# NEW CHARACTERIZATIONS OF COMPLETENESS AND HAUSDORFF **UNIFORMITY**

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ABSTRACT. Let  $(X, \mathcal{U})$  be a uniform space and  $(K(X), \mathcal{U}_H)$  be a hyperspace of non-empty compact subsets of X equipped with the Hausdorff uniformity. We show that  $(X, \mathcal{U})$  is complete iff every  $\mathcal{U}_H$ -Cauchy net converges to its Kuratowski-Painlevé limit in  $(K(X), \mathcal{U}_H)$ . We also show that a metrizable locally convex space X is complete, iff for every compact subset of X, its closed convex hull is also compact. This is not necessarily true for non-metrizable spaces.

### 1. Introduction

28 In this paper, we present a novel proof demonstrating the completeness of a uniform space  $(X,\mathcal{U})$  if and only if  $(K(X),\mathcal{U}_H)$  is complete, where  $(K(X),\mathcal{U}_H)$  is a hyperspace of non-empty  $\overline{30}$  compact subsets of X equipped with the Hausdorff uniformity.

The definition of Hausdorff uniformity is based on the idea of Hausdorff distance. Let (X,d)be a metric space and  $A, B \subseteq X$ . The excess of a set A over B is  $e(A, B) = \sup\{d(a, B) : a \in A\}$ . Pompeiou [16] has considered the distance of two sets defined as e(A,B) + e(B,A). Later development led to a slightly different Hausdorff distance defined as

$$\rho_H(A,B) = \max\{e(A,B), e(B,A)\} = \inf\{\varepsilon > 0 : A \subseteq S_\varepsilon(B) \text{ and } B \subseteq S_\varepsilon(A)\},\$$

where  $S_{\varepsilon}(A)$  is an  $\varepsilon$ -enlargement of the set A. Note that Hausdorff distance can be infinite for unbounded sets. The result by Hahn [6] asserts that if X is a complete metric space, then the

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<sup>42</sup> Kuratowski-Painlevé limit.

<sup>1</sup> space of its closed and bounded subsets, equipped with the Hausdorff distance, is complete, <sup>2</sup> too. This also holds for the space CL(X), of all non-empty closed subsets of X. The classical <sup>3</sup> proof of the completeness of the Hausdorf distance in the metrizable setting shows that the <sup>4</sup> Kuratowksi-Painleve limit superior of a Cauchy sequence of non-empty closed sets is non-empty <sup>5</sup> and then that the sequence actually converges to this limit superior. A good source for this <sup>6</sup> proof is [13], and another earlier source is [2].

Another approach can be found in [1]. The assignment  $A \mapsto d(\cdot, A)$  is an isometry between  $(CL(X), \rho_H)$  and the space of distance functions equipped with a natural distance generated by the topology of uniform convergence. The function space approach to establish the completeness of  $\rho_H$  on CL(X) is based on the fact that the uniform limit of a sequence of distance functions  $(d(\cdot, A_n))$  on a complete metric space must be a distance function [1, Lemma 3.1.1].

Given a uniform space  $(X,\mathcal{U})$ , we can define a Hausdorff uniformity on the space CL(X); the 12 details are in the section below. However, completeness of X does not ensure the completeness 13 of CL(X); in fact, completeness of CL(X) amounts to supercompleteness of X, see [5, 11]. The 14 authors have found that space is supercomplete, iff it is paracompact, and the coarsest *locally* 15 fine uniformity finer than the original uniformity is fine. One can easily find a complete uniform 16 space that is not paracompact and hence not supercomplete. This leaves the question whether 17 K(X) is always complete, provided X is complete. While Morita previously established this 18 result in [15], our proof offers a more constructive approach, showing that in a complete uniform 19 space  $(X, \mathcal{U})$  every  $\mathcal{U}_H$ -Cauchy net converges to its Kuratowski-Painlevé limit in  $(K(X), \mathcal{U}_H)$ . 20

In the second part of this paper, we investigate the interrelation between the completeness 21 of a topological vector space and the property that the closed convex hull of a compact space 22 is again compact. We can mention here that Hörmander's theorem [1, Theorem 3.2.9] ties 23 Hausdorff distance to convexity. On the other hand, the above property is important in the 24 study of spaces of minimal usco and minimal cusco maps, particularly in understanding the 25 connections between these two spaces. Also, the fact that the Hausdorff uniformity is complete 26 on the compact sets is significant in the examination of these spaces, [8, 9, 10] (the result itself 27 is used in [10]). Thus, these applications can provide another connection of this part to the 28 first one. It is worth noting that minimal usco and minimal cusco maps play a crucial role in 29 various fields, such as functional analysis, optimization, and the study of the differentiability of 30 Lipschitz functions, among others. For further applications and insights regarding these maps, 31 we refer readers to the book [7]. 32

### 2. Preliminaries

All topological spaces are assumed to be at least Hausdorff. By  $\mathbb{Z}, \mathbb{N}, \mathbb{R}, \mathbb{R}^+, \mathbb{C}$ , we will denote the sets of integers, natural numbers (positive integers), real numbers, positive real numbers, and complex numbers, respectively. They will be considered to be equipped with their usual structures when needed. We will denote uniform space as  $(X, \mathcal{U})$ , where  $\mathcal{U}$  is a uniformity (a system of entourages). We will also always assume that it is Hausdorff.

41 Whenever we consider topological vector space X, it will be over the field  $\mathbb{K}$ , that is, either 42  $\mathbb{R}$  or  $\mathbb{C}$ . The field will be mentioned only if necessary. Its zero element will be denoted as 0

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and the system of neighborhoods of 0 as  $\mathcal{N}$ . Recall that every topological vector space has a 1 compatible uniformity generated by the entourages of the form 2

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$$V_O = \{(x, y) \in X \times X : x - y \in O\},\$$

5 where  $O \in \mathcal{N}$ .

6 The symbols  $\overline{A}$  and  $\operatorname{co} A$  stand for the closure and the convex hull of the set A, respectively. Denote  $\overline{\operatorname{co} A} = \overline{\operatorname{co} A}$ , a closed convex hull of A. A subset A of a topological vector space X is <sup>8</sup> bounded (totally bounded) if for every  $O \in \mathcal{N}$ , there is  $\lambda \in \mathbb{R}^+$  (finite  $F \subseteq X$ ) such that  $A \subseteq \lambda O$ <sup>9</sup>  $(A \subseteq F + O)$ . A set  $A \subseteq Y$  is absolutely convex if it is convex and for every  $\lambda \in \mathbb{K}$ , such that 10  $|\lambda| \leq 1$  it holds  $\lambda A \subseteq A$ .

11 The topological vector space is called *locally convex*, iff  $\mathcal{N}$  has a base consisting of convex <sup>12</sup> sets. In this case, it has a base consisting of absolutely convex sets. A completely metrizable 13 locally convex topological vector space is called *Fréchet* space. For other basic notions, we refer 14 to [3, 4, 12].

15 We will denote by

16  $\mathcal{P}(X)$  the system of all subsets of X;

17 CL(X) the system of non-empty closed subsets of X;

18 K(X) the system of non-empty compact subsets of X;

19 CK(X) the system of non-empty compact and convex subsets of X.

20 Let  $(X,\mathcal{U})$  be a uniform space. We will use the Hausdorff uniformity  $\mathcal{U}_H$  on CL(X), see [14], 21 22 23 which is generated by entourages of the form

$$W_U = \{ (A,B) \in CL(X) \times CL(X) : A \subseteq U[B] \text{ and } B \subseteq U[A] \}$$

24 where  $U \in \mathcal{U}$ . Note the similarity to the alternative definition of the Hausdorff distance mentioned 25 in the introduction. Also note that we could define this uniformity on  $\mathcal{P}(X)$ , but it would not 26 necessarily have the Hausdorff property, while on CL(X), it does. It can be inherited to K(X)27 and CK(X). We will denote the topology generated by  $\mathcal{U}_H$  as  $\tau_H$ . 28

If X is a topological vector space, then we can generate Hausdorff uniformity from the 29 standard uniformity on X, which is generated from the system of neighborhoods of 0,  $\mathcal{N}$ . In 30 particular,  $\mathcal{U}_H$  has a base consisting of the elements of the form 31

$$W_O = \{ (A, B) \in CL(X) \times CL(X) : A \subseteq O + B \text{ and } B \subseteq O + A \},\$$

where  $O \in \mathcal{N}$ . Note that it is sufficient when O runs through some basis on  $\mathcal{N}$ . 34

Another well known topology on CL(X) is the Vietoris topology, denoted by  $\tau_V$ , which is 35 generated by the following subbase: 36

$$\{V^+: V \text{ is an open subset of } X\} \cup \{V^-: V \text{ is an open subset of } X\}.$$

39 where

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$$V^+ = \{A \in CL(X) : A \subseteq V\} \text{ and } V^- = \{A \in CL(X) : A \cap V \neq \emptyset\}.$$

**Proposition 2.1** ([14]). Let  $(X, \mathcal{U})$  be a uniform space, then  $\tau_H$  and  $\tau_V$  coincide on K(X). 42

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#### 3. Completeness of Hausdorff uniformity

<sup>2</sup> Let X be a topological space and  $(F_{\sigma})_{\sigma \in \Sigma}$  be a net of non-empty closed subsets of X. Then <sup>3</sup> Li  $F_{\sigma}$  is the set of all points  $x \in X$  such that for every neighborhood U of x, there is  $\sigma_0 \in \Sigma$ <sup>4</sup> such that  $F_{\sigma} \cap U \neq \emptyset$  for every  $\sigma \geq \sigma_0$ . Ls  $K_{\sigma}$  is the set of all points  $x \in X$  such that for every <sup>5</sup> neighbourhood U of x and every  $\sigma \in \Sigma$  there is  $\eta \geq \sigma$  such that  $F_{\eta} \cap U \neq \emptyset$ . One can easily see <sup>6</sup> that  $\operatorname{Li} F_{\sigma} \subseteq \operatorname{Ls} F_{\sigma}$ . If  $\operatorname{Li} F_{\sigma} = \operatorname{Ls} F_{\sigma}$ , then  $F = \operatorname{Li} F_{\sigma} = \operatorname{Ls} F_{\sigma}$  is the Kuratowski-Painlevé limit of <sup>7</sup>  $(F_{\sigma})_{\sigma \in \Sigma}$ , see [1].

9 Lemma 3.1. Let X be a regular space. Let  $(F_{\sigma})_{\sigma \in \Sigma}$  be a net of non-empty closed sets which 10 converges to a non-empty closed set F in  $(CL(X), \tau_V)$ . Then F is the Kuratowski-Painlevé limit 11 of  $(F_{\sigma})_{\sigma \in \Sigma}$ .

<sup>12</sup> *Proof.* It is easy to verify that  $F \subseteq \operatorname{Li} F_{\sigma}$ . Suppose that  $x \in F$ . We will show that  $\operatorname{Ls} F_{\sigma} \subseteq F$ . <sup>13</sup> Suppose there is  $x \in \operatorname{Ls} F_{\sigma} \setminus F$ . The regularity of X implies that there are two disjoint open sets <sup>14</sup> U, V in X such that  $x \in U$  and  $F \subseteq V$ . There is  $\sigma_0 \in \Sigma$  such that  $F_{\sigma} \subseteq V$  for every  $\sigma \geq \sigma_0$ , a <sup>15</sup> contradiction since  $x \in \operatorname{Ls} F_{\sigma}$ .

**Lemma 3.2.** Let  $(X, \mathcal{U})$  be a uniform space and  $(F_{\sigma})_{\sigma \in \Sigma}$  be a  $\mathcal{U}_H$ -Cauchy net of subsets of X. 18 Then  $\operatorname{Li} F_{\sigma} = \operatorname{Ls} F_{\sigma}$ .

<sup>19</sup> *Proof.* We want to prove that  $\operatorname{Ls} F_{\sigma} \subseteq \operatorname{Li} F_{\sigma}$ . Let  $x \in \operatorname{Ls} F_{\sigma}$  and V be an open neighborhood of <sup>20</sup> x. Let U be an open symmetric element fom  $\mathcal{U}$  such that  $(U \circ U \circ U)[x] \subseteq V$ . Since  $(F_{\sigma})_{\sigma \in \Sigma}$  is a <sup>21</sup>  $\mathcal{U}_{H}$ -Cauchy net, there is  $\sigma_{0} \in \Sigma$  such that  $F_{\sigma} \subseteq U[F_{\sigma_{0}}]$  and  $F_{\sigma_{0}} \subseteq U[F_{\sigma}]$  for every  $\sigma \geq \sigma_{0}$ . Since <sup>22</sup>  $x \in \operatorname{Ls} F_{\sigma}$ , there is  $\eta \geq \sigma_{0}$  such that  $F_{\eta} \cap U[x] \neq \emptyset$ , so  $x \in U[F_{\eta}] \subseteq (U \circ U)[F_{\sigma_{0}}] \subseteq (U \circ U \circ U)[F_{\sigma}]$ <sup>23</sup> and thus  $\emptyset \neq F_{\sigma} \cap (U \circ U \circ U)[x] \subseteq F_{\sigma} \cap V$  for every  $\sigma \geq \sigma_{0}$ .

A family  $\mathcal{A}$  of subsets of a uniform space  $(X, \mathcal{U})$  contains small sets (resp. almost small sets) iff for each  $U \in \mathcal{U}$  there are  $A \in \mathcal{A}$  and  $x \in X$  (resp. finite  $D \subseteq X$ ) such that  $A \subseteq U[x]$  (resp.  $A \subseteq U[D]$ ).

Theorem 3.3 ([12]). A uniform space is complete if and only if each family of closed sets,
which has the finite intersection property and contains small sets, has a non-empty intersection.

<sup>30</sup>  $_{31}^{31}$  Theorem 3.4. A uniform space is complete if and only if each family of closed sets, which has the finite intersection property and contains almost small sets, has a non-empty intersection.

Proof. Let  $(X, \mathcal{U})$  be a complete uniform space. Let  $\mathcal{F}$  be a family of closed sets in X, which has the finite intersection property and contains almost small sets. Let  $\mathcal{F}'$  be the family of all finite intersections of elements of  $\mathcal{F}$ . Then  $\mathcal{F}'$  is a filter base and let  $\mathcal{A}$  be an ultrafilter which contains  $\mathcal{F}'$ . We will show that  $\mathcal{A}$  contains small sets. Let  $U \in \mathcal{U}$  be arbitrary and  $V \in \mathcal{U}$  be a closed set in  $X \times X$  such that  $V \subseteq U$ . Since  $\mathcal{F}$  contains almost small sets, there is  $F \in \mathcal{F}$  such that  $F \subset V[D]$  for a finite set  $D = \{x_1, ..., x_n\}$ . Then  $F = (F \cap V[x_1]) \cup ... \cup (F \cap V[x_n]) \in \mathcal{A}$ . Since  $\mathcal{A}$  is an ultrafilter, there is  $i \in \{1, 2, ..., n\}$  such that  $F \cap V[x_i] \in \mathcal{A}$ . Since  $F \cap V[x_i] \subseteq U[x_i], \mathcal{A}$ contains small sets. Put  $\mathcal{A}' = \{\overline{A} : A \in \mathcal{A}\}$  and observe that  $\mathcal{F} \subseteq \mathcal{F}' \subseteq \mathcal{A}' \subseteq \mathcal{A}$ . Then  $\mathcal{A}'$  is the family of closed sets, which has the finite intersection property and contains small sets. Thus by Theorem 3.3 we have  $\emptyset \neq \bigcap \mathcal{A}' \subseteq \bigcap \mathcal{F}$ . The reverse implication follows from Theorem 3.3. A net  $(A_{\sigma})_{\sigma \in \Sigma}$  of subsets of a uniform space  $(X, \mathcal{U})$  is *nested* iff for every  $\eta, \sigma \in \Sigma$  such that  $\eta \geq \sigma$  holds  $A_{\eta} \subseteq A_{\sigma}$ . It is easy to see that a for a nested net, the corresponding system  $\{A_{\sigma} : \sigma \in \Sigma\}$  has the finite intersection property iff it does not contain an empty set.

<sup>4</sup> Lemma 3.5. Let  $(X, \mathcal{U})$  be a complete uniform space. Let  $(F_{\sigma})_{\sigma \in \Sigma}$  be a nested net of non-empty <sup>5</sup> closed sets such that  $\mathcal{F} = \{F_{\sigma} : \sigma \in \Sigma\}$  contains almost small sets. Then  $(F_{\sigma})_{\sigma \in \Sigma}$  converges to a <sup>6</sup> non-empty compact set  $F = \bigcap \mathcal{F}$  in  $(CL(X), \tau_V)$ .

<sup>8</sup> Proof. By Theorem 3.4, the set F is non-empty, and it is obviously closed. Since  $\mathcal{F}$  contains <sup>9</sup> almost small sets, it is easy to verify that F is totally bounded and thus compact. We will now <sup>10</sup> prove that  $(F_{\sigma})_{\sigma \in \Sigma}$  converges to F in  $(CL(X), \tau_V)$ .

Let  $U \subseteq X$  be open and  $F \subseteq U$ . We claim that there is  $\sigma_0 \in \Sigma$  such that for every  $\sigma \geq \sigma_0$  holds  $F_{\sigma} \subseteq U$ . If this is not true, then the net  $(F_{\sigma} \setminus U)_{\sigma \in \Sigma}$  is nested, does not contain an empty set, and contains almost small sets. Thus by Theorem 3.4 we have  $\emptyset \neq \bigcap \{F_{\sigma} \setminus U : \sigma \in \Sigma\} \subseteq F \setminus U$ , a contradiction.

15 Now let  $U \subseteq X$  be open and  $F \cap U \neq \emptyset$ . Since for every  $\sigma \in \Sigma$  it holds  $F \subseteq F_{\sigma}$ , then 16  $F_{\sigma} \cap U \neq \emptyset$ .

The following theorem is a generalization of the result of Morita [15]. He did not specify that if  $(X, \mathcal{U})$  is complete a  $\mathcal{U}_H$ -Cauchy net converges to its Kuratowski-Painlevé limit.

**Theorem 3.6.** Let  $(X, \mathcal{U})$  be a uniform space. Then  $(X, \mathcal{U})$  is complete if and only if every  $\mathcal{U}_H$ -Cauchy net  $(K_{\sigma})_{\sigma \in \Sigma}$  in  $(K(X), \mathcal{U}_H)$  has a non-empty Kuratowski-Painlevé limit and converges to it in  $(K(X), \mathcal{U}_H)$ .

<sup>23</sup> Proof. If every  $\mathcal{U}_H$ -Cauchy net converges in  $(K(X), \mathcal{U}_H)$ , then  $(K(X), \mathcal{U}_H)$  is complete. Then <sup>24</sup> also  $(X, \mathcal{U})$  is complete, since X is a closed subspace of  $(K(X), \mathcal{U}_H)$ .

Suppose now that  $(X, \mathcal{U})$  is complete. Let  $(K_{\sigma})_{\sigma \in \Sigma}$  be a  $\mathcal{U}_H$ -Cauchy net of non-empty compact subsets of X. For every  $\sigma \in \Sigma$  put  $F_{\sigma} = \overline{\bigcup\{K_{\eta} : \eta \geq \sigma\}}$ . Notice that  $\bigcap\{F_{\sigma} : \sigma \in \Sigma\} = \operatorname{Ls} K_{\sigma}$ , see [1].

By Lemma 3.2 we have  $K := \operatorname{Li} K_{\sigma} = \operatorname{Ls} K_{\sigma}$ . The net  $(F_{\sigma})_{\sigma \in \Sigma}$  is nested with non-empty closed members. To prove that it contains almost small sets take any  $U \in \mathcal{U}$ . Let  $V \in \mathcal{U}$  be closed such that  $V \circ V \subseteq U$ . Since  $(K_{\sigma})_{\sigma \in \Sigma}$  is a  $\mathcal{U}_H$ -Cauchy net, there is  $\sigma_0 \in \Sigma$  such that  $K_{\sigma} \subseteq V[K_{\sigma_0}]$  for every  $\sigma \geq \sigma_0$ . Compactness of  $K_{\sigma_0}$  implies that there is a finite  $D \subseteq K_{\sigma_0}$  such that  $K_{\sigma_0} \subseteq V[D]$ . Thus  $F_{\sigma_0} \subseteq U[D]$ . By Lemma 3.5 the net  $(F_{\sigma})_{\sigma \in \Sigma}$  converges to a non-empty compact set K in  $(CL(X), \tau_V)$ .

We will now prove that K is a cluster point of the net  $(K_{\sigma})_{\sigma \in \Sigma}$  in  $(K(X), \tau_V)$ . Let  $W \subseteq X$  be open and  $K \subseteq W$ . Then there is  $\sigma_0 \in \Sigma$  such that for every  $\sigma \ge \sigma_0$  we have  $W \supseteq F_{\sigma} \supseteq K_{\sigma}$ . Now let  $W \subseteq X$  be open and  $K \cap W \neq \emptyset$ . Then for every  $\sigma \in \Sigma$  there is  $\sigma' \ge \sigma$  such that  $F_{\sigma'} \cap W \neq \emptyset$ and thus there is  $\sigma'' \ge \sigma'$  such that  $K_{\sigma''} \cap W \neq \emptyset$ .

From Proposition 2.1, we have that K is a cluster point of the net  $(K_{\sigma})_{\sigma \in \Sigma}$  in  $(K(X), \mathcal{U}_H)$ , which is by the assumption Cauchy and thus K is its limit.

<sup>41</sup> Proposition 3.7 ([10, Lemma 6.2]). Let X be a locally convex topological vector space, then <sup>42</sup> CK(X) is a closed subset of  $(K(X), \mathcal{U}_H)$ .

**Corollary 3.8.** Let  $(X, \mathcal{U})$  be a uniform space. The following are equivalent: 1

2 (1)  $(X, \mathcal{U})$  is complete;

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3 (2)  $(K(X), \mathcal{U}_H)$  is complete;

4 5 6 7 8 moreover if X is a locally convex topological vector space and  $\mathcal{U}$  is its standard uniformity, the above are equivalent to

(3)  $(CK(X), \mathcal{U}_H)$  is complete.

#### 4. Closed convex hull of a compact set

10 We will work with a locally convex topological vector space X, which will be equipped with its standard uniformity, thus uniform notions like *completeness* are with respect to it. 11

The operator of the closed convex hull  $\overline{\operatorname{co}}: \mathcal{P}(X) \to CL(X); \overline{\operatorname{co}} A = \operatorname{co} A$  is an important tool. 12 We will be interested in the following property 13

(\*)for every compact set  $K \subseteq X$ , the set  $\overline{\operatorname{co}} K$  is compact.

It is a well-known fact that in a locally convex topological vector space X, the convex hull of 16 any totally bounded set is totally bounded. Moreover, if X is complete, then for every compact 17 set  $K \subseteq X$ , the set  $\overline{co}K$  is compact. In other words, complete locally convex spaces fulfill the 18 property (\*). 19

We will say that a topological vector space X is quasi-complete, see [17] if every closed and 20 bounded subset of X is complete. The following lemma is well known. 21

22 **Lemma 4.1** ([17, 4.3 Corollary]). Let X be a quasi-complete, locally convex topological vector 23 space. Then for every compact set  $K \subseteq X$ , the set  $\overline{\operatorname{co}} K$  is compact. 24

25 Note that  $\overline{co}K$  in the above lemma is closed, totally bounded, by the assumption also complete, and hence compact. 26

27 **Lemma 4.2** ([9, Lemma 6.4]). Let X be a topological vector space,  $O \in \mathcal{N}$  be closed and convex, 28 and let  $A, B \subseteq X$ . If  $\overline{\operatorname{co}} B$  is compact and  $A \subseteq O + B$ , then  $\overline{\operatorname{co}} A \subseteq O + \overline{\operatorname{co}} B$ . 29

30 The importance of the property (\*) is shown in the following statement.

**Theorem 4.3.** Let X be a locally convex topological vector space fulfilling property (\*). Then 32

 $\overline{\operatorname{co}}: (K(X), \mathcal{U}_H) \to (CK(X), \mathcal{U}_H)$ 

is a (uniformly continuous) retraction. 35

<sup>36</sup> Proof. From the property (\*), we have that the closed convex hull of a compact set is again 37 compact and obviously also convex, thus the codomain of the function  $\overline{co}$  is indeed  $CK(X) \subseteq$ 38 K(X). Note that if  $K \in CK(X)$ , then  $\overline{\operatorname{co}} K = K$ , so it suffices to prove that  $\overline{\operatorname{co}}$  is uniformly 39 continuous.

40 Take an arbitrary  $O \in \mathcal{N}$  that is closed and convex. Suppose that  $A, B \in K(X)$  and  $(A, B) \in \mathcal{N}$ 41  $W_O$ , then  $A \subseteq O + B$ . Since  $\overline{\operatorname{co}} B$  is compact, then from the Lemma 4.2 we have that  $\overline{\operatorname{co}} A \subseteq O + B$ 42  $\overline{\operatorname{co}} B$ . Similarly, for the order B, A and thus  $(\overline{\operatorname{co}} A, \overline{\operatorname{co}} B) \in W_O$ , i.e.,  $\overline{\operatorname{co}}$  is uniformly continuous.  $\Box$  Recall that the Krein-Milman theorem says that if X is a locally convex topological vector space and  $K \in CK(X)$ , then the set  $\mathcal{E}(K)$  of extreme points of K is non-empty and  $\overline{\operatorname{co}} \mathcal{E}(K) = K$ . This means that the preimage  $\overline{\operatorname{co}}^{-1}(K)$  contains all sets  $A \in K(X)$  such that  $\mathcal{E}(K) \subseteq A \subseteq K$ .

It is now interesting to investigate the property (\*). If X is not complete, then it does not have to have it, as the following example shows.

**Example 4.4.** Let  $X \subseteq \ell^2$  be such that  $(x_n)_{n \in \mathbb{N}} \in X$ , iff finitely many  $x_n \neq 0$ . Let  $\{e_n : n \in \mathbb{N}\}$ be the standard orthonormal basis of  $\ell^2$  and

$$K = \{0\} \cup \left\{ v_n = \frac{e_n}{n} : n \in \mathbb{N} \right\} \subseteq X.$$

 $\overline{11}$  Observe that K is compact and

$$\operatorname{co} K = \left\{ \sum_{k=1}^{n} \alpha_k v_k : n \in \mathbb{N}, \alpha_k \ge 0, \sum_{k=1}^{n} \alpha_k \le 1 \right\}.$$

15 Since

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$$\sum_{k=1}^{\infty} 2^{-k} = 1, \quad \text{then} \quad x_n = \sum_{k=1}^n 2^{-k} v_k \in \operatorname{co} K \subseteq \overline{\operatorname{co}} K,$$

 $\frac{18}{19}$  where the closure is in the space X. Observe that in the space  $\ell^2$  the sequence  $(x_n)_{n \in \mathbb{N}}$  converges to  $\sum_{k=1}^{\infty} 2^{-k} v_k \notin X$ . Thus  $(x_n)_{n \in \mathbb{N}}$  has no cluster point in X, i.e.  $\overline{\operatorname{co}} K$  is not compact.

This example can be generalized into the following theorem.

**Theorem 4.5.** Let X be a metrizable locally convex space. If for every compact  $K \subseteq X$ , the set  $\overline{CO}K$  is compact, then X is complete.

<sup>25</sup> Proof. Let  $\{U_n : n \in \mathbb{N}\}$  be a countable base of neighborhoods of 0, consisting of absolutely <sup>26</sup> convex sets. For every  $n \in \mathbb{N}$  let  $p_n$  be the Minkowski functional of  $U_n$ ; i.e., it is a seminorm. <sup>27</sup> Following [4, Proposition 4.10], for every  $x, y \in X$ , we can define the following:

$$d(x,y) = \sum_{n=1}^{\infty} 2^{-n} \frac{p_n(x-y)}{1+p_n(x-y)}$$

One can easily check that

- $\circ$  d is a metric, that generates the standard uniformity on X;
- d is translation invariant; i.e. for every  $x, y, z \in X$ : d(x z, y z) = d(x, y);
- for every  $x, y \in X$  and  $\alpha \in K$ , such that  $|\alpha| \ge 1$ :  $d(\alpha x, \alpha y) \le |\alpha| d(x, y)$ .

<sup>36</sup> Note that the first two facts are proven in [4, Proposition 4.10].

Suppose  $(x_n)_{n \in \mathbb{N}}$  is a Cauchy sequence in X. We want to prove that it is convergent. For every  $k \in \mathbb{N}$  there is  $n_k$  such that for every  $p, q \ge n_k$ :  $d(x_p, x_q) \le 3^{-k}$ . Without loss of generality the sequence  $(n_k)_{k \in \mathbb{N}}$  is non-decreasing. Put  $z_1 = 2x_{n_1}$  and  $z_k = 2^k(x_{n_k} - x_{n_{k-1}})$  for k > 1, then the sequence  $(n_k)_{k \in \mathbb{N}}$  is non-decreasing. Put  $z_1 = 2x_{n_1}$  and  $z_k = 2^k(x_{n_k} - x_{n_{k-1}})$  for k > 1, then

$$\frac{41}{42} \qquad \qquad x_{n_t} = \sum_{k=1}^t 2^{-k} z_k,$$

1 for every  $t \in \mathbb{N}$ . For k > 1, we have that

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#### NEW CHARACTERIZATIONS OF COMPLETENESS AND HAUSDORFF UNIFORMITY

 $d(z_k, 0) = d(2^k (x_{n_k} - x_{n_{k-1}}), 0) \le 2^k d(x_{n_k}, x_{n_{k-1}}) \le 3(2/3)^k \to 0,$ thus the sequence  $(z_k)_{k\in\mathbb{N}}$  converges to 0 and so  $K = \{z_k : k\in\mathbb{N}\}\cup\{0\}$  is compact. Observe that  $\operatorname{co} K = \left\{ \sum_{k=1}^{t} \alpha_k z_k : t \in \mathbb{N}, \alpha_k \ge 0, \sum_{k=1}^{t} \alpha_k \le 1 \right\}.$ Since  $\sum_{k=1}^{\infty} 2^{-k} = 1$  then  $x_{n_t} \in \operatorname{co} K$  for every  $t \in \mathbb{N}$ . By the assumption  $\overline{\operatorname{co}} K$  is compact and thus  $(x_{n_t})_{t\in\mathbb{N}}$  has a cluster point, i.e.,  $(x_n)_{n\in\mathbb{N}}$  has to be convergent. This means that for metrizable spaces, the property (\*) is equivalent to completeness. The

11 12 natural question is if this is also true in the non-metrizable case. The answer is no, as the 13 following example shows. 14

15 **Example 4.6** ([17, p. 148]). Let X be an infinite-dimensional Fréchet space. Let X' be its weak dual, i.e., continuous dual with the weak\* topology. Then X' is non-metrizable, non-complete, 16 but it is quasi-complete, and hence it fulfills the property (\*). 17

## 5. Statements and Declarations

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