

## 100. On Pseudocompactness and Continuous Mappings

By Sitiro HANAI and Akihiro OKUYAMA

Osaka University of Liberal Arts and Educations

(Comm. by K. KUNUGI, M.J.A., Oct. 12, 1962)

Let  $X$  and  $Y$  be completely regular  $T_1$ -spaces and let  $\varphi$  be a continuous mapping of  $X$  onto  $Y$ .

M. Henriksen and J.R. Isbell have shown that the following proposition is not true by a counter example. *If  $\varphi$  is a fitting map<sup>1)</sup> and if  $Y$  is pseudo compact, then  $X$  is pseudocompact.* (Cf. [3], p. 93.)

Now we shall show that if  $\varphi$  is an open  $Z$ -mapping<sup>2)</sup> of  $X$  onto  $Y$  and if  $Y$  is pseudocompact, then  $X$  is pseudocompact. As an immediate consequence of this fact we have a theorem concerning the pseudocompactness of the product space which was shown in [1].

**Theorem 1.** *Let  $\varphi(X)=Y$  be an open  $Z$ -mapping such that for each point  $y$  of  $Y$   $\varphi^{-1}(y)$  is relatively pseudocompact.<sup>3)</sup> If  $Y$  is pseudocompact, then  $X$  is pseudocompact.*

*Proof.* Suppose that  $X$  is not pseudocompact. Then there exists a positive unbounded continuous function  $f$  on  $X$  such that  $f^{-1}(n)$  is not empty for each positive integer  $n$ . Let  $x_n$  be a point of  $f^{-1}(n)$ . Since  $\varphi^{-1}(y)$  is relatively pseudocompact, we can, without loss of generality, assume that for any two distinct integers  $m, n$   $\varphi(x_m) \neq \varphi(x_n)$ .

Let  $U_n = \left\{ x \in X; |f(x) - n| < \frac{1}{4} \right\}$  for each  $n (\geq 2)$ . Hence we shall show that for any subcollection  $\{\bar{U}_{n_i}; i=1, 2, \dots\}$  of  $\{\bar{U}_n; n=1, 2, \dots\}$  the set  $\bigcup_{i=1}^{\infty} \bar{U}_{n_i}$  is a zero-set, where  $n_i > n_j (i < j)$ .

For any two distinct integers  $m, n (1 < m < n)$  we define a function  $f_{mn}$  on closed interval  $[m, n]$  (in real line) as follows:

$$f_{mn}(r) = \begin{cases} (r-m) \vee \frac{1}{4} - \frac{1}{4} & \left( m \leq r \leq \frac{m+n}{2} \right) \\ (n-r) \vee \frac{1}{4} - \frac{1}{4} & \left( r \leq n \right). \end{cases}$$

where  $a \vee b$  denotes the maximum of  $a$  and  $b$ . Then  $f_{mn}$  is continuous.

1) A closed continuous mapping  $\varphi$  of a space  $X$  onto a space  $Y$  such that for each point  $y \in Y$ , the set  $\varphi^{-1}(y)$  is compact, is called a *fitting map*. (Cf. [3] p. 84.)

2) A mapping  $\varphi$  of  $X$  onto  $Y$  is called *Z-mapping* if every zero-set  $Z(f) = \{x; f(x)=0\}$ ,  $f \in C(X)$ , is mapped to a closed subset of  $Y$ . (Cf. [2] p. 119.)

3) A subset  $F$  of a space  $X$  is said to be *relatively pseudocompact* if every continuous function on  $X$  is bounded on  $F$ . (Cf. [4].)

Next, we define a function  $g$  on  $X$  as follows:

$$g(x) = \begin{cases} \frac{n_1-1}{2} - \frac{1}{4} & (0 < f(x) \leq \frac{1+n_1}{2}) \\ f_{1n_1}(f(x)) & (\frac{1+n_1}{2} \leq f(x) \leq n_1) \\ f_{n_i n_{i+1}}(f(x)) & (n_i \leq f(x) \leq n_{i+1}) \quad (i=1, 2, \dots). \end{cases}$$

Then  $g$  is continuous. In fact, (i) if  $f(x) = \frac{1+n_1}{2}$ , then  $f_{1n_1}(f(x)) = f_{1n_1}(\frac{1+n_1}{2}) = (\frac{1+n_1}{2} - 1)^\vee \frac{1}{4} - \frac{1}{4} = \frac{n_1-1}{2} - \frac{1}{4}$ , (ii) if  $f(x) = n_i$ , then  $f_{n_i n_{i+1}}(f(x)) = f_{n_i n_{i+1}}(n_i) = (n_i - n_i)^\vee \frac{1}{4} - \frac{1}{4} = f_{n_i n_{i+1}}(f(x))$  for all  $i=1, 2, \dots$  where  $n_0=1$ . Since  $f$  is continuous,  $g$  is continuous.

Now we shall show  $g^{-1}(0) = \bigcup_{i=1}^\infty \bar{U}_{n_i}$ . If  $x$  is an arbitrary point of  $\bigcup_{i=1}^\infty \bar{U}_{n_i}$ , then there is some  $k$  such as  $x \in \bar{U}_{n_k}$ . From the definition of  $\bar{U}_{n_k}$  we have  $|n_k - f(x)| \leq \frac{1}{4}$ . To show that  $g(x) = 0$ , it is sufficient to consider the following two cases.

Case 1).  $0 \leq n_k - f(x) \leq \frac{1}{4}$ . If  $k=1$ , then

$$g(x) = f_{1n_1}(f(x)) = (n_1 - f(x))^\vee \frac{1}{4} - \frac{1}{4} = 0.$$

If  $k > 1$ , then

$$g(x) = f_{n_{k-1}n_k}(f(x)) = (n_k - f(x))^\vee \frac{1}{4} - \frac{1}{4} = 0.$$

Case 2).  $0 \leq f(x) - n_k \leq \frac{1}{4}$ . In this case

$$g(x) = f_{n_k n_{k+1}}(f(x)) = (f(x) - n_k)^\vee \frac{1}{4} - \frac{1}{4} = 0.$$

Therefore, we have  $g(x) = 0$  in both cases and, consequently,  $g^{-1}(0) \supset \bigcup_{i=1}^\infty \bar{U}_{n_i}$ . Conversely, let  $x$  be an arbitrary point of  $g^{-1}(0)$ . If  $\frac{1+n_1}{2} \leq f(x) \leq n_1$ , then we have

$$0 = g(x) = f_{1n_1}(f(x)) = (n_1 - f(x))^\vee \frac{1}{4} - \frac{1}{4},$$

and, therefore, we have  $x \in \bar{U}_{n_1}$ . If  $n_i \leq f(x) \leq \frac{n_i + n_{i+1}}{2}$  then

$$0 = g(x) = f_{n_i n_{i+1}}(f(x)) = (f(x) - n_i)^\vee \frac{1}{4} - \frac{1}{4}. \quad \text{Thus we have}$$

$x \in \bar{U}_{n_i}$ . If  $\frac{n_i + n_{i+1}}{2} \leq f(x) \leq n_{i+1}$ , then

$$0 = g(x) = f_{n_i n_{i+1}}(f(x)) = (n_{i+1} - f(x))^\vee \frac{1}{4} - \frac{1}{4}.$$

Then we have  $x \in \bar{U}_{n_{i+1}}$ . In all cases we have  $x \in \bigcup_{i=1}^\infty \bar{U}_{n_i}$  and, hence,

$g^{-1}(0) \subset \bigcup_{i=1}^{\infty} \bar{U}_{n_i}$ . Therefore,  $\bigcup_{i=1}^{\infty} \bar{U}_{n_i}$  is a zero-set.

Since  $\varphi$  is  $Z$ -mapping, for any subcollection  $\{U_{n_i}; i=1, 2, \dots\}$  of  $\{U_n; n=1, 2, \dots\}$   $\varphi(\bigcup_{i=1}^{\infty} \bar{U}_{n_i}) = \bigcup_{i=1}^{\infty} \varphi(\bar{U}_{n_i})$  is closed in  $Y$  and, in particular,  $\bigcup_{n=1}^{\infty} \varphi(\bar{U}_n)$  is closed in  $Y$ .

From the assumption that  $\varphi^{-1}(y)$  is relatively pseudocompact for each  $y(\in Y)$ ,  $y$  is contained in only a finite number of  $\{\varphi(\bar{U}_n); n=1, 2, \dots\}$ . Thus  $\{\varphi(\bar{U}_n); n=1, 2, \dots\}$  is a locally finite collection of closed sets of  $Y$ . That is, for any point  $y$  of  $\bigcup_{n=1}^{\infty} \varphi(\bar{U}_n)$  the neighborhood  $U = Y - \bigcup\{\varphi(\bar{U}_{n_i}); \varphi(\bar{U}_{n_i}) \ni y\}$  of  $y$  (in  $Y$ ) intersects only a finite number of  $\{\varphi(\bar{U}_n); n=1, 2, \dots\}$ . Since  $\varphi$  is an open mapping and  $\varphi^{-1}(y)$  is relatively pseudocompact for each  $y(\in Y)$ ,  $\{\varphi(U_n); n=1, 2, \dots\}$  is an infinite, locally finite collection of open sets of  $Y$ . But this contradicts the assumption that  $Y$  is pseudocompact ([1], Theorem 3). This completes the proof of the theorem.

**Remarks 1.** In our theorem, if we omit the assumption that  $\varphi^{-1}(y)$  is relatively pseudocompact, then it is not true. For example, if  $X$  is a countable discrete space,  $Y$  is a single point, and if  $\varphi(X) = Y$  is a constant map, then  $X$  is not pseudocompact, though  $\varphi$  is an open  $Z$ -mapping and  $Y$  is pseudocompact.

2. In our theorem we cannot omit the assumption that  $\varphi$  is an open mapping. (Cf. [3], p. 93.)

3. The following example shows that the assumption that  $\varphi$  is a  $Z$ -mapping is necessary in our theorem.

Let  $X$  be a subspace of Euclidean plane such that  $\{(x, x'); 0 \leq x < 1, 0 \leq x' \leq 1\} \cup \{(1, 0)\}$  and let  $Y = [0, 1]$  be a closed interval of real line. If  $\varphi(X) = Y$  is a mapping such that for any point  $(x, x')$  of  $X$   $\varphi((x, x')) = x$ , then  $\varphi$  is an open continuous mapping. But  $\varphi$  is not  $Z$ -mapping. For, if we put a subset  $A = \{(x, x); 0 \leq x < 1\}$  of  $X$ , then  $\varphi(A) = [0, 1]$  is not closed in  $Y$ , although  $A$  is a zero-set. Since  $Y$  is compact,  $Y$  is pseudocompact. Let  $U_n = \left\{ (x, x') \in X; \left| x - \frac{1}{2^n} \right| < \frac{1}{2^{n+1}}, \frac{1}{2} < x' \leq 1 \right\}$ .

Then the collection  $\{U_n; n=1, 2, \dots\}$  is locally finite in  $X$ . This means that  $X$  is not pseudocompact. (Cf. [1], Theorem 3).

T. Isiwata has proved that  $X$  is pseudocompact if and only if the projection  $Y \times X \rightarrow Y$  is a  $Z$ -mapping for some weakly separable space  $Y$ . (Cf. [4].)

Using the above fact and our theorem, we have immediately the following Theorem 2.

**Theorem 2.** (Bargley, Connell and Mcnight) *If  $X$  is a weakly separable space, then the topological product  $X \times Y$  of  $X$  and  $Y$  is*

*pseudocompact if and only if both  $X$  and  $Y$  are pseudocompact.*

### References

- [1] R. W. Bagley, E. H. Connell and J. D. Mcknight: On properties characterizing pseudocompact spaces, *Proc. Amer. Math. Soc.*, **9**(3), 500-506 (1958).
- [2] Z. Frolik: Applications of complete families of continuous functions to the theory of  $Q$ -spaces, *Czech. Math. Jour.*, **11**(86), 115-133 (1961).
- [3] M. Henriksen and J. R. Isbell: Some properties of compactifications, *Duke Math. Jour.*, **25**, 83-105 (1958).
- [4] T. Isiwata: Pseudocompactness and  $Z$ -mappings, forthcoming.