

103. Zeros, Primes and Rationals

By Akio FUJII

Department of Mathematics, Rikkyo University

(Communicated by Kunihiko KODAIRA, M. J. A., Nov. 12, 1982)

§ 1. Introduction. The connections between the primes and the zeros of the Riemann zeta function $\zeta(s)$ have been expressed in the explicit formulae since Riemann. It is Landau who showed some arithmetical connection between them; on the Riemann Hypothesis,

$$\lim_{T \rightarrow \infty} \frac{1}{T} \sum_{0 < \gamma < T} e^{i a \gamma} = \begin{cases} -\frac{\log p}{2\pi p^{k/2}} & \text{if } a = k \log p \\ 0 & \text{otherwise,} \end{cases}$$

where γ runs over the positive imaginary parts of the zeros of $\zeta(s)$, p is a prime and k is an integer ≥ 1 . Here we remark the following arithmetical connection between the zeros and the rationals which we have remarked in [3] and [4].

Theorem 1. *Let α be a positive number and b be a real number ≤ 1 . Then on the Riemann Hypothesis,*

$$\begin{aligned} \lim_{T \rightarrow \infty} \frac{1}{T} \sum_{2\pi\epsilon\alpha < \gamma \leq T} e^{i\gamma (\log(\gamma/2\pi\epsilon\alpha))^b} \\ = \begin{cases} -\frac{e^{i\pi/4}}{2\pi} C(\alpha) & \text{if } b=1 \text{ and } \alpha \text{ is rational} \\ 0 & \text{otherwise,} \end{cases} \end{aligned}$$

where $C(\alpha) = \mu(k)/(\sqrt{\alpha}\varphi(k))$ with the Möbius function $\mu(k)$ and the Euler function $\varphi(k)$ when $\alpha = l/k$, l and k are integers ≥ 1 and $(l, k) = 1$.

In fact, we have proved a theorem on $\sum_{c < \gamma \leq T} e^{if(\gamma)}$ for more general f without assuming any unproved hypothesis and given a different proof to the author's previous result (cf. [2]) which states that $f(\gamma)$ is uniformly distributed mod one, where $f(\gamma)$ may be, for example, $\gamma \log \gamma / \log \log \log \gamma$, $\gamma (\log \gamma)^b$ with $b < 1$ and γ . Landau's theorem and Theorem 1 can be extended to Dirichlet L -functions $L(s, \chi)$ and these have also q -analogues (cf. [4]). We state here only a q -analogue of Theorem 1. Let \sum'_χ denote the summation over all non-principal characters χ mod q . We suppose, for simplicity, that q runs over the primes. Let $\gamma(\chi)$ denote an imaginary part of the non-trivial zeros of $L(s, \chi)$. Then our q -analogue of Theorem 1 can be stated as follows.

Theorem 2. *Let η be an integer, α be a positive number and b be a real number ≤ 1 . We assume the generalized Riemann Hypothesis and suppose that $T = T(q)$ satisfies $q^\nu (\log q)^B \ll T \ll q^A$, where ν is a constant depending on η , $B > B_0$ and A is an arbitrarily large constant.*

If $b=1$, $\eta=1$ and α is rational and $=l/k$, $(l, k)=1$, $1 \leq l, k$, then for any r relatively prime to k ,

$$\lim_{\substack{q \rightarrow \infty \\ q \equiv r \pmod{k}}} \frac{1}{T\sqrt{q}} \sum'_x \sum_{(2\pi\alpha/q^\eta) < r(x) \leq T} e^{ir(x)(\log(r(x)q^\eta/2\pi\alpha))^b} = -\frac{e^{i\pi/4}}{2\pi} C(\alpha) e^{-2\pi i l r/k},$$

where $r\bar{r} \equiv -1 \pmod{k}$ and $C(\alpha)$ has the same meaning as in Theorem 1. Otherwise,

$$\lim_{q \rightarrow \infty} \frac{1}{T\sqrt{q}} \sum_x \sum_{(2\pi\alpha/q^\eta) < r(x) \leq T} e^{ir(x)(\log(r(x)q^\eta/2\pi\alpha))^b} = 0.$$

We have proved our theorems with the remainder terms and ν in Theorem 2 may be taken as $\text{Max}(5\eta+3, 4\eta+20, 2-\eta, (3\eta/2)+15)$ (cf. [3] and [4] for full details).

We remark next that a slight modification of the author's [1] gives the following arithmetical connection between the zeros and the primes.

Theorem 3. For any $b > b_0$ and any relatively prime integers a and $k \geq 1$, there exists infinitely many primes which are congruent to $a \pmod{k}$ and are of the form $[\gamma \log \gamma / b \log \log \gamma]$.

We remark that $b \log \log \gamma$ in Theorem 3 can be replaced by $\Phi(\gamma)$ if $\Phi(x)$ satisfies the following conditions. $\Phi(x)$ is a positive increasing function with continuous derivatives up to three times, satisfies $\log \log x \ll \Phi(x) \ll \log x$ and $\Phi(x^c) \cong \Phi(x)$ for any positive constant c and satisfies either

- 1) $\Phi^{(j)}(x)/\Phi(x) = o(x^{-j}(\log x)^{-1})$ for $j=1, 2, 3$, or
- 2) $\Phi^{(j)}(x)/\Phi(x) = a_j x^{-j}(\log x)^{-1} + x^{-j}(\log x)^{-1}(u(x))^{-1}(b_j + o(1))$
for $j=1, 2, 3$,

where $u(x)$ is some positive increasing function which tends to ∞ as $x \rightarrow \infty$, is $\ll (\log x)^D$ for some positive constant D and satisfies $u(x^c) \cong u(x)$ for any positive constant c , $a_1 = -a_2 = a_3/2 \neq 0$ and if $a_1 = 1$, then we suppose further that $2b_1 + b_2 \neq 0$, $3b_2 + b_3 \neq 0$ and $4b_1 + 5b_2 + b_3 \neq 0$. We remark also that if we assume the Riemann Hypothesis, $\Phi(x)$ need not be $\gg \log \log x$ but must be $\gg 1$ as in [1]. And that if $\Phi(x) \gg \log x / \log \log \log x$, then by Littlewood's theorem (cf. Theorem 9.12 of [12]) every sufficiently large integer can be written as $[\gamma \log \gamma / \Phi(\gamma)]$.

We shall prove our Theorem 3 in § 2.

§ 2. Proof of Theorem 3. The same analysis as [1] proves Theorem 3. So we remark only how to modify it. We suppose that $X > X_0$ and $1 \leq k \ll (\log X)^E$ with some positive constant E . We put $f(x) = x \log x / \Phi(x)$ and $h(x) = f^{-1}(x)$ for $X > X_0$, where $\Phi(x)$ satisfies the conditions in the introduction. Since $[f(\gamma)] = p$ if and only if $p \leq f(\gamma) < p+1$, we shall estimate

$$S \equiv \sum_p |\{\gamma : h(p) \leq \gamma < h(p+1)\}|,$$

where p runs over the primes which are in $X/2 < p < X$ and $\equiv a \pmod{k}$.

$$S = \frac{1}{\varphi(k)} \int_{x/2}^x \frac{L(h(u+1)) - L(h(u))}{\log u} du + O(Xe^{-c\sqrt{\log X}}) + \sum_p (S(h(p+1)) - S(h(p))).$$

where $L(t) = (t/2\pi) \log t - ((1 + \log 2\pi)/2\pi)t$, $S(t) = (1/\pi) \arg \zeta(1/2 + it)$ as usual, C is some positive constant and we have used the Riemann-von Mangoldt formula and the prime number theorem. We put $y = X^{1/d}$ with $d = b \log \log X$, $b > b_0$ and use Selberg's explicit formula for $S(t)$ (cf. p. 125 of [10]). Then the estimation of the last sum in S is reduced to the estimates of the following type of sums.

$$S_1 = \sum_p e^{ih(p)B}, \quad S_2 = \sum_p \left| \sum_{r < y^3} \frac{a(r)r^{-ih(p)}}{\sqrt{r}} \right|^2,$$

$$S_3 = \sum_p \left| \sum_{r < y^{3/2}} \frac{a'(r)r^{-i2h(p)}}{r} \right|^2 \quad \text{and}$$

$$S_4 = \sum_p (\sigma_{y,h(p)} - 1/2)^2 \xi^{\sigma_{y,h(p)} - 1/2},$$

where $B \neq 0$, r runs over the primes, $a(r) \ll \log r / \log y$ for $r < y^3$, $a'(r) \ll 1$ for $r < y^{3/2}$, $1 \leq \xi \leq y^2$, $y^3 \xi^2 \ll (h(X))^{1/8}$ and $\sigma_{y,t} = 1/2 + 2 \text{Max}_\rho (\beta - 1/2, 2/\log y)$, ρ running over the zeros $\beta + i\gamma$ of $\zeta(s)$ for which $|t - \gamma| \leq y^{3(\beta - 1/2)} / \log y$. We remark that $h''(x) \sim -\Phi^2(h(x))(h(x))^{-1}(\log h(x))^{-3}A_1$ and $h'''(x) \sim \Phi^3(h(x))(h(x))^{-2}(\log h(x))^{-4}A_2$, where $A_1 = A_2 = 1$ if $\Phi(x)$ satisfies 1) and $A_1 = 1 - a_1 - (2b_1 + b_2)(u(h(x)))^{-1}$ and $A_2 = 1 - a_1 + (3b_2 + b_3)(u(h(x)))^{-1}$ if $\Phi(x)$ satisfies 2). Consequently, the analysis in pp. 118-122 of [1] gives us

$$S_1 \ll X^\delta (|B|^{1/6} + |B|^{-1/2}),$$

where δ denotes some positive number < 1 . S_2 and S_3 can be estimated as in p. 123 of [1], and we get

$$S_2, S_3 \ll X / \varphi(k) \log X.$$

Now we estimate S_4 .

$$S_4 = \frac{X}{\varphi(k)(\log X)(\log y)^2} + \sum'_p (\sigma_{y,h(p)} - 1/2)^2 \xi^{\sigma_{y,h(p)} - 1/2},$$

where the dash indicates that we sum over all p 's which satisfy $\sigma_{y,h(p)} - 1/2 > 4/\log y$, $X/2 < p < X$ and $p \equiv a \pmod{k}$. The last sum is

$$\ll \sum''_\rho (\beta - 1/2)^2 \xi^{2(\beta - 1/2)} \left\{ X/2 < p < X; p \equiv a \pmod{k}, |h(p) - \gamma| \leq \frac{y^{3(\beta - 1/2)}}{\log y} \right\} \\ \ll \sum''_\rho (\beta - 1/2)^2 (y^3 \xi^2)^{(\beta - 1/2)} (\log X) / (k\Phi(X) \log y) + \sum''_\rho (\beta - 1/2)^2 \xi^{2(\beta - 1/2)} \\ = S_5 (\log X) / (k\Phi(X) \log y) + S_6,$$

say, where the double dash indicates that we sum over all $\rho = \beta + i\gamma$ for which $\beta > 1/2 + 2/\log y$ and $1 \ll \gamma \ll h(X)$.

$$S_5 = \sum''_\rho \left(\int_{1/2}^{1/2 + 2/\log y} + \int_{1/2 + 2/\log y}^\infty \right) ((\log(y^3 \xi^2)(\sigma - 1/2))^2 + 2(\sigma - 1/2)(y^3 \xi^2)^{(\sigma - 1/2)}) d\sigma \\ \ll (\log y)^{-2} \{ \beta + i\gamma; \beta > 1/2 + 2/\log y, 1 \ll \gamma \ll h(X) \}$$

$$\begin{aligned}
& + h(X) \log h(X) \int_{1/2+2/\log y}^{\infty} ((\log(y^3 \xi^2))^{\sigma-1/2})^2 \\
& + 2(\sigma-1/2)h(X)^{-1/8(\sigma-1/2)} d\sigma \\
& \ll h(X)(\log X)(\log y)^{-2} e^{-\Delta/8}
\end{aligned}$$

by Selberg's density estimate near $\sigma=1/2$ (cf. Theorem 1 of [10]). In the same way, we get the estimate of S_4 and get

$$S_4 \ll X(\varphi(k)(\log X)(\log y)^2)^{-1} + \left(\frac{\log X}{k\Phi(X) \log y} + 1 \right) \frac{h(X)e^{-\Delta/8} \log X}{(\log y)^2}.$$

Consequently, we get

$$\sum_p S(h(p)), \quad \sum_p S(h(p+1)) \ll \frac{X \log \log X}{\varphi(k) \log X}.$$

Hence we get

$$S = \frac{1}{\varphi(k)} \int_{x/2}^x \frac{L(h(u+1)) - L(h(u))}{\log u} du + O\left(\frac{X \log \log X}{\varphi(k) \log X} \right).$$

This is $\gg X\Phi(X)/(\varphi(k) \log X)$ if $\Phi(X) \gg \log \log X$.

Q.E.D.

References

- [1] A. Fujii: A prime number theorem in the theory of the Riemann zeta function. *J. reine angew. Math.*, **307/308**, 113–129 (1979).
- [2] —: On the uniformity of the distribution of the zeros of the Riemann zeta function. *ibid.*, **302**, 167–185 (1978).
- [3] —: ditto. II. *Comment. Math. Univ. St. Pauli*, **31**(1), 99–113 (1982).
- [4] —: Zeros, primes and rationals (to appear in *Topics in Classical Number Theory*, Coll. Math. Soc. Janos Bolyai, no. 34, Elsevier, North Holland).
- [5] G. H. Hardy: *Comments in Collected Papers (II)*. Clarendon Press, Oxford (1967).
- [6] G. H. Hardy and J. E. Littlewood: Contributions to the theory of the Riemann zeta function and the theory of the distribution of primes. *Acta Math.*, **41**, 119–196 (1918).
- [7] E. Landau: Über die Nullstellen der ζ -Funktion. *Math. Ann.*, **71**, 548–568 (1911).
- [8] H. Rademacher: *Collected Papers. vol. II*, Cambridge, Mass., and London, MIT Press (1974).
- [9] J. Schoissengeier: The connections between the zeros of the ζ -function and sequences $(g(p))$, p prime, mod 1. *Mh. Math.*, **37**, 21–52 (1979).
- [10] A. Selberg: Contribution to the theory of the Riemann zeta function. *Arch. Math. Naturvid.*, **48**, 89–155 (1946).
- [11] —: Contributions to the theory of Dirichlet L -functions. *Skr. Utg. Norske Vid. Oslo*, no. 3, 2–61 (1946).
- [12] E. C. Titchmarsh: *The theory of the Riemann zeta function*. Oxford (1951).