

158. A Note on Character Sums

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§ 1. Introduction.

This is a continuation of the previous work (cf. [2]). We are concerned with the estimate of $\sum_{n \leq X} \chi(n)$, where χ is a primitive character mod q . In [2] we showed

$$\left| \sum_{n \leq X} \chi(n) \right| \ll_q \sqrt{X} q^{1/6},$$

where \ll_q depends on prime factors of q . Here we will improve the dependence of \ll_q on the prime factors of q . Hereafter implicit constants in \ll are absolute. We will prove

Theorem. *Let χ be a primitive character mod q . Then for $X \leq q^{2/3}$.*

$$\left| \sum_{n \leq X} \chi(n) \right| \ll \sqrt{X} q^{1/6} B(q)$$

with

$$B(q) = \text{Min}_{q=q_1 q_2} \left\{ q_1^{1/3} (\log q_1)^{\delta_2} \left[\left(\frac{1}{3} \log (q_2 q_1^2) \right)^{R_2/2} A_2^{1/3} q_1^{1/3} / \left(\prod_{p_i | q_2} \log p_i \right) \right. \right. \\ \left. \left. + (\log q_2)^{1/2} A_2^{1/6} \left(\prod_{p_i | q_2} p_i / (p_i - 1) \right)^{1/2} \right]^{1-\delta_2} \right\}$$

where

- 1) Min is taken over all decomposition of q into $q_1 q_2$ such that if $q_2 = \prod_{i=1}^{R_2} p_i^{r_i}$, then $p_i^{r_i} \parallel q$ and $r_i > r_0$, where r_0 is 32, say.
- 2) $A_2 = \prod_{p_i | q_2} p_i^{k_i}$, where $k_i = 0, 2, 1$ according as $r_i \equiv 0, 1, 2 \pmod{3}$ and $p_i^{r_i} \parallel q_2$.

$$3) \delta_2 = \begin{cases} 1 & \text{if } q_2 = 1 \\ 0 & \text{if } q_2 \neq 1. \end{cases}$$

§ 2. Proof of Theorem.

Let $q = q_1 q_2$ and $q_2 = \prod_{i=1}^{R_2} p_i^{r_i}$ with $p_i^{r_i} \parallel q$ and $r_i > r_0$. Let s_i be the least natural number larger than or equal to $r_i/3$. Write $d = \prod_{i=1}^{R_2} p_i^{s_i}$ and $k_i = 0, 2, 1$ according as $r_i \equiv 0, 1, 2 \pmod{3}$. We have by definition $r_i + k_i = 3s_i$. Let $A_2 = \prod_{i=1}^{R_2} p_i^{k_i}$. If $X \leq d$, the theorem comes from a trivial estimate. (For $|\sum_{n \leq X} \chi(n)| \leq \sqrt{X} = \sqrt{X} \sqrt{X} \leq \sqrt{X} d^{1/2} = \sqrt{X} q_2^{1/6} A_2^{1/6}$.) Hence we assume $d \leq X \leq q^{2/3}$. We see that

$$\left| \sum_{n \leq X} \chi(n) \right| \leq \sum_{\mu=0}^{\mu_0} \left| \sum_{N \leq n \leq N'} \chi(n) \right|,$$

where $N = 2^\mu d$, $N' \leq 2N$, $\mu = 0, 1, \dots, \mu_0$ and $2^{\mu_0} d \leq X \leq 2^{\mu_0+1} d$. Hence the problem is reduced to the estimate of the sums of the type $\sum_{N \leq n \leq N'} \chi(n)$ under $d \leq N \leq q^{2/3}$, $N' \leq 2N$.

Now

$$(1) \quad \left| \sum_{N \leq n \leq N'} \chi(n) \right| \leq \sum_{a=1}^d \left| \sum_{n=N_1}^{N_2} \chi(a+ud) \right| \leq d^{1/2} \left(\sum_{a=1}^d \left| \sum_{u=N_1}^{N_2} \chi(a+ud) \right|^2 \right)^{1/2}$$

$$\leq d^{1/2} \left(\sum_{a=1}^d \left| \sum_{n=N_1}^{N_2} \chi_1(a+ud) e(\alpha' a^{*2} u^2 + \beta' u a^*) \right|^2 \right)^{1/2},$$

where the dash indicates that we sum only over a 's relatively prime to d , $N_1 = d^{-1}(N - a)$, $N_2 = d^{-1}(N' - a)$, $\chi = \chi_1 \chi_2$ with primitive characters $\chi_i \pmod{q_i}$ for $i = 1$ and 2 , a^* is determined by $aa^* \equiv 1 \pmod{q_2}$, $\alpha' = A_2 \alpha'' / d$ with $(\alpha'', d) = 1$ and $e(Y) = \exp(2\pi i Y)$. The last inequality comes from the following lemma. (For convenience we will add the proof which we can see in [2].)

Lemma. *Let χ be a primitive character mod q . Let $q = \prod_{i=1}^R p_i^{r_i}$ and $d = \prod_{i=1}^R p_i^{s_i}$, where s_i is the least natural number larger than or equal to $r_i/3$ and let $k_i = 3s_i - r_i$ for $i = 1, 2, \dots, R$. Let $A = \prod_{i=1}^R p_i^{k_i}$.*

Then for any u ,

$$\chi(1 + ud) = e(\alpha' u^2 + \beta' u),$$

where $\alpha' = A\alpha'' / d$ with $(\alpha'', d) = 1$.

Proof. Since χ is decomposed uniquely into primitive characters $\chi_i \pmod{p_i^{r_i}}$

$$\chi(1 + ud) = \prod_{i=1}^R \chi_i(1 + ud) = \prod_{i=1}^R \chi_i \left(1 + p_i^{s_i} \left(\prod_{j \neq i} p_j^{s_j} u \right) \right)$$

$$= \prod_{i=1}^R e \left(\alpha_i \left(u \prod_{j \neq i} p_j^{s_j} \right)^2 + \beta_i \left(u \prod_{j \neq i} p_j^{s_j} \right) \right),$$

where

$$\alpha_i = \frac{a_i}{p_i^{r_i - 2s_i}} = \frac{a_i}{p_i^{s_i - k_i}}$$

$$\beta_i = -\frac{2a_i}{p_i^{r_i - s_i}} \quad \text{with} \quad a_i = a_{\chi_i} \not\equiv 0 \pmod{p_i^{r_i}}$$

by Postnikov-Gallagher expression for prime power modulus case (cf. [2]). Hence

$$\chi(1 + ud) = e \left(\sum_{i=1}^R a_i \left(u \prod_{j \neq i} p_j^{s_j} \right)^2 + \sum_{i=1}^R \beta_i \left(u \prod_{j \neq i} p_j^{s_j} \right) \right).$$

Take

$$\alpha' = \sum_{i=1}^R \alpha_i \left(\prod_{j \neq i} p_j^{s_j} \right)^2 = \sum_{i=1}^R -\frac{a_i}{p_i^{s_i - k_i}} \prod_{j \neq i} p_j^{2s_j} = \sum_{i=1}^R \frac{a_i}{p_i^{3s_i - k_i}} \left(\prod_{j=1}^R p_j^{s_j} \right)^2$$

$$= \frac{A}{d} \left(\sum_{i=1}^R a_i \prod_{j \neq i} p_j^{s_j} \right) = \frac{A}{d} \alpha'' \quad \text{and} \quad (\alpha'', d) = 1.$$

$$\beta' = \sum_{i=1}^R \beta_i \prod_{j \neq i} p_j^{s_j}.$$

Q.E.D. of Lemma.

Since $\tau(\chi_1) \chi_1(a + ud) = \sum_{c=1}^{q_1} \overline{\chi_1(c)} e(c(a + ud)/q_1)$, where $\tau(\chi)$ is the Gaussian sum of χ , the last inequality (1) becomes

$$\begin{aligned}
 &\leq d^{1/2} \left(\sum'_{a=1}^d \left| \sum_{u=N_1}^{N_2} \sum_{c=1}^{q_1} \frac{\chi_1(c)}{\tau(\chi_1)} e(c(a+ud)/q_1) e(\alpha' a^{*2} u^2 + \beta' u a^*) \right|^2 \right)^{1/2} \\
 (2) \quad &\leq d^{1/2} \left(\sum'_{a=1}^d \frac{q_1}{|\tau(\chi_1)|^2} \sum_{c=1}^{q_1} \left| \sum_{u=N_1}^{N_2} e \left(\alpha' a^{*2} u^2 + u \left(\beta' a^* + \frac{cd}{q_1} \right) + \frac{ca}{q_1} \right) \right|^2 \right)^{1/2} \\
 &\leq d^{1/2} \left(\sum_{c=1}^{q_1} \sum'_{a=1}^d \left| \sum_{u=N_1}^{N_2} e \left(\alpha' a^{*2} u^2 + u \left(\beta' a^* + \frac{cd}{q_1} \right) + \frac{ca}{q_1} \right) \right|^2 \right)^{1/2}.
 \end{aligned}$$

So in the extremal case, i.e., if $q_2=1$, the conclusion comes from Weyl sum estimate as in the proof of Polya-Vinogradov's theorem. Hence hereafter we assume $q_2 \neq 1$. By van der Copput's method (cf. [3]), (2) becomes

$$(3) \quad \leq d^{1/2} q_1^{1/2} (\log q_1)^{\delta_2} S^{1/2},$$

where $S = \sum'_{a=1}^d \sum_{|u| \leq N_2 - N_1} \text{Min}(N_2 - N_1, 1/\|\alpha' a^{*2} u\|)$ and $\|\lambda\| = \text{Min}(\lambda - [\lambda], 1 - \lambda + [\lambda])$. Now let us express u as $u = u' \prod_{i=1}^{R_2} p_i^{\tau_i}$ with $(u', d) = 1$ and

$$|u'| \leq Nd^{-1} \prod_{i=1}^{R_2} p_i^{-\tau_i}.$$

Then

$$S \leq \sum_{i=1}^{R_2} \sum_{\tau_i=0}^{\bar{\tau}_i} \sum_{u'}'' \sum_{a=1}^d \text{Min}(Nd^{-1}, 1/\eta(u')),$$

where $\bar{\tau}_i \leq (\log Nd^{-1})/\log p_i$ for each $i=1, 2, \dots, R_2$, $\eta(u') = \|\alpha' a^{*2} u'/D\|$ with $D = dA_2^{-1} \prod_{i=1}^{R_2} p_i^{\tau_i}$ and the double dash indicates that we sum over all u' satisfying $|u'| \leq Nd^{-1} \prod_{i=1}^{R_2} p_i^{-\tau_i}$.

Now the variable a runs over all residue classes mod D with the multiplicity $\prod_{i=1}^{R_2} p_i^{\tau_i} A_2$. a^{*2} runs over all residue classes mod D with the multiplicity less than $2 \prod_{i=1}^{R_2} p_i^{\tau_i} A_2$. And $\alpha' a^{*2} u'$ runs over all residue classes mod D with the same multiplicity as a^{*2} . Hence

$$\begin{aligned}
 S &\leq \sum_{i=1}^{R_2} \sum_{\tau_i=0}^{\bar{\tau}_i} \sum_{u'}'' 2A_2 \prod_{i=1}^{R_2} p_i^{\tau_i} \sum_{a=1}^D \text{Min}(Nd^{-1}, 1/\|a/D\|) \\
 &\leq \sum_{i=1}^{R_2} \sum_{\tau_i=0}^{\bar{\tau}_i} Nd^{-1} \prod_{i=1}^{R_2} p_i^{-\tau_i} (ND^{-1} + d \log D) \\
 &\leq N^2 d^{-2} A_2 \prod_{i=1}^{R_2} \bar{\tau}_i + Nd^{-1} d \log q_2 \cdot \sum_{i=1}^{R_2} \sum_{\tau_i=0}^{\bar{\tau}_i} \prod_{i=1}^{R_2} p_i^{-\tau_i}
 \end{aligned}$$

Since

$$N \leq q^{2/3}, N^2 d^{-2} \leq N \sum_{i=1}^{R_2} p_i^{-2/3k_i} q_1^{2/3} = NA_2^{-2/3} q_1^{2/3}.$$

Hence

$$S \leq NA_2^{1/3} q_1^{2/3} \left(\frac{1}{3} \log(q_2 q_1^2) \right)^{R_2} / \left(\prod_{p_i | q_2} \log p_i \right) + N \log q_2 \cdot \prod_{p_i | q_2} p_i / (p_i - 1).$$

Hence (3) becomes

$$\begin{aligned}
 &\leq N^{1/2} d^{1/2} q_1^{1/2} (\log q_1)^{\delta_2} \left\{ A_2^{1/6} q_1^{1/3} \left(\frac{1}{3} \log(q_2 q_1^2) \right)^{R_2/2} / \left(\prod_{p_i | q_2} \log p_i \right)^{1/2} \right. \\
 &\quad \left. + (\log q_2)^{1/2} \left(\prod_{p_i | q_2} p_i / (p_i - 1) \right)^{1/2} \right\}
 \end{aligned}$$

$$\begin{aligned} &\leq N^{1/2} q_2^{1/6} A_2^{1/6} q_1^{1/2} (\log q_1)^{\delta_2} \left\{ A_2^{1/6} q_1^{1/3} \left(\frac{1}{3} \log (q_2 q_1^2) \right)^{R_2/2} / \left(\prod_{p_i | q_2} \log p_i \right)^{1/2} \right. \\ &\quad \left. + (\log q_2)^{1/2} \left(\prod_{p_i | q_2} p_i / (p_i - 1) \right)^{1/2} \right\} \\ &\leq N^{1/2} q^{1/6} A_2^{1/6} q_1^{1/3} (\log q_1)^{\delta_2} \left\{ A_2^{1/6} q_1^{1/3} \left(\frac{1}{3} \log (q_2 q_1^2) \right)^{R_2/2} / \left(\prod_{p_i | q_2} \log p_i \right)^{1/2} \right. \\ &\quad \left. + (\log q_2)^{1/2} \left(\prod_{p_i | q_2} p_i / (p_i - 1) \right)^{1/2} \right\}. \end{aligned}$$

Hence

$$\begin{aligned} \left| \sum_{n \leq X} \chi(n) \right| &\leq \sqrt{X} q^{1/6} q_1^{1/3} (\log q_1)^{\delta_2} \left\{ A_2^{1/3} q_1^{1/3} \left(\frac{1}{3} \log (q_2 q_1^2) \right)^{R_2/2} / \left(\prod_{p_i | q_2} \log p_i \right)^{1/2} \right. \\ &\quad \left. + A_2^{1/6} (\log q_2)^{1/2} \left(\prod_{p_i | q_2} p_i / (p_i - 1) \right)^{1/2} \right\}. \end{aligned}$$

Since this is true for any decomposition of q into $q = q_1 q_2$, we get our conclusion.

Q.E.D.

References

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