

## STABLE VECTOR BUNDLES WITH NUMERICALLY TRIVIAL CHERN CLASSES OVER A HYPERELLIPTIC SURFACE

HIROSHI UMEMURA

In [17], Weil studied the space of representations of certain Fuchsian groups as a generalization of Jacobian variety. The theory of stable vector bundles over a curve developed by Mumford, Seshadri and others are the theory of unitary representations of Fuchsian groups. The moduli space of stable vector bundles over a curve is the space of the irreducible unitary representations of a Fuchsian group. The moduli space is studied in detail. Recently Mumford (unpublished) and Takemoto [12] introduced the notion of  $H$ -stable vector bundle over a non-singular projective algebraic surface. In this paper, we study the space of the irreducible unitary representations of the fundamental group of a hyperelliptic surface. Our view point is based on the theory of  $H$ -stable vector bundles of Takemoto [12] and [13]. We deal only with hyperelliptic surfaces. Our results should be generalized to the vector bundles over some other surfaces (See § 3). Our main results are as follows:

- 1° An  $H$ -stable vector bundle with numerically trivial Chern classes over a hyperelliptic surface is defined by an irreducible unitary representation of the fundamental group.
- 2° The space of irreducible unitary representations gives the local moduli if degree  $\geq 2$  with some additional assumption (see Theorem (2.18) for a precise statement).
- 3° There are many  $H$ -semi-stable vector bundles which can not be a limit of  $H$ -stable bundles. In certain case, it is impossible to deform an  $H$ -stable bundle of certain rank  $r$  with numerically trivial Chern classes to any  $H$ -semi-stable vector bundle which is not  $H$ -stable.

Our theory can be regarded as a generalization of the Picard scheme. 2° shows the space of irreducible unitary representation of the fundamental group sometimes gives finer result than the Picard scheme.

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### § 1. $H$ -stable vector bundles

We recall the definition and some basic results on  $H$ -stable vector bundles (for the proof see Takemoto [12]). We assume that all schemes, which we call varieties, are reduced and of finite type over the complex number field  $C$ .

DEFINITION (1.1). Let  $S$  be a non-singular projective surface. Let  $H$  be an ample line bundle on  $S$ . Let  $E$  be a vector bundle i.e. a locally free sheaf of finite rank on  $S$ . We say that  $E$  is  $H$ -stable (resp.  $H$ -stable) if we have

$$\frac{(H \cdot c_1(E))}{r(E)} < \frac{(H \cdot c_1(F))}{r(F)}$$

(resp.  $\leq$ )

for any torsion free quotient  $O_S$ -Module  $F$  of  $E$  with  $r(E) > r(F)$  where  $c_1(\ )$  is the first Chern class,  $r(\ )$  is the rank and  $(\cdot)$  denotes the intersection number.

If we fix the line bundle  $H$  and the numerical Chern classes  $c_1, c_2$  and if  $r = 2$ , the coarse moduli space of  $H$ -stable vector bundles  $E$  with  $c_1(E) = c_1, c_2(E) = c_2$ , exists (Maruyama [4], see also Theorem (2.16)).

Let  $\tilde{S}$  be the universal covering space of  $S$ . Let  $G$  be the fundamental group of  $S$  hence  $G$  acts on  $\tilde{S}$  and the quotient space  $\tilde{S}/G$  is isomorphic to  $S$ . Given a representation  $\rho: G \rightarrow GL(r, C)$ , we can associate a vector bundle  $E$  to this representation.

PROPOSITION (1.2). *If  $\rho$  is a unitary representation,  $E_\rho$  is  $H$ -semi-stable for any ample line bundle  $H$  on  $S$ . If  $\rho$  is an irreducible unitary representation,  $E_\rho$  is  $H$ -stable for any ample line bundle  $H$  on  $S$ .*

*Proof* (essentially due to F. Takemoto). Let  $H$  be an ample line bundle on  $S$ . Assume that  $\rho$  is an irreducible unitary representation. We show that  $E_\rho$  is  $H$ -stable. We remark that a vector bundle is  $H$ -stable if and only if it is  $H^{\otimes m}$ -stable for one positive integer  $m$ . Hence we may replace  $H$  by  $H^{\otimes m}$ . Let  $C_m$  be a generic hyperplane section of the linear system  $|H^{\otimes m}|$ . Then, taking  $m$  sufficiently big, we have, by the Lefschetz theorem, the map  $\pi_1(C_m) \xrightarrow{i_*} \pi_1(S)$  is surjective (Bott [2]). Hence the unitary representation  $\rho \circ i_*$  is irreducible. The restriction

$E_{\rho|C_m}$  of  $E_\rho$  to  $C_m$  is associated to the irreducible unitary representation hence by Narasimhan and Seshadri [8]  $E_{\rho|C_m}$  is stable. It follows that  $E_\rho$  is  $H^{\otimes m}$ -stable. This is what we had to show. When  $\rho$  is a unitary representation, the proof of  $H$ -semistability of  $E_\rho$  is the same as above, in fact easier.

**PROPOSITION (1.3).** *Let  $S$  be a non-singular projective surface. Let  $T$  be a variety. Let  $E$  be vector bundle over  $T \times S$  such that for any (closed) point  $t$ , the restriction  $E_t$  of  $E$  to  $t \times S$  has numerically trivial Chern classes and is  $H_t$ -stable for some ample line bundle  $H_t$  on  $S$ . Then the set of points  $\{t \in T \mid E_t \text{ is defined by an irreducible unitary representation}\}$  is closed.*

*Proof.* Let  $G$  be the fundamental group of  $S$ . Let  $g_1, g_2, \dots, g_\ell$  be a set of generators of  $G$ . Let  $\rho$  be a representation of  $G$ . Then it determines a point  $(\rho(g_1), \rho(g_2), \dots, \rho(g_\ell)) \in GL(r, \mathbb{C})^\ell$ . It follows easily all the representations of degree  $r$  of  $G$  (not the isomorphism classes) form a closed analytic subset  $W \subset GL(r, \mathbb{C})^\ell$ . The intersection  $U = W \cap U(r, \mathbb{C})^\ell$  is a compact subset of  $W$ . This is the set of all unitary representation of  $G$ . Let  $F$  be the family of vector bundles over  $S \times W$  i.e. the restriction  $F_w$  of  $F$  to  $S \times w$  is the vector bundle associated to the representation corresponding to  $w$ . Consider the set  $M = \{(w, k) \in W \times T \mid \text{Hom}(F_w, E_k) \neq 0\}$ . Then  $M$  is a closed subset of  $W \times T$ . If we consider the projection  $\text{pr}_T(M \cap U \times T)$ , then this is a closed subset of  $T$  since  $U$  is compact. Proposition now follows if we notice the following fact; Let  $E_1$  be an  $H$ -semi-stable bundle and  $E_2$  an  $H$ -stable bundle such that  $E_1$  and  $E_2$  have the same numerical Chern classes and  $r(E_1) = r(E_2)$ . If  $\text{Hom}(E_1, E_2) \neq 0$ , then  $E_1$  is isomorphic to  $E_2$ .

**PROPOSITION (1.4).** *Let  $S$  be a compact complex manifold. Let  $\rho_1$  and  $\rho_2$  be unitary representations of the fundamental group of  $S$ . We denote by  $E_{\rho_1}$ ,  $E_{\rho_2}$  the vector bundles associated to the unitary representations  $\rho_1$  and  $\rho_2$ . Then the vector bundle  $E_{\rho_1}$  is isomorphic to  $E_{\rho_2}$  if and only if the representations  $\rho_1$  and  $\rho_2$  are equivalent.*

*Proof.* See Seshadri [10].

## § 2. Moduli space of $H$ -stable vector bundles with trivial Chern classes over hyperelliptic surfaces

We need some results on hyperelliptic surfaces. For the detail we refer to Suwa [11].

(2.1.1) A hyperelliptic surface  $S$  is, by definition, an elliptic surface free from singular fibres over an elliptic curve with the first Betti number  $b_1(S) = 2$ .

(2.1.2) Let  $K$  be the canonical bundle of a hyperelliptic surface  $S$ . Then according to Suwa [11], such surfaces are classified into four types:

- I)  $K^{\otimes 2} \simeq O_s$  ( $K \not\simeq O_s$ )
- II)  $K^{\otimes 3} \simeq O_s$  ( $K \not\simeq O_s$ )
- III)  $K^{\otimes 4} \simeq O_s$  ( $K^{\otimes 2} \not\simeq O_s$ )
- IV)  $K^{\otimes 6} \simeq O_s$  ( $K^{\otimes 2}, K^{\otimes 3} \not\simeq O_s$ ).

The hyperelliptic surface has an abelian variety as an unramified covering space of degree 2, 3, 4 or 6 according as  $S$  is of type I, II, III or IV. Let  $d(S)$  be the minimal positive integer such that  $K^{\otimes d(S)}$  is isomorphic to  $O_s$ .

We quote

**THEOREM (2.1.3)** (Suwa [11] p. 473). *Any hyperelliptic surface can be expressed as the quotient space of an abelian variety  $A$  by the group generated by an automorphism  $g_s$  of  $A$ . The period matrix of  $A$  and the automorphism  $g_s$  are given as follows*

- I) i)  $\begin{pmatrix} 1 & 0 & \tau & 0 \\ 0 & 1 & 0 & \omega \end{pmatrix}$ ,    ii)  $\begin{pmatrix} 1 & 0 & \tau & 0 \\ 0 & 1 & \frac{1}{2} & \omega \end{pmatrix}$ ,  
 $g_s: (u, \zeta) \mapsto (u + \frac{1}{2}, -\zeta)$ ,
- II) ii)  $\begin{pmatrix} 1 & 0 & \tau & 0 \\ 0 & 1 & 0 & \rho^2 \end{pmatrix}$ ,    iii)  $\begin{pmatrix} 1 & 0 & \tau & 0 \\ 0 & 1 & \frac{1}{3}(1 - \rho^2) & \rho^2 \end{pmatrix}$ ,  
 $g_s: (u, \zeta) \mapsto (u + \frac{1}{3}, \rho^2 \zeta)$ ,     $\rho = \exp(\pi i/3)$ ,
- III) iii)  $\begin{pmatrix} 1 & 0 & \tau & 0 \\ 0 & 1 & 0 & i \end{pmatrix}$ ,    iv)  $\begin{pmatrix} 1 & 0 & \tau & 0 \\ 0 & 1 & \frac{1}{2}(1 + i) & i \end{pmatrix}$ ,  
 $g_s: (u, \zeta) \mapsto (u + \frac{1}{4}, i\zeta)$ ,
- IV)  $\begin{pmatrix} 1 & 0 & \tau & 0 \\ 0 & 1 & 0 & \rho^2 \end{pmatrix}$ ,     $g_s: (u, \zeta) \mapsto (u + \frac{1}{6}, -\rho^2 \zeta)$ ,

where  $\tau$  and  $\omega$  denote arbitrary constants with non-zero imaginary parts.

The parameters  $\tau, \omega$  in the case I and  $\tau$  in the other cases give the local moduli of hyperelliptic surfaces. They form complex analytic families of hyperelliptic surfaces and the parameters  $\tau, \omega$  and  $\tau$  are effective and complete.

In any case of seven types above, we denote by  $g_i, 1 \leq i \leq 4$ , the  $i$ -th column vector of the period matrix. Then  $\{g_1, g_2, g_3, g_4, g_5\}$  is a set of generators of the fundamental group.

(2.1.4) If we put  $G = \pi_1(S)$  and  $H =$  the normal subgroup of  $G$  generated by  $g_1, g_2, g_3$  and  $g_4$ , then  $H$  is isomorphic to  $Z^{\otimes 4}$  and we get an exact sequence

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H & \longrightarrow & G & \longrightarrow & G' \longrightarrow 1 . \\
 (*) & & \wr & & & & \\
 & & Z^{\otimes 4} & & & & 
 \end{array}$$

The quotient group  $G'$  is generated by  $g_5 \bmod H$  and of order 2, 3, 4, 6 according as  $S$  is of type I, II, III, IV.

LEMMA (2.1.5). *Suppose that  $S$  is of type I i), then we have following relations*

- (0)  $g_1, g_2, g_3$  and  $g_4$  commute each other.
- (a)  $g_1 g_5 = g_5 g_1$                       (b)  $g_2 g_5 = g_5 g_2^{-1}$
- (c)  $g_3 g_5 = g_5 g_3$                       (d)  $g_4 g_5 = g_5 g_4^{-1}$
- (e)  $g_5^2 = g_1$ .

*Any other relation is deduced from the above relations.*

*Proof.* It is trivial that  $g_1, g_2, g_3, g_4$  and  $g_5$  satisfy the condition (0), (a), (b), (c), (d) and (e). Conversely consider the group  $\Gamma$  generated  $\gamma_1, \gamma_2, \gamma_3, \gamma_4$  and  $\gamma_5$  such that  $\gamma_1, \gamma_2, \gamma_3, \gamma_4$  and  $\gamma_5$  satisfy the relations above. Let  $N'$  be a subgroup of  $\Gamma$  generated by  $\gamma_1, \gamma_2, \gamma_3, \gamma_4$ .  $N'$  is a normal subgroup. We have

$$0 \longrightarrow N' \longrightarrow \Gamma \longrightarrow \Gamma/N' \longrightarrow 1 .$$

But the relations above also determine the cocycle of the extension above. For the same reason we have following lemmatae.

LEMMA (2.1.6). *If  $S$  is of type I ii), then we have following relations*

- (0)  $g_1, g_2, g_3$  and  $g_4$  commute each other.  
 (a)  $g_1g_5 = g_5g_1$                       (b)  $g_2g_5 = g_5g_2^{-1}$   
 (c)  $g_3g_5 = g_5g_3g_2^{-1}$             (c)  $g_4g_5 = g_5g_4^{-1}$   
 (e)  $g_5^2 = g_1$

*Any other relation is deduced from the above relations.*

LEMMA (2.1.7). *If  $S$  is of type II i), then we have following relations*

- (0)  $g_1, g_2, g_3$  and  $g_4$  commute each other.  
 (a)  $g_1g_5 = g_5g_1$                       (b)  $g_2g_5 = g_5g_2^{-1}g_4^{-1}$   
 (c)  $g_3g_5 = g_5g_3$                       (d)  $g_4g_5 = g_5g_2$   
 (e)  $g_5^3 = g_1$

*Any other relation is deduced from the above relations.*

LEMMA (2.1.8). *If  $S$  is of type II ii), then we have following relations*

- (0)  $g_1, g_2, g_3$  and  $g_4$  commute each other.  
 (a)  $g_1g_5 = g_5g_1$                       (b)  $g_2g_5 = g_5g_2^{-1}g_4^{-1}$   
 (c)  $g_3g_5 = g_5g_3g_2^{-1}$             (d)  $g_4g_5 = g_5g_2$   
 (e)  $g_5^3 = g_1$

*Any other relation is deduced from the above relations.*

LEMMA (2.1.9). *If  $S$  is of type III i), then we have following relations*

- (0)  $g_1, g_2, g_3$  and  $g_4$  commute each other.  
 (a)  $g_1g_5 = g_5g_1$                       (b)  $g_2g_5 = g_5g_4^{-1}$   
 (c)  $g_3g_5 = g_5g_3$                       (d)  $g_4g_5 = g_5g_2$   
 (e)  $g_5^4 = g_1$

*Any other relation is deduced from the above relations.*

LEMMA (2.1.10). *If  $S$  is of type III ii), then we have following relations*

- (0)  $g_1, g_2, g_3$  and  $g_4$  commute each other.  
 (a)  $g_1g_5 = g_5g_1$                       (b)  $g_2g_5 = g_5g_4^{-1}$   
 (c)  $g_3g_5 = g_5g_3g_4^{-1}$             (d)  $g_4g_5 = g_5g_2$   
 (e)  $g_5^4 = g_1$

*Any other relation is deduced from the above relations.*

LEMMA (2.1.11). *If  $S$  is of type IV, then we have following relations*

- (0)  $g_1, g_2, g_3$  and  $g_4$  commute each other.
- (a)  $g_1g_5 = g_5g_1$                       (b)  $g_2g_5 = g_5g_2g_4$
- (c)  $g_3g_5 = g_5g_3$                       (d)  $g_4g_5 = g_5g_2^{-1}$
- (e)  $g_5^6 = g_1$ .

*Any other relation is deduced from the above relations.*

LEMMA (2.2) (Oda). *Let  $A$  be an abelian variety. Let  $E$  be a vector bundle of rank  $r$  over  $A$  and  $p: H^1(A, \Omega_A^1) \rightarrow H^1(A, \Omega_A^1 \otimes \text{End } E)$  be the linear map induced by the canonical inclusion  $0 \rightarrow O_A \rightarrow \text{End } E$ . Let  $a(E) \in H^1(A, \Omega_A^1 \otimes \text{End } E)$  be the fundamental class of  $E$ . If  $a(E)$  is in  $(1/r)p(H^2(A, \mathbf{Z}) \cap H^1(A, \Omega_A^1))$ , then there exists a line bundle  $L$  on  $A$  such that  $L^{-1} \otimes r_A^*E$  is homogeneous, where  $r_A$  is the multiplication by  $r$ .*

*Proof.* Let  $\pi: C^n \rightarrow A$  be the universal covering space of  $A$ . Let  $\Gamma$  be the fundamental group of  $A$ . Hence  $C^n/\Gamma \simeq A$ . Since  $H^i(C^n, \pi^*\Omega_A^1) = 0 \ i \geq 1$ , we have

$$H^i(\Gamma, H^0(C^n, \pi^*\Omega_A^1)) \simeq H^i(A, \Omega_A^1)$$

where the left hand side is the  $i$ -th cohomology group of  $\Gamma$ -module  $H^0(C^n, \pi^*\Omega_A^1)$  (see Mumford [7]). For the same reason,

$$H^i(\Gamma, H^0(C^n, \pi^*(\Omega_A^1 \otimes \text{End } E))) \simeq H^i(A, \Omega_A^1 \otimes \text{End } E).$$

Let  $\rho_u(z)$  be a 1-cocycle for  $\Gamma$  with coefficients in  $H^0(C^n, GL(r, O_{C^n}))$  defining  $E$ . Then  $a(E)$  corresponds to the cocycle  $-d \log \rho_u(z) = -d\rho_u(z) \cdot \rho_u(z)^{-1}$ . Hence from the hypothesis and Weil [18], there exists a 1-cocycle  $\varphi_a(z)$  for  $\Gamma$  with coefficients in  $H^0(C^n, O_{C^n}^*)$  such that  $-d \log \rho_u(z) = -(1/r)d \log \varphi_a(z) \cdot I_r$  in  $H^1(\Gamma, H^0(C^n, \pi^*(\Omega_A^1 \otimes \text{End } E)))$ . It is easy to see that  $r_A^*(-(1/r)d \log \varphi_a(z))$  is integral i.e.  $-(1/r)d \log \varphi_a(z) \in H^1(C^n, \pi^*\Omega_A^1)$  corresponds to an element of the subset  $H^2(A', \mathbf{Z}) \cap H^1(A', \Omega_{A'}^1)$  of  $H^1(A', \Omega_{A'}^1)$  where  $\Gamma' \subset r\Gamma$  and  $A' = C^n/\Gamma'$ . By Weil [18], there exists a line bundle  $L$  on  $A'$  defined by a 1-cocycle  $\varphi'_u$  for  $\Gamma'$  with coefficients in  $H^0(C^n, O_{C^n}^*)$  such that  $d \log \varphi'_u(z) = (1/r)d \log \varphi_u(z)$ ,  $u \in \Gamma'$ . Hence  $-d \log \rho_u(z) = -d \log \varphi'_u(z)I_r$ ,  $u \in \Gamma'$ . If we consider the vector bundle  $L^{-1} \otimes \varphi^*E$  on  $A'$ , this vector bundle is defined by the 1-cocycle  $\varphi'_u(z)^{-1}\rho_u(z)$  for  $\Gamma$  with coefficients in  $H^0(C^n, GL(r, O_{C^n}))$ . Then  $-d \log \varphi'_u(z)\rho_u(z) = 0$  in  $H^1(A', \pi'^*(\Omega_{A'}^1 \otimes \text{End } (L^{-1} \otimes E)))$ . Hence

$L^{-1} \otimes \varphi^*E$  has a connection (see Atiyah [1]). By the theorem of Matsushima [5],  $L^{-1} \otimes \varphi^*E$  is homogeneous.

**COROLLARY (2.3).** *Let  $A$  be an abelian variety of dimension 2 and  $E$  an  $H$ -stable vector bundle. If  $c_1 = 0$ ,  $c_2 = 0$ , then  $E$  is a line bundle.*

*Proof.* Since an  $H$ -stable vector bundle i.e.  $\dim H^0(A, \text{End } E) = 1$ , by the Riemann-Roch theorem, the canonical linear map  $H^1(A, \Omega_A^1) \rightarrow H^1(A, \Omega_A^1 \otimes \text{End } E)$  is an isomorphism. Hence there exist an isogeny  $\varphi: A' \rightarrow A$  and a line bundle  $L$  such that  $L^{-1} \otimes \varphi^*E$  is homogeneous. Since the first Chern class of  $E$  is trivial, we can take  $L \simeq \mathcal{O}_{A'}$ . On the other hand, by Takemoto [13],  $\varphi^*E$  is the direct sum of  $\varphi^*H$ -stable bundles. By Morimoto [6],  $\varphi^*E$  is the direct sum of line bundles which are algebraically equivalent to 0. Hence  $E$  is the direct image of a line bundle on  $A'$ . Therefore the rank should be one.

**COROLLARY (2.4) (Oda).** *Let  $A$  be an abelian variety of Dimension 2. Let  $E$  be an  $H$ -stable vector bundle of rank  $r$  with  $(r-1)c_1^2 - 2rc_2 = 0$ . Then there exist an isogeny  $\varphi: A' \rightarrow A$  and a line bundle  $L$  on  $A'$  such that  $\varphi_*L \simeq E$ .*

*Proof.* Similar to the proof of Corollary (2.3).

**LEMMA (2.5).** *Let  $A$  be an abelian variety of Dimension 2. Let  $E$  be a vector bundle on  $A$ . Then,*

- (1) *The second Chern class of  $\text{End } E = -(r-1)c_1^2 + 2rc_2$  where  $c_1, c_2$  denote the first and the second Chern class of  $E$ .*
- (2) *If  $E$  is simple, then  $(r-1)c_1^2 - 2rc_2 \leq 0$ .*

*Proof.* (2) follows from the Riemann-Roch theorem for  $\text{End } E$ . (1) is trivial.

**LEMMA (2.6).** *Using the notation of Theorem (2.1.3), let  $E$  be an  $H$ -stable vector bundle of rank  $r$  over the hyperelliptic surface  $S$ . If  $E$  has the numerically trivial Chern classes, then  $\pi^*E$  is the direct sum of line bundles which are algebraically equivalent to 0, where  $\pi: A \rightarrow S$  is the natural projection.*

*Proof.* We prove the lemma when  $S$  is of type I. The proofs for



other cases are similar. By Takemoto [13],  $\pi^*E$  is either  $\pi^*H$ -stable or isomorphic to  $E_1 \oplus \bar{g}_3^*E_1$  where  $E_1$  is a  $\pi^*H$ -stable over  $A$ . If  $\pi^*E$  is  $\pi^*H$ -stable, then  $\pi^*E$  is line bundle by Lemma (2.3). Hence we may assume  $\pi^*E \simeq E_1 \oplus \bar{g}_3^*E_1$ . We claim that  $E_1$  has numerically trivial Chern classes. We put  $r_1 = \text{rank } E_1$ . Let  $g: C^2 \rightarrow C^2$  be a linear automorphism of the universal

$$(u, \zeta) \longmapsto (u, \zeta)$$

covering space of  $A$ . We denote by  $\bar{g}$  the automorphism of  $A$  induced by  $g$ . Putting  $E_2 = \bar{g}_3^*E_1$  let  $c^i$  be the  $i$ -th Chern class of  $E_1$ ,  $1 \leq i \leq 2$ . Then, from the hypothesis  $E \simeq E_1 \oplus \bar{g}_3^*E_1$ , we get

$$c_1 + \bar{g}^*c_1 = 0, \quad (c_1 \cdot \bar{g}^*c_1) + 2c_2 = 0,$$

since a translation of a line bundle is algebraically equivalent to itself. It follows  $-(c_1^2) + 2c_2 = 0$ . Let  $E_1 = L(\bar{H}, \alpha)$  (see Mumford [7], p. 20). Then it also follows  $\bar{H} + \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \bar{H} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = 0$ . Hence  $\bar{H} = \begin{pmatrix} 0 & a \\ \bar{a} & 0 \end{pmatrix}$ . In view of Lemma (2.3), it is sufficient to show  $a = 0$ . If  $a \neq 0$ , the index of  $L$  would be 1 since  $\bar{H}$  has 1 positive eigen-value and 1 negative one (see Mumford [8] and Umemura [15]). Hence by the Riemann-Roch theorem,  $c_1^2 < 0$ . Hence  $c_2 > 0$  since  $-(c_1^2) + 2c_2 = 0$ .  $(r-1)c_1^2 - 2rc_2 = (r-1)(c_1^2 - 2c_2) + 2c_2 = 2c_2 > 0$  contradicting to Lemma (2.5). Hence  $a$  should be 0.

**COROLLARY (2.7).** *Using the notation of Lemma (2.6), we assume that  $E$  has numerically trivial Chern classes. Then  $r|d(S)$  and there exist an unramified covering of degree  $d(s)|r$  of  $S$  and a line bundle on it such that  $E$  is isomorphic to the direct image of the line bundle.*

*Proof.*  $r|d(S)$  is an immediate consequence of Lemma (2.6). We prove the last assertion under the hypothesis that  $S$  is of type II and  $E$  is of rank 2. In this case we notice that  $A = \text{Spec}(\oplus_{i=2}^3 K^{\otimes i})$ . By Lemma (2.6),  $H^0(A, \text{Hom}(\pi^*E, \pi^*E)) \simeq \oplus_{i=0}^3 H^0(S, \text{Hom}(E, E \otimes K^{\otimes i}))$  has dimension  $\geq 2$ . Hence we have  $\text{Hom}(E, E \otimes K) \neq 0$ ,  $\text{Hom}(E, E \otimes K)$  or  $\text{Hom}(E, E \otimes K^{\otimes 3}) \neq 0$  since  $\text{Hom}(E, E) = C$ . It follows that  $\text{Hom}(E, E \otimes K^{\otimes 2}) \neq 0$ . Since  $E$  is stable,  $E \simeq E \otimes K^{\otimes 2}$ . Hence by Takemoto [13], the Corollary follows. The proofs are same for other cases.

**LEMMA (2.8).** *Using the notation of Theorem (2.1.3), let  $\rho$  be a*

linear representation of degree  $r$  of the fundamental group  $H$  of the Abelian variety  $A$ . Let  $L$  be the line bundle defined by the representation  $\rho$ . Then the pull-back  $\bar{g}_5^*L$  is defined by the representation  $\rho': H \rightarrow GL(r, \mathbb{C})$   $g \rightarrow \rho(g_5 g g_5^{-1})$  where  $\bar{g}_5$  is the automorphism of  $A$  induced by  $g_5$ .

*Proof.* The lemma is an immediate consequence of the following commutative diagram:

$$\begin{array}{ccc} H \times \mathbb{C}^2 & \longrightarrow & \mathbb{C}^2 \\ \downarrow & & \downarrow \\ H \times \mathbb{C}^2 & \longrightarrow & \mathbb{C}^2 \end{array}$$

where horizontal arrows are the action of  $H$  on the universal covering space  $\mathbb{C}^2$ , the left vertical arrow sends  $(g, x) \in H \times \mathbb{C}^2$  to  $(g_5 g g_5^{-1}, g_5 x)$  and the right vertical arrow is the action of  $g_5$  on the universal covering space  $\mathbb{C}^2$  of the hyperelliptic surface  $S$ .

LEMMA (2.9). *Using the notation of Theorem (2.1.3), let  $L$  be a line bundle over a hyperelliptic surface  $S$ . Then  $L$  is homologically equivalent to 0 if and only if it is numerically equivalent to 0.*

*Proof.* If a line bundle is homologically equivalent to 0, then generally it is numerically equivalent to 0. Hence we assume that  $L$  is numerically equivalent to 0. We prove this for a hyperelliptic surface of type I i). In other cases, the proofs are similar. Let us consider the pull-back  $\pi^*L$  over  $A$ . Over an abelian variety, the numerical equivalence coincides with the homological equivalence. Hence there exists a unitary representation  $\rho$  of degree 1 of the fundamental group  $G$  of  $A$  such that  $\pi^*L$  is associated with  $\rho$ . We put  $\rho(g_1) = \alpha$ ,  $\rho(g_2) = \beta$ ,  $\rho(g_3) = \gamma$ ,  $\rho(g_4) = \delta$  where  $\alpha, \beta, \gamma$  and  $\delta$  are complex numbers with  $|\alpha| = |\beta| = |\gamma| = |\delta| = 1$ . By Lemma (2.1.5) and Lemma (2.8),  $\bar{g}_5^*\pi^*L$  is given by the unitary representation  $\rho': \rho'(g_1) = \alpha$ ,  $\rho'(g_2) = \beta^{-1}$ ,  $\rho'(g_3) = \gamma$ ,  $\rho'(g_4) = \delta^{-1}$ . Since  $\pi^*L$  is isomorphic to  $\bar{g}_5^*\pi^*L$ , we conclude  $\beta = \pm 1$ ,  $\delta = \pm 1$ . If we put  $\rho''(g_1) = \alpha$ ,  $\rho''(g_2) = \beta$ ,  $\rho''(g_3) = \gamma$ ,  $\rho''(g_4) = \delta$ ,  $\rho''(g_5) = \varepsilon$  with  $\varepsilon^2 = \alpha$ , then by Lemma (2.1.5)  $\rho''$  defines a unitary representation of degree 1 of the fundamental group  $G$  of  $S$ . Since there are two such  $\varepsilon$ 's, there are two line bundles on  $S$  defined by unitary representations such that their pull-backs over  $A$  are isomorphic to  $\varphi^*L$ . Hence  $L$  should be one of them and defined by a unitary representation. Hence  $L$  is homologically equivalent to 0.

Let us determine all the irreducible unitary representation of the fundamental group  $G$  of a given hyperelliptic surface  $S$ . Let  $\rho$  be an irreducible unitary representation of degree  $r$  of the fundamental group  $G$  of  $S$ . Then by Proposition (1.2) and Corollary (2.7),  $r$  divides  $d(S)$ . Hence it is sufficient to consider only such  $r$ . We put  $r \cdot s = d(S)$ . Since the subgroup  $H$ , the fundamental group of the abelian variety  $A$ , is commutative we can diagonalise the restriction of  $\rho$  to  $H$ . Hence we may assume

$$\rho(g_i) = \begin{pmatrix} \rho_1(g_i) & & & 0 \\ & \rho_2(g_i) & & \\ & & \ddots & \\ 0 & & & \rho_r(g_i) \end{pmatrix}$$

$1 \leq i \leq 4$ . If  $E$  denotes the vector bundle defined by the representation  $\rho$ , then  $\pi^*E$  is the direct sum of the line bundle  $L_i$  defined by the representation  $\rho_i$  of the fundamental group of  $A$ . Since  $\bar{g}_5^* \pi^*E$  is isomorphic to  $\pi^*E$ , by Lemma (2.8), we conclude

$$\begin{aligned} \rho_j(g) &= \rho_1(g_5^{-j+1} g g_5^{j-1}), & 1 \leq j \leq r \\ \rho_1(g) &= \rho_1(g_5^{-r} g g_5^r). \end{aligned}$$

Hence we proved

**PROPOSITION (2.10).** *The irreducible unitary representation is normalized as follows*

$$\rho(g_i) = \begin{pmatrix} \rho_1(g_i) & & & \\ & \rho_1(g_5^{-1} g_i g_5) & & \\ & & \ddots & \\ & & & \rho_1(g_5^{-r+1} g_i g_5^{r+1}) \end{pmatrix}$$

$1 \leq i \leq 4$ ,  $s \cdot r = d(S)$  where  $\rho_1$  is a representation of degree 1 of the fundamental group  $H$  of the abelian variety.

**THEOREM (2.11).** *The irreducible unitary representation of the fundamental group  $G$  of  $S$  are classified as follows. (We give the values of generators  $g_1, g_2, g_3, g_4$  and  $g_5$  of  $G$ . All the matrices below are unitary.)*

Case I i).  $S$  is of type I i).

$$\begin{aligned} r = 1. \quad & \rho(g_1) = \alpha, \quad \rho(g_2) = \pm 1, \quad \rho(g_3) = \gamma, \quad \rho(g_4) = \pm 1, \\ & \rho(g_5) = \varepsilon \quad \text{with } |\alpha| = |\gamma| = 1 \text{ and } \varepsilon^2 = \alpha. \end{aligned}$$

$$\begin{aligned}
 r = 2. \quad & \rho(g_1) = \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \begin{pmatrix} \beta & 0 \\ 0 & \beta^{-1} \end{pmatrix}, \quad \rho(g_3) = \begin{pmatrix} \gamma & 0 \\ 0 & \gamma \end{pmatrix}, \\
 & \rho(g_4) = \begin{pmatrix} \delta & 0 \\ 0 & \delta^{-1} \end{pmatrix}, \quad \rho(g_5) = \begin{pmatrix} 0 & \alpha \\ 1 & 0 \end{pmatrix} \\
 & \text{with } \beta^2 \neq 1 \text{ or } \delta^2 \neq 1.
 \end{aligned}$$

*There is no irreducible unitary representation of degree  $> 2$ .*

*Case I ii). S is of type I ii).*

$$\begin{aligned}
 r = 1. \quad & \rho(g_1) = \alpha, \quad \rho(g_2) = 1, \quad \rho(g_3) = \gamma, \quad \rho(g_4) = \pm 1, \\
 & \rho(g_5) = \varepsilon \quad \text{with } |\alpha| = |\gamma| = 1 \text{ and } \varepsilon^2 = \alpha. \\
 r = 2. \quad & \rho(g_1) = \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \begin{pmatrix} \beta & 0 \\ 0 & \beta^{-1} \end{pmatrix}, \quad \rho(g_3) = \begin{pmatrix} \gamma & 0 \\ 0 & \beta^{-1}\gamma \end{pmatrix}, \\
 & \rho(g_4) = \begin{pmatrix} \delta & 0 \\ 0 & \delta^{-1} \end{pmatrix}, \quad \rho(g_5) = \begin{pmatrix} 0 & \alpha \\ \alpha & 0 \end{pmatrix} \\
 & \text{with } \beta \neq 1 \text{ or } \delta \neq \pm 1.
 \end{aligned}$$

*There is no irreducible unitary representation of degree  $> 2$ .*

*Case II i). S is of type II i).*

$$\begin{aligned}
 r = 1. \quad & \rho(g_1) = \alpha, \quad \rho(g_2) = \rho(g_4) = \beta, \quad \rho(g_3) = \gamma, \quad \rho(g_5) = \varepsilon, \\
 & \text{with } |\alpha| = |\beta| = |\varepsilon| = 1, \quad \varepsilon^3 = \alpha, \quad \beta^3 = 1. \\
 r = 3. \quad & \rho(g_1) = \begin{pmatrix} \alpha & 0 & 0 \\ 0 & \alpha & 0 \\ 0 & 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \begin{pmatrix} \beta & 0 & 0 \\ 0 & \beta^{-1}\delta^{-1} & 0 \\ 0 & 0 & \delta \end{pmatrix}, \\
 & \rho(g_3) = \begin{pmatrix} \gamma & 0 & 0 \\ 0 & \gamma & 0 \\ 0 & 0 & \gamma \end{pmatrix}, \quad \rho(g_4) = \begin{pmatrix} \delta & 0 & 0 \\ 0 & \beta & 0 \\ 0 & 0 & \beta^{-1}\delta^{-1} \end{pmatrix}, \\
 & \rho(g_5) = \begin{pmatrix} 0 & 0 & \alpha \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \quad \text{with } \beta^3 \neq 1 \text{ or } \beta \neq \delta.
 \end{aligned}$$

*There is no other irreducible representation.*

*Case II ii). S is of II ii).*

$$\begin{aligned}
 r = 1. \quad & \rho(g_1) = \alpha, \quad \rho(g_2) = 1, \quad \rho(g_3) = \gamma, \quad \rho(g_4) = 1, \\
 & \rho(g_5) = \varepsilon, \quad \text{with } |\alpha| = |\gamma| = 1 \text{ and } \varepsilon^3 = \alpha. \\
 r = 3. \quad & \rho(g_1) = \begin{pmatrix} \alpha & 0 & 0 \\ 0 & \alpha & 0 \\ 0 & 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \begin{pmatrix} \beta & 0 & 0 \\ 0 & \beta^{-1}\delta^{-1} & 0 \\ 0 & 0 & \delta \end{pmatrix},
 \end{aligned}$$

$$\rho(g_3) = \begin{pmatrix} \gamma & 0 & 0 \\ 0 & \gamma\beta^{-1} & 0 \\ 0 & 0 & \gamma\delta \end{pmatrix}, \quad \rho(g_4) = \begin{pmatrix} \delta & 0 & 0 \\ 0 & \beta & 0 \\ 0 & 0 & \beta^{-1}\delta^{-1} \end{pmatrix},$$

$$\rho(g_5) = \begin{pmatrix} 0 & 0 & \alpha \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \quad \text{with } \beta \neq 1 \text{ or } \delta \neq 1.$$

There is no other irreducible unitary representation.

Case III i).  $S$  is of type III i).

$$r = 1. \quad \rho(g_1) = \alpha, \quad \rho(g_2) = \rho(g_4) = \pm 1, \quad \rho(g_3) = \gamma, \quad \rho(g_5) = \varepsilon,$$

with  $|\alpha| = |\gamma| = |\varepsilon| = 1$  and  $\varepsilon^4 = \alpha$ .

$$r = 2. \quad \rho(g_1) = \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \begin{pmatrix} \beta & 0 \\ 0 & \delta \end{pmatrix}, \quad \rho(g_3) = \begin{pmatrix} \gamma & 0 \\ 0 & \gamma \end{pmatrix},$$

$$\rho(g_4) = \begin{pmatrix} \delta & 0 \\ 0 & \beta \end{pmatrix}, \quad \rho(g_5) = \begin{pmatrix} 0 & \varepsilon \\ 1 & 0 \end{pmatrix}$$

with  $|\alpha| = |\gamma| = 1$ ,  $\beta^2 = \delta^2 = 1$ ,  $\varepsilon^2 = \alpha$ , and  $\beta \neq \delta$ .

$$r = 4. \quad \rho(g_1) = \begin{pmatrix} \alpha & 0 & 0 & 0 \\ 0 & \alpha & 0 & 0 \\ 0 & 0 & \alpha & 0 \\ 0 & 0 & 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \begin{pmatrix} \beta & 0 & 0 & 0 \\ 0 & \delta^{-1} & 0 & 0 \\ 0 & 0 & \beta^{-1} & 0 \\ 0 & 0 & 0 & \delta \end{pmatrix},$$

$$\rho(g_3) = \begin{pmatrix} \gamma & 0 & 0 & 0 \\ 0 & \gamma & 0 & 0 \\ 0 & 0 & \gamma & 0 \\ 0 & 0 & 0 & \gamma \end{pmatrix}, \quad \rho(g_4) = \begin{pmatrix} \delta & 0 & 0 & 0 \\ 0 & \beta & 0 & 0 \\ 0 & 0 & \delta^{-1} & 0 \\ 0 & 0 & 0 & \beta^{-1} \end{pmatrix},$$

$$\rho(g_5) = \begin{pmatrix} 0 & 0 & 0 & \alpha \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

with either  $\beta \neq \delta$  or  $\beta \neq \pm 1$  or  $\delta \neq \pm 1$ .

There is no other irreducible unitary representation.

Case III ii).  $S$  is of type III ii).

$$r = 1. \quad \rho(g_1) = \alpha, \quad \rho(g_2) = \rho(g_4) = 1, \quad \rho(g_3) = \gamma, \quad \rho(g_5) = \varepsilon$$

with  $|\alpha| = |\gamma| = 1$  and  $\varepsilon^4 = \alpha$ .

$$r = 2. \quad \rho(g_1) = \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \rho(g_4) = -\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},$$

$$\rho(g_3) = \begin{pmatrix} \gamma & 0 \\ 0 & -\gamma \end{pmatrix}, \quad \rho(g_5) = \begin{pmatrix} 0 & \varepsilon \\ 1 & 0 \end{pmatrix}$$

with  $|\alpha| = |\gamma| = 1$ ,  $\varepsilon^2 = \alpha$ .

$$r = 4. \quad \rho(g_1) = \begin{pmatrix} \alpha & 0 & 0 & 0 \\ 0 & \alpha & 0 & 0 \\ 0 & 0 & \alpha & 0 \\ 0 & 0 & 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \begin{pmatrix} \beta & 0 & 0 & 0 \\ 0 & \delta^{-1} & 0 & 0 \\ 0 & 0 & \beta^{-1} & 0 \\ 0 & 0 & 0 & \delta \end{pmatrix},$$

$$\rho(g_3) = \begin{pmatrix} \gamma & 0 & 0 & 0 \\ 0 & \gamma\delta^{-1} & 0 & 0 \\ 0 & 0 & \gamma\beta^{-1}\delta^{-1} & 0 \\ 0 & 0 & 0 & \gamma\beta^{-1} \end{pmatrix}, \quad \rho(g_4) = \begin{pmatrix} \delta & 0 & 0 & 0 \\ 0 & \beta & 0 & 0 \\ 0 & 0 & \delta^{-1} & 0 \\ 0 & 0 & 0 & \beta^{-1} \end{pmatrix},$$

$$\rho(g_5) = \begin{pmatrix} 0 & 0 & 0 & \alpha \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

with either  $\beta^2 \neq 1$  or  $\beta \neq \delta^{-1}$  or  $\delta \neq 1$ .

*There is no other irreducible unitary representation.*

*Case IV. S is of type IV.*

$$r = 1. \quad \rho(g_1) = \alpha, \quad \rho(g_2) = \rho(g_4) = 1, \quad \rho(g_3) = \gamma, \quad \rho(g_5) = \varepsilon$$

with  $|\alpha| = |\gamma| = 1$  and  $\varepsilon^5 = \alpha$ .

$$r = 2. \quad \rho(g_1) = \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \rho(g_4) = \begin{pmatrix} \beta & 0 \\ 0 & \beta^2 \end{pmatrix},$$

$$\rho(g_3) = \begin{pmatrix} \gamma & 0 \\ 0 & \gamma \end{pmatrix}, \quad \rho(g_5) = \begin{pmatrix} 0 & \varepsilon \\ 1 & 0 \end{pmatrix}$$

with  $|\alpha| = |\gamma| = 1$ ,  $\beta \neq 1$ ,  $\beta^3 = 1$  and  $\varepsilon^3 = \alpha$ .

$$r = 3. \quad \rho(g_1) = \begin{pmatrix} \alpha & 0 & 0 \\ 0 & \alpha & 0 \\ 0 & 0 & \alpha \end{pmatrix}, \quad \rho(g_2) = \begin{pmatrix} \beta & 0 & 0 \\ 0 & \beta\delta & 0 \\ 0 & 0 & \delta \end{pmatrix},$$

$$\rho(g_3) = \begin{pmatrix} \gamma & 0 & 0 \\ 0 & \gamma & 0 \\ 0 & 0 & \gamma \end{pmatrix}, \quad \rho(g_4) = \begin{pmatrix} \delta & 0 & 0 \\ 0 & \beta^{-1} & 0 \\ 0 & 0 & \beta^{-1}\delta^{-1} \end{pmatrix},$$

$$\rho(g_5) = \begin{pmatrix} 0 & 0 & \varepsilon \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

with  $|\alpha| = |\gamma| = 1$ ,  $\beta^2 = \delta^2 = 1$ ,  $\varepsilon^2 = \alpha$  and either  $\beta \neq 1$  or  $\delta \neq 1$ .

$$\begin{aligned}
 r = 6. \quad \rho(g_1) &= \alpha I_6, & \rho(g_2) &= \begin{pmatrix} \beta & 0 & 0 & 0 & 0 & 0 \\ 0 & \beta\delta & 0 & 0 & 0 & 0 \\ 0 & 0 & \delta & 0 & 0 & 0 \\ 0 & 0 & 0 & \beta^{-1} & 0 & 0 \\ 0 & 0 & 0 & 0 & \beta^{-1}\delta^{-1} & 0 \\ 0 & 0 & 0 & 0 & 0 & \delta^{-1} \end{pmatrix}, \\
 \rho(g_3) &= \gamma I_6, & \rho(g_4) &= \begin{pmatrix} \delta & 0 & 0 & 0 & 0 & 0 \\ 0 & \beta^{-1} & 0 & 0 & 0 & 0 \\ 0 & 0 & \beta^{-1}\delta^{-1} & 0 & 0 & 0 \\ 0 & 0 & 0 & \delta^{-1} & 0 & 0 \\ 0 & 0 & 0 & 0 & \beta & 0 \\ 0 & 0 & 0 & 0 & 0 & \beta\delta \end{pmatrix}, \\
 \rho(g_5) &= \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \alpha \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}
 \end{aligned}$$

with  $|\alpha| = |\beta| = |\gamma| = |\delta| = 1$ , except for following two cases

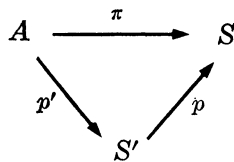
- (a)  $\beta = \delta, \beta^3 = \delta^3 = 1$
- (b)  $\beta^2 = \delta^2 = 1$ .

There is no other irreducible unitary representation.

*Proof.* The theorem follows easily from Lemma (2.1.5), (2.1.6), (2.1.7), (2.1.8), (2.1.9), (2.1.10), (2.1.11), (2.8) and Proposition (2.10).

**THEOREM (2.12).** *Using the notation of Theorem (2.1.3), let  $E$  be an  $H$ -stable vector bundle with numerically trivial Chern classes over  $S$ . Then  $E$  is defined an irreducible unitary representation of the fundamental group  $G$  of the hyperelliptic surface  $S$ .*

*Proof.* If  $E$  is of rank 1, the Theorem is nothing but Lemma (2.9). We prove the theorem under the assumption that  $S$  is of type III i) and  $r = 2$ . Proofs are similar in other case (in fact easier if  $r = d(S)$ ). By Lemma (2.6),  $\pi^*E$  is the direct sum of line bundles which are algebraically equivalent to 0, where  $\pi: A \rightarrow S$  is the natural projection. We put  $S' = S/(\bar{g}_6^2)$ . Then we have a commutative diagram:



where  $p$  and  $p'$  are the natural projections. We put  $\pi^*E = L_1 \oplus L_2$ . Since  $\bar{g}_5^* \pi^*E \simeq \pi^*E$ ,  $\bar{g}_5^*L_1 \oplus \bar{g}_5^*L_2 \simeq L_1 \oplus L_2$ . It follows  $\bar{g}_5^*L_1 \simeq L_1$  or  $\bar{g}_5^*L_1 \simeq L_2$ . We shall show that we never have the first case  $\bar{g}_5^*L_1 \simeq L_1$  hence  $\bar{g}_5^*L_2 \simeq L_2$ . If it were so, by the descent theory of Grothendieck there would be line bundles  $L'_1, L'_2$  over  $S$  such that  $\pi^*L'_1 \simeq L_1$  and  $\pi^*L'_2 \simeq L_2$ . Hence  $\pi^*E \simeq \pi^*(L'_1 \oplus L'_2)$ . Taking  $\pi_*$ ,  $E \oplus E \otimes K \oplus E \otimes K^{\otimes 2} \oplus E \otimes K^{\otimes 3} \simeq (L'_1 \oplus L'_2) \oplus (L'_1 \oplus L'_2) \otimes K \oplus (L'_1 \oplus L'_2) \otimes K^{\otimes 2} \oplus (L'_1 \oplus L'_2) \otimes K^{\otimes 3}$ . Hence  $E$  would be the direct sum of line bundles. This contradicts to the  $H$ -stability of  $E$ . We conclude  $L_2 \simeq \bar{g}_5^*L_1$  and  $\bar{g}_5^*L_1 \simeq L_1$ . Hence  $\pi^*E \simeq L_1 \oplus \bar{g}_5^*L_1$ , with  $\bar{g}_5^*L_1 \not\simeq L_1$  and  $\bar{g}_5^*L_1 \simeq L_1$ . By Lemma (2.8),  $\pi^*E$  is defined by the following unitary representation  $\rho$  of the fundamental group  $H$  of  $A$ :

$$\rho(g_i) = \begin{pmatrix} \rho_i(g_i) & 0 \\ 0 & \rho_i(g_5^{-1}g_i g_5) \end{pmatrix}, \quad 1 \leq i \leq 4,$$

and  $\rho_i(g_5^{-2}g_i g_5^2) = \rho_i(g_i)$  for any  $1 \leq i \leq 4$  and  $\rho_i(g_5^{-1}g_i g_5) \neq \rho_i(g_i)$  for some  $i$ . In view of Lemma (2.1.9), we conclude:

$$\begin{aligned} \rho(g_1) &= \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, & \rho(g_2) &= \begin{pmatrix} \beta & 0 \\ 0 & \delta \end{pmatrix}, \\ \rho(g_3) &= \begin{pmatrix} \gamma & 0 \\ 0 & \gamma \end{pmatrix}, & \rho(g_4) &= \begin{pmatrix} \delta & 0 \\ 0 & \beta \end{pmatrix} \end{aligned}$$

with

$$|\alpha| = |\gamma| = 1, \quad \beta^2 = \delta^2 = 1 \quad \text{and} \quad \beta \neq \delta.$$

If we put  $\rho(g_5) = \begin{pmatrix} 0 & \varepsilon \\ 1 & 0 \end{pmatrix}$   $\varepsilon^2 = \alpha$ ,  $\rho$  is extended to a unitary representation of the fundamental group  $G$  of  $S$ . Since there are two  $\varepsilon$ 's satisfying  $\varepsilon^2 = \alpha$ , it follows there are two non-isomorphic vector bundle  $E_1, E_2$  over  $S$  such that  $\pi^*E \simeq \pi^*E_1 \simeq \pi^*E_2$ . Hence  $E$  should be one of them.

q.e.d.

**COROLLARY (2.13).** *Using the notation of Theorem (2.1.3), let  $E$  be an  $H$ -stable vector bundle with numerically trivial Chern classes. Let  $C_n$  be a generic hyperplane section of  $nH$ . Then the restriction  $E_n$  of  $E$  to  $C_n$  is stable if  $n$  is sufficiently big.*

*Proof.* By Theorem (2.12),  $E$  is defined by an irreducible unitary representation  $\rho$  of  $G$ . By Lefschetz theorem, the canonical homomor-



phism  $i_* : \pi_1(C_n) \rightarrow \pi_1(S) = G$  is surjective if  $n$  is sufficiently big (see Bott [2]). Hence the unitary representation  $\rho \circ i_*$  is irreducible. Since  $E_n$  is associated to this representation, by Seshadri [10],  $E_n$  is stable.

**COROLLARY (2.14).** *Using the notation of Theorem (2.1.3), let  $E$  be an  $H$ -stable vector bundle over  $S$ . Then the following are equivalent.*

- (1)  $E$  is defined by an irreducible unitary representation of the fundamental group  $G$ .
- (2)  $E$  is defined by a unitary representation of the fundamental group  $G$ .
- (3)  $E$  is defined by a linear representation of the fundamental group  $G$ .
- (4)  $E$  has an integrable connection.
- (5)  $E$  has a connection.
- (6) The Chern classes of  $E$  are numerically trivial.

*Proof.* The implications (1)  $\Leftrightarrow$  (2)  $\Leftrightarrow$  (3)  $\Leftrightarrow$  (4)  $\Leftrightarrow$  (5)  $\Leftrightarrow$  (6) follow from the general theory. (6)  $\Leftrightarrow$  (1) follows from Theorem (2.12).

**THEOREM (2.15).** *Using the notation of Theorem (2.1.3), let  $F_r(S)$  be the set of isomorphism classes of  $H$ -stable vector bundles of rank  $r$  with numerically trivial Chern classes over  $S$ . Then  $F_r(S)$  has a complex analytic structure. More precisely,*

*Case I i).  $S$  is of type I i).*

If  $r = 1$ , 
$$F_r(S) \simeq * \text{Pic}^0 S \amalg \text{Pic}^0 S \amalg \text{Pic}^0 S \amalg \text{Pic}^0 S$$

$$\simeq C/(1, \tau/2) \amalg C/(1, \tau/2) \amalg C/(1, \tau/2) \amalg C/(1, \tau/2).$$

If  $r = 2$ , 
$$F_r(S) \cong C/(1, \tau) \times \mathbf{P}^1(\omega), \quad \mathbf{P}^1(\omega) = \mathbf{P}^1 - \{Q_1, Q_2, Q_3, Q_4\},$$
 where  $p : C/(1, \omega) \rightarrow \mathbf{P}^1$  is a double covering of  $\mathbf{P}^1$  defined by a divisor of degree 2 over  $C/(1, \omega)$  and  $p$  is ramified at  $Q_1, Q_2, Q_3$  and  $Q_4$ ,

If  $r \neq 1, 2$ ,  $F_r(S)$  is empty.

*Case I ii).  $S$  is of type I ii).*

If  $r = 1$ , 
$$F_r(S) \simeq \text{Pic}^0 S \amalg \text{Pic}^0 S \simeq C/(1, \tau/2) \amalg C/(1, \tau/2).$$

If  $r = 2$ ,  $F_r(S)$  is an elliptic surface over  $\Delta' = \mathbf{P}^1 - 2$  points i.e.  $F_r(S)$  is a non-compact complex manifold of dimension 2 with surjective map  $p : F_r(S) \rightarrow \Delta'$ . Here  $p$  is a proper morphism and  $p^{-1}(x) \simeq C/(1, \tau)$  for a general point  $x \in \Delta'$ .

If  $r \neq 1, 2$ ,  $F_r(S)$  is empty.

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\*  $\amalg$  denotes disjoint union.

Case II i).  $S$  is of type II i).

$$\begin{aligned} \text{If } r = 1, \quad F_r(S) &\simeq \text{Pic}^0 S \amalg \text{Pic}^0 S \amalg \text{Pic}^0 S \\ &\simeq C/(1, \tau/3) \amalg C/(1, \tau/3) \amalg C/(1, \tau/3). \end{aligned}$$

If  $r = 3$ ,  $F_r = C/(1, \tau) \times \Delta'$ , where  $\Delta'$  is a non-singular and non-complete curve.

If  $r \neq 1, 3$ ,  $F_r(S)$  is empty.

Case II ii).  $S$  is of type II ii).

$$\text{If } r = 1, \quad F_r(S) \simeq \text{Pic}^0 S \simeq C/(1, \tau/3).$$

If  $r = 3$ ,  $F_r(S)$  is an elliptic surface with the general fibre  $C/(1, \tau)$  over a non-complete curve.

If  $r \neq 1, 3$ ,  $F_r(S)$  is empty.

Case III i).  $S$  is of type III i).

$$\text{If } r = 1, \quad F_r(S) \simeq \text{Pic}^0 S \amalg \text{Pic}^0 S \simeq C/(1, \tau/4) \amalg C/(1, \tau/4).$$

$$\text{If } r = 2, \quad F_r(S) \simeq \text{Pic}^0 S' \amalg \text{Pic}^0 S' \simeq C/(1, \tau/2) \amalg C/(1, \tau/2),$$

where  $S' \simeq A/(\bar{g}_3^2)$ .

If  $r = 4$ ,  $F_r(S) \simeq C/(1, \tau) \times \Delta''$ , where  $\Delta''$  is a non-singular and non-complete curve.

If  $r \neq 1, 2, 4$ ,  $F_r(S)$  is empty.

Case III ii).  $S$  is of type III ii).

$$\text{If } r = 1, \quad F_r(S) \simeq \text{Pic}^0 S \simeq C/(1, \tau/4).$$

$$\text{If } r = 2, \quad F_r(S) \simeq \text{Pic}^0 S' \amalg C/(1, \tau/2), \text{ where } S' \simeq A/(\bar{g}_3^2).$$

If  $r = 4$ ,  $F_r(S)$  is an elliptic surface with the general fibre  $C/(1, \tau)$  over a non-complete curve.

If  $r \neq 1, 2, 3, 4$   $F_r(S)$  is empty.

Case IV.  $S$  is of type IV.

$$\text{If } r = 1, \quad F_r(S) \simeq \text{Pic}^0 S \simeq C/(1, \tau/6).$$

$$\text{If } r = 2, \quad F_r(S) \simeq \text{Pic}^0 S' \amalg \text{Pic}^0 S' \simeq C/(1, \tau/3) \amalg C/(1, \tau/3),$$

where  $S' \simeq A/(\bar{g}_3^2)$ .

$$\begin{aligned} \text{If } r = 3, \quad F_r(S) &\simeq \text{Pic}^0 S'' \amalg \text{Pic}^0 S'' \amalg \text{Pic}^0 S'' \\ &\simeq C/(1, \tau/2) \amalg C/(1, \tau/2) \amalg C/(1, \tau/2), \end{aligned}$$

where  $S'' \simeq A/(\bar{g}_3^3)$ .

If  $r = 6$ ,  $F_r(S)$  is an elliptic surface with the general fibre  $C/(1, \tau)$  over a non-complete curve.

If  $r \neq 1, 2, 3, 6$ ,  $F_r(S)$  is empty.

*Proof.* Case I i). If  $r = 1$ , a line bundle  $e \in F_r(S)$  is defined by a unitary representation,  $\rho(g_1) = \alpha$ ,  $\rho(g_2) = \pm 1$ ,  $\rho(g_3) = \gamma$ ,  $\rho(g_4) = \pm 1$ ,  $\rho(g_5) = \varepsilon$  with  $\varepsilon^2 = \alpha$ . This shows  $F_r(S) = \text{Pic}^0 S \amalg \text{Pic}^0 S \amalg \text{Pic}^0 S \amalg \text{Pic}^0 S$  and  $\text{Pic}^0 S \simeq C/(1, \tau/2)$ .

If  $r = 2$ , Theorem (2.11) shows that such a bundle  $E$  is defined by an irreducible unitary representation

$$\begin{aligned} \rho(g_1) &= \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, & \rho(g_2) &= \begin{pmatrix} \beta & 0 \\ 0 & \beta^{-1} \end{pmatrix}, \\ \rho(g_3) &= \begin{pmatrix} \gamma & 0 \\ 0 & \gamma \end{pmatrix}, & \rho(g_4) &= \begin{pmatrix} \delta & 0 \\ 0 & \delta^{-1} \end{pmatrix}, \\ \rho(g_5) &= \begin{pmatrix} 0 & \alpha \\ 1 & 0 \end{pmatrix} & & \text{with } \beta^2 \text{ or } \delta^2 \neq 1. \end{aligned}$$

Remark that this normalization of irreducible unitary representation is not unique since representation

$$\begin{aligned} \rho(g_1) &= \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, & \rho(g_5) &= \begin{pmatrix} \beta^{-1} & 0 \\ 0 & \beta \end{pmatrix}, & \rho(g_3) &= \begin{pmatrix} \gamma & 0 \\ 0 & \gamma \end{pmatrix}, \\ \rho(g_5) &= \begin{pmatrix} \delta^{-1} & 0 \\ 0 & \delta \end{pmatrix}, & \rho(g_5) &= \begin{pmatrix} 0 & \alpha \\ 1 & 0 \end{pmatrix} \end{aligned}$$

also defines  $E$ . These representations are equivalent. But this normalization shows that  $E$  is the direct image of a line bundle  $L$  of degree 0 over  $A$ . The condition  $\beta^2$  or  $\delta^2 \neq 1$  shows that if  $L \simeq p_1^* L_1 \otimes p_2^* L_2$ ,  $L_3^{\otimes 2} \not\cong O_{C_2}$  where  $p_i$  is the projection from  $A = C/(1, \omega) \times C/(1, \tau) = C_1 \times C_2$  onto the  $i$ -th factor. Let  $W$  be the set of fixed points on  $\hat{A}$  with respect to the action of  $\bar{g}_5^*$ . Then  $F_r(S) = \hat{A} - W(\bar{g}_5^*)$ . Since the action of  $\bar{g}_5^*$  of  $\hat{A}$  is given by

$$\begin{aligned} C_1 \times C_2 &\longrightarrow C_1 \times C_2 \\ (x, y) &\longmapsto (x, -y), \end{aligned}$$

the result follows.

By Theorem (2.11) and Theorem (2.12), or simply by Corollary (2.7),  $F_r(S)$  is empty if  $r \neq 1, 2$ .

Case I ii). If  $r = 1$ , the proof is similar to the Case I i). Hence we omit it.

If  $r = 2$ , the proof is similar to the Case I i) but delicate. Hence we give it. Let  $\hat{A}$  be the dual abelian variety of  $A$ . Then  $\bar{g}_5$  induces an automorphism  $\bar{g}_5^*$  on  $\hat{A}$ . Let  $W$  be the set of fixed points on  $\hat{A}$  with

respect to the action of the cyclic group  $(\bar{g}_5^*)$ . Then  $F_r(S) = \hat{A} - W/(\bar{g}_5^*)$  by Theorem (2.11). We determine the variety  $\hat{A}/(\bar{g}_5^*)$ . To do this, we write down the abelian variety  $\hat{A}$ , the automorphism  $\bar{g}_5^*$  and the subvariety  $W$  explicitly.  $\hat{A}$  is given by the period matrix  $\begin{pmatrix} 1 & 0 & \tau & \frac{1}{2} \\ 0 & 1 & 0 & \omega \end{pmatrix}$ . To see this, let  $B: \mathbb{C}^2 \times \mathbb{C}^2 \rightarrow \mathbb{C}$  be an  $\mathbb{R}$ -linear pairing  $B((x_1, x_2), (y_1, y_2)) = (1/\text{Im } \tau)x_1\bar{y}_1 + (1/\text{Im } \omega)x_2\bar{y}_2$ ,  $(x_1, x_2), (y_1, y_2) \in \mathbb{C}^2$ . Then it is easy to see that  $B$  satisfies the following:

- 1°  $B$  is complex linear on  $(x_1, x_2)$  and complex anti-linear on  $(y_1, y_2)$ .
- 2°  $B$  is non-degenerate.
- 3° If we put  $\text{Im } B = \beta$ ,  $\beta$  is integral on  $U_1 \times U_2$ , where  $U_1$  is the lattice defined by the column vectors of the period matrix  $\begin{pmatrix} 1 & 0 & \tau & 0 \\ 0 & 1 & \frac{1}{2} & \omega \end{pmatrix}$  of  $A$  and  $U_2$  is the lattice defined by the column vectors of the period matrix  $\begin{pmatrix} 1 & 0 & \tau & \frac{1}{2} \\ 0 & 1 & 0 & \omega \end{pmatrix}$ .
- 4°  $U_1$  and  $U_2$  are dual lattices under  $\beta$ .

Hence by Mumford p. 86 [7],  $\hat{A}$  is given by the period matrix  $\begin{pmatrix} 1 & 0 & \tau & \frac{1}{2} \\ 0 & 1 & 0 & \omega \end{pmatrix}$ . Let us now describe the automorphism  $\bar{g}_5^*$ . Since a translation  $T_x$ ,  $x \in A$  induces the identity on  $\hat{A}$ , we may assume  $g_5$  is given by  $g_5: (u, \zeta) \rightarrow (x_1, -x_2)$  using the notation above. By Mumford p. 85 [7], the line bundle corresponding to the point  $(y_1, y_2) \in \hat{A}$  (or the universal covering space of  $\hat{A}$ ) is given by the representation

$$\begin{array}{ccc} U_1 & \longrightarrow & \mathbb{C} \\ \cup & & \\ (u_1, u_2) & \longmapsto & \exp(-2\pi i \beta((u_1, u_2), (y_1, y_2))) \end{array}$$

Hence the automorphism  $(x_1, x_2) \mapsto (x_1, -x_2)$  of  $A$  induces, the automorphism  $(y_1, y_2) \mapsto (y_1, -y_2)$  on  $\hat{A}$ . We regard the abelian variety  $\hat{A} \simeq \mathbb{C}/\{(1, 0), (0, 1), (\tau, 0), (\frac{1}{2}, \omega)\}$  as an elliptic surface over an elliptic curve  $\mathbb{C}/(1, \omega)$  by considering the projection  $p_2: \mathbb{C}^2 \rightarrow \mathbb{C}$ . Then  $\hat{A}$  has trivial functional and homological invariants. But  $\hat{A}$  is not a basic elliptic surface. Let  $\pi': \hat{A} \rightarrow \mathbb{C}/(1, \omega)$  be this fibration, then the action of the cyclic group  $(\bar{g}_5^*)$  preserves this fibration i.e. the diagram

$$\begin{array}{ccc} \hat{A} & \xrightarrow{\bar{g}_5^*} & \hat{A} \\ \pi' \downarrow & & \downarrow \pi' \\ \mathbb{C}/(1, \omega) & \longrightarrow & \mathbb{C}/(1, \omega) \\ y & \longmapsto & -y \end{array}$$

The fixed points should be contained in the fibres of  $[0], [1/2], [\omega/2]$  and  $[\omega/2 + 1/2]$  where  $[a]$  denotes the image of  $a \in C$  into  $C/(1, \omega)$ . The points of the fibres over  $[0]$  and  $[1/2]$  are really fixed but the points over  $[\omega/2]$  and  $[\omega/2 + 1/2]$  are not fixed. The action of  $\bar{g}_5^*$  on  $\pi^{-1}([\omega/2])$  and  $\pi^{-1}([\omega/2 + 1/2])$  is the addition by  $[1/2]$  on the elliptic curve  $C/(1, \tau)$ . Hence  $W = \pi^{-1}([0]) \cup \pi^{-1}([1/2])$ . Let us consider the quotient space  $\hat{A}/(\bar{g}_5^*)$ . It has a fibration  $\hat{A}/(\bar{g}_5^*) \rightarrow P^1 =$  the quotient space of the elliptic curve with respect to the action  $y \mapsto -y$ . Let  $P_1, P_2, P_3$  and  $P_4$  be images of  $[0], [1/2], [\omega/2], [\omega/2 + 1/2]$  in  $P^1$ .

$$\begin{array}{ccc} \hat{A} & \longrightarrow & \hat{A}/\bar{g}_5^* \\ \pi' \downarrow & & \downarrow \pi \\ C/(1, \omega) & \longrightarrow & P^1 \end{array}$$

It is not difficult to see that the fibration  $\pi$  is not singular at  $P_1$  and  $P_2$  and is singular at  $P_3$  and  $P_4$ . The homological and functional invariants of  $\hat{A}/(\bar{g}_5^*)$  is trivial. The general fibre  $C/(1, \tau)$  is patched by the translation  $T_{[1/2]}$  on  $C/(1, \tau)$  when we consider small circles around  $P_3$  and  $P_4$ . We proved  $F_r(S)$  is an elliptic surface with general fibre  $C/(1, \tau)$  over  $P^1 - 2$  points and has two singular fibres.

Case II i).  $S$  is of type II i).

If  $r = 1$ , the proof is similar to the Case I i).

If  $r = 3$ , the proof is similar to the Case I i).

Case II ii).  $S$  is of type II ii).

If  $r = 1$ , the proof is similar to the Case I i).

If  $r = 2$ , the proof is similar to the Case I ii) but much easier since the assertion in this case is weaker. Of course we can determine the surface explicitly as in the Case I ii) but we do not use it in the sequel.

Case III i).  $S$  is of type III i).

If  $r = 1$ , the proof is similar to the Case I i),  $r = 1$ .

If  $r = 2$ , the proof is similar to the Case I i),  $r = 2$  or  $r = 1$ .

If  $r = 3$ , the proof is similar to the Case I i),  $r = 2$ .

Case III ii).  $S$  is of type III ii).

If  $r = 1$ , the proof is similar to the Case I i),  $r = 1$ .

If  $r = 2$ , the proof is similar to the Case I ii),  $r = 2$ .

If  $r = 4$ , the proof is similar to the Case I ii),  $r = 2$ .

Case IV.  $S$  is of type IV.

If  $r = 1$ , the proof is similar to the Case I i),  $r = 1$ .

If  $r = 2$ , the proof is similar to the Case III i),  $r = 2$ .

If  $r = 3$ , the proof is similar to the Case III i),  $r = 2$ .

If  $r = 6$ , the proof is similar to the Case I i),  $r = 2$ .

Hence we omit them.

**THEOREM (2.16).**  $F_r(S)$  is the coarse moduli space for  $H$ -stable vector bundles of rank  $r$  with numerically trivial Chern classes over  $S$ . More precisely, let  $T$  be a variety and if we are given a vector bundle  $E$  over  $T \times S$  such that the restriction  $E_t$  of  $E$  on  $t \times S$  is  $H$ -stable of rank  $r$  with numerically trivial Chern classes for any (closed) point  $t \in T$ , then there exists a morphism  $\varphi: T \rightarrow F_r(S)$  such that  $E_t$  is isomorphic to the vector bundle corresponding to  $\varphi(t) \in F_r(S)$ .

*Proof.* Our proof depends on the following

**LEMMA (2.17).** Using the notation above, for any closed point  $t \in T$ , there exist an étale neighbourhood  $U_t$  of  $t$  and a line bundle  $L$  over  $U_t \times S'$  such that  $E_t$  is isomorphic to the restriction on  $t \times S$  of the direct image of  $L$ , where  $S'$  is a covering space of degree  $r$  of  $S$  uniquely determined by  $r$ .

*Proof.* We prove the lemma under the assumption that  $S$  is of type I i),  $r = 2$ . The proofs in other cases are similar. Since the problem is local, we may assume  $T$  affine. Let  $K$  be the canonical bundle of  $S$ . We denote by  $K$  the pull back  $p_1^*K$  over  $S \times T$ . Then  $A \times T = \text{Spec}(O_{A \times T} \oplus K)$ . Let  $F$  be a coherent sheaf on  $A \times T$ . Then  $p_{2*}F \simeq \overline{H^0(A \times T, F)}$  since  $T$  is affine. On the other hand, let  $f: A \times T \rightarrow S \times T$  be the covering map. We have

$$\begin{aligned} H^0(A \times T, f^* \text{End } E) &= H^0(S \times T, f_* f^* \text{End } E) \\ &= \bigoplus_{i=0}^1 H^0(S \times T, \text{Hom}(E, E \otimes K^{\otimes i})). \end{aligned}$$

Since  $\dim_{\mathbb{C}} H^0(S, \text{Hom}(E_{t'}, E_{t'} \otimes K^{\otimes i})) = 1$  for any point  $t' \in T$ ,  $p_{2*} \text{Hom}(E, E \otimes K^{\otimes i})$  is locally free and of rank 1. Hence if we take a sufficiently small open neighbourhood  $U_t$  of  $t$ ,  $p_{2*} \text{Hom}(E, E \otimes K^{\otimes i}) \simeq O_{U_t}$ . We may assume  $T = U_t$ . By the flat base change theorem,

$$p_{2*} \text{Hom}(E, E \otimes K^{\otimes i}) \otimes k(t) \simeq \text{Hom}(E_t, E_t \otimes K^{\otimes i}) \simeq \mathbb{C}.$$

Hence there exists an element  $g$  of  $\text{Hom}(E, E \otimes K)$  such that  $g$  induces an isomorphism on the fibre of  $t$ . Hence there exists an open neighbourhood  $U_t$  of  $t$  such that  $g$  induces a non-zero homomorphism  $g_{t'} \in \text{Hom}(E_{t'}, E_{t'} \otimes K)$  for any  $t' \in U_t$ . Since  $E_{t'}$  and  $E_{t'} \otimes K$  are  $H$ -stable and have the same numerical Chern classes,  $g_{t'}$  is an isomorphism by Takemoto [12]. We may assume  $T = U_t$ . If we compose  $g$  itself, we get  $E \rightarrow E \otimes K \rightarrow E \otimes K^{\otimes 2} = E$ .  $g^2$  is an isomorphism. Since  $H^0(S \times T, \underbrace{E \otimes K \rightarrow E \otimes K^{\otimes 2}}_{g^2})$

$\text{Hom}(E, E) \simeq H^0(T, O_T)$ ,  $g^2$  is nothing but the multiplication by an element  $f \in H^0(T, O_T^*)$ . If we take an étale neighbourhood  $U_t$  of  $t$  such that  $\sqrt{f}$  is a regular function,  $H^0(A \times U_t, \text{End } t^*E) \simeq O_{U_t}[X]/X^2 - 1$ . This shows  $f^*E \simeq L_1 \oplus L_2$ . If we put  $L = L_1$ , then  $L$  satisfies the condition. q.e.d.

*Proof of Theorem (2.16).* We give the proof under the assumption that  $S$  is of type I i),  $r = 2$ . By the Krull-Remack theorem and Lemma (2.6), the restriction  $L_{A'}$  of  $L$  on the fibre  $A \times t'$ ,  $t' \in U_t$  is algebraically equivalent to 0. Hence there exists a morphism  $\psi_{U_t}: U_t \rightarrow \hat{A}$  such that  $L_{A'}$  is isomorphic to the line bundle corresponding to  $\psi_{U_t}(t')$  for any  $t' \in U_t$ .  $\psi_{U_t}$  does depend on the choice the étale neighbourhood  $U_t$  and  $L$ . But the composition of the natural projection with  $\psi_{U_t}: U_t \rightarrow \hat{A} \rightarrow \hat{A}/(\bar{g}_t^*)$  is independent of the choice of  $U_t$  and  $L$ . Hence we get a morphism  $T \rightarrow F_2(S)$  satisfying the condition of the theorem. The proofs in other cases are similar hence we omit them.

**THEOREM (2.18).** *If  $r \geq 2$  and  $r \mid d(S)$ ,  $F_r(S)$  gives local moduli for  $S$  i.e. the map  $S \rightarrow F_r(S)$  locally separates the points of the local moduli space for  $S$ . If  $S$  is neither of type I i) nor I ii),  $F_1(S)$  gives local moduli for  $S$ .*

*Proof.* Assume that  $S$  is of type I i),  $r = 2$ . By Suwa [11], the hyperelliptic surfaces of the type I i) are completely and effectively parametrized by  $\tau$  and  $\omega$ . Let  $S, S'$  be hyperelliptic surfaces of type I i). Suppose that  $F_2(S)$  and  $F_2(S')$  are isomorphic. By Theorem (2.15),  $F_2(S) \simeq C/(1, \tau) \times P^1 - 4$  points determined by  $\omega$ ,  $F_2(S') \simeq C/(1, \tau') \times P^1 - 4$  points determined by  $\omega'$ . Let  $\varphi: F_2(S) \rightarrow F_2(S')$  be an isomorphism. Let  $x \in P^1 - 4$  points determined by  $\omega$ . Then the fibre  $C/(1, \tau) \times x$  should be mapped onto a fibre by  $\varphi$  since  $P^1 - 4$  points is not complete. Hence we get a

morphism  $\varphi': P^1 - 4$  points determined by  $\omega \rightarrow P^2 - 4$  points determined by  $\omega'$  which makes the following diagram commutative,

$$\begin{array}{ccc} F_2(S) & \xrightarrow{\varphi} & F_2(S') \\ \downarrow p & & \downarrow p' \\ P - 4 \text{ points determined by } \omega & \xrightarrow{\varphi'} & P_1 - 4 \text{ points determined by } \omega' \end{array}$$

where  $p, p'$  are the projections. It follows that  $C/(1, \tau) \simeq C/(1, \tau')$ ,  $C/(1, \omega) \simeq C/(1, \omega')$ , since the ramified covering  $C/(1, \omega)$  (resp.  $C/(1, \omega')$ ) is determined by 4 points where it ramifies. Hence  $S \mapsto F_2(S)$  gives local moduli.

Assume now that  $S$  is of type I ii),  $r = 2$ . Let  $S$  and  $S'$  be hyperelliptic surface of type I ii). By Theorem (2.15)  $F_2(S)$  (resp.  $F_2(S')$ ) is isomorphic to an elliptic surface over  $\Delta$  (resp.  $\Delta'$ ) which is  $P^1 - 2$  points. The elliptic surface  $F_2(S)$  has 2 singular fibres. Let  $\varphi$  be an isomorphism of elliptic surfaces  $F_2(S)$  and  $F_2(S')$ . Then as in the Case I ii),  $\varphi$  preserves the fibration hence it maps the singular fibres to the singular fibres. It follows that  $\varphi$  induces an isomorphism of  $P^1 - 4$  points determined by  $\omega$  and  $P^1 - 4$  points determined by  $\omega'$ . Therefore  $C/(1, \tau) \simeq C/(1, \tau')$  and  $C/(1, \omega) \simeq C/(1, \omega')$ . Hence  $F_2(S)$  gives local moduli for  $S$ .

The proof in other cases is similar and really is simpler since those surfaces are parametrized by one parameter  $\tau$ .

*Remark (2.19).* If  $S$  is of type I i) or of I ii).  $F_1(S)$  does not give local moduli.  $F_1(S)$  is essentially the Picard scheme of  $S$ . Hence  $F_r(S)$ ,  $r \geq 2$  that can be regarded as a generalization the Picard scheme gives the finer result than the Picard scheme.

**COROLLARY (2.20).** *Any  $H$ -semi-stable vector bundle of rank 2 with numerically trivial Chern classes over  $S$  which is not  $H$ -stable can not be a limit of  $H$ -stable vector bundles of rank 2 with numerically trivial Chern classes if  $2 \neq d(S)$  (See Definition (2.21)). There exists for any hyperelliptic surface  $S$  an  $H$ -semi-stable vector bundles of rank  $r$  over  $S$  which can not be a limit of  $H$ -stable vector bundles if  $r \geq 2$ .*

Before we give proof we need

**DEFINITION (2.21).** Let  $E$  be an  $H$ -semi-stable with numerically trivial Chern classes. We say that  $E$  is a limit of  $H$ -stable vector bundles with numerically trivial Chern classes if there exist an irreducible variety



$T$ , a locally free sheaf  $F$  over  $S \times T$  and two points  $t_0, t_1 \in T$  such that  $F_{t_0} \simeq E$  and  $F_{t_1}$  is  $H$ -stable. We remark, then  $F_t$  is  $H$ -stable on an open dense set  $T$  since  $H$ -stability is an open condition (see Maruyama [4]).

LEMMA (2.22). *Let  $S$  denote a hyperelliptic surface as always. Let  $E$  be an  $H$ -semi-stable vector bundle of rank 2 with numerically trivial Chern classes. Then the restriction  $E|_C$  of  $E$  on any non-singular curve  $C$  on  $S$  is semi-stable.*

*Proof.* Let  $A$  be the abelian variety in Theorem (2.1.3). We use the notation of Definition (2.21). First of all we remark that  $\pi^*E$  is homogeneous i.e.  $\pi^*E \simeq T_x^* \pi^*E$  for any  $x \in A$ . In fact, if  $E$  is  $H$ -stable,  $\pi^*E$  is the direct sum of line bundles which are algebraically equivalent to 0 hence homogeneous. If  $E$  is not  $H$ -stable but  $H$ -semi-stable, then  $\pi^*E$  is not  $H$ -stable but  $H$ -semi-stable. It follows from Proposition (5.2) Takemoto [12] that  $\pi^*E$  is homogeneous. Hence we conclude easily from Morimoto [6] that  $\pi^*E|_{C'}$  is semi-stable for any non-singular curve  $C'$  on  $A$ . If we consider the fibre product  $\tilde{C} = C \times_S A$ .  $\tilde{C}$  is an unramified covering of degree  $d(S)$  of  $C$ . Since  $\pi^*E|_{C'}$  is semi-stable,  $E|_C$  is semi-stable. q.e.d.

*Proof of Corollary (2.20).* Assume  $r \nmid d(S)$ , then there is no  $H$ -stable vector bundle of rank  $r$  with numerically trivial Chern classes over  $S$ . No semi-stable vector bundle can be a limit of  $H$ -stable vector bundles of rank  $r$  with numerically trivial Chern classes over  $S$ . There does exist an  $H$ -semi-stable vector bundle of rank  $r$  with numerically trivial Chern classes over  $S$ , for example  $\overbrace{O_S \oplus \dots \oplus O_S}^r$ . Now we assume  $r \mid d(S)$  and  $r \neq d(S)$ . Consider the  $H$ -semi-stable  $E = \overbrace{O_S \oplus \dots \oplus O_S}^r$ . We show that  $E$  is not a limit of  $H$ -stable bundles of rank  $r$ . Assume that  $E$  is a limit of  $H$ -stable vector bundles of rank  $r$  with numerically trivial Chern classes, we shall show this leads to a contradiction. We use the same notation as in Definition (2.21). If we take a generic hyperplane section  $C$  of  $S$ , then, by the Lefschetz theorem, we get a family of semi-stable vector bundles  $F_{t|C}$ ,  $t \in U$  over  $C$  where,  $U$  is an open subset of  $T$  containing the point  $t_0$ , since the stability is an open condition. Moreover we assume, by Theorem (2.12), that if  $F_t$  is  $H$ -stable, then  $F_{t|C}$  is stable. Let  $M(C, r)$  denote the moduli space of the semi-stables vector bundles

of rank  $r$  and of degree 0 over  $C$ . Then we get a morphism  $\varphi: U \rightarrow M(C, r)$  such that  $F_t \simeq$  the vector bundle corresponding to  $\varphi(t) \in M(C, r)$ .  $C$  is so determined that  $F_t$  is  $H$ -stable if and only if  $\varphi(t)$  is a stable point of  $M(C, r)$ . As in the proof of (2.16), we get a morphism  $\psi: F_r(S) \rightarrow M(C, r)$  such that the restriction to  $C$  of the vector bundle corresponding to a point  $u \in F_r(S)$  is isomorphic to the vector bundle corresponding to the point  $\psi(u) \in M(C, r)$ . We may assume  $\psi(u)$  is stable for any  $u \in F_r(S)$ . Under our hypothesis  $r \mid d(S)$ ,  $r \neq d(S)$ ,  $F_r(S)$  is complete by Theorem (2.15). Hence  $\psi(F_r(S))$  is a closed subset of  $M(C, r)$ . If we denote by  $U^s$  the subset of the points  $t$  of  $U$  such that  $F_t$  is  $H$ -stable, then  $\varphi(U^s) \subset \psi(F_r(S))$ . Hence  $\varphi(U) \subset \varphi(U^s) \subset \psi(F_r(S))$ . It follows that  $E$  is  $H$ -stable. This is a contradiction. It should be remarked that we use only the hypothesis that the restriction  $E|_C$  is semi-stable.

Assume now  $r = d(S)$ . We must show that there exist an  $H$ -semi-stable vector bundle of rank  $r$  with numerically trivial Chern classes which is not limit of  $H$ -stable vector bundles. We show that the vector bundle  $E = \overbrace{O_S \oplus \cdots \oplus O_S}^r$  can not be a limit of  $H$ -stable vector bundles. Assume that  $E$  were a limit of  $H$ -stable vector bundles parameterized by  $T$  as in Definition (2.21). Take a generic hyperplane section  $C$  as above. We would get a morphism  $\varphi: T \rightarrow M(C, R)$ . On the other hand, under our hypothesis an  $H$ -stable vector bundle  $E'$  of rank  $r$ , with trivial Chern classes is a direct image of a line bundle over  $A$  which is algebraically equivalent to 0. Hence we have a family of  $H$ -semi-stable vector bundle over  $S$  parametrized by  $\hat{A}$  and this parametrization contains all the  $H$ -stable vector bundles of rank  $r$  with numerically trivial Chern classes (cf. proof of Theorem 2.15). We get a morphism  $\psi: \hat{A} \rightarrow M(C, r)$ . It would follow as above,  $\varphi(T) \subset \varphi(T^s) \subset \psi(\hat{A})$ . Hence there would be a line bundle  $L$  over  $A$  such that  $L$  is algebraically equivalent to 0 and such that  $E|_C$  and the restriction to  $C$  the direct image  $\pi_*L$  over  $S$  correspond to the same point of the moduli space  $M(C, r)$ . Hence  $\pi_*L|_C$  would have a filtration  $0 = G_0 \subset G_1 \cdots \subset G_r = \pi_*L|_C$  where  $G_i$  is a sub-vector bundle of rank  $i$  of  $G_{i+1}$  with  $G_{i+1}/G_i \simeq O_C$ . This is impossible.

Let  $E$  be an  $H$ -semi-stable vector bundle of rank 2 with numerically trivial Chern classes. We assume that  $E$  is not  $H$ -stable. By Lemma (2.22) the restriction  $E|_C$  is semi-stable. By the remark above,  $E$  is not a limit if  $H$ -stable vector bundles. q.e.d.

### § 3. Final remarks

In view of § 1, it seems interesting to study the irreducible unitary representations of the fundamental group of an algebraic variety. But it very often happens that there is no irreducible unitary representation of degree  $\geq 2$  of the fundamental group. Concerning this, we ask

**PROBLEM 1.** Under what hypothesis is the condition that a vector bundle is defined by an irreducible unitary representation open?

We ask

**PROBLEM 1'.** If  $H^i(\tilde{V}, Q) = 0$  for  $i \geq 1$ , then is the condition above open? Here  $\tilde{V}$  is the universal covering space of the variety.

Over a variety  $V$  such that the condition above is open, the theory of irreducible unitary representation of the fundamental group seems interesting if it is not empty (see Proposition (1.3)).

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*Nagoya University*