THE TORIC RING OF ONE DIMENSIONAL SIMPLICIAL COMPLEXES

ANTONINO FICARRA, JÜRGEN HERZOG, DUMITRU I. STAMATE

ABSTRACT. Let Δ be a 1-dimensional simplicial complex. Then Δ may be identified with a finite simple graph *G*. In this article, we investigate the toric ring R_G of *G*. All graphs *G* such that R_G is a normal domain are classified. For such a graph, we determine the set \mathcal{P}_G of height one monomial prime ideals of R_G . In the bipartite case, and in the case of whiskered cycles, this set is explicitly described. As a consequence, we determine the canonical class $[\omega_{R_G}]$ and characterize the Gorenstein property of R_G . For a bipartite graph *G*, we show that R_G is Gorenstein if and only if *G* is unmixed. For a subclass of non-bipartite graphs *G*, which includes whiskered cycles, R_G is Gorenstein if and only if *G* is unmixed and has an odd number of vertices. Finally, it is proved that R_G is a pseudo-Gorenstein ring if *G* is an odd cycle.

Introduction

20 Let Δ be a simplicial complex on vertex set $[n] = \{1, 2, ..., n\}$. Typically, in Commutative 21 Algebra, one associates to Δ the Stanley–Reisner ring S/I_{Δ} , where $S = K[x_1, ..., x_n]$, K is a field 22 and I_{Δ} is the Stanley–Reisner ideal of Δ . The theory of Stanley–Reisner ideals has been deeply 23 studied by many researchers. In [8], the authors introduced a different algebraic object attached 24 to Δ , which they called the toric ring of Δ .

Let $S = K[x_1, ..., x_n]$ be the polynomial ring with coefficients in a field *K*. For a face $F \in \Delta$, we set $\mathbf{x}_F = \prod_{i \in F} x_i$ if *F* is non-empty, otherwise we set $\mathbf{x}_{\emptyset} = 1$. Then, the *toric ring* of Δ is defined to be the *K*-subalgebra

28

16

17 18

19

$$R_{\Delta} = K[\mathbf{x}_F t : F \in \Delta]$$

²⁹ of S[t]. This algebra is standard graded if we put $\deg(x_1^{a_1} \cdots x_n^{a_n} t^b) = b$, for all monomials ³⁰ $x_1^{a_1} \cdots x_n^{a_n} t^b \in R_\Delta$. This concept was further extended to multicomplexes in [7], where discrete ³¹ polymatroids were mainly considered. When R_Δ is a normal domain, its divisor class group ³² $Cl(R_\Delta)$ can be explicitly described in terms of the combinatorics of the pure 1-dimensional ³³ skeleton of Δ . This skeleton may be viewed as a graph, which we denote by G_Δ . With such data, ³⁴ one may compute the canonical class $[\omega_{R_\Delta}]$, that is, the class of the canonical module ω_{R_Δ} in ³⁵ $Cl(R_\Delta)$. Hence, R_Δ is Gorenstein if and only if $[\omega_{R_\Delta}] = 0$. For a Noetherian normal domain R, ³⁶ this is one of the most efficient ways to check the Gorenstein property of R.

Acknowledgment. This paper was written while the first and the third author visited the Faculty of
 Mathematics of Essen. A. Ficarra was partly supported by the Grant JDC2023-051705-I funded by MI-

⁴⁰ CIU/AEI/10.13039/501100011033 and by the FSE+. D.I. Stamate was partly supported by a grant of the Ministry of

Research, Innovation and Digitization, CNCS - UEFISCDI, project number PN-III-P1-1.1-TE-2021-1633, within PNCDI III.

⁴² The authors are thankful to the referee for her/his careful reading of the paper and comments that greatly improved ⁴³ the quality of the manuscript.

^{44 2020} Mathematics Subject Classification. Primary 13A02; 13P10; Secondary 05E40.

⁴⁵ *Key words and phrases.* toric rings, simplicial complexes, class group, canonical module.

1 In this article, we consider the toric ring of a 1-dimensional simplicial complex Δ . In this case, the 1-dimensional facets of Δ are the edges of G_{Δ} . On the other hand, given a graph G on [n], we ³ may consider the simplicial complex Δ whose facets are the edges of G. Then $G = G_{\Delta}$. Therefore, 4 we write R_G instead of R_Δ . With this notation, we have $R_G = K[t, x_1t, \dots, x_nt, \{\mathbf{x}_e t\}_{e \in E(G)}]$. We 5 always assume that G has no isolated vertices. To compute the canonical class, one has to ⁶ determine the set \mathcal{P}_G of height one monomial prime ideals of R_G . This is a very difficult task. 7 On the other hand, for the class of bipartite graphs and for certain non-bipartite graphs, including ⁸ whiskered cycles, we are able to determine such a set. Then, we succeed in classifying the ⁹ Gorenstein algebras among these classes. The outline of the article is as follows. In Section 1, we summarize the main results proved 10 in [8] about the set \mathscr{P}_{Δ} of height one monomial prime ideals of R_{Δ} . When R_{Δ} is normal, then $\omega_{R_{\Lambda}} = \bigcap_{P \in \mathscr{P}_{\Lambda}} P$. Thus, in principle, one can fairly explicitly compute the canonical module 12 ¹³ and the canonical class. By the facts (iii) and (v) recalled in Page 3, \mathscr{P}_{Δ} always contains the following set of prime ideals $\mathscr{A}_{\Delta} = \{P_C : C \in \mathscr{C}(G_{\Delta})\} \cup \{Q_1, \dots, Q_n\}$. For the precise definitions of the primes P_C and Q_i see Section 1. It is natural to ask when $\mathscr{P}_{\Delta} = \mathscr{A}_{\Delta}$. If R_{Δ} is normal, this is equivalent to the fact that Δ is a flag complex and G_{Δ} is a perfect graph (Theorem 1.1).

- 17 In Section 2, we consider the rings R_G . In order to apply the machinery developed in Section ¹⁸ 1, we need to classify the graphs G such that R_G is normal. This is accomplished in Theorem 19 2.2. Such a result follows by noting that R_G is isomorphic to the extended Rees algebra of the ²⁰ edge ideal I(G) of G, as shown in [3]. Then, by using results in [3, 9, 10, 11], we show that 21 R_G is a normal domain if and only if G has at most one non-bipartite connected component ²² and this component satisfies the so-called odd cycle condition [10]. Next, we investigate the 23 set \mathscr{P}_G . It turns out that the monomial ideal $P_0 = (t, x_1 t, \dots, x_n t)$ is always a prime ideal of R_G (Proposition 2.1). For a connected graph G, it is proved in Theorem 2.3 that P_0 is a non 24 minimal prime ideal of (t) if and only if G is bipartite. These two facts are further equivalent to 25 ²⁶ the property that $\mathscr{P}_G = \mathscr{A}_G$ (Theorem 2.3(d)). Thus, in the connected bipartite case we know precisely the set \mathscr{P}_G . Rephrasing this theorem, we obtain that P_0 is a minimal prime if and only 27 if G is non-bipartite (Corollary 2.4). 28
- Hence, one is led to the problem of characterizing the connected non-bipartite graphs *G* such that $\mathscr{P}_G = \mathscr{A}_G \cup \{P_0\}$. This problem is addressed in Theorem 3.1. For a connected graph *G*, we show that if $\mathscr{P}_G = \mathscr{A}_G \cup \{P_0\}$, then *G* must be non-bipartite and for any induced odd cycle G_0 of *G*, we have that any vertex in $V(G) \setminus V(G_0)$ is adjacent to some vertex of G_0 . We expect that the converse of this statement holds as well. However, at present we have only partial results supporting this expectation. Therefore, we restrict our attention to unicyclic graphs. In this particular case, we obtain that $\mathscr{P}_G = \mathscr{A}_G \cup \{P_0\}$ if and only if *G* is a whiskered odd cycle (Theorem 3.3).
- Finally, in the last section we discuss the Gorenstein property of the rings R_G . By combining some of the results from [8] a very general criterion for the Gorensteiness of R_{Δ} is stated (Theorem 4.3). Then, we apply this result to our rings R_G , in the case that *G* is bipartite or *G* is an odd (whiskered) cycle. Finally, we prove that R_G is pseudo-Gorenstein if *G* is an odd cycle (Proposition 4.7).
- 42
- 43
- 44
- -----
- 45

1. Generalities about toric rings of simplicial complexes In the section we summarize some basic facts from [8] about toric rings of simplicial complexes. ³ Let *K* be a field. Then, the *toric ring of a simplicial complex* Δ on vertice toric ring ⁶ $R_{\Delta} = K[\mathbf{x}_F t : F \in \Delta] \subset K[x_1, \dots, x_n, t],$ ⁷ where we set $\mathbf{x}_F = \prod_{i \in F} x_i$, if *F* is nonempty, and $\mathbf{x}_{\emptyset} = 1$, otherwise. Let K be a field. Then, the *toric ring of a simplicial complex* Δ on vertex set [n] is defined as the We denote by G_{Δ} the graph on vertex set [n] and whose edges are the 1-dimensional faces of 9 Δ . For a graph G, we denote by $\mathscr{C}(G)$ the set of the minimal vertex covers of G. For a subset 10 $C \subseteq [n]$, we set $\Delta_C = \{F \in \Delta : F \subseteq C\}$. Let \mathscr{P}_{Δ} be the set of height one monomial prime ideals of R_{Δ} . We are interested in this set, 11 ¹² because we have $\omega_{R_{\Delta}} = \bigcap_{P \in \mathscr{P}_{\Delta}} P$, if R_{Δ} is a normal ring, see [2, Theorem 6.3.5(b)]. In particular, $[\omega_{R_{\Delta}}] = \sum_{P \in \mathscr{P}_{\Delta}} [P]$ in the divisor class group $Cl(R_{\Delta})$ of R_{Δ} . 13 14 15 Next, we summarize what is known about the set \mathscr{P}_{Δ} . 16 (i) Suppose that R_{Δ} is a normal domain. Let P_1, \ldots, P_r be the minimal monomial prime 17 ideals of $(t) \subseteq R_{\Delta}$. Then the classes $[P_1], \ldots, [P_r]$ generate the divisor class group $Cl(R_{\Delta})$ 18 19 20 of R_{Δ} . Furthermore Cl(R_{Δ}) is free of rank r - 1 [8, Theorem 1.1 and Corollary 1.8]. (ii) Let *P* be a monomial prime ideal of R_{Δ} containing *t*, then the set $C = \{i : x_i t \in P\}$ is a vertex cover of G_{Δ} [8, Lemma 1.2]. 21 (iii) If $C \subseteq [n]$ is a vertex cover of G_{Δ} , then the ideal $P_C = (\mathbf{x}_F t : F \in \Delta_C)$ is a prime ideal 22 23 24 25 26 27 28 containing t and it is a minimal prime ideal if and only if $C \in \mathscr{C}(G_{\Delta})$ [8, Theorem 1.3 and Proposition 1.4]. (iv) Not all minimal monomial prime ideals of (t) are of the form P_C for some $C \in \mathscr{C}(G_\Delta)$, see [8, Example 1.5]. (v) The set of height one monomial prime ideals of R_{Δ} not containing t is $\{Q_1, \ldots, Q_n\}$, with $Q_i = (\mathbf{x}_F t : F \in \Delta, i \in F)$ [8, Proposition 1.9]. 29 By (iii) and (v), the set \mathscr{P}_{Δ} of height one monomial prime ideals of R_{Δ} contains the set $\{P_C:$ 30 $C \in \mathscr{C}(G_{\Delta}) \} \cup \{Q_1, \ldots, Q_n\}$. In [8, Theorem 1.10] the authors characterized those simplicial 31 complexes such that this set coincides with \mathscr{P}_{Δ} and determined the canonical class $[\omega_{R_{\Delta}}]$ in such 32 a case [8, Theorem 1.13]. 33 We recall that Δ is called *flag* if all its minimal nonfaces are of dimension one. Equivalently, 34 Δ is flag if and only if it is the clique complex of G_{Δ} . 35 36 **Theorem 1.1.** Let Δ be a simplicial complex on [n]. Then, the following conditions are equiva-37 lent. 38 (a) R_{Δ} is a normal ring and the set of height one monomial prime ideals of R_{Δ} is the set 39 $\mathscr{P}_{\Delta} = \{ P_C : C \in \mathscr{C}(G_{\Delta}) \} \cup \{ Q_1, \dots, Q_n \}.$ 40 41 (b) Δ is a flag complex and G_{Λ} is a perfect graph. 42 Furthermore, if any of these equivalent conditions hold, we have 43 **44** (1) $[\boldsymbol{\omega}_{R_{\Delta}}] = \sum_{C \in \mathscr{C}(G)} (n+1-|C|)[P_C].$

2. The bipartite case

Let G be a graph with no isolated vertices. In this section, we consider the algebras R_G .

For a monomial $u = x_1^{a_1} \cdots x_n^{a_n} t^b \in R_G$, we set $\deg_{x_i}(u) = a_i$ for $1 \le i \le n$, and $\deg_t(u) = b$. Moreover, if $e = \{i, j\} \in E(G)$, we set $\mathbf{x}_e = x_i x_j$.

Proposition 2.1. Let G be any graph on n vertices and let $R = R_G$. Then, the ideal $P_0 = \frac{7}{(t, x_1 t, x_2 t, \dots, x_n t)}$ is a monomial prime ideal of R.

⁸/₉ *Proof.* Since P_0 is a monomial ideal, it is enough to prove that for any two monomials ¹⁰/₁₁ u, v not belonging to P_0 , then the product uv is also not in P_0 . Since $u, v \notin P_0$ and R =¹¹/₁₂ $K[t, \{x_it\}_{i \in V(G)}, \{\mathbf{x}_e t\}_{e \in E(G)}]$, it follows that $uv = \prod_{k=1}^r (\mathbf{x}_{e_k} t)$ for some edges e_1, \ldots, e_r , not ¹²/₁₃ necessarily distinct. Suppose by contradiction that $uv \in P_0$, then t divides uv or x_jt divides uv¹³/₁₄ for some j.

In the first case, uv = tw for a suitable monomial w. In particular, $\deg_t(w) = r - 1$ and $\sum_{i=1}^{n} \deg_{x_i}(w) = \sum_{i=1}^{n} \deg_{x_i}(uv) = 2r$. Since $\deg_t(w) = r - 1$, w is a product of r - 1 generators of R and we have $\sum_{i=1}^{n} \deg_{x_i}(w) \le 2(r-1)$, absurd.

Similarly, in the second case we could write $uv = (x_j t)w$ and $\sum_{i=1}^n \deg_{x_i}(w) = \sum_{i=1}^n \deg_{x_i}(uv) - \deg_{x_j}(x_j t) = 2r - 1$. This is again impossible because w is a product of r - 1 generators of R and $\sum_{i=1}^n \deg_{x_i}(w)$ is at most 2(r-1).

Let $S = K[x_1, ..., x_n]$ be the standard graded polynomial ring. For a graph *G*, the *edge ideal* of *G* is the ideal I(G) generated by all monomials \mathbf{x}_e with $e \in E(G)$. Set I = I(G). Recall that the *Rees algebra of I* is the *K*-algebra

$$S[It] = \bigoplus_{j\geq 0} I^j t^j = K[x_1,\ldots,x_n, \{\mathbf{x}_e t\}_{e\in E(G)}] \subset S[t],$$

²⁶ and the associated graded ring of I is defined as $gr_I(S) = S[It]/IS[It]$.

²⁷ Whereas, the *extended Rees algebra of* I(G) is defined as

24 25

28

29

 $S[It,t^{-1}] = S[It][t^{-1}] \subset S[t,t^{-1}].$

We have the isomorphism $\varphi : S[It, t^{-1}] \to R_G$ established by setting $\varphi(t^{-1}) = t$, $\varphi(x_i) = x_i t$ for $1 \le i \le n$, and $\varphi(\mathbf{x}_e t) = \mathbf{x}_e t$ for $e \in E(G)$, see [3, Proposition 3.1].

As a first consequence, we classify all graphs *G* such that R_G is a normal domain. For this purpose, we recall that a connected graph *G* is said to satisfy the *odd cycle condition* if for any two induced odd cycles C_1 and C_2 of *G*, either C_1 and C_2 have a common vertex or there exist $i \in V(C_1)$ and $j \in V(C_2)$ such that $\{i, j\} \in E(G)$.

Theorem 2.2. Let G be any graph. Then R_G is a normal domain if and only if at most one connected component of G is non-bipartite and this connected component satisfies the odd cycle condition.

40 *Proof.* Let I = I(G). Since $R_G \cong S[It, t^{-1}]$, it follows that R_G is normal if and only if $S[It, t^{-1}]$ is 41 normal. By [9, Proposition 2.1.2], the extended Rees algebra $S[It, t^{-1}]$ is normal if and only I is 42 a normal ideal. By [11, Theorem 8.21], I is normal if and only if G has at most one non-bipartite 43 connected component G_i and $I(G_i)$ is a normal ideal. By [3, Theorem 3.3], $I(G_i)$ is normal if and 44 only $S[I(G_i)t]$ is normal if and only if the toric ring $K[I(G_i)]$ is normal. By [10, Corollary 2.3] 45 this is the case if and only if G_i satisfies the odd cycle condition. The assertion follows.

Next, we want to algebraically characterize the set of height one monomial prime ideals of 1 2 3 4 5 6 R_G , for a connected graph G. For this aim, note that

 $\operatorname{gr}_{I}(S) = \frac{S[It]}{IS[It]} \cong \frac{S[It, t^{-1}]}{t^{-1}S[It, t^{-1}]} \cong \frac{R_{G}}{(t)R_{G}},$ (2)

because t^{-1} is mapped to t under the isomorphism φ . We remark that the first isomorphism 7 holds because $S[It, t^{-1}] = \bigoplus_{i \in \mathbb{Z}} I^j t^j$ (where $I^j = S$ for $j \leq 0$) and $t^{-1}S[It, t^{-1}] = \bigoplus_{i < 0} I^j t^j$. 8

9 **Theorem 2.3.** Let G be a connected graph with n vertices. Then, the following conditions are 10 equivalent. 11

(a) The associated graded ring $gr_{I(G)}(S)$ is reduced. 12

(b) The ideal $(t) \subset R_G$ is radical.

13 14 (c) *G* is a bipartite graph.

(d) The set 15

16 17

18

 $\{P_C: C \in \mathscr{C}(G)\} \cup \{Q_1, \ldots, Q_n\}$

is the set of height one monomial prime ideals of R_{G} .

(e) The ideal $P_0 = (t, x_1 t, \dots, x_n t) \subset R_G$ is not a minimal prime of (t).

19 If any of the above equivalent conditions hold, then R_G is a normal domain. 20

Proof. We prove the implications (a) \iff (b), (a) \iff (c) and (c) \Rightarrow (d) \Rightarrow (e) \Rightarrow (c). 21

By equation (2) the equivalence (a) \iff (b) follows. The equivalence (a) \iff (c) is shown in 22 [12, Proposition 14.3.39]. 23

Now, assume (c). Since G is bipartite, it follows that G does not have odd cycles. Thus R_G is a 24 normal domain by Theorem 2.2. In particular, G is triangle-free. Hence G is a flag complex and 25 a perfect graph, because it is bipartite. Thus, statement (d) follows from Theorem 1.1(b) \Rightarrow (a). 26 If (d) holds, then P_0 is a monomial prime ideal (Proposition 2.1), but is a not a minimal prime 27 of (*t*), because P_0 is not of the form P_C for any minimal vertex cover $C \in \mathscr{C}(G)$. Statement (e) 28 29 follows.

Finally, assume (e) and suppose by contradiction that G is non-bipartite. Then G has at least 30 one induced odd cycle G_1 . By Proposition 2.1, P_0 is a monomial prime ideal. By [1, Corollary 31 4.33], the minimal primes ideals containing (t) are monomial prime ideals. Thus, by hypothesis 32 (e), there exists a proper subset D of V(G) such that $Q = (t, \{x_i\}_{i \in D})$ is a minimal prime of 33 34 (t) and $Q \subseteq P_0$. It follows from fact (ii) at page 3 that D is a vertex cover of G. In particular, 35 $D \cap V(G_1)$ is a vertex cover of G_1 . Since G_1 is an odd cycle, D must contain two adjacent 36 vertices $i, j \in V(G_1)$. Recall that the *distance* of two vertices $p, q \in V(G)$ is defined to be the number d(p,q) = r if there exists a path from p to q of length r, that is, a sequence of r + 137 distinct vertices $p = v_0, v_1, \dots, v_{r-1}, v_r = q$ of G such that $\{v_i, v_{i+1}\} \in E(G)$, and no shorter path 38 from p to q exists. If no path between p and q exists, we set $d(p,q) = +\infty$. 39 Since *G* is connected and $V(G) \setminus D \neq \emptyset$, the number 40

$$m = \min\{d(k,i) : k \in V(G) \setminus D\}$$

43 exists and is finite.

Let $k \in V(G) \setminus D$ such that d(k,i) = m. Then, there exists a path of lenght $m, i = v_0, v_1, \ldots, v_{m-1}$, 44 45 $v_m = k$. By definition of *m*, it follows that $v_0, v_1, \ldots, v_{m-1} \in D$.

If $m \ge 2$, then $\{v_{m-2}, v_{m-1}\}, \{v_{m-1}, v_m\} \in E(G)$. Now, $x_{v_{m-2}}x_{v_{m-1}}t, x_{v_m}t \notin Q$, but 1 2 3 4 5 6 $(x_{v_{m-2}}x_{v_{m-1}}t)(x_{v_m}t) = (x_{v_{m-2}}t)(x_{v_{m-1}}x_{v_m}t) \in Q$ because $x_{v_{m-2}}t \in Q$. This is a contradiction. If m = 1, then $v_1 = k$ and $\{i, j\}, \{i, k\} \in E(G)$. We have that $x_i x_j t, x_k t \notin Q$. However, $(x_i x_j t)(x_k t) = (x_j t)(x_i x_k t) \in Q$, because $x_j t \in Q$. Again a contradiction. Therefore, G must be 7 bipartite and (c) follows. Finally, under the equivalent conditions (a)-(e), G is connected and bipartite. The normality 8 9 of R_G follows from Theorem 2.2. 10 An immediate consequence of this result is the following corollary. 11 **Corollary 2.4.** Let G be a connected graph with n vertices. Then G is non-bipartite if and only 12 if 13 14 15 $(t, x_1t, \ldots, x_nt) \in \mathscr{P}_G.$ 3. The non-bipartite case 16 ¹⁷ By Corollary 2.4, if G is a connected non-bipartite graph on n vertices, we have the inclusion 18 $\{P_C: C \in \mathscr{C}(G)\} \cup \{(t, x_1t, \dots, x_nt)\} \cup \{Q_1, \dots, Q_n\} \subseteq \mathscr{P}_G.$ (3) 19 Thus, it would be interesting to characterize those connected graphs such that equality in (3) 20 holds. As a first step, we have the following result. 21 22 **Theorem 3.1.** Let G be a connected graph on n vertices such that R_G is a normal domain. 23 Consider the following statements. 24 (a) The set 25 $\mathscr{P}_G = \{P_C : C \in \mathscr{C}(G)\} \cup \{(t, x_1t, x_2t, \dots, x_nt)\} \cup \{Q_1, \dots, Q_n\}$ 26 27 is the set of height one monomial prime ideals of R_G . 28 (b) G is non-bipartite and for any induced odd cycle G_0 of G, we have that any vertex in 29 $V(G) \setminus V(G_0)$ is adjacent to some vertex of G_0 . 30 Then, (a) implies (b). 31 32 To prove the theorem, we recall some basic facts about semigroups and semigroup algebras. ³³ We denote by Δ_G the simplicial complex on [n] whose facets are the edges of the graph G. As is ³⁴ customary, we identify a monomial $x_1^{a_1} \cdots x_n^{a_n} t^b \in R_G$ with its exponent vector $(a_1, \ldots, a_n, b) \in$ \mathbb{Z}^{n+1} . Thus, the monomial K-basis of R_G corresponds to the affine semigroup $S \subset \mathbb{Z}^{n+1}$ 35 generated by the lattice points $p_F = \sum_{i \in F} e_i + e_{n+1} \in \mathbb{Z}^{n+1}$, where $F \in \Delta_G$. Here, e_1, \ldots, e_{n+1} is 36 the standard basis of \mathbb{Z}^{n+1} . 37 Following [2], we denote by $\mathbb{Z}S$ the smallest subgroup of \mathbb{Z}^{n+1} containing *S* and by $\mathbb{R}_+S \subset$ 38 \mathbb{R}^{n+1} the smallest cone containing S. In our case $\mathbb{Z}S = \mathbb{Z}^{n+1}$. Furthermore, $S = \mathbb{Z}^{n+1} \cap \mathbb{R}_+S$ if 39 40 R_G is normal [2, Proposition 6.1.2]. A hyperplane H, defined as the set of solutions of the linear equation $f(x) = a_1x_1 + a_2x_2 + a_3x_3 + a_3x_4 + a_3x_3 + a_3x$ 41 ⁴² ··· + $a_{n+1}x_{n+1} = 0$, is called a *supporting hyperplane* of the cone \mathbb{R}_+S if $H \cap \mathbb{R}_+S \neq \emptyset$ and 43 $f(\mathbf{c}) \ge 0$ for all $\mathbf{c} \in \mathbb{R}_+ S$. Since any element $\mathbf{c} \in \mathbb{R}_+ S$ is a linear combination with non-negative ⁴⁴ coefficients of the lattice points p_F , with $F \in \Delta_G$, it follows that H is a supporting hyperplane of 45 \mathbb{R}_+S , if and only if $f(p_F) \ge 0$ for all $F \in \Delta_G$.

A subset \mathscr{F} of \mathbb{R}_+S is called a *face* of \mathbb{R}_+S , if there exists a supporting hyperplane *H* of \mathbb{R}_+S such that $\mathscr{F} = H \cap \mathbb{R}_+S$. We may assume that the coefficients a_i appearing in f(x) = 0are integers and $gcd(a_1, \ldots, a_{n+1}) = 1$. If *H* is the supporting hyperplane of a facet \mathscr{F} , the normalized form defining *H* is unique and we called it the *support form* of \mathscr{F} .

Let $P \subset R_G$ be a monomial ideal. By [1, Propositions 2.36 and 4.33] we have that Pis a monomial prime ideal if and only if there exists a face \mathscr{F} of the cone \mathbb{R}_+S such that $P = (\mathbf{x}_F t : F \in \Delta_G \setminus \mathscr{F})$. Equivalently, P is a monomial prime ideal, if and only if there exists a supporting hyperplane H of \mathbb{R}_+S such that

9 10

17

22 23

$$P = (\mathbf{x}_F t : F \in \Delta_G \text{ and } f(p_F) > 0).$$

¹¹ *Proof of Theorem 3.1.* Assume (a) holds. Then, by Corollary 2.4, *G* is non-bipartite. Hence, *G* ¹² contains at least one induced odd cycle. Suppose for a contradiction that (b) is not satisfied. ¹³ Then *G* contains an induced odd cycle G_0 and a vertex $v_0 \in V(G) \setminus V(G_0)$ that is not adjacent to ¹⁴ any vertex $v \in V(G_0)$. After a suitable relabeling, we may assume that $v_0 = n$. ¹⁵ We claim that the monomial ideal

 $\frac{15}{16}$ We claim that the monomial ideal

$$Q = (t, x_1 t, \dots, x_{n-1} t, \{x_i x_j t\}_{i \in N_G(n), j \in N_G(i) \setminus \{n\}})$$

¹⁸/₁₈ is a prime ideal of R_G . Here for a vertex k of G, $N_G(k)$ denotes the set of vertices i such that ¹⁹/₁₉ {i,k} is an edge of G.

Let *H* be the hyperplane defined by the equation f(x) = 0 where

$$f(x) = -\sum_{i \notin N_G(n)} x_i - 2x_n + 2x_{n+1}$$

Let $F \in \Delta_G$. We claim that $f(p_F) > 0$ if $\mathbf{x}_F t \in Q$, and $f(p_F) = 0$ if $\mathbf{x}_F t \notin Q$. This shows that F = H is a supporting hyperplane of $\mathbb{R}_+ S$ where *S* is the affine semigroup generated by the lattice points p_F , $F \in \Delta_G$, and that $Q = (\mathbf{x}_F t : F \in \Delta_G, f(p_F) > 0)$ is a monomial prime ideal. If $F = \emptyset$, then $f(p_{\emptyset}) = 2$. Suppose $F = \{i\}$. If i < n, then

If $F = \emptyset$, then $f(p_{\emptyset}) = 2$. Suppose $F = \{i\}$. If i < n, then

$$f(p_{\{i\}}) = \begin{cases} 2 & \text{if } i \in N_G(n), \\ 1 & \text{if } i \notin N_G(n), \end{cases}$$

³¹/₃₂ If $F = \{n\}$, then $f(p_{\{n\}}) = 0$.

Finally, assume $F = \{i, j\} \in E(G)$. If i = n, then $j \in N_G(n)$ and $f(p_{\{i, j\}}) = 0$ in this case. Suppose both *i* and *j* are different from *n*. Then,

35 36

37 38

29 30

$$f(p_{\{i,j\}}) = \begin{cases} 2 & \text{if } i, j \in N_G(n), \\ 1 & \text{if } i \in N_G(n), j \notin N_G(n) \text{ or } i \notin N_G(n), j \in N_G(n), \\ 0 & \text{if } i, j \notin N_G(n). \end{cases}$$

Therefore, Q is a prime ideal of R_G containing t. Thus, there exists a minimal monomial prime ideal P such that $(t) \subset P \subseteq Q$. Hence, P is generated by a subset of the generators of Q and contains t. We claim that P is different from P_C , for all $C \in \mathscr{C}(G)$, and different from (t, x_1t, \ldots, x_nt) . This contradicts (a) and shows that (b) holds.

It is clear that *P* is different from $(t, x_1t, ..., x_nt)$ because $x_nt \notin P$. Now, let $C \in \mathscr{C}(G)$, then $\overline{44}$ $D = C \cap V(G_0)$ is a vertex cover of G_0 . Since G_0 is an odd cycle, *D* must contain two adjacent $\overline{45}$ vertices $i, j \in V(G_0)$. Thus, $x_i x_j t \in P_C$. Since *n* is not adjacent to any vertex $v \in V(G_0)$, we 1 have that $i, j \notin N_G(n)$. Hence $x_i x_j t \notin Q$ and $x_i x_j t \notin P$, also. Thus, P is different from P_C , for all

Due to experimental evidence, we expect that statements (a) and (b) of Theorem 3.1 are

 $\frac{1}{2}$ have that *i*, *j* ≠ *iv*_{*G*(*n*)}. $\frac{2}{C} \in C(G)$, as wanted. $\frac{3}{4}$ Due to experimentation indeed equivalent. $\frac{5}{6}$ Recall that a graph cycle. Note that a unit Recall that a graph G is called *unicyclic* if G is connected and contains exactly one induced cycle. Note that a unicyclic graph G satisfies the odd cycle condition, and so R_G is a normal 7 8 9 domain. Next, we characterize those unicyclic graphs such that equality holds in (3). It turns out that for this class of graphs, the statements (a) and (b) of Theorem 3.1 are equivalent.

For this aim, we introduce the concept of whiskered cycles. Hereafter, for convenience and 10 with abuse of notation, we identify the vertices of G with the variables of R_G . Let $k \ge 3$ and 11 $a_1, a_2, \ldots, a_k \ge 0$ be non-negative integers. The *whiskered cycle of type* (a_1, \ldots, a_k) is the graph 12 $G = C(a_1, \ldots, a_k)$ on vertex set 13

$$V(G) = \{x_1, \dots, x_k\} \cup \bigcup_{i=1}^k \bigcup_{j=1}^{a_i} \{x_{i,j}\},\$$

16 17 and with edge set

$$E(G) = \{\{x_1, x_2\}, \{x_2, x_3\}, \dots, \{x_{k-1}, x_k\}, \{x_k, x_1\}\} \cup \bigcup_{i=1}^k \bigcup_{j=1}^{a_i} \{\{x_i, x_{i,j}\}\}.$$

20 If k is even (odd), G is called a whiskered even (odd) cycle. The vertices $x_{i,j}$ are called the 21 whiskers of x_i . 22

For example, the whiskered cycle C(3,2,1,0,1) is depicted below

25 26

23 24

14 15

18 19

27

28 29

30

The next elementary lemma is required.

Lemma 3.2. Let $G = C(a_1, ..., a_k)$ be a whiskered cycle and $C \in \mathscr{C}(G)$ a minimal vertex cover. 31 If $a_i > 0$ for some *i*, then either $x_i \in C$ or $x_{i,j} \in C$ for all $j = 1, \ldots, a_i$.

³³ *Proof.* Let $a_i > 0$. Then x_i has at least one whisker. Since C is a minimal vertex cover of G, we 34 must have $C \cap \{x_i, x_{i,j}\} \neq \emptyset$ for all $j = 1, ..., a_i$. Suppose $x_i \in C$, then $x_{i,j} \notin C$ for all $j = 1, ..., a_i$, by the minimality of C. Otherwise, if $x_i \notin C$, then $x_{i,j} \in C$ for all $j = 1, ..., a_i$, because C is a 36 vertex cover of G. 37

Hereafter, we regard the set [0] as the empty set. 38

Let $G = C(a_1, \ldots, a_k)$ be a whiskered cycle. Let $j \ge 3$ be a positive integer and let $x_i, x_{i+1}, \ldots, x_{i+j}$ 39 be j + 1 adjacent vertices of the unique induced cycle of G. Here, if i + p exceeds k, for some 40 $1 \le p \le j$, we take the remainder modulo k. Then, the whisker interval W(i, i + j) is defined as 41 $W(i, i+j) = \{x_i, x_{i+1}, \dots, x_{i+j}\} \cup \bigcup_{\ell=i+1}^{i+j-1} \bigcup_{h=1}^{a_\ell} \{x_{\ell,h}\}$ = $\{x_i, x_{i+1}, \dots, x_{i+j}\} \cup \{\text{whiskers of } x_{i+1}, \dots, x_{i+j-1}\}.$ 42 43 44 45

Submitted to Rocky Mountain Journal of Mathematics - NOT THE PUBLISHED VERSION

1 We say that W(i, i+j) is proper if $\{x_1, x_2, \dots, x_k\} \subseteq W(i, i+j)$. 2 3 4 5 6 7 8 9 Note that, if $i_1 \le i_2 \le i_1 + j_1 - 1$ and $i_1 + j_1 \le i_2 + j_2$, then $W(i_1, i_1 + j_1) \cup W(i_2, i_2 + j_2) = W(i_1, i_2 + j_2).$ We say that $W(i_1, i_1 + j_1)$ and $W(i_2, i_2 + j_2)$ are whisker-disjoint, if $|W(i_1, i_1 + j_1) \cap W(i_2, i_2 + j_2)| \le 1$, that is $W(i_1, i_1 + j_1)$ and $W(i_2, i_2 + j_2)$ intersect at most in one vertex. It is clear that for any collection of proper whisker intervals W_1, \ldots, W_r there exist whiskerdisjoint whisker intervals V_1, \ldots, V_t such that $W_1 \cup \cdots \cup W_r = V_1 \cup \cdots \cup V_t$. 10 Now, we are in the position to state and prove the announced classification. 11 **Theorem 3.3.** Let G be a unicyclic graph on n vertices. Then, the following conditions are 12 13 equivalent. 14 (a) The set 15 $\mathscr{P}_G = \{P_C : C \in \mathscr{C}(G)\} \cup \{(t, x_1t, x_2t, \dots, x_nt)\} \cup \{Q_1, \dots, Q_n\}$ 16 17 is the set of height one monomial prime ideals of R_G . (b) *G* is a whiskered odd cycle. 18 19 *Proof.* Since G is unicyclic, it follows from Theorem 2.2 that R_G is normal. 20 The implication (a) \Rightarrow (b) follows immediately from Theorem 3.1. 21 22 (b) \Rightarrow (a). Suppose G is a whiskered odd cycle. Then $G = C(a_1, \dots, a_k)$ for some odd $k \ge 3$ and some non-negative integers a_1, a_2, \ldots, a_k . Let G_0 be the induced graph of G on vertex set 23 ²⁴ x_1, \ldots, x_k . Then G_0 is an odd cycle Let $P \subset R_G$ be a monomial prime ideal containing t and such that $P \not\supseteq P_C$ for all vertex covers 25 *C* of *G*. Set $P_0 = (t, x_i t, x_{i,j} t : i \in [k], j \in [a_i])$. We claim that 26 27 $P_0 \subseteq P$. 28 The set $D = \{x_i : x_i t \in P\} \cup \{x_{i,j} : x_{i,j} t \in P\}$ is a vertex cover of G. We are going to prove that 29 D = V(G). From this, it will follow that $P_0 \subseteq P$. 30 Since D is a vertex cover, there exists a minimal vertex cover C contained in D. By Lemma 3.2, 31 the only adjacent vertices of *C* can be the vertices of the cycle G_0 . In particular, $C_0 = C \cap V(G_0)$ 32 is a (possibly non minimal) vertex cover of G_0 . 33 Since G_0 is an odd cycle, C_0 must contain at least a pair of adjacent vertices x_i, x_j of G_0 . 34 Suppose that for all such adjacent vertices $x_i, x_i \in C_0$ we have $x_i x_i t \in P$. Then, P_C would be 35 contained in P, because by Lemma 3.2 the only adjacent vertices of C can be the x_i . But this 36 is against our assumption. Therefore, there exist two adjacent vertices $x_i, x_i \in C$ for which 37 $x_i x_j t \notin P$. Up to relabeling, we may assume i = 2 and j = 3. We claim that x_1 and all the 38 whiskers of x_2 and x_3 belong to D. 39 Suppose that $x_1 \notin D$. Then $x_1t \notin P$. Since also $x_2x_3t \notin P$, the product $(x_1t)(x_2x_3t)$ should not 40 be in *P*. However, $(x_1t)(x_2x_3t) = (x_1x_2t)(x_3t) \in P$, which is a contradiction. Therefore, $x_1 \in D$. 41 Similarly, suppose that $x_{2,i} \notin D$ for some j. Then $x_{2,i} \notin P$. Since also $x_2 x_3 t \notin P$, the product 42 $(x_{2,j}t)(x_2x_3t)$ should not be in P. However, $(x_{2,j}t)(x_2x_3t) = (x_2x_{2,j}t)(x_3t) \in P$, a contradiction. 43 Therefore, $x_{2,i} \in D$. Similarly $x_{3,\ell} \in D$ and our claim follows. We distinguish two cases now. 44 45 CASE 1. Suppose k = 3. By the previous discussion, $x_1, x_2, x_3, x_{2,i}, x_{3,\ell} \in D$, for all $j \in [a_2]$

1 and $\ell \in [a_3]$. It remains to prove that the whiskers of x_1 belong to *D*. Indeed, the vertex cover 2 $C_1 = \{x_1, x_2, \text{whiskers of } x_3\}$ is contained in *D*. Since $P_{C_1} \not\subseteq P$, we must have $x_1 x_2 t \notin P$. By the 3 argument used before, we obtain that all whiskers of x_1 belong to *D*. Hence, D = V(G) and so *P* 4 contains P_0 , as wanted.

⁵ CASE 2. Suppose k > 3. By the argument above, we have also that $x_4 \in D$. Hence, ⁶ $W(1, 4) = \{(x_1, y_2), (x_2, y_3), (x_3, y_4)\} \in D$.

$$W(1,4) = \{x_1, x_2, x_3, x_4\} \cup \bigcup_{i=2,3} \bigcup_{h \in [a_i]} \{x_{i,h}\} \subseteq D.$$

Now, we recursively determine vertex covers $C_i \subset D$ in order to obtain each time new whisker intervals that belong to D, and in the end to have that D = V(G).

11 Let

7 8

12

$$C_1 = (C \setminus \{x_2, \text{whiskers of } x_1 \text{ and } x_4\}) \cup \{x_1, x_4\} \cup \{\text{whiskers of } x_2\}.$$

13 It is clear that C_1 is a cover of G. Since, by assumption, P does not contain P_{C_1} , it follows that P_1 14 does not contain $x_i x_j t$, for some adjacent vertices $x_i, x_j \in C_1$. Since $x_2 \notin C_1$, it follows that $x_i x_j t$ 15 is different from $x_2 x_3 t$. Thus, j = i - 1 and $i \in \{4, ..., k\}$ or j = 1 and i = k. Let p and q be the 16 adjacent vertices of i - 1 and i, different from i and i - 1. Then p = i - 2 and q = i + 1. Here we 17 take the remainder modulo k, if these numbers exceed k. Arguing as before, 18

19

$$W(i-2,i+1) \subseteq D.$$

After repeating this argument as many times as possible, if D = V(G) then we are finished. Otherwise, at a given step of this procedure, we have that there exist integers $i_1, j_1, ..., i_r, j_r$, with $j_1, ..., j_r \ge 3$ such that

(4) $W(i_1, i_1 + j_1) \cup W(i_2, i_2 + j_2) \cup \cdots \cup W(i_r, i_r + j_r) \subseteq D,$

 $\overline{25}$ and these whisker intervals are proper and mutually whisker-disjoint.

Now, starting from the vertex cover C, we construct another vertex cover C' of G contained in D, having the following properties:

(i) The only adjacent vertices of C' belong to the cycle G_0 .

(ii) if $x_i, x_j \in C'$ are adjacent vertices that belong to a whisker interval above, say $W(i_a, i_a + j_a)$, then either $\{i, j\} = \{i_a, i_a + 1\}$ or $\{i, j\} = \{i_a + j_a - 1, i_a + j_a\}$.

The vertex cover C' having the properties (i) and (ii) is constructed as follows. Let W(i, i + j)be a whisker interval in (4). We distinguish two cases: j even, say $j = 2\ell$, and j odd, say $j = 2\ell + 1$.

³⁴ If $j = 2\ell$, we add to *C* the vertices

38

39

42

45

 $x_i, x_{i+1}, x_{i+3}, x_{i+5}, \dots, x_{i+2\ell-3}, x_{i+2\ell-1}, x_{i+2\ell}$

³⁷ and remove all the corresponding whiskers, and moreover, we remove the vertices

$$x_{i+2}, x_{i+4}, \ldots, x_{i+2\ell-2}$$

 $\overline{40}$ and add all the corresponding whiskers. We call C' the resulting set.

41 Whereas, if $j = 2\ell + 1$, we add to C the vertices

$$x_i, x_{i+1}, x_{i+3}, x_{i+5}, \dots, x_{i+2\ell-3}, x_{i+2\ell-1}, x_{i+2\ell+1}$$

 $\frac{43}{44}$ and remove all the corresponding whiskers, and moreover, we remove the vertices

 $x_{i+2}, x_{i+4}, \dots, x_{i+2\ell-2}, x_{i+2\ell}$

1 and add all the corresponding whiskers. We call C' the resulting set.

When we have more than one whisker interval, we repeat the operations above for all whisker intervals, and call C' the set obtained in this way. Such a set is well defined, because our whisker intervals are proper and mutually whisker-disjoint. It is clear that C' is a vertex cover of G

5 satisfying the properties (i) and (ii).

Now, we argue as follows. Since P does not contain P_{C'} by assumption, and since G₀
is an odd cycle, by (i) there exists two adjacent vertices x_i, x_{i+1} ∈ C' such that x_ix_{i+1}t ∉ P. If
{i, i+1} ⊆ W(i_a, i_a + j_a), by (ii) either {i, i+1} = {i_a, i_a + 1} or {i, i+1} = {i_a + j_a - 1, i_a + j_a}.
Say, {i, i+1} = {i_a, i_a + 1}, then arguing as before, we have that

10 11

$$W(i-1,i+2) \subseteq D$$

12 Otherwise, if $\{i, i+1\}$ is not contained in any of the whisker intervals constructed up to this 13 point, then $W(i-1, i+2) \subseteq D$. In both cases, we can enlarge the set of the whisker intervals 14 contained in D. Therefore, after a finite number of steps, we obtain either D = V(G) or a 15 non-proper whisker interval is contained in D. In this latter case, up to relabeling we may assume 16 that $W(1,k) \subseteq D$. So, we only need to argue that the whiskers of x_1 and x_k are in D.

- Since $W(1,k) \subseteq D$, the vertex cover
- 18

26

27

$$C_2 = \{x_1, x_k\} \cup \{x_3, x_5, \dots, x_{k-2}\} \cup \{\text{whiskers of } x_2, x_4, \dots, x_{k-1}\}$$

is contained in *D*. Since *P* does not contain P_{C_2} , we must have that $x_1x_kt \notin P$. By the similar argument used before, $W(k-1,2) \subseteq D$. Therefore D = V(G).

Since D = V(G), it follows that $P_0 \subseteq P$. Therefore, any minimal monomial prime ideal P of (t) different from P_C for all $C \in \mathscr{C}(G)$, must contain P_0 . Thus $P = P_0$ by Corollary 2.4. Hence, the set of height one monomial prime ideals containing t is given by $\{P_C : C \in \mathscr{C}(G)\} \cup \{P_0\}$ and (a) follows.

4. The Gorenstein property

²⁸ In this last section, we discuss the Gorenstein property for the toric ring of a simplicial complex ²⁹ Δ . Summarizing some of the results of [8], we have the following

³⁰ ³¹ ³² **Lemma 4.1.** Assume that R_{Δ} is normal and let P_1, \ldots, P_r be the height one monomial prime ³² ideals containing t and Q_1, \ldots, Q_n the height one monomial prime ideals not containing t. ³³ Furthermore, let

 $f_i = \sum_{i=1}^{n+1} c_{i,j} x_j$

43 44

³⁶ be the support forms associated to P_i , i = 1, ..., r. Then,

(a) $\operatorname{Cl}(R_{\Delta})$ is generated by $[P_1], \ldots, [P_r]$ with unique relation $\sum_{i=1}^r c_{i,n+1}[P_i] = 0$.

(b) For all
$$j = 1, ..., n$$
, $[Q_j] = -\sum_{i=1}^r c_{i,j}[P_i]$

³⁹/₄₀ (c) $[\omega_{R_{\Delta}}] = \sum_{i=1}^{r} [P_i] + \sum_{j=1}^{n} [Q_j].$

Substituting the expressions for $[Q_j]$ given in (b) into the formula for $[\omega_{R_\Delta}]$ given in (c), we obtain

$$[\omega_{R_{\Delta}}] = \sum_{i=1}^{r} [P_i] - \sum_{j=1}^{n} \sum_{i=1}^{r} c_{i,j}[P_i] = \sum_{i=1}^{r} (1 - \sum_{j=1}^{n} c_{i,j})[P_i].$$

45 Hence, we have proved that

 $\frac{1}{2}$ Corollary 4.2. $[\omega_{R_{\Delta}}] = \sum_{i=1}^{r} (1 - \sum_{j=1}^{n} c_{i,j})[P_i].$ Theorem 4.3. The following conditions are equations
(a) R_{Δ} is Gorenstein.
(b) There exists an integer a surface of C_{Δ}

Theorem 4.3. The following conditions are equivalent

(b) There exists an integer a such that $1 - \sum_{i=1}^{n} c_{i,i} = ac_{i,n+1}$ for all $i = 1, \dots, r$.

⁶ *Proof.* Observe that R_{Δ} is Gorenstein if and only if $[\omega_{R_{\Delta}}] = 0$. By Lemma 4.1(a) and Corollary <u>7</u> 4.2, this is the case if and only if there exists an integer *a* such that $1 - \sum_{j=1}^{n} c_{i,j} = ac_{i,n+1}$ for all 8 i = 1, ..., r. \square

9 Now, we will apply Theorem 4.3 to the algebras R_G which we discussed before. 10

In the bipartite case, we recover the next result from [3, Corollary 4.3]. 11

Proposition 4.4. Let G be a connected bipartite graph on n vertices. Then R_G is Gorenstein if 13 and only if G is unmixed.

14 *Proof.* By Theorem 2.3(d), R_G is normal and the height one monomial prime ideals containing t are of the form $P_C, C \in \mathscr{C}(G)$. In the proof of [8, Theorem 1.3], it is shown that the support 16 form associated to P_C is 17

$$f_C(x) = -\sum_{i \notin C} x_i + x_{n+1}.$$

19 20 Let $\mathscr{C}(G) = \{C_1, \dots, C_r\}$ and $P_i = P_{C_i}$. Then, by Theorem 4.3 and formula (5) it follows that R_G is Gorenstein if and only if there exists an integer a such that $1 + (n - |C_i|) = a$ for all 21 $i = 1, \ldots, r$. This yields the conclusion. 22

23 Next, we consider non-bipartite graphs.

24 **Proposition 4.5.** Let G be a connected non-bipartite graph with n vertices satisfying the odd 25 *cycle condition. Let* $\mathscr{C}(G) = \{C_1, ..., C_r\}, P_i = P_{C_i}, for i = 1, ..., r, and P_0 = (t, x_1t, x_2t, ..., x_nt)$ 26 Assume that the set of height one monomial prime ideals containing t is $\{P_0, P_1, \ldots, P_r\}$. Then 27

(a) $[\omega_{R_G}] = (1+n)[P_0] + \sum_{i=1}^r (1+n-|C_i|)[P_i].$ 28

(b) R_G is Gorenstein if and only if n is odd and G is unmixed. 29

<u>³⁰</u> Proof. One can easily see that the support form of P_0 is $f_0(x) = -\sum_{i=1}^n x_i + 2x_{n+1}$. Part (a) ³¹ follows from Corollary 4.2. By using the support forms f_0 and f_{C_i} , it follows from Theorem $\frac{32}{2}$ 4.3(b) that R_G is Gorenstein if and only if there exists an integer a such that 1 + n = 2a and $\frac{33}{2}$ 1 + n - $|C_i| = a$ for all *i*. This implies that R_G is Gorenstein if and only if *n* is odd and *G* is $\frac{34}{2}$ unmixed.

35 36

Finally, we consider the case in which G is a k-cycle, which we denote by C_k .

37 **Corollary 4.6.** R_{C_k} is Gorenstein if and only if $k \in \{3, 4, 5, 7\}$.

38 *Proof.* By Theorem 2.2, R_{C_k} is normal. We claim that R_{C_k} is Gorenstein if and only C_k is 39 unmixed. If k is even, C_k is bipartite and the claim follows from Proposition 4.4. If k is odd, the 40 claim follows from Theorem 3.3 and Proposition 4.5. 41

It can be easily seen that C_k is unmixed if $k \in \{3, 4, 5, 7\}$. Otherwise, if k = 6 or k > 7 then 42 C_k is not unmixed, as we show next. 43

- Let k > 7 odd, say $k = 2\ell + 1$. Then, $\ell \ge 4$ and 44
- $\{1, 2, 4, 6, 8, 10, \dots, 2\ell 2, 2\ell\}, \{1, 2, 4, 5, 7, 8, 10, \dots, 2\ell 2, 2\ell\}$ 45

1 are minimal vertex covers of C_k of size $\ell + 1$ and $\ell + 2$.

2 Let $k \ge 6$ even, say $k = 2\ell$. If k = 6, then $\{1,3,5\}$ and $\{1,2,4,5\}$ are minimal vertex covers 3 of C_6 of different size. Suppose $\ell \ge 4$, then

$$\{1,3,5,7,9,\ldots,2\ell-3,2\ell-1\}, \{1,2,4,5,7,9,\ldots,2\ell-3,2\ell-1\}$$

4 5 6 are minimal vertex covers of C_k of size ℓ and $\ell + 1$.

7 8 Let R be a standard graded Cohen–Macaulay K-algebra with canonical module ω_R . Following 9 [4], we say that *R* is *pseudo-Gorenstein* if $\dim_K(\omega_R)_a = 1$, where $a = \min\{i : (\omega_R)_i \neq 0\}$.

10 Let G be a graph such that R_G is a normal domain. By a theorem of Hochster, R_G is a ¹¹ Cohen–Macaulay K-algebra. Furthermore, R_G is standard graded with the grading given by $\deg(x_1^{a_1}\cdots x_n^{a_n}t^b) = b$, for all monomials $x_1^{a_1}\cdots x_n^{a_n}t^b \in R_G$. 12 13

Proposition 4.7. Let G be an odd cycle. Then R_G is pseudo-Gorenstein. 14

15 *Proof.* Let k be the number of vertices of G. Then $k = 2\ell + 1$ for some $\ell \ge 1$. Set $P_0 =$ 16 (t, x_1t, \ldots, x_kt) . By Theorem 3.3, the set of height one monomial prime ideals of R_G is given by 17 $\{P_C: C \in \mathscr{C}(G)\} \cup \{P_0, Q_1, \dots, Q_k\},$ and moreover 18

$$\boldsymbol{\omega}_{R_G} = (\bigcap_{C \in \mathscr{C}(G)} P_C) \cap P_0 \cap Q_1 \cap \cdots \cap Q_k$$

²¹ By [1, Corollary 4.33], ω_{R_G} and $\bigcap_{i=1}^k Q_i$ are monomial ideals. Let $u \in \bigcap_{i=1}^k Q_i$ be a monomial. 22 Note that for each *i*, the monomial generators of Q_i have multidegree $\geq e_i + e_{k+1}$. Hence, 23 the multidegree of u is $\geq e_1 + \dots + e_k + e_{k+1}$. Thus, $u = u_1 u_2 \cdots u_b = x_1^{a_1} x_2^{a_2} \cdots x_k^{a_k} t^b$, where ²⁴ u_1, u_2, \ldots, u_b are b, not necessarily distinct, generators of R_G , and $a_1, a_2, \ldots, a_k \ge 1$. Note that 25

(6)
$$k \le \sum_{i=1}^{k} \deg_{x_i}(u) = \sum_{i=1}^{k} \sum_{j=1}^{p} \deg_{x_i}(u_j) = \sum_{j=1}^{p} \sum_{i=1}^{k} \deg_{x_i}(u_j) \le 2b.$$

Thus $2b \ge k$. Hence $b \ge \ell + 1$ and the initial degree of $\bigcap_{i=1}^{k} Q_i$ is $\ell + 1$. 28

29 We claim that the only monomials of degree $\ell + 1$ belonging to $\bigcap_{i=1}^{k} Q_i$ are 20

$$w_0 = (x_1 x_2 \cdots x_k) t^{\ell+1}, \ w_i = (x_1 \cdots x_{i-1} x_i^2 x_{i+1} \cdots x_k) t^{\ell+1}, \ i = 1, \dots, k.$$

Indeed, for all j = 1, ..., k, we can write 32

$$w_0 = (x_j t)(x_{j+1} x_{j+2} t) \cdots (x_{j+k-2} x_{j+k-1} t) \in Q_j,$$

where j + p is understood to be q, where $j + p \equiv q$ modulo k and $1 \leq q \leq k$. Thus $w_0 \in \bigcap_{i=1}^k Q_i$. 35 Similarly, we can write 36

37 38

19 20

$$w_i = (x_{i-1}x_it)(x_ix_{i+1}t)(x_{i+2}x_{i+3}t)\cdots(x_{i+2(\ell-1)}x_{i+2(\ell-1)+1}t)$$

with the same convention as before for the indices. Hence, we see that $w_i \in Q_j$ for all j, because 39 j = i + p, for some $-1 \le p \le 2\ell - 1$, and $x_{j-1}x_jt, x_jx_{j+1}t \in Q_j$. 40

Conversely, let $u = u_1 u_2 \cdots u_{\ell+1} \in \bigcap_{i=1}^k Q_i$ where $u_1, u_2, \ldots, u_{\ell+1}$ are $\ell + 1$ generators of 41 R_G . Note that at most one of the u_i can be of the form $x_j t$ and the remaining monomials 42 u_p are of the form $x_i x_j t$, otherwise $\sum_{i=1}^k \deg_{x_i}(u) < k$, contradicting (6). Therefore, we have $\sum_{i=1}^{k} \deg_{x_i}(u) \in \{2\ell+1, 2\ell+2\} = \{k, k+1\}$. Since we must have $\deg_{x_i}(u) \ge 1$ for all i = 1, ..., k, 45 we see that the only monomials of degree $\ell + 1$ belonging to $\bigcap_{i=1}^{k} Q_i$ are those listed in (7).

Next, we show that $w_0 \in P_0 \cap (\bigcap_{C \in \mathscr{C}(G)} P_C)$ and $w_i \notin P_0$ for all i = 1, ..., k. Indeed, let *C* $\in \mathscr{C}(G)$, then $x_j \in C$ for some *j*. Thus $x_j t \in P_C$ and by (8) it follows that $w_0 \in P_C$, as well. This same argument shows that $w_0 \in P_0$, and so $w_0 \in P_0 \cap (\bigcap_{C \in \mathscr{C}(G)} P_C)$.

Now let $i \in \{1, ..., k\}$. For any factorization $w_i = v_1 v_2 \cdots v_{\ell+1}$ of w_i into a product of generators $v_p \in R_G$, we have $\sum_{j=1}^k \deg_{x_j}(v_p) = 2$ for all p. This shows that $w_i \notin P_0$. Therefore, the only monomial of degree $\ell + 1$ belonging to ω_{R_G} is w_0 . Since ω_{R_G} is a

Therefore, the only monomial of degree $\ell + 1$ belonging to ω_{R_G} is w_0 . Since ω_{R_G} is a monomial ideal, its initial degree is larger or equal to the initial degree of $\bigcap_{i=1}^{k} Q_i$. Hence, $\min\{i: (\omega_{R_G})_i \neq 0\} = \ell + 1$ and $\dim_K(\omega_{R_G})_{\ell+1} = 1$, that is, R_G is pseudo-Gorenstein.

Example 4.8. Let G = C(1, 1, 1) be the whiskered triangle depicted below.



Note that *G* is unmixed, but it has an even number of vertices. Thus, by Proposition 4.5 - it follows that R_G is not Gorenstein. Indeed, by using *Macaulay2* [5], we checked that the - canonical module of R_G is

$$\omega_{R_G} = (x_1 x_2 x_3 x_{1,1} x_{2,1} x_{3,1} t^4, x_1^2 x_2^2 x_3^2 x_{1,1} x_{2,1} x_{3,1} t^5).$$

On the other hand, R_G is pseudo-Gorenstein. In general however the algebra R_G of a whisker cycle G need not to be pseudo-Gorenstein. The algebra $R_{C(1,1,2)}$ gives such an example.

References

- [1] W. Bruns and J. Gubeladze, *Polytopes, rings, and K-theory*, Springer Monographs in Mathematics, Springer, Dordrecht, 2009.
- 30
 [2] W. Bruns, J. Herzog, *Cohen–Macaulay rings*, Cambridge University Press, 1998.
- [3] [3] L.A. Dupont, C. Rentería, R. H. Villarreal, *Systems with the integer rounding property in normal monomial subrings*, An. Acad. Brasil. Ciênc. 82 (2010), no. 4, 801–811.
- [4] V. Ene, J. Herzog, T. Hibi, S. Saeedi Madani. *Pseudo-Gorenstein and level Hibi rings*. J. Algebra, 431:138–161, 2015.
- [5] D. R. Grayson, M. E. Stillman. Macaulay2, a software system for research in algebraic geometry. Available at http://www.math.uiuc.edu/Macaulay2.
- [6] J. Herzog, T. Hibi. *Monomial ideals*, Graduate texts in Mathematics **260**, Springer–Verlag, 2011.
- [7] J. Herzog, T. Hibi, S. Moradi, A. Asloob Qureshi, *The divisor class group of a discrete polymatroid*, Journal of Combinatorial Theory, Series A, **205**, 105869, 2024, https://doi.org/10.1016/j.jcta.2024.105869.
- [8] J. Herzog, S. Moradi, A. Asloob Qureshi, *Toric rings attached to simplicial complexes*, 2023, preprint arXiv:2302.03653.
- [9] J. Herzog, A. Simis, W.V. Vasconcelos, Arithmetic of normal Rees algebras, 1991, J. Algebra 143: 269–294.
- [10] H. Ohsugi and T. Hibi, Normal polytopes arising from finite graphs, J. Algebra 207 (1998), 409-426.
- [11] M. Vaz Pinto, R.H. Villarreal, Graph rings and ideals: Wolmer Vasconcelos contributions, 2023, preprint arXiv:2305.06270
- 44 [12] R. H. Villarreal, Monomial Algebras, Second Edition, Monographs and Research Notes in Mathematics, CRC
- 45 Press, Boca Raton, FL, 2015.

18 19

20

21

22 23 24

25

26 27

1 ANTONINO FICARRA, DEPARTMENT OF MATHEMATICS AND COMPUTER SCIENCES, PHYSICS AND EARTH 2 SCIENCES, UNIVERSITY OF MESSINA, VIALE FERDINANDO STAGNO D'ALCONTRES 31, 98166 MESSINA,

3 ITALY

- ____ *Email address*: antficarra@unime.it
- Jürgen Herzog, Fakultät für Mathematik, Universität Duisburg-Essen, 45117 Essen, Ger MANY
- 7 Email address: juergen.herzog@uni-essen.de
- ⁸ DUMITRU I. STAMATE, FACULTY OF MATHEMATICS AND COMPUTER SCIENCE, UNIVERSITY OF BUCHAREST,

- 9 Str. Academiei 14, Bucharest 010014, Romania
- *Email address*: dumitru.stamate@fmi.unibuc.ro