_{k_t}$  it follows that  $k_t < k_n$  and, moreover,  $x_t \nmid u_{k_t}$ , that is  $u_{k_t} \in K[Z_{k_n}]$ . Therefore  $u_{k_t}u_{k_n} \in u_{k_t}S \cap u_{k_n}K[Z_{k_n}]$ , a contradiction to (2.3) for  $j = k_n$ . Thus, that there exists  $i \in [n]$  such that for any  $k_i > \ell_i \in [m]$ ,  $x_i \mid u_{\ell_i}$ . It implies that  $x_i \mid u_j$  for  $j = 1, \dots, m-1$ . It follows that  $(I : x_i) = (u'_1, \dots, u'_m)$  where  $u'_j = u_j/x_i$  for  $j = 1, \dots, m-1$  and  $u'_m = u_m$  if  $x_i \nmid u_m$  and  $u'_m = u_m/x_i$  if  $x_i \mid u_m$ . It is clear that  $\{u'_1, \dots, u'_{m-1}\} \subset G(I : x_i)$  and

(2.4) 
$$((u'_1, \dots, u'_{j-1}) : u'_j) = ((u_1, \dots, u_{j-1}) : u_j) \text{ for all } 2 \le j \le m-1.$$

9 We have  $u'_m \in (u_m : x_i) \subseteq (I : x_i)$ . If  $u'_m \notin G(I : x_i)$  then  $G(I : x_i) = \{u'_1, \dots, u'_{m-1}\}$  and, from (2.4), 10 it follows that  $(I : x_i)$  has linear quotients. On the other hand, assume  $u'_m \in G(I : x_i)$  then we 11 claim that  $x_i \mid u_m$ , hence  $((u'_1, \dots, u'_{m-1}) : u'_m) = ((u_1, \dots, u_{m-1}) : u_m)$  and, again, from (2.4), it 12 follows that  $(I : x_i)$  has linear quotients. Indeed, otherwise,  $u'_m = u_m \in G(I : x_i)$  and  $G(I : x_i) =$ 13  $\{u'_1, \dots, u'_{m-1}, u_m\}$ , then for  $1 \le j \le m-1$  there exists  $\ell_j \in [m] \setminus [i]$  such that  $x_{\ell_j} \mid u'_j$  and  $x_{\ell_j} \nmid u_m$ , 14 and thus  $x_{\ell_j}, x_i \in \text{supp}(u_i) \setminus \text{supp}(u_m)$ , which contradicts that  $((u_1, \dots, u_{m-1}) : u_m)$  is generated by 15 variables.

Theorem 2.4. Let  $I \subset S$  be a monomial ideal with linear quotients. If depth(S/I) = n - 2, then sdepth(S/I) = n - 2.

<sup>19</sup> *Proof.* If n = 2 then S/I = 0 and there is nothing to prove, so we may assume  $n \ge 3$  and I is proper <sup>20</sup> with  $G(I) = \{u_1, \ldots, u_m\}$  for some  $m \ge 2$ . We use induction on m and  $d = \sum_{j=1}^m \deg(u_i)$ . If m = 2, <sup>21</sup> then from [4, Proposition 1.6] it follows that sdepth(S/I) = n - 2. If d = 2, then I is generated by <sup>22</sup> two variables and there is nothing to prove.

Assume m > 2 and d > 2. According to Lemma 2.3, there exist  $i \in [n]$  such that  $(I, x_i) = (u_m, x_i)$ . Since  $(I, x_i) = (u_m, x_i)$ , from [4, Proposition 1.2] it follows that sdepth $(S/(I, x_i)) \ge n - 2$ . If  $(I : x_i)$ is principal, then sdepth $(S/(I : x_i)) = depth(S/(I : x_i)) = n - 1$ .

Assume that  $(I : x_i)$  is not principal. We have that depth $(S/(I : x_i)) \le n-2$ . On the other hand, by Proposition 2.1(1) we have depth $(S/(I : x_i)) \ge depth(S/I) = n-2$  and thus depth $(S/(I : x_i)) = n-2$ . From the proof of Lemma 2.3, we have  $G(I : x_i) \subset \{u_1/x_i, \dots, u_{m-1}/x_i, u_m\}$ . It follows that

$$\frac{29}{30}$$

$$d' := \sum_{u \in G(I:x_i)} \deg(u) < d$$

 $\overline{}_{32}$  thus, by induction hypothesis, we have sdepth $(S/(I:x_i)) = n - 2$ . In both cases,

$$\operatorname{sdepth}(S/(I:x_i)) \ge n-2.$$

 $\overline{_{35}}$  From Proposition 2.2 and the short exact sequence

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$$0 \to S/(I:x_i) \to S/I \to S/(I,x_i) \to 0,$$

it follows that sdepth $(S/I) \ge \min\{\text{sdepth}(S/(I:x_i)), \text{sdepth}(S/(I,x_i))\} \ge n-2$ . Since *I* is not principal, it follows that sdepth(S/I) = n-2, as required.

<sup>40</sup> **Lemma 2.5.** Let  $I \subset S$  be a monomial ideal and  $u \in S$  a monomial with  $(I : u) = (x_1, ..., x_m)$ . <sup>41</sup> Assume that S/I has a Stanley decomposition

ch that there exists  $i_0$  with  $Z_{i_0} = \{x_{m+1}, \dots, x_n\}$  and  $v_{i_0} \mid u$ . Then:  $sdepth(S/(I, u)) \ge \min\{sdepth(\mathcal{D}), n-m-1\}.$ 

<sup>1</sup> *Proof.* If sdepth(*S*/*I*) = 0 or *m* = *n* − 1, then there is nothing to prove. We assume that sdepth(*S*/*I*) ≥ <sup>2</sup> 1 and *m* ≤ *n* − 2. Since *S*/(*I* : *u*) = *S*/(*x*<sub>1</sub>,...,*x<sub>m</sub>*)  $\cong$  *K*[*x<sub>m+1</sub>,...,<i>x<sub>n</sub>*], from the short exact sequence <sup>3</sup>  $0 \rightarrow S/(I : u) \xrightarrow{\cdot u} S/I \longrightarrow S/(I, u) \longrightarrow 0$ ,

$$\frac{6}{2} (2.6) \qquad S/I \cong S/(I,u) \oplus uK[x_{m+1},\ldots,x_n].$$

From our assumption,  $uK[x_{m+1}, \ldots, x_n] = uK[Z_{i_0}] \subset v_{i_0}K[Z_{i_0}]$ . Hence, from (2.5) and (2.6) it follows that

$$(2.7) \qquad S/(I,u) \cong \left(\bigoplus_{i \neq i_0} v_i K[Z_i]\right) \oplus \frac{v_{i_0} K[Z_{i_0}]}{u K[Z_{i_0}]} \cong \left(\bigoplus_{i \neq i_0} v_i K[Z_i]\right) \oplus \frac{K[x_{m+1}, \dots, x_n]}{w_0 K[x_{m+1}, \dots, x_n]},$$

where  $w_0 = \frac{u}{v_{i_0}}$ . On the other hand, sdepth  $\left(\frac{K[x_{m+1},...,x_n]}{w_0K[x_{m+1},...,x_n]}\right) = n - m - 1$ . Hence (2.7) and Proposition 2.2 yields the required conclusion.

Theorem 2.6. Let  $I \subset S$  be a monomial ideal with linear quotients,  $G(I) = \{u_1, \ldots, u_m\}$ . Let  $I_j = (u_1, \ldots, u_j)$  for  $1 \leq j \leq m$ , such that  $(I_{j-1} : u_j) = (\{x_1, \ldots, x_n\} \setminus Z_j)$ , where  $Z_j \subset \{x_1, \ldots, x_n\}$ , for all  $2 \leq j \leq m$ .

We assume that for any  $2 \le j \le m$ , there exists a Stanley decomposition  $\mathcal{D}_{j-1}$  of  $S/I_{j-1}$  such that sdepth $(\mathcal{D}_{j-1}) =$  sdepth $(S/I_{j-1})$  and there exists a Stanley subspace  $w_{j-1}K[W_{j-1}]$  of  $\mathcal{D}_{j-1}$  with  $w_{j-1} \mid u_j$  and  $W_{j-1} = Z_j$ .

 $\operatorname{sdepth}(S/I_i) = \operatorname{sdepth}(S/(I_{i-1}, u_i)) \ge \min\{\operatorname{sdepth}(\mathcal{D}_{i-1}), n - n_i - 1\}$ 

Then sdepth
$$(S/I) \ge depth(S/I)$$
.

 $\frac{23}{24}$  *Proof.* From the hypothesis and Lemma 2.5, we have that

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$$= \min\{\operatorname{sdepth}(S/I_{i-1}), n - n_i - 1\}\}, \text{ for all } 2 \le j \le m,$$

(2.8)  $= \min\{\operatorname{sdepth}(S/I_{j-1}), n-n_j-1\}\}, \text{ for all } 2 \le j \le j$ 

where  $n_j = n - |Z_j|$ ,  $1 \le j \le m$ . On the other hand, according to (2.2),

(2.9) 
$$\operatorname{depth}(S/I) = \min_{j=2}^{m} \{n - n_j - 1\}$$

<sup>31</sup><sub>32</sub> Since sdepth( $S/I_1$ ) = depth( $S/I_1$ ) = n - 1, by applying repeatedly (2.8) we deduce that

$$\operatorname{sdepth}(S/I) = \operatorname{sdepth}(S/I_m) \ge \min_{j=2}^m \{n - n_j - 1\}.$$

 $\frac{34}{35}$  Hence, from (2.9) we get the required conclusion.

<sup>36</sup> Example 2.7. Let  $I = (x_1^2, x_1 x_2^2, x_1 x_2 x_3^2) \subset S = K[x_1, x_2, x_3, x_4]$ . Let  $u_1 = x_1^2$ ,  $u_2 = x_1 x_2^2$  and  $u_3 = x_1 x_2 x_3^2$ . Since  $((u_1) : u_2) = (x_1)$  and  $((u_1, u_2) : u_3) = (x_1, x_2)$ , it follows that *I* has linear quotients with repect to the order  $u_1 \leq u_2 \leq u_3$ . Moreover,

$$I = u_1 K[Z_1] \oplus u_2 K[Z_2] \oplus u_3 K[Z_3] = x_1^2 K[x_1, x_2, x_3, x_4] \oplus x_1 x_2^2 K[x_2, x_3, x_4] \oplus x_1 x_2 x_3^2 K[x_3, x_4].$$

<u>41</u> Let  $I_1 = (u_1)$  and  $I_2 = (u_1, u_2)$ . We consider the Stanley decomposition

$$\mathscr{D}_1: S/I_1 = K[x_2, x_3, x_4] \oplus x_1 K[x_2, x_3, x_4],$$

<sup>43</sup>/<sub>44</sub> of  $S/I_1$  with sdepth( $\mathcal{D}_1$ ) = sdepth( $S/I_1$ ) = 3. Let  $w_1 = x_1$  and  $W_1 = \{x_2, x_3, x_4\}$ . Clearly,  $W_1 = Z_2$ and  $w_1 \mid u_2$ . As in the proof of Lemma 2.5, we obtain the Stanley decomposition

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1 of  $S/I_2$  with sdepth( $\mathcal{D}_2$ ) = sdepth( $S/I_2$ ) = 2. Let  $w_2 = x_1x_2$  and  $W_2 = \{x_3, x_4\}$ . Clearly,  $W_2 = Z_3$  and  $w_2 \mid u_3$ . Hence, according to Theorem 3 4 2.6, sdepth $(S/I) \ge$  depth(S/I) = 1. Note that  $\mathscr{D} : S/I = K[x_2, x_3, x_4] \oplus x_1 K[x_3, x_4] \oplus x_1 x_2 K[x_4] \oplus x_1 x_2 x_3 K[x_4],$ 5 6 is a Stanley decomposition of S/I with sdepth( $\mathcal{D}$ ) = 1 and thus sdepth(S/I)  $\geq$  1. 7 8 On the other hand, since  $(x_1, x_2, x_3)$  is an associated prime to S/I, it follows that sdepth $(S/I) \le 1$ and thus sdepth(S/I) = 1. Finally, note that 9 depth $(S/I_2) = 2$ ,  $(I_2, x_1) = (x_1)$  and  $(I_2 : x_1) = (x_1, x_2^2)$ . 10 In particular, we have sdepth $(S/(I_2:x_1)) = depth(S/(I_2:x_1)) = 2$ , while sdepth $(S/(I_2,x_1)) =$ 11  $depth(S/(I_2, x_1)) = 3.$ 12 13 We propose the following conjecture: 14 **Conjecture 2.8.** If  $I \subset S$  is a proper monomial ideal with linear quotients, then there exists  $i \in [n]$ 15 such that depth( $S/(I, x_i)$ )  $\geq$  depth(S/I). 16 17 The following result is well know in literature. However, in order of completeness, we give a 18 proof. 19 **Lemma 2.9.** Let  $I \subset S$  be a monomial ideal with linear quotients and  $x_i$  a variable. Then  $(x_i, I)$  has 20 linear quotients. Moreover, if  $S' = K[x_1, \ldots, x_{i-1}, x_{i+1}, \ldots, x_n]$ , then  $(x_i, I) = (x_i, J)$ , where  $J \subset S'$  is 21 a monomial ideal with linear quotients. 22 23 *Proof.* We consider the order  $u_1 \leq u_2 \leq \cdots \leq u_m$  on G(I), such that, for every  $2 \leq j \leq m$ , the ideal 24  $(I_{i-1}: u_i)$  is generated by a nonempty subset  $\bar{Z}_i$  of variables. We assume that  $u_{i_1} \leq u_{i_2} \leq \cdots \leq u_{i_n}$ 25 are the minimal monomial generators of I which are not multiple of  $x_i$ . We have that  $((x_i) : u_{i_1}) =$ 26 (*x<sub>i</sub>*). Also, for  $2 \le k \le p$ , we claim that 27 (2.10) $((x_i, u_{j_1}, \dots, u_{j_{k-1}}) : u_{j_k}) = (x_i, \overline{Z}_{j_k}).$ 28 29 Indeed, since  $((u_1,\ldots,u_{j_k-1}):u_{j_k}) = (\bar{Z}_{j_k})$  and  $x_i u_{j_k} \in (x_i,u_{j_1},\ldots,u_{j_{k-1}})$  it follows that  $(x_i,\bar{Z}_{j_k}) \subset (x_i,u_{j_k})$ 30  $((x_i, u_{j_1}, \dots, u_{j_{k-1}}) : u_{j_k})$ . Conversely, assume that  $v \in S$  is a monomial with  $vu_{j_k} \in (x_i, u_{j_1}, \dots, u_{j_{k-1}}) =$ <sup>31</sup> (*x<sub>i</sub>*, *u*<sub>1</sub>,..., *u<sub>j<sub>k</sub>-1*). If *x<sub>i</sub>* ∤ *v*, then *vu<sub>j<sub>k</sub></sub>* ∈ (*u*<sub>1</sub>,..., *u<sub>j<sub>k</sub>-1</sub>*), hence *v* ∈ ( $\bar{Z}_{j_k}$ ). If *x<sub>i</sub>* | *v*, then *v* ∈ (*x<sub>i</sub>*,  $\bar{Z}_{j_k}$ ).</sub> <sup>32</sup> Hence the claim (2.10) is true and therefore  $(x_i, I)$  has linear quotients. Now, let  $J = (u_{j_1}, \dots, u_{j_n})$ . 33 For any  $2 \le k \le p$ , we have that 34  $((u_{i_1},\ldots,u_{i_{k-1}}):u_{i_k}) \subset ((u_1,\ldots,u_{i_k-1}):u_{i_k}) = (\bar{Z}_{i_k}).$ (2.11)35 From (2.10) and (2.11), one can easily deduce that  $((u_{j_1}, ..., u_{j_{k-1}}) : u_{j_k}) = (\bar{Z}_{j_k} \setminus \{x_i\})$ . Hence, J 36 37 has linear quotients. 38 **Remark 2.10.** Let  $I \subset S$  be a monomial ideal with linear quotients,  $G(I) = \{u_1, \ldots, u_m\}, I_i =$ 39  $(u_1, ..., u_j)$  for  $1 \le j \le m$ , such that  $(I_{j-1} : u_j) = (\{x_1, ..., x_n\} \setminus Z_j)$ , where  $Z_j \subset \{x_1, ..., x_n\}$ , for 40 all  $2 \le j \le m$ . *I* has the Stanley decomposition: 41  $I = u_1 K[Z_1] \oplus u_2 K[Z_2] \oplus \cdots \oplus u_m K[Z_m],$ 42 43 where  $Z_1 = \{x_1, \dots, x_n\}$ . We have that 44 depth(S/I) = n - s - 1, where  $n - s = \min\{|Z_i| : 1 \le i \le m\}$ . 45 <sup>46</sup> We claim that Conjecture 2.8 is equivalent to the fact that there exists  $i \in [n]$  such that there is no 47  $1 \le j \le m$  with  $x_i \nmid u_j, x_i \in Z_j$  and  $|Z_j| = n - s$ . Indeed, with the notations of Lemma 2.9, if there is

<sup>1</sup> some  $u_{j_k}$  with  $x_i \nmid u_{j_k}$  and  $x_i \in Z_{j_k}$  then  $u_{j_k}K[Z_{j_k} \setminus \{x_i\}]$  is a subspace in the decomposition of the 

$$depth(S/(I,x_i)) = depth(S'/J) \le (n-1) - s - 1 = n - s - 2 < depth(S/I)$$

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We propose a stronger form of Conjecture 2.8.

8 **Conjecture 2.11.** If  $I \subset S$  is a proper monomial ideal with linear quotients, then there exists  $i \in [n]$ 9 such that:

10 i) depth( $S/(I, x_i)$ )  $\geq$  depth(S/I) and

ii) sdepth $(S/(I, x_i)) \leq$  sdepth(S/I).

12 Note that, if  $x_i$  is a minimal generator of I, then conditions i) and ii) from Conjecture 2.11 are 13 trivial. 14

<sup>15</sup> **Theorem 2.12.** If Conjecture 2.11 is true and  $I \subset S$  is a proper monomial ideal with linear quotients, <sup>16</sup> then sdepth $(S/I) \ge depth(S/I)$ .

17 *Proof.* We use induction on  $n \ge 1$ . If n = 1 then there is nothing to prove. Assume  $n \ge 2$ . Let  $I \subset S$ 18 be a monomial ideal with linear quotients and let  $i \in [n]$  such that depth $(S/(I, x_i)) \ge depth(S/I)$  and 19  $sdepth(S/(x_i, I)) \leq sdepth(S/I)$ . We consider the short exact sequence 20

$$\underbrace{\overset{\mathbf{21}}{22}}_{\mathbf{22}}(2.12) \qquad \qquad 0 \to \frac{S}{(I:x_i)} \to \frac{S}{I} \to \frac{S}{(I,x_i)} \to 0.$$

<sup>23</sup> Let  $S' := K[x_1, \ldots, x_{i-1}, x_{i+1}, \ldots, x_n]$ . According to Lemma 2.9,  $(x_i, I) = (x_i, J)$  where  $J \subset S'$  is a 24 monomial ideal with linear quotients. Note that: 25

sdepth
$$(S/(x_i, I))$$
 = sdepth $(S/(x_i, J))$  = sdepth $(S'/J)$  and depth $(S/(I, x_i))$  = depth $(S'/J)$ .

<sup>27</sup> From the induction hypothesis, we have sdepth $(S'/J) \ge depth(S'/J)$ . It follows that:

$$\operatorname{sdepth}(S/I) \ge \operatorname{sdepth}(S/(I,x_i)) = \operatorname{sdepth}(S'/J)$$
  
 $\ge \operatorname{depth}(S'/J) = \operatorname{depth}(S/(I,x_i)) \ge \operatorname{depth}(S/I),$ 

31 as required.

32 **Remark 2.13.** Note that, if  $I \subset S$  has linear quotients, then  $(I : x_i)$  has not necessarily the same 33 property. For example, the ideal  $I = (x_1x_2, x_2x_3x_4, x_3x_4x_5) \subset K[x_1, \dots, x_5]$  has linear quotients, but 34  $(I:x_5) = (x_1x_2, x_3x_4)$  has not. Henceforth, in the proof of Theorem 2.6, we cannot argue, inductively, 35 that sdepth( $S/(I:x_i)$ )  $\geq$  depth( $S/(I:x_i)$ ). 36

### 3. Remarks on the Hilbert depth

<u><sup>39</sup></u> Let  $I = (u_1, \ldots, u_m) \subset S$  be a proper squarefree monomial with linear quotients, where  $(u_1, \ldots, u_{i-1})$ :  $\frac{40}{2}$   $u_i$  is generated by variables for any  $2 \le i \le m$ . As we seen in the previous section, I has a 41 decomposition 42

$$I = u_1 K[Z_1] \oplus u_2 K[Z_2] \oplus \cdots \oplus u_m K[Z_m].$$

44 Moreover, since *I* is squarefree,  $Z_1 = \{x_1, \dots, x_n\}$  and, for  $2 \le i \le m$ ,  $Z_i$  consists in the variables 45 which are not in  $(u_1, \ldots, u_{i-1}) : u_i$ , it follows that supp $(u_i) \subset Z_i$  for all  $1 \le i \le m$ . Therefore, if we 46 denote  $d_i = \deg(u_i)$  and  $n_i = |Z_i|$ , then  $d_i \le n_i$ , for all  $1 \le i \le m$ .

We use the convention  $\binom{r}{s} = 0$  for s < 0. 47

1 Lemma 3.1. With the above notations, we have that:

 $\begin{array}{l} \frac{2}{3} \\ \frac{3}{4} \\ \frac{4}{5} \end{array} (1) \ \alpha_j(I) = \sum_{i=1}^m \binom{n_i - d_i}{j - d_i} \text{ for all } 0 \le j \le n. \\ \begin{array}{l} (2) \ \alpha_j(S/I) = \binom{n}{j} - \sum_{i=1}^m \binom{n_i - d_i}{j - d_i} \text{ for all } 0 \le j \le n. \\ \end{array}$ 

<u>6</u> *Proof.* (1) For convenience, we assume that  $u_1 = x_1 x_2 \cdots x_p$  for some  $p \le n$ . For  $j \ge p$ , a squarefree 7 monomial of degree j in  $u_1 K[Z_1] = u_1 K[x_1, \dots, x_n]$  is of the form  $v = u_1 w$ , where  $w \in K[x_{p+1}, \cdots, x_n]$ 8 is squarefree of degree j - p. Hence, there are  $\binom{n-p}{j-p} = \binom{n_1-d_1}{j-d_1}$  such monomials. Similarly, there are 9  $\binom{n_i-d_i}{j-d_i}$  squarefree monomials of degree j in  $u_i K[Z_i]$  for all  $2 \le i \le m$ . Hence, we get the required 10 conclusion from (3.1).

 $\frac{11}{12}$  (2) It follows immediately from (1).

We recall the following combinatorial identity, which can be easily derived from the Chu-Vandermonde identity

 $\square$ 

$$\sum_{j=0}^{15} (3.2) \qquad \qquad \sum_{j=0}^{k} (-1)^{k-j} \binom{d-j}{k-j} \binom{n}{j} = \binom{n-d+k-1}{k}.$$

Now, we state the following result, which follows immediately from Lemma 3.1 and (3.2):

<sup>19</sup>/<sub>20</sub> **Proposition 3.2.** With the above notations, we have that:

$$(1) \quad \beta_k^d(I) = \sum_{i=1}^m \sum_{j=0}^k (-1)^{k-j} {\binom{d-j}{k-j}} {\binom{n_i-d_i}{j-d_i}} \text{ for all } 0 \le k \le d \le n.$$

$$(2) \quad \beta_k^d(S/I) = {\binom{n-d+k-1}{k}} - \sum_{i=1}^m \sum_{j=0}^k (-1)^{k-j} {\binom{d-j}{k-j}} {\binom{n_i-d_i}{j-d_i}} \text{ for all } 0 \le k \le d \le n.$$

If  $k \ge D$  then, using (3.2) and taking  $\ell = j - D$  we get (3.3)

$$\frac{27}{28} \sum_{j=0}^{k} (-1)^{k-j} \binom{d-j}{k-j} \binom{N-D}{j-D} = \sum_{\ell=0}^{k-D} (-1)^{k-D-\ell} \binom{d-D-\ell}{k-D-\ell} \binom{N-D}{\ell} = \binom{N-d+k-D-1}{k-D}.$$

 $\frac{1}{30}$  Note that (3.3) is trivially satisfied for k < D also.

From Proposition 3.2 and (3.3) we get the following:

<sup>32</sup> Corollary 3.3. With the above notations, we have that:

(1)  $\beta_k^d(I) = \sum_{k=-d}^m {n_i - d + k - d_i - 1 \choose k - d_i}$  for all  $0 \le k \le d \le n$ .

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(2) 
$$\beta_k^d(S/I) = \binom{n-d+k-1}{k} - \sum_{i=1}^m \binom{n_i-d+k-d_i-1}{k-d_i}$$
 for all  $0 \le k \le d \le n$ .

The problem of computing hdepth(*I*) and hdepth(*S*/*I*) using directly the formulas given in Corollary 3.3 seems hopeless. However, we can tackle the following particular case:

Theorem 3.4. Let  $I \subset S$  be a proper squarefree monomial ideal with linear quotients with depth $(S/I) = \frac{1}{41} n - 2$ . Then

$$hdepth(S/I) = sdepth(S/I) = n - 2.$$

 $\frac{43}{44}$  *Proof.* From Theorem 2.4 and (1.4) it follows that

hdepth
$$(S/I) \ge$$
 sdepth $(S/I) = n - 2$ .

46 Hence, in order to complete the proof it is enough to show that hdepth $(S/I) \le n-2$ . If  $\alpha_{n-1}(S/I) =$ 

47 0 then, according to (1.3), there is nothing to prove.

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Suppose that  $\alpha_{n-1}(S/I) = s > 0$ . From [12, Lemma 2.1] we can assume that  $\deg(u_1) \le \deg(u_2) \le 1$ <sup>2</sup> ···  $\leq \deg(u_m)$ , where  $u_1 \leq u_2 \leq \cdots \leq u_m$  is the linear order on G(I). If m = 1 then  $I = (u_1)$  is principal, a contradiction with the hypothesis depth(S/I) = n - 2.

Note that, if  $x_1x_2\cdots x_n \in G(I)$  then, since *I* has linear quotients, it follows that  $u_1 = x_1x_2\cdots x_n$ and  $I = (u_1)$ , a contradiction. Therefore 6

$$\deg(u_1) \leq \deg(u_2) \leq \cdots \leq \deg(u_m) \leq n-1.$$

We claim that deg $(u_1) \ge s$ . Assume by contradiction that deg $(u_1) = \ell < s$  and let's say that 9  $u_1 = x_1 \cdots x_\ell$ . Then  $v_k = x_1 \cdots x_n / x_k \in I$  for all  $\ell < k \le n$  and thus  $\alpha_{n-1}(S/I) \le \ell$ , a contradiction. In particular, we have  $\alpha_j(S/I) = \binom{n}{j}$  for all  $j \le s - 1$  and thus, from (1.1) and (3.2), it follows that 11

(3.4) 
$$\beta_k^{n-1}(S/I) = \sum_{j=0}^k (-1)^{k-j} \binom{n-1-j}{k-j} \binom{n}{j} = 1 \text{ for all } k \le s-1.$$

<sup>14</sup> We assume by contradiction that hdepth(S/I) = n - 1. From (1.2), it follows that 15

(3.5) 
$$s = \alpha_{n-1}(S/I) = \sum_{j=0}^{n-1} \beta_j^{n-1}(S/I) \text{ with } \beta_j^{n-1}(S/I) \ge 0.$$

Therefore, from (3.4) we get

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$$\beta_j^{n-1}(S/I) = 0 \text{ for all } s \le j \le n-1.$$

<sup>21</sup> From (1.2), (3.4) and (3.6) it follows that

(3.7) 
$$\alpha_k(S/I) = \sum_{j=0}^k \beta_j^{n-1}(S/I) \binom{n-1-j}{k-j} = \binom{n}{k} - \binom{n-s}{k-s} \text{ for all } 0 \le k \le n.$$

 $\frac{25}{2}$  From Lemma 3.1(2) and (3.7) it follows

$$\sum_{i=1}^{26} \binom{n_i - d_i}{s - d_i} = 1$$

29 Since  $s \le d_1 \le d_2 \le \cdots \le d_m$  and  $d_i \le n_i$  for all  $1 \le i \le m$ , from (3.8) it follows that  $d_1 = s$  and 30  $d_i > s$  for  $2 \le i \le m$ . Since  $n_1 = n$ , from Lemma 3.1(2) it follows that

$$\begin{array}{l} \frac{31}{32} \\ \frac{32}{32} \\ \frac{33}{34} \end{array} \alpha_{d_2}(S/I) = \binom{n}{d_2} - \sum_{i=1}^m \binom{n_i - d_i}{d_2 - d_i} \le \binom{n}{d_2} - \binom{n - d_1}{d_2 - d_1} - \binom{n_2 - d_2}{0} = \binom{n}{d_2} - \binom{n - s}{d_2 - s} - 1, \\ \frac{33}{34} \\ \frac{34}{34} \end{array}$$
 which contradicts (3.7).

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