

### 31. Congruences between Siegel Modular Forms of Degree Two. II

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**Introduction.** We supplement the previous note [6] by describing liftings of congruences. In particular, the congruences in Theorems 2 and 3 of [6] are considered to be congruences lifted from degree 1 to degree 2. The author would like to thank Prof. H. Maass for communicating that Prof. D. Zagier ([16]) proved completely the Conjectures 1 and 2 of [5] by using recent results of Prof. W. Kohlen after Maass [10] [11] [12] and Andrianov [2] (cf. § 1 below).

**§ 1. Liftings.** We denote by  $M_k(\Gamma_n)$  (resp.  $S_k(\Gamma_n)$ ) the vector space over the complex number field  $C$  consisting of all Siegel modular (resp. cusp) forms of degree  $n$  and weight  $k$  for integers  $n \geq 0$  and  $k \geq 0$ . The space of Eisenstein series is denoted by  $E_k(\Gamma_n)$  which is the orthogonal complement of  $S_k(\Gamma_n)$  in  $M_k(\Gamma_n)$  with respect to the Petersson inner product. We say that a modular form  $f$  in  $M_k(\Gamma_n)$  is eigen if  $f$  is a non-zero eigenfunction of all Hecke operators on  $M_k(\Gamma_n)$ . Let  $f$  be an eigen modular form in  $M_k(\Gamma_n)$  for  $n=1, 2$ . We define the (standard) Hecke polynomial at a prime  $p$  by  $H_p(T, f) = 1 - \lambda(p, f)T + p^{k-1}T^2$  if  $n=1$ , and  $H_p(T, f) = 1 - \lambda(p, f)T + (\lambda(p)^2 - \lambda(p^2) - p^{2k-4})T^2 - p^{2k-3}\lambda(p)T^3 + p^{4k-6}T^4$  if  $n=2$ , where  $T$  is an indeterminate and  $\lambda(m, f)$  is the eigenvalue of the Hecke operator  $T(m)$  for  $f : T(m)f = \lambda(m, f)f$ . We define the (standard)  $L$ -function by  $L(s, f) = \prod_p H_p(p^{-s}, f)^{-1}$  where  $p$  runs over all prime numbers. We denote by  $Q(f)$  the field generated by  $\{\lambda(m, f) | m \geq 1\}$  over the rational number field  $Q$ , and we put  $Z(f) = Q(f) \cap \bar{Z}$ , where  $Z$  is the rational integer ring, and  $\bar{Z}$  is the ring of all algebraic integers in  $C$ . Then  $Q(f)$  is a totally real finite extension of  $Q$ , and  $Z(f)$  is the integer ring of  $Q(f)$ . See [7] which contains the case of general degree.

We consider the following two liftings from degree 1 to degree 2 for each even integer  $k \geq 4$ .

(A) The first lifting is the  $C$ -linear injection  $[ ] : M_k(\Gamma_1) \rightarrow M_k(\Gamma_2)$  defined in [8] (cf. [6] [9]), which is given by the (generalized) Eisenstein series. For each eigen modular form  $f$  in  $M_k(\Gamma_1)$  we have that:  $[f]$  is an eigen modular form satisfying  $H_p(T, [f]) = H_p(T, f)H_p(p^{k-2}T, f)$  for all  $p$  and  $L(s, [f]) = L(s, f)L(s - k + 2, f)$ .

(B) The second lifting is the  $C$ -linear injection  $\sigma_k : M_{2k-2}(\Gamma_1)$

$\rightarrow M_k(\Gamma_2)$  constructed by Maass [10] [11] [12], Andrianov [2] and Zagier [16], which was conjectured in [5]. For each eigen modular form  $f$  in  $M_{2k-2}(\Gamma_1)$  we have that:  $\sigma_k(f)$  is an eigen modular form satisfying  $H_p(T, \sigma_k(f)) = (1-p^{k-2}T)(1-p^{k-1}T)H_p(T, f)$  for all  $p$  and  $L(s, \sigma_k(f)) = \zeta(s-k+2)\zeta(s-k+1)L(s, f)$ . Here we define  $\sigma_k(E_{2k-2}) = \varphi_k$  for the Eisenstein series; see [5, 2.2(5)].

These liftings give the following decompositions of  $M_k(\Gamma_2)$ :  $M_k(\Gamma_2) = E_k(\Gamma_2) \oplus S_k(\Gamma_2) = M_k^i(\Gamma_2) \oplus M_k^{ii}(\Gamma_2) = E_k^i(\Gamma_2) \oplus E_k^{ii}(\Gamma_2) \oplus S_k^i(\Gamma_2) \oplus S_k^{ii}(\Gamma_2)$ . The notation is as follows. We put  $E_k^i(\Gamma_2) = [E_k(\Gamma_1)] = C \cdot \varphi_k$  and  $E_k^{ii}(\Gamma_2) = [S_k(\Gamma_1)]$ , then we have  $E_k(\Gamma_2) = [M_k(\Gamma_1)] = E_k^i(\Gamma_2) \oplus E_k^{ii}(\Gamma_2)$ . We put

$$M_k^i(\Gamma_2) = \left\{ f \in M_k(\Gamma_2) \mid a(T, f) = \sum_{d \mid e(T)} d^{k-1} a\left(\left\langle \frac{1}{d} T \right\rangle, f\right) \text{ for all } T \geq 0, T \neq 0 \right\}$$

and  $S_k^i(\Gamma_2) = M_k^i(\Gamma_2) \cap S_k(\Gamma_2)$  with the notation of [5, § 4]. Then we have  $M_k^i(\Gamma_2) = \sigma_k(M_{2k-2}(\Gamma_1)) = E_k^i(\Gamma_2) \oplus S_k^i(\Gamma_2)$ . Here it holds that  $E_k^i(\Gamma_2) = M_k^i(\Gamma_2) \cap E_k(\Gamma_2) = C \cdot \varphi_k$ . We denote by  $S_k^{ii}(\Gamma_2)$  the orthogonal complement of  $S_k^i(\Gamma_2)$  in  $S_k(\Gamma_2)$  with respect to the Petersson inner product ([6, Remark 3]), and we put  $M_k^{ii}(\Gamma_2) = E_k^{ii}(\Gamma_2) \oplus S_k^{ii}(\Gamma_2)$ .

We note on  $\ell$ -adic representations. We fix a prime number  $\ell$ . Let  $f$  be an eigen modular form in  $M_k(\Gamma_1)$  for even  $k \geq 4$ . Let  $\mathfrak{l}$  be a prime ideal of  $\mathbf{Q}(f)$  dividing  $\ell$ . We denote by  $\mathbf{Q}(f)_\mathfrak{l}$  the  $\mathfrak{l}$ -adic completion of  $\mathbf{Q}(f)$  and by  $\mathbf{Z}(f)_\mathfrak{l}$  the integer ring of  $\mathbf{Q}(f)_\mathfrak{l}$ . Then, Deligne ([3] and [4, Th. 6.1]) constructed a continuous  $\mathfrak{l}$ -adic representation  $\rho_\mathfrak{l}(f) : \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}) \rightarrow GL(2, \mathbf{Z}(f)_\mathfrak{l})$  ( $\bar{\mathbf{Q}}$  being the algebraic closure of  $\mathbf{Q}$  in  $\mathbf{C}$ ) attached to  $f$  such that  $\rho_\mathfrak{l}(f)$  is unramified outside of  $\ell$  and satisfies  $\det(1 - \rho_\mathfrak{l}(f)(\text{Frob}(p))T) = H_p(T, f)$  for all prime numbers  $p \neq \ell$ , where  $\text{Frob}(p)$  denotes the Frobenius conjugacy class at  $p$ . We denote by  $\chi_\ell : \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}) \rightarrow GL(1, \mathbf{Z}_\ell)$  the cyclotomic  $\ell$ -adic representation, where  $\mathbf{Z}_\ell$  is the ring of  $\ell$ -adic integers. Next, let  $F$  be an eigen modular form in  $M_k(\Gamma_2)$  for (even)  $k \geq 4$ . Let  $\mathfrak{l}$  be a prime ideal of  $\mathbf{Q}(F)$  dividing  $\ell$ . We denote by  $\mathbf{Q}(F)_\mathfrak{l}$  the  $\mathfrak{l}$ -adic completion of  $\mathbf{Q}(F)$  and by  $\mathbf{Z}(F)_\mathfrak{l}$  the integer ring of  $\mathbf{Q}(F)_\mathfrak{l}$ . Then, it is conjectured that there exists a continuous  $\mathfrak{l}$ -adic representation  $\rho_\mathfrak{l}(F) : \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}) \rightarrow GL(4, \mathbf{Z}(F)_\mathfrak{l})$  such that  $\rho_\mathfrak{l}(F)$  is unramified outside of  $\ell$  and satisfies  $\det(1 - \rho_\mathfrak{l}(F)(\text{Frob}(p))T) = H_p(T, F)$  for all prime numbers  $p \neq \ell$ . For liftings (A)(B) such an  $\mathfrak{l}$ -adic representation is defined as follows. (A) If  $F = [f]$  with an eigen  $f \in M_k(\Gamma_1)$ , then we put  $\rho_\mathfrak{l}(F) = \rho_\mathfrak{l}(f) \oplus \chi_\ell^{k-2} \otimes \rho_\mathfrak{l}(f)$ . (B) If  $F = \sigma_k(f)$  with an eigen  $f \in M_{2k-2}(\Gamma_1)$ , then we put  $\rho_\mathfrak{l}(F) = \chi_\ell^{k-2} \oplus \chi_\ell^{k-1} \oplus \rho_\mathfrak{l}(f)$ . Note that  $\mathbf{Z}(F) = \mathbf{Z}(f)$  in both cases. It might be natural to consider as  $\rho_\mathfrak{l}(F) : \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}) \rightarrow CSp(4, \mathbf{Z}(F)_\mathfrak{l})$  by a slight modification.

**§ 2. Congruences.** We recall the definition of Hecke operators following Andrianov [1, § 1.3] (cf. [7]). For integers  $n \geq 1$  and  $m \geq 1$  we put  $S^{(n)} = \{M \in M(2n, \mathbf{Z}) \mid {}^t M J_n M = \nu(M) J_n \text{ with an integer } \nu(M) \geq 1\}$

and  $S_m^{(n)} = \{M \in S^{(n)} \mid \nu(M) = m\}$ , where  ${}^tM$  denotes the transposed matrix of  $M$  and  $J_n = \begin{pmatrix} 0 & E_n \\ -E_n & 0 \end{pmatrix}$  with the identity matrix  $E_n$  of size  $n$ . For each subring  $R$  of  $C$  we denote by  $L_R^{(n)}$  (resp.  $L_{R,m}^{(n)}$ ) the  $R$ -module generated by the double cosets  $\Gamma_n M \Gamma_n$  for all  $M \in S^{(n)}$  (resp.  $S_m^{(n)}$ ). Under the usual multiplication,  $L_R^{(n)}$  is an  $R$ -algebra (the abstract Hecke algebra of degree  $n$  over  $R$ ). We put  $H_R^{(n)} = \bigcup_{m \geq 1} L_{R,m}^{(n)}$  (the set of "homogeneous" elements of  $L_R^{(n)}$ ), and we define a map  $\nu: H_R^{(n)} \rightarrow Z$  by  $\nu(X) = m$  if  $X \in L_{R,m}^{(n)}$ ,  $X \neq 0$ , and  $\nu(0) = 0$ . Then  $\nu$  is a homomorphism between (multiplicative) semi-groups. We denote by  $\tau = \tau_k^{(n)}: L_C^{(n)} \rightarrow \text{End}_C(M_k(\Gamma_n))$  the representation of the Hecke algebra  $L_C^{(n)}$  on  $M_k(\Gamma_n)$  defined in Andrianov [1, (1.3.3)].

Let  $f \in M_k(\Gamma_n)$  and  $g \in M_{k-r}(\Gamma_n)$  be eigen modular forms for an integer  $n \geq 1$  and even integers  $k \geq r \geq 0$ . In [6], we defined the eigen-character  $\lambda(f)$  (resp.  $\lambda(g)$ ) and a totally real finite number field  $Q(f)$  (resp.  $Q(g)$ ) attached to  $f$  (resp.  $g$ ). We denote by  $Q(f, g) = Q(f)Q(g)$  the composite field and by  $Z(f, g)$  the integer ring of  $Q(f, g)$ . For an ideal  $\mathfrak{c}$  of  $Z(f, g)$  we write  $\lambda(f) \equiv \nu^{nr/2} \lambda(g) \pmod{\mathfrak{c}}$  if  $\lambda(f)(\tau_k^{(n)}(X)) - \nu(X)^{nr/2} \cdot \lambda(g)(\tau_{k-r}^{(n)}(X))$  belongs to  $\mathfrak{c}$  for all  $X \in H_Z^{(n)}$ , where  $\mathfrak{c} = \{\alpha/\beta \mid \alpha \in \mathfrak{c}, \beta \in Z(f, g), ((\beta), \mathfrak{c}) = Z(f, g)\}$ . (The case  $r=0$  coincides with the definition in [7, § 4].) For  $n=1$  and 2, this condition is equivalent to the following:  $\lambda(m, f) \equiv m^{nr/2} \lambda(m, g) \pmod{\mathfrak{c}}$  for all integers  $m \geq 1$ . Moreover we can restrict to  $m=p$  (resp.  $m=p, p^2$ ) for  $n=1$  (resp.  $n=2$ ) where  $p$  runs over all prime numbers, and this is equivalent to the following congruence between Hecke polynomials:  $H_p(T, f) \equiv H_p(p^{nr/2}T, g) \pmod{\mathfrak{c}}$  for all prime numbers  $p$ . In fact,  $\sum_{\delta \geq 0} (\lambda(p^\delta, f) - p^{nr\delta/2} \lambda(p^\delta, g)) T^\delta = (H_p(T, f)^{-1} - H_p(p^{nr/2}T, g)^{-1}) \times \begin{cases} 1 & \text{if } n=1, \\ (1 - p^{2k-4}T^2) & \text{if } n=2. \end{cases}$

Eigenvalues of Hecke operators in [5] suggest, for example, the following congruences:  $\lambda(\chi_{30}^{(3)}) \equiv \nu^2 \lambda([A_{18}]) \pmod{7^2}$ ,  $\lambda(\chi_{20}^{(3)}) \equiv \nu^4 \lambda([A_{16}]) \pmod{11}$ ,  $\lambda(\chi_{20}^{(3)}) \equiv \nu^8 \lambda([A_{12}]) \pmod{7 \cdot 29}$ . These congruences supplement the following congruence proved in Theorem 1 of [6]:  $\lambda(\chi_{20}^{(3)}) \equiv \lambda([A_{20}]) \pmod{71^2}$  which is equivalent to  $H_p(T, \chi_{20}^{(3)}) \equiv H_p(T, [A_{20}]) \pmod{71^2}$  for all  $p$ . They seem to suggest to use a derivation  $\partial = \bigoplus_{k \geq 0} \partial_k$  of  $M(\Gamma_n) = \bigoplus_{k \geq 0} M_k(\Gamma_n)$  (a graded  $C$ -algebra) such that  $\partial_k(M_k(\Gamma_n)) \subset M_{k+2}(\Gamma_n)$  and  $\partial(M(\Gamma_n)_Z) \subset M(\Gamma_n)_Z$  where  $M(\Gamma_n)_Z$  denotes the graded  $Z$ -algebra  $\bigoplus_{k \geq 0} M_k(\Gamma_n)_Z$  consisting of Siegel modular forms of degree  $n$  with Fourier coefficients in  $Z$ . See Ramanujan [13], Serre [14] and Swinnerton-Dyer [15] for the case  $n=1$ . We remark that similar congruences such as  $\lambda(\chi_{10}) \equiv \nu^2 \lambda(\varphi_8) \pmod{5}$  are proved by reducing to the elliptic modular case; see the next section (type (B)).

**§ 3. Liftings of congruences.** We note three types of congruences lifted from degree 1 to degree 2.

**Theorem.** *Let  $k \geq 4$  be an even integer. Then the following hold.*

(A) *Let  $f$  and  $g$  be eigen modular forms in  $M_k(\Gamma_1)$  satisfying  $\lambda(f) \equiv \lambda(g) \pmod{c}$  with an ideal  $c$  of  $Z(f, g)$ . Then we have  $\lambda([f]) \equiv \lambda([g]) \pmod{c}$ .*

(B) *Let  $f \in M_{2k-2}(\Gamma_1)$  and  $g \in M_{2k-2r-2}(\Gamma_1)$  be eigen modular forms for an even integer  $r$  in  $0 \leq r \leq k-4$ . Assume that  $\lambda(f) \equiv \nu^r \lambda(g) \pmod{c}$  for an ideal  $c$  of  $Z(f, g)$ . Then we have  $\lambda(\sigma_k(f)) \equiv \nu^r \lambda(\sigma_{k-r}(g)) \pmod{c}$ .*

(C) *(Mixed type) Let  $f \in M_k(\Gamma_1)$  and  $g \in M_{2k-2}(\Gamma_1)$  be eigen modular forms. Let  $r=0$  or  $1$ . Assume that  $\lambda(f) \equiv \nu^r \lambda(E_{k-2r}) \pmod{c}$  and  $\lambda(g) \equiv \nu^r \lambda(E_{2k-2r-2}) \pmod{c}$  for an ideal  $c$  of  $Z(f, g)$ . Then we have  $\lambda([f]) \equiv \lambda(\sigma_k(g)) \pmod{c}$ .*

**Proof.** It is sufficient to show the congruences for Hecke polynomials. Let  $p$  be a prime number and  $T$  an indeterminate.

(A)  $H_p(T, [f]) \equiv H_p(T, [g]) \pmod{c}$  follows from  $H_p(T, f) \equiv H_p(T, g) \pmod{c}$ .

(B)  $H_p(T, \sigma_k(f)) \equiv H_p(p^r T, \sigma_{k-r}(g)) \pmod{c}$  follows from  $H_p(T, f) \equiv H_p(p^r T, g) \pmod{c}$ .

(C) We have  $H_p(T, f) \equiv (1-p^r T)(1-p^{k-r-1} T) \pmod{c}$  from  $\lambda(f) \equiv \nu^r \lambda(E_{k-2r}) \pmod{c}$ . Hence  $H_p(T, [f]) \equiv (1-p^r T)(1-p^{k-r-1} T)(1-p^{k+r-2} T)(1-p^{2k-r-3} T) \pmod{c}$ . We have  $H_p(T, g) \equiv (1-p^r T)(1-p^{2k-r-3} T) \pmod{c}$  from  $\lambda(g) \equiv \nu^r \lambda(E_{2k-2r-2}) \pmod{c}$ . Hence  $H_p(T, \sigma_k(g)) \equiv (1-p^r T)(1-p^{k-2} T)(1-p^{k-1} T)(1-p^{2k-r-3} T) \pmod{c}$ . Since  $r=0$  or  $1$ , we have  $H_p(T, [f]) \equiv H_p(T, \sigma_k(g)) \pmod{c}$ .

Alternatively we can use the equality of the following type (here we note on (B) as an example):  $\sum_{\delta \geq 0} (\lambda(p^\delta, \sigma_k(f)) - p^{r\delta} \lambda(p^\delta, \sigma_{k-r}(g))) T^\delta = (1-p^{2k-4} T^2)(1-p^{k-2} T)^{-1}(1-p^{k-1} T)^{-1} \sum_{\delta \geq 0} (\lambda(p^\delta, f) - p^{r\delta} \lambda(p^\delta, g)) T^\delta$ .

Q.E.D.

**Examples.** From some congruences in the elliptic modular case (see Ramanujan [13], Serre [14], and Swinnerton-Dyer [15]) we have the following congruences. We use the notation of [5] for modular forms.

(A) We note a typical example. From the Ramanujan's congruence  $\lambda(A_{12}) \equiv \lambda(E_{12}) \pmod{691}$ , we have  $\lambda([A_{12}]) \equiv \lambda(\varphi_{12}) \pmod{691}$ . This is proved also as in [6].

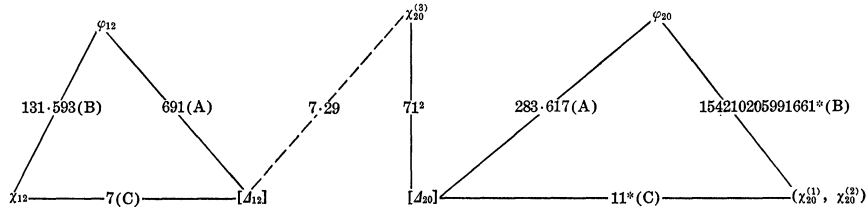
- (B)  $\lambda(A_{18}) \equiv \lambda(E_{18}) \pmod{43867} \Rightarrow \lambda(\chi_{10}) \equiv \lambda(\varphi_{10}) \pmod{43867}$ .
- $\lambda(A_{22}) \equiv \lambda(E_{22}) \pmod{131 \cdot 593} \Rightarrow \lambda(\chi_{12}) \equiv \lambda(\varphi_{12}) \pmod{131 \cdot 593}$ .
- $\lambda(A_{26}) \equiv \lambda(E_{26}) \pmod{657931} \Rightarrow \lambda(\chi_{14}) \equiv \lambda(\varphi_{14}) \pmod{657931}$ .

The above three congruences coincide with Theorem 2 of [6].

- $\lambda(A_{18}) \equiv \nu^2 \lambda(E_{14}) \pmod{5} \Rightarrow \lambda(\chi_{10}) \equiv \nu^2 \lambda(\varphi_8) \pmod{5}$ .
- $\lambda(A_{22}) \equiv \nu^2 \lambda(E_{18}) \pmod{5} \Rightarrow \lambda(\chi_{12}) \equiv \nu^2 \lambda(\varphi_{10}) \pmod{5}$ .
- $\lambda(A_{26}) \equiv \nu^2 \lambda(E_{22}) \pmod{5 \cdot 7} \Rightarrow \lambda(\chi_{14}) \equiv \nu^2 \lambda(\varphi_{12}) \pmod{5 \cdot 7}$ .

(C) From  $\lambda(\mathcal{A}_{12}) \equiv \nu\lambda(E_{10}) \pmod{7}$  and  $\lambda(\mathcal{A}_{22}) \equiv \nu\lambda(E_{20}) \pmod{7}$  we have  $\lambda(\chi_{12}) \equiv \lambda([\mathcal{A}_{12}]) \pmod{7}$ . This congruence coincides with Theorem 3 of [6]. We may consider  $7 \mid L_2^*(22, \mathcal{A}_{12})$  as an interpretation for  $\lambda(\mathcal{A}_{12}) \equiv \nu\lambda(E_{10}) \pmod{7}$ .

We may list some congruences according to the decomposition  $M_k(\Gamma_2) = E_k^i(\Gamma_2) \oplus E_k^{ii}(\Gamma_2) \oplus S_k^i(\Gamma_2) \oplus S_k^{ii}(\Gamma_2)$  for weight  $k=12$  and 20 as follows.



We remark that  $Q(\chi_{20}^{(1)}) = Q(\chi_{20}^{(2)}) = Q(\sqrt{63737521})$ , and the two congruences related to  $\chi_{20}^{(i)}$  for  $i=1$  and 2 indicate that:  $N(\lambda(m, \chi_{20}^{(i)}) - \lambda(m, \varphi_{20})) \equiv 0 \pmod{154210205991661}$  and  $N(\lambda(m, \chi_{20}^{(i)}) - \lambda(m, [\mathcal{A}_{20}])) \equiv 0 \pmod{11}$ , for all  $m \geq 1$ , where  $N: Q(\sqrt{63737521}) \rightarrow Q$  denotes the norm map. These congruences are proved as in [6]. On the other hand, they are also reduced to the elliptic modular case by (B) with  $r=0$  and (C) with  $r=1$  respectively.

We note a congruence for Fourier coefficients. From [6] we see that the Fourier coefficients  $7a(T, [\mathcal{A}_{12}])$  are integers for all  $T \geq 0$ , and some numerical values (cf. [9, Table I]) suggest that  $7a(T, [\mathcal{A}_{12}]) \equiv 0 \pmod{23}$  for all  $T > 0$ . We remark that  $\ell=23$  is an exceptional prime for  $\mathcal{A}_{12}$  of type (ii) in the sense of Serre [14] and Swinnerton-Dyer [15] and  $23=2k-1$  with  $k=12$ . Similar possible examples are  $\ell=31$  (resp. 47) for  $k=16$  (resp. 24).

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