

Classification Problem of Holomorphic Isometries of the Unit Disk Into Polydisks

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ABSTRACT. We study the classification problem of holomorphic isometric embeddings of the unit disk into polydisks as in [Ng10; Ch16a]. We give a complete classification of all such holomorphic isometries when the target is the 4-disk Δ^4 . Moreover, we classify those holomorphic isometric embeddings with certain prescribed sheeting numbers. In addition, we prove that a known example in the space $\mathbf{HI}_k(\Delta, \Delta^{qk}; q)$ is globally rigid for any integers $k, q \geq 2$, which generalizes Theorem 1.1 in [Ch16a].

1. Introduction

In 2011, Mok [Mok11, pp. 262–263] raised a question about the structure of the space $\mathbf{HI}_k(\Delta, \Delta^p)$ of holomorphic isometric embeddings from $(\Delta, k ds_\Delta^2)$ to $(\Delta^p, ds_{\Delta^p}^2)$, where ds_Δ^2 (resp. $ds_{\Delta^p}^2$) denotes the Bergman metric on the open unit disk Δ in \mathbb{C} (resp. the open unit polydisk Δ^p in \mathbb{C}^p), and $k > 0$ is a real constant. More precisely, Mok [Mok11] asked whether all holomorphic isometries from $(\Delta, k ds_\Delta^2)$ to $(\Delta^p, ds_{\Delta^p}^2)$ are parameterized by the q th root embeddings for $q \leq p$, the diagonal embeddings, and automorphisms of Δ and Δ^p . This is precisely Problem 5.1.2 in [Mok11, pp. 262–263], which we call the classification problem of holomorphic isometric embeddings of the unit disk into polydisks (or simply the classification problem). Note that such a real constant k is indeed a positive integer satisfying $1 \leq k \leq p$ by [Ng10, p. 2909]. Ng [Ng10] has provided a complete description of $\mathbf{HI}_k(\Delta, \Delta^p)$ for $p = 2, 3$ and solved the classification problem affirmatively for the space $\mathbf{HI}(\Delta, \Delta^p)$ when $p = 2$ or 3 . Given any $f \in \mathbf{HI}_k(\Delta, \Delta^p)$, we call a map given by $F = \Psi \circ f \circ \psi$ a reparameterization of f , where Ψ, ψ are some automorphisms of Δ^p, Δ , respectively. In the case where $k = p$, Ng [Ng08; Ng10] showed that any $f \in \mathbf{HI}_p(\Delta, \Delta^p)$ is given by $f(z) = (z, \dots, z)$ up to reparameterizations. The general case where $f \in \mathbf{HI}(\Delta, \Delta^p)$ for some $p \geq 4$ remains unknown. Recently, the author [Ch16a] has proven that any $f \in \mathbf{HI}_1(\Delta, \Delta^p; p)$ is the p th root embedding up to reparameterizations, where $p \geq 2$ is an integer. In particular, the 4th root embedding in $\mathbf{HI}_1(\Delta, \Delta^4; 4)$ is globally rigid in the sense of [Mok11, p. 261] (cf. [Ch16a]). One of the main objectives of this paper is to provide a complete description of $\mathbf{HI}_k(\Delta, \Delta^4)$ so that the classification problem of all holomorphic isometric embeddings from $(\Delta, k ds_\Delta^2)$ to $(\Delta^4, ds_{\Delta^4}^2)$ will be solved as follows:

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THEOREM 1.1. *Let $f \in \mathbf{HI}_k(\Delta, \Delta^4)$ be a holomorphic isometric embedding such that all component functions of f are nonconstant.*

- (1) *If $k = 1$, then f is one of the following up to reparameterizations:*
 - (a) *the 4th root embedding $F_4 : \Delta \rightarrow \Delta^4$,*
 - (b) *$(\alpha_1, \alpha_2 \circ \beta_1, \alpha_3 \circ (\beta_2 \circ \beta_1), \beta_3 \circ (\beta_2 \circ \beta_1))$, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2, 3$,*
 - (c) *$(\alpha_1, h^2 \circ \alpha_2, h^3 \circ \alpha_2, h^4 \circ \alpha_2)$, where $(\alpha_1, \alpha_2) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ and $(h^2, h^3, h^4) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$,*
 - (d) *$(\beta_1, \alpha_1 \circ \beta_2, \alpha_2 \circ \beta_2, \beta_3)$, where $(\beta_1, \beta_2, \beta_3) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$ and $(\alpha_1, \alpha_2) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$,*
 - (e) *$(\alpha_1 \circ \alpha_2, \beta_1 \circ \alpha_2, \alpha_3 \circ \beta_2, \beta_3 \circ \beta_2)$, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2, 3$.*
- (2) *If $k = 2$, then $f(z)$ is one of the following up to reparameterizations:*
 - (a) *$(\alpha_1(z), \beta_1(z), \alpha_2(z), \beta_2(z))$, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2$.*
 - (b) *$(z, \alpha_1(z), (\alpha_2 \circ \beta_1)(z), (\beta_2 \circ \beta_1)(z))$, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2$.*
 - (c) *$(z, \alpha_1(z), \alpha_2(z), \alpha_3(z))$, where $(\alpha_1, \alpha_2, \alpha_3) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$.*
- (3) *If $k = 3$, then $f(z) = (z, z, \alpha(z), \beta(z))$ up to reparameterizations, where $(\alpha, \beta) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$.*
- (4) *If $k = 4$, then $f(z) = (z, z, z, z)$ is the diagonal embedding up to reparameterizations.*

REMARK. In fact, this theorem says that all holomorphic isometric embeddings $f : (\Delta, k ds_\Delta^2) \rightarrow (\Delta^4, ds_{\Delta^4}^2)$ with the isometric constant k are parameterized by the diagonal embeddings, automorphisms of Δ (resp. Δ^4), and the p th root embeddings up to reparameterizations for $2 \leq p \leq 4$.

Moreover, we will show that it is possible to provide a complete description of all holomorphic isometric embeddings with certain prescribed sheeting numbers. In addition, we prove that a known example in the space $\mathbf{HI}_k(\Delta, \Delta^{qk}; q)$ is globally rigid for any integers $k, q \geq 2$, which generalizes Theorem 1.1 in [Ch16a].

1.1. Preliminary

Let $\Delta \subset \mathbb{C}$ be the open unit disk with the Poincaré metric $ds_\Delta^2 = 2 \operatorname{Re}(g dz \otimes d\bar{z})$, where $g = -2 \frac{\partial^2}{\partial z \partial \bar{z}} \log(1 - |z|^2)$. For any integer $p \geq 2$, let $\Delta^p = \{(z_1, \dots, z_p) \in \mathbb{C}^p \mid |z_j| < 1, 1 \leq j \leq p\}$ be the polydisk, which is viewed as p copies of Δ . Moreover, Δ^p is equipped with the Kähler metric $ds_{\Delta^p}^2$, which is the product metric induced from the Poincaré metric ds_Δ^2 . More precisely, we take the real analytic function $-2 \sum_{j=1}^p \log(1 - |z_j|^2)$ as a Kähler potential for $ds_{\Delta^p}^2$ (see [Ng10, p. 2908]). Let $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$ be the Riemann sphere.

Let $f : (\Delta, k ds_\Delta^2) \rightarrow (\Delta^p, ds_{\Delta^p}^2)$ be a holomorphic isometric embedding with the isometric constant k and the global sheeting number n (see [Ng10, pp. 2908–2909]). In this paper, all holomorphic isometric embeddings

$$f = (f^1, \dots, f^p) : (\Delta, k ds_\Delta^2) \rightarrow (\Delta^p, ds_{\Delta^p}^2)$$

are assumed to be *genuine*, i.e., all component functions of f are nonconstant, as mentioned in [Ng08, p. 7]. We may always assume that $f(0) = \mathbf{0}$ after composing with some $\Psi \in \text{Aut}(\Delta^p)$. In [Ng10], we have the functional equation

$$\prod_{\mu=1}^p (1 - |f^\mu(z)|^2) = (1 - |z|^2)^k \quad \forall z \in \Delta$$

and the polarized functional equation

$$\prod_{\mu=1}^p (1 - f^\mu(z) \overline{f^\mu(w)}) = (1 - z \overline{w})^k \quad \forall z, w \in \Delta.$$

Let $V \subset \mathbb{P}^1 \times (\mathbb{P}^1)^p$ be the irreducible projective-algebraic curve such that $\text{Graph}(f) \subset V$ as obtained in [Ng10, Proposition 4.2]. From [Ng10, p. 2911], $V_j := P_j(V)$ is a projective-algebraic curve containing the graph of f^j , where $P_j : V \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$ is defined by $P_j(z, w_1, \dots, w_p) = (z, w_j)$, $1 \leq j \leq p$. Let $\pi : V \rightarrow \mathbb{P}^1$ be the finite branched covering given by $\pi(z, w_1, \dots, w_p) = z$, and $\pi_j : V_j \rightarrow \mathbb{P}^1$ be defined by $\pi_j(z, w_j) = z$, $1 \leq j \leq p$. Recall that f has the global sheeting number equal to n or, equivalently, π is an n -sheeted branched covering. In addition, the sheeting number s_j of a component function f^j of f is defined so that $\pi_j : V_j \rightarrow \mathbb{P}^1$ is an s_j -sheeted branched covering, $j = 1, \dots, p$. Moreover, Ng [Ng10, p. 2913] has shown that there is a rational function $R_j : \mathbb{P}^1 \rightarrow \mathbb{P}^1$ such that $R_j(f^j(z)) = z$ for $z \in \Delta$ and $R_j(\frac{1}{\overline{w}}) = 1/\overline{R_j(w)}$, so that $R_j(\partial\Delta) \subset \partial\Delta$ for $1 \leq j \leq p$, which is indeed obtained from the s_j -sheeted branched covering π_j such that R_j is of degree s_j . We refer the readers to [Ng10, pp. 2910–2913] for details.

Given any bounded symmetric domains $D \Subset \mathbb{C}^n$ and $\Omega \Subset \mathbb{C}^N$, Mok [Mok11] has introduced the space $\mathbf{HI}(D, \Omega)$ of all holomorphic isometries from $(D, \lambda ds_D^2)$ to (Ω, ds_Ω^2) for some real constant $\lambda > 0$, where ds_D^2 and ds_Ω^2 denote the Bergman metrics of D and Ω , respectively. In particular, in the case where $D = \Delta$ and $\Omega = \Delta^p$, we also have the spaces $\mathbf{HI}_k(\Delta, \Delta^p)$, $\mathbf{HI}_k(\Delta, \Delta^p; n)$, and $\mathbf{HI}_k(\Delta, \Delta^p; n; s_1, \dots, s_p)$ so as to specify the isometric constant k , the sheeting number s_j of each component function of the isometries, $1 \leq j \leq p$, and the global sheeting number n (see [Mok11, p. 263]).

Let V' be a smooth irreducible algebraic curve, and Y be a compact Riemann surface. If $\pi' : V' \rightarrow Y$ is a finite branched covering, then, for each point $y \in Y$, denote by $v(\pi', x)$ the ramification index of π' at x and by $b(\pi', y)$ the branching order of π' at y in the sense of [GH78, p. 217], where $x \in \pi'^{-1}(y)$. From [Ng08; Ng10; Ch16a], for $f \in \mathbf{HI}_1(\Delta, \Delta^p; n; s_1, \dots, s_p)$, we denote all branches of f^j

over Δ by f_l^j , all branches of f^j over $\mathcal{O} := \mathbb{P}^1 \setminus \overline{\Delta}$ by $f_{l,-}^j$, $1 \leq l \leq s_j$, and $f_1^j := f^j$, $1 \leq j \leq p$.

Let $\mathcal{H} := \{\tau \in \mathbb{C} \mid \text{Im } \tau > 0\}$ be the the upper half-plane, and $\mathcal{H}^p := \{(\tau_1, \dots, \tau_p) \in \mathbb{C}^p \mid \text{Im } \tau_j > 0, 1 \leq j \leq p\}$ for $p \geq 1$. Denote by $ds_{\mathcal{H}}^2$ the Poincaré metric on \mathcal{H} , so that $(\mathcal{H}, ds_{\mathcal{H}}^2)$ is of constant Gaussian curvature -1 , i.e., $ds_{\mathcal{H}}^2 = 2 \text{Re}(d\tau \otimes d\bar{\tau} / (2(\text{Im } \tau)^2))$. Moreover, \mathcal{H}^p is equipped with the Kähler metric $ds_{\mathcal{H}^p}^2$, which is the product metric induced from the Poincaré metric $ds_{\mathcal{H}}^2$. Mok [Mok12] has defined a map $\rho_p : \mathcal{H} \rightarrow \mathcal{H}^p$ ($p \geq 2$) by $\rho_p(\tau) = (\tau^{1/p}, \gamma\tau^{1/p}, \dots, \gamma^{p-1}\tau^{1/p})$, where $\gamma := e^{i\pi/p}$ and $\tau^{1/p} = r^{1/p}e^{i\theta/p}$ for $\tau = re^{i\theta}$, $0 < \theta < \pi$. From [Mok12], the map $\rho_p : (\mathcal{H}, ds_{\mathcal{H}}^2) \rightarrow (\mathcal{H}^p, ds_{\mathcal{H}^p}^2)$ is a nonstandard (i.e., not totally geodesic) holomorphic isometric embedding. Then, the p th root embedding $F_p : (\Delta, ds_{\Delta}^2) \rightarrow (\Delta^p, ds_{\Delta^p}^2)$ can be defined from ρ_p via the Cayley transform $\iota : \mathcal{H} \rightarrow \Delta$, $\tau \mapsto \frac{\tau-i}{\tau+i}$, and target automorphisms (see [Ch16a]). When $p = 2$ (resp. $p = 3$), F_p is called the square-root embedding (resp. cube-root embedding).

We denote by Σ_p the symmetric group on p elements. Moreover, we say that two holomorphic maps $G_1, G_2 : D \rightarrow \Omega$ between bounded symmetric domains D and Ω are congruent to each other if $G_1 = \phi \circ G_2 \circ \psi$ for some $\phi \in \text{Aut}(\Omega)$ and $\psi \in \text{Aut}(D)$.

2. General Properties of Holomorphic Isometries in $\mathbf{HI}_k(\Delta, \Delta^p)$

2.1. Special Branching Behavior of Certain Holomorphic Isometries in $\mathbf{HI}_k(\Delta, \Delta^p)$

For holomorphic isometric embeddings $f \in \mathbf{HI}_k(\Delta, \Delta^p)$ with certain branching behaviour, we will prove that the classification problem of such isometries can be reduced to that of holomorphic isometric embeddings in $\mathbf{HI}_k(\Delta, \Delta^{p-1})$.

LEMMA 2.1. *Let $g : \Delta \rightarrow \Delta$ be a component function of a holomorphic isometric embedding $f = (f^1, \dots, f^p) \in \mathbf{HI}_k(\Delta, \Delta^p)$ satisfying $f(0) = \mathbf{0}$. Suppose that there is $\varphi \in \text{Aut}(\mathbb{P}^1)$ such that $\varphi \circ g$ is also a component function of f , where $\varphi(z) := \frac{az+b}{cz+d}$ with $\begin{pmatrix} a & b \\ c & d \end{pmatrix} := \begin{pmatrix} u_3 & 0 \\ -\det \mathbf{U} & u_1 \end{pmatrix}$ for some unitary matrix $\mathbf{U} = \begin{pmatrix} u_1 & u_2 \\ u_3 & u_4 \end{pmatrix}$ satisfying $u_1, u_3 \in \mathbb{C} \setminus \{0\}$. Then, we have*

$$(1 - |g(z)|^2)(1 - |\varphi(g(z))|^2) = 1 - |h(z)|^2,$$

where $h : \Delta \rightarrow \mathbb{C}$ is a holomorphic function defined by

$$h(z) := \frac{g(z) - u_4(g(z))^2}{u_1 - (\det \mathbf{U})g(z)}.$$

Proof. We may assume without loss of generality that $g = f^1$ and $\varphi \circ g = f^2$. Then, $R_1(f^1(z)) = z = R_2(f^2(z)) = R_2(\varphi(f^1(z)))$ so that R_1 and $R_2 \circ \varphi$ are meromorphic functions on \mathbb{P}^1 satisfying $R_1|_{U'} = (R_2 \circ \varphi)|_{U'}$, where U' is the image of f^1 in \mathbb{P}^1 , which is an open subset by the Open Mapping Theorem for

holomorphic functions. In particular, $R_1 = R_2 \circ \varphi$ by the Identity Theorem. We compute

$$\begin{aligned} & u_1 h(z) + u_2 f^1(z)(\varphi \circ f_1)(z) \\ &= \frac{u_1 f^1(z) - u_1 u_4 (f^1(z))^2}{u_1 - (\det \mathbf{U}) f^1(z)} + u_2 \frac{u_3 (f^1(z))^2}{u_1 - (\det \mathbf{U}) f^1(z)} \\ &= f^1(z) \end{aligned}$$

and

$$\begin{aligned} & u_3 h(z) + u_4 f^1(z)(\varphi \circ f_1)(z) \\ &= \frac{u_3 f^1(z) - u_3 u_4 (f^1(z))^2}{u_1 - (\det \mathbf{U}) f^1(z)} + u_4 \frac{u_3 (f^1(z))^2}{u_1 - (\det \mathbf{U}) f^1(z)} \\ &= \frac{u_3 f^1(z)}{u_1 - (\det \mathbf{U}) f^1(z)} = \varphi(f^1(z)). \end{aligned}$$

Thus, we have

$$\begin{pmatrix} f^1(z) \\ \varphi(f^1(z)) \end{pmatrix} = \mathbf{U} \cdot \begin{pmatrix} h(z) \\ f^1(z)\varphi(f^1(z)) \end{pmatrix}.$$

Actually, we also need to show that $f^1(z) \neq u_1/\det \mathbf{U}$ for $z \in \overline{\Delta}$ so as to ensure that h is holomorphic. Suppose that $f^1(z_0) = u_1/\det \mathbf{U}$ for some $z_0 \in \overline{\Delta}$. Then, $\varphi(f^1(z_0)) = \infty$. This would imply that $\infty = R_2(\infty) = R_2(\varphi(f^1(z_0))) = R_1(f^1(z_0)) = z_0$ by [Ng10, p. 2913] and the fact that $R_2 \circ \varphi = R_1$, which is a contradiction. Thus, $f^1(z) \neq u_1/\det \mathbf{U}$ for $z \in \overline{\Delta}$ so that the function h is holomorphic on Δ and continuous on $\overline{\Delta}$, i.e., the extension $\tilde{h} : \overline{\Delta} \rightarrow \overline{\Delta}$ of h is continuous. Now, we have

$$|f^1(z)|^2 + |\varphi(f^1(z))|^2 = |h(z)|^2 + |f^1(z)\varphi(f^1(z))|^2$$

for $z \in \Delta$ because \mathbf{U} is an unitary matrix and thus \mathbf{U} preserves the Euclidean norm of holomorphic mappings. The result follows. \square

THEOREM 2.2. *Let $f = (f^1, \dots, f^p) \in \mathbf{HI}_k(\Delta, \Delta^p; n; s_1, \dots, s_p)$ with $f(0) = \mathbf{0}$, where $p \geq 4$ is an integer. Suppose that there is a point $z_0 \in \partial\Delta$ such that $v(R_{\sigma(j)}, f^{\sigma(j)}(z_0)) \geq 2$ ($j = p - 1, p$) and $v(R_{\sigma(\mu)}, f^{\sigma(\mu)}(z_0)) = 1$ ($\mu = 1, \dots, p - 2$) for some $\sigma \in \Sigma_p$. Then, $s_{\sigma(p-1)} = s_{\sigma(p)}$ is an even integer and there exists $\psi \in \text{Aut}(\mathbb{P}^1)$ with $\psi(0) = 0$ such that $\psi \circ f_1^{\sigma(p-1)} = f_1^{\sigma(p)}$ so that $R_{\sigma(p)} \circ \psi = R_{\sigma(p-1)}$ and ψ is of the form $\psi(z) = u_3 z / (-\det \mathbf{U} z + u_1)$ for some unitary matrix $\mathbf{U} = \begin{pmatrix} u_1 & u_2 \\ u_3 & u_4 \end{pmatrix}$ satisfying $u_1, u_3 \in \mathbb{C} \setminus \{0\}$. In particular, we have*

$$(1 - |f^{\sigma(p-1)}(z)|^2)(1 - |f^{\sigma(p)}(z)|^2) = 1 - |h(z)|^2$$

for some holomorphic function h on Δ and thus

$$(f^{\sigma(1)}, \dots, f^{\sigma(p-2)}, h) : (\Delta, k ds_{\Delta}^2) \rightarrow (\Delta^{p-1}, ds_{\Delta^{p-1}}^2)$$

is a holomorphic isometric embedding.

REMARK. The assumption made in the theorem may be replaced by the existence of a certain branch of f which is of the form $(f_1^1, \dots, f_1^{p-2}, f_1^{p-1}, f_{l_p}^p)$ up to a permutation of the component functions of f , where $l_j \neq 1$ for $j = p - 1, p$. Denote by B_π the branching locus of the finite branched covering π as a subset of $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$. Then, the assumption may be replaced by that of the existence of a continuous path $\gamma : [0, 1] \rightarrow \mathbb{P}^1 \setminus B_\pi$ such that $\gamma(0) = \gamma(1) = 0$ and perform (multivalued) analytic continuation of $f = (f_1^1, \dots, f_1^p)$ along γ would come up with a branch of f which is of the form (g_1, \dots, g_p) , where $g_{\sigma(j)} := f_1^{\sigma(j)}$ for $1 \leq j \leq p - 2$ and $g_{\sigma(\mu)} := f_{l_{\sigma(\mu)}}^{\sigma(\mu)}$ with $l_{\sigma(\mu)} \neq 1$ for $\mu = p - 1, p$, and for some $\sigma \in \Sigma_p$.

Proof of Theorem 2.2. We may assume without loss of generality that $\sigma = \text{Id}$ is the identity permutation. Starting with the branch $f = (f_1^1, \dots, f_1^p)$ at 0, we perform (multivalued) analytic continuation along some simple closed loop around z_0 once to obtain $(f_1^1, \dots, f_1^{p-2}, f_2^{p-1}, f_2^p)$. (Noting that we may relabel the branches of each f^j so that we can obtain f_2^j by performing (multivalued) analytic continuation of f_1^j along some simple closed loop around z_0 once for $j = p - 1, p$.) By the polarized functional equation, we have

$$\left(1 - f_1^{p-1}(z) \overline{f_2^{p-1}(0)}\right) \left(1 - f_1^p(z) \overline{f_2^p(0)}\right) = 1$$

for $z \in \Delta$ so that $f_1^p(z) = \psi(f_1^{p-1}(z))$, where $\psi(w) := (1/\overline{f_2^p(0)})(w/(w - 1/\overline{f_2^{p-1}(0)}))$. Note that $f_2^j(0) \in \mathbb{C}^* := \mathbb{C} \setminus \{0\}$ for $j = p - 1, p$, thus $\psi \in \text{Aut}(\mathbb{P}^1)$ because

$$\det \begin{pmatrix} 1/\overline{f_2^p(0)} & 0 \\ 1 & -1/\overline{f_2^{p-1}(0)} \end{pmatrix} = -\frac{1}{\overline{f_2^p(0)} \overline{f_2^{p-1}(0)}} \neq 0.$$

In particular, $s_{p-1} = s_p$ and $R_p \circ \psi = R_{p-1}$. From the polarized functional equation, we also have

$$\left(1 - f_2^{p-1}(z) \overline{f_2^{p-1}(0)}\right) \left(1 - f_2^p(z) \overline{f_2^p(0)}\right) = 1$$

so that $\psi(\overline{f_2^{p-1}(z)}) = f_2^p(z)$ for $z \in \Delta$. Now, we have $f_2^p(0) = \psi(f_2^{p-1}(0)) = |f_2^{p-1}(0)|^2 / (\overline{f_2^p(0)} \cdot (|f_2^{p-1}(0)|^2 - 1))$ so that

$$\frac{1}{|f_2^p(0)|^2} + \frac{1}{|f_2^{p-1}(0)|^2} = 1.$$

Therefore, we have $|f_2^j(0)|^2 > 1$ for $j = p - 1, p$. Then, one can verify that $\psi(z) = u_3 z / (-\det \mathbf{U} z + u_1)$, where

$$\mathbf{U} = \begin{pmatrix} u_1 & u_2 \\ u_3 & u_4 \end{pmatrix} := \begin{pmatrix} -\lambda \overline{f_2^p(0)} & 1/\overline{f_2^p(0)} \\ \lambda f_2^{p-1}(0) & f_2^{p-1}(0) (1 - 1/|f_2^p(0)|^2) \end{pmatrix}$$

is a unitary matrix with $\lambda = \sqrt{(1 - 1/|f_2^p(0)|^2)(1/|f_2^p(0)|^2)}e^{i\theta_0}$ for some $\theta_0 \in [0, 2\pi)$. By Lemma 2.1, the holomorphic function h on Δ defined by

$$h(z) := \frac{f^{p-1}(z) - u_4(f^{p-1}(z))^2}{u_1 - (\det \mathbf{U})f^{p-1}(z)}$$

satisfies

$$(1 - |f^{p-1}(z)|^2)(1 - |f^p(z)|^2) = 1 - |h(z)|^2.$$

Then, $(f^1, \dots, f^{p-2}, h) : (\Delta, k ds_\Delta^2) \rightarrow (\Delta^{p-1}, ds_{\Delta^{p-1}}^2)$ is clearly a holomorphic isometric embedding. Hence, there is a rational function R_h such that $R_h(h(z)) = z$, and we have $2 \cdot \deg R_h = \deg R_{p-1} = s_{p-1} = s_p$ so that $s_p = s_{p-1}$ is an even integer. \square

2.2. Special Sheeting Numbers of Holomorphic Isometries

In the study of the structure of $\mathbf{HI}_1(\Delta, \Delta^p; n; s_1, \dots, s_p)$ in [Ng10], if $s_j = 2$ for some j , then the study of holomorphic isometries $f = (f^1, \dots, f^p) : (\Delta, ds_\Delta^2) \rightarrow (\Delta^p, ds_{\Delta^p}^2)$ can be reduced to the study of holomorphic isometries from (Δ, ds_Δ^2) to $(\Delta^{p-1}, ds_{\Delta^{p-1}}^2)$. For example, in the proof of Theorem 6.8 in [Ng10, pp. 2918–2919], Ng has reduced the study of certain $f \in \mathbf{HI}(\Delta, \Delta^p)$ to the understanding of the space $\mathbf{HI}(\Delta, \Delta^{p-1})$ and so on. For the study of the space $\mathbf{HI}_1(\Delta, \Delta^p; n; s_1, \dots, s_p)$, one may ask whether $s_j = q$ for some prime number $q \geq 3$ and some j could lead to a similar phenomenon as in the case of $s_j = 2$ for some j . We do not have any general method to handle such a problem. However, for some small prime number $q \geq 3$, it may be possible for us to use the method in [Ch16a] to deal with the problem. In this section, we will show that when $q = 3$, a similar phenomenon occurs as in the case where $s_j = 2$ for some j .

LEMMA 2.3. *Suppose that h is a component function of a holomorphic isometric embedding $f : (\Delta, k ds_\Delta^2) \rightarrow (\Delta^p, ds_{\Delta^p}^2)$ such that $\deg R_h = 3$, where $R_h : \mathbb{P}^1 \rightarrow \mathbb{P}^1$ is the rational function of degree 3 such that $R_h(h(z)) = z$, $R_h(\frac{1}{\bar{w}}) = 1/\overline{R_h(w)}$ and $R_h(\partial\Delta) \subset \partial\Delta$. Then, for any branch point $a \in \partial\Delta$ of R_h , we have $|w| = 1$ for all $w \in R_h^{-1}(a)$.*

Proof. We may assume without loss of generality that $f(0) = \mathbf{0}$. Let m be the number of distinct branch points of R_h , $\{a_1, \dots, a_m\} \subset \partial\Delta$ be the set of all distinct branch points of R_h and the branching order of R_h at a_j is denoted by b_j for $1 \leq j \leq m$. Since $\deg R_h = 3$, we have $\sum_{i=1}^m b_i = 4$ so that $2 \leq m \leq 4$. After reordering the branch points of h if necessary, we may assume without loss of generality that $b_1 \leq \dots \leq b_m$. Then, we have the following possibilities:

- (1) $m = 2$ and $(b_1, b_2) = (2, 2)$;
- (2) $m = 3$ and $(b_1, b_2, b_3) = (1, 1, 2)$;
- (3) $m = 4$ and $(b_1, b_2, b_3, b_4) = (1, 1, 1, 1)$.

If $b_i = 1$ for some i , then $|R_h^{-1}(a_i)| = 2$ and thus $R_h^{-1}(a_i) = \{w_1, w_2\}$ such that the ramification index of R_h at w_1 (resp. w_2) equals 1 (resp. 2) for some distinct

$w_1, w_2 \in \mathbb{P}^1$. We have either $|w_1| = |w_2| = 1$ or $w_1 = 1/\overline{w_2}$ by [Ng10, Corollary 4.7]. If $w_1 = 1/\overline{w_2}$, then the ramification order of R_h at w_1 would be the same as that of R_h at w_2 , which contradicts the assumption that $b_i = 1$. Thus, we have $|w_1| = |w_2| = 1$.

If $b_i = 2$, then clearly $|R_h^{-1}(a_i)| = 1$ and $w \in R_h^{-1}(a_i)$ would satisfy $|w| = 1$ because $(a_i, w) \in V_h$ if and only if $(a_i, \frac{1}{\overline{w}}) \in V_h$, where V_h is the projective-algebraic curve in $\mathbb{P}^1 \times \mathbb{P}^1$ containing the graph of h (cf. [Ng10, p. 2912]). Thus, we have verified that if h is a component function of a holomorphic isometric embedding from $(\Delta, k ds_\Delta^2)$ to $(\Delta^p, ds_{\Delta^p}^2)$ with $\deg R_h = 3$, then we have $|w| = 1$ for all $w \in R_h^{-1}(a_i)$ and for $i = 1, \dots, m$. On the other hand, we have shown that for an arbitrary branch h_i of h , we have $|h_i(a_i)| = 1$ for $i = 1, \dots, m$. \square

Note that Lemma 6.7 in [Ng10, p. 2917] asserts that if the sheeting number of some component function g of a holomorphic isometry from (Δ, ds_Δ^2) to $(\Delta^p, ds_{\Delta^p}^2)$ is equal to 2, then there exists a holomorphic function $h : \Delta \rightarrow \Delta$ such that $(g, h) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$. The following proposition provides a similar result in the case where two component functions of a holomorphic isometry from (Δ, ds_Δ^2) to $(\Delta^p, ds_{\Delta^p}^2)$ have the sheeting numbers equal to 3.

PROPOSITION 2.4. *Let $p \geq 3$ be an integer. If $h^1, h^2 : \Delta \rightarrow \Delta$ are two distinct component functions of a holomorphic isometric embedding $f = (f^1, \dots, f^p) : (\Delta, ds_\Delta^2) \rightarrow (\Delta^p, ds_{\Delta^p}^2)$ such that the sheeting numbers of h^2 and h^3 are equal to 3, then there is a holomorphic function $h^3 : \Delta \rightarrow \Delta$ such that $(h^1, h^2, h^3) : \Delta \rightarrow \Delta^3$ is the cube-root embedding up to reparametrizations, i.e., $(h^1, h^2, h^3) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$.*

Proof. We may assume without loss of generality that $f^1 = h^1, f^2 = h^2$ and $f(0) = \mathbf{0}$. Let $\{a_1, \dots, a_m\} \subset \partial\Delta$ be the set of all distinct branch points of f^1 . Suppose that $m \geq 3$. Then, there is a branch point $a = a_i \in \partial\Delta$ such that $b_i = 1$. Therefore, there is a branch f_l^1 of f^1 such that the ramification index of π_1 at $(a, f_l^1(a))$ is equal to 1 and $|f_l^1(a)| = 1$. Then, we have a branch $(f_l^1, f_{l_2}^2, f_{l_3}^3, \dots, f_{l_p}^p)$ of f for some l_j . Consider the functional equation

$$\left(1 - f_l^1(z)\overline{f_l^1(a)}\right) \cdot \prod_{j=2}^p \left(1 - f_{l_j}^j(z)\overline{f_{l_j}^j(a)}\right) = 1 - z\overline{a}. \tag{2.1}$$

By comparing the vanishing orders of both sides of Equation (2.1) at a , we see that $|f_{l_j}^j(a)| \neq 1$ for $2 \leq j \leq p$. Thus, a is not a branch point of π_2 ; otherwise we would have $|f_{l_j}^2(a)| = 1$ by Lemma 2.3 because the sheeting number of f^2 equals 3.

Since $\pi_2 : V_2 \rightarrow \mathbb{P}^1$ is not branched over $a \in \partial\Delta$, we have $|(\pi_2)^{-1}(a)| = 3$ and the set $(R_2)^{-1}(a)$ contains at least one unimodular value because $(z, w) \in V_2$ if and only if $(\frac{1}{z}, \frac{1}{\overline{w}}) \in V_2$. Then, we may choose l' such that $|f_{l'}^2(a)| = 1$ and we have a branch $(f_{l'}^1, f_{l'}^2, f_{l'_3}^3, \dots, f_{l'_p}^p)$ of f for some l'_j . Consider the functional

equation

$$\left(1 - f_{l'}^2(z) \overline{f_{l'}^2(a)}\right) \prod_{1 \leq j \leq p, j \neq 2} \left(1 - f_{l'}^j(z) \overline{f_{l'}^j(a)}\right) = 1 - z\bar{a}.$$

Since $a \in \partial\Delta$ is a branch point of π_1 and the sheeting number of f^1 equals 3, we have $|f_{l'}^1(a)| = 1$ by Lemma 2.3. Now, we have $|f_{l'}^1(a)| = |f_{l'}^2(a)| = 1$. Note that we have the Puiseux series $f_{l'}^1(z) = \varphi_{l'}^1((z - a)^{1/v})$ for $z \in B^1(a, \varepsilon)$, where $\varepsilon > 0$ such that $B^1(a, \varepsilon) \setminus \{a\}$ does not contain any branch point of any component function of f and $\varphi_{l'}^1$ is some holomorphic function on $B^1(0, \varepsilon^{1/v})$. Here $v = 1$ or $v = 2$. Then, we have

$$\left(1 - \varphi_{l'}^1(\xi) \overline{\varphi_{l'}^1(0)}\right) \left(1 - f_{l'}^2(\xi^v + a) \overline{f_{l'}^2(a)}\right) \psi(\xi) = -\bar{a}\xi^v, \tag{2.2}$$

where $\psi(\xi) := \prod_{j=3}^p \left(1 - f_{l'}^j(\xi^v + a) \overline{f_{l'}^j(a)}\right)$. Note that $1 - \varphi_{l'}^1(\xi) \overline{\varphi_{l'}^1(0)}$ has a zero of order 1 at $\xi = 0$ and that $1 - f_{l'}^2(\xi^v + a) \overline{f_{l'}^2(a)}$ has a zero of order v at $\xi = 0$ since a is not a branch point of π_2 . Thus, the left hand side of Equation (2.2) has a zero of order at least $v + 1$ at $\xi = 0$. However, the right hand side of Equation (2.2) has a zero of order v at $\xi = 0$, which is a contradiction. Thus, $b_i \neq 1$ for all i , $1 \leq i \leq m$. Hence, we have $m = 2$, i.e., f^1 has precisely two distinct branch points. Similarly, f^2 can only have two distinct branch points. Then, f^1 and f^2 are component functions of the cube-root embedding up to reparametrizations by [Ng10, Lemma 4.9].

We claim that f^1 and f^2 have the same set of branch points, say $a_1, a_2 \in \partial\Delta$. Assume the contrary that $a = a_j$ for some j such that a is a branch point of R_1 but not a branch point of R_2 . Then, $|f_l^1(a)| = 1$ for $l = 1, 2, 3$ by Lemma 2.3. But then there exists $l' \in \{1, 2, 3\}$ such that $|f_{l'}^2(a)| = 1$ since $|(R_2)^{-1}(a)| = 3$ and $(z, w) \in V_2$ if and only if $(\frac{1}{z}, \frac{1}{w}) \in V_2$ (cf. [Ng10, p. 2912]). Thus, we obtain a contradiction by considering the polarized functional equation as before. Therefore, if a is a branch point of f^1 , then a is a branch point of f^2 . Similarly, if a is a branch point of f^2 , then a is a branch point of f^1 . Hence, the branching loci of R_1 and R_2 are the same.

From [Ng10, Lemma 4.9] and the proof of Theorem 6.5 in [Ng10], there is a single reparametrization such that f^1, f^2 would become one of the component functions of the cube-root embedding. Then, $f^1 \neq f^2$ since for each branch of $f = (f^1, \dots, f^p)$, there is only one infinite value as $z \rightarrow \infty$ (cf. [Ng10, p. 2917]). Thus, f^1 and f^2 are precisely two distinct component functions of the cube-root embedding. Recall that $h^j = f^j$ for $j = 1, 2$. Therefore, there is a holomorphic function $h^3 : \Delta \rightarrow \Delta$ such that $h^3(0) = 0$ and $(h^1, h^2, h^3) : \Delta \rightarrow \Delta^3$ is the cube-root embedding up to reparametrizations, i.e., $(h^1, h^2, h^3) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$. \square

REMARK. This proposition can be used for classifying all holomorphic isometric embeddings $f : (\Delta, ds_\Delta^2) \rightarrow (\Delta^p, ds_{\Delta^p}^2)$ with some special sheeting numbers

s_1, \dots, s_p . For example, the structure of the space

$$\mathbf{HI}_1(\Delta, \Delta^{2q+1}; n; 3, 3, 3^2, 3^2, \dots, 3^{q-1}, 3^{q-1}, 3^q, 3^q, 3^q) \tag{2.3}$$

can be completely described by induction as that in [Ng10, Theorem 6.8], where $q \geq 2$ and n satisfying $3^q \mid n, 2q + 1 < n \leq 2^{2q}$. Actually, the space in Equation (2.3) is constructed by compositions of q holomorphic isometries in $\mathbf{HI}_1(\Delta, \Delta^3; 3)$. Similarly, the structure of the space

$$\mathbf{HI}_1(\Delta, \Delta^{2q'+2}; n'; 3, 3, 3^2, 3^2, \dots, 3^{q'}, 3^{q'}, 2 \cdot 3^{q'}, 2 \cdot 3^{q'}) \tag{2.4}$$

can be completely described by induction, where $q' \geq 1$ and n' satisfying $(2 \cdot 3^{q'}) \mid n', 2q' + 2 < n' \leq 2^{2q'+1}$. Actually, the space in Equation (2.4) is constructed by compositions of q' holomorphic isometries in $\mathbf{HI}_1(\Delta, \Delta^3; 3)$ and a holomorphic isometry in $\mathbf{HI}_1(\Delta, \Delta^2)$. The author has written down the details in his Ph.D. thesis [Ch16b].

3. Proof of Theorem 1.1

From [Ng10, pp. 2914–2915], if $f \in \mathbf{HI}_k(\Delta, \Delta^4)$ is a holomorphic isometric embedding such that all component functions of f are non-constant, then we have $f \in \mathbf{HI}_k(\Delta, \Delta^4; n; s_1, s_2, s_3, s_4)$ for some positive integers n, s_1, s_2, s_3, s_4 satisfying $\frac{4}{k} \leq n \leq 8, \sum_{l=1}^4 (1/s_l) = k$ and $s_j \mid n$ for $j = 1, 2, 3, 4$. Recall that k is a positive integer satisfying $1 \leq k \leq 4$ by [Ng10, p. 2909]. It turns out that given some positive integers n, s_1, s_2, s_3, s_4 satisfying $\frac{4}{k} \leq n \leq 8, \sum_{l=1}^4 (1/s_l) = k$ and $s_j \mid n$ for $j = 1, 2, 3, 4$, it is possible that the space $\mathbf{HI}_k(\Delta, \Delta^4; n; s_1, s_2, s_3, s_4)$ is empty due to the structure of the irreducible projective-algebraic curve V and the branching behaviour of each component function of f .

3.1. Classification of Holomorphic Isometries in $\mathbf{HI}_1(\Delta, \Delta^4)$

LEMMA 3.1. *Let $p \geq 2$ be an integer and n be a prime number satisfying $p < n \leq 2^{p-1}$. Then, the space $\mathbf{HI}_1(\Delta, \Delta^p; n)$ is empty.*

REMARK. Note that such a prime n does not exist when $p = 2, 3$, thus the condition $p \geq 2$ could be replaced by $p \geq 4$.

Proof of Lemma 3.1. Assume the contrary that the space $\mathbf{HI}_1(\Delta, \Delta^p; n)$ is non-empty. Then, there is a holomorphic isometric embedding $f = (f^1, \dots, f^p) : (\Delta, ds_\Delta^2) \rightarrow (\Delta^p, ds_{\Delta^p}^2)$ such that the sheeting number of f^j equals $s_j, s_j \mid n$ for $1 \leq j \leq p$ and $\sum_{j=1}^p (1/s_j) = 1$ (cf. [Ng10, pp. 2914–2915]). In particular, we have $s_j = n$ for $1 \leq j \leq p$ because $\sum_{j=1}^p (1/s_j) = 1$ so that $s_j \neq 1$ for any j . This would imply that $1 = \sum_{j=1}^p (1/s_j) = \frac{p}{n}$ so that $n = p$, which contradicts $n > p$. Hence, we have $\mathbf{HI}_1(\Delta, \Delta^p; n) = \emptyset$. \square

By Lemma 3.1, we have $\mathbf{HI}_1(\Delta, \Delta^4; n) = \emptyset$ for $n = 5, 7$. Thus, we only need to consider the case where $n = 4, 6$ or 8 . The following are all possibilities of the global sheeting number n and the sheeting numbers s_1, \dots, s_4 :

- (1) $(n, s_1, s_2, s_3, s_4) = (4, 4, 4, 4, 4)$.
- (2) $(n, s_1, s_2, s_3, s_4) = (6, 3, 6, 6, 3)$ or $(n, s_1, s_2, s_3, s_4) = (6, 2, 6, 6, 6)$.
- (3) $(n, s_1, s_2, s_3, s_4) = (8, 4, 4, 4, 4)$ or $(n, s_1, s_2, s_3, s_4) = (8, 2, 4, 8, 8)$.

In the case where $(n, s_1, s_2, s_3, s_4) = (4, 4, 4, 4, 4)$, we can apply the global rigidity of the p th root embedding for $p \geq 2$ (cf. [Ch16a]). More precisely, any $f \in \mathbf{HI}_1(\Delta, \Delta^4; 4)$ is the 4th root embedding up to reparametrizations as we have mentioned at the beginning of the present paper.

PROPOSITION 3.2 (cf. Theorem 6.8, [Ng10]). *If $f \in \mathbf{HI}_1(\Delta, \Delta^4; 8; 2, 4, 8, 8)$, then*

$$f = (\alpha_1, \alpha_2 \circ \beta_1, \alpha_3 \circ (\beta_2 \circ \beta_1), \beta_3 \circ (\beta_2 \circ \beta_1))$$

up to reparametrizations, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2, 3$.

PROPOSITION 3.3. *If $f \in \mathbf{HI}_1(\Delta, \Delta^4; 6; 2, 6, 6, 6)$, then*

$$f = (\alpha_1, h^2 \circ \alpha_2, h^3 \circ \alpha_2, h^4 \circ \alpha_2)$$

up to reparametrizations, where $(\alpha_1, \alpha_2) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ and $(h^2, h^3, h^4) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$.

Proof. We may suppose that $f(0) = \mathbf{0}$. From [Ng10, Lemma 6.7], we have $f^1 = \alpha_1$ for some holomorphic isometric embedding $(\alpha_1, \alpha_2) : \Delta \rightarrow \Delta^2$ with the isometric constant 1 and $\alpha_1(0) = \alpha_2(0) = 0$. Then, we have

$$(1 - |f^2(z)|^2)(1 - |f^3(z)|^2)(1 - |f^4(z)|^2) = 1 - |\alpha_2(z)|^2$$

because $(1 - |\alpha_1(z)|^2)(1 - |\alpha_2(z)|^2) = 1 - |z|^2$. Since 0 is not a branch point, locally there is an inverse $\alpha_2^{-1} : U \subset \Delta \rightarrow \Delta$ of α_2 . Thus,

$$(1 - |f^2(\alpha_2^{-1}(z))|^2)(1 - |f^3(\alpha_2^{-1}(z))|^2)(1 - |f^4(\alpha_2^{-1}(z))|^2) = 1 - |z|^2,$$

i.e., $(f^2 \circ \alpha_2^{-1}, f^3 \circ \alpha_2^{-1}, f^4 \circ \alpha_2^{-1}) : U \rightarrow \Delta^3$ is a holomorphic isometric embedding with the isometric constant 1. From [Mok12, Theorem 1.3.1], we know that $(f^2 \circ \alpha_2^{-1}, f^3 \circ \alpha_2^{-1}, f^4 \circ \alpha_2^{-1})$ can be extended to the whole Δ , and we let $(h^2, h^3, h^4) : \Delta \rightarrow \Delta^3$ be the extension. Then, $f^j \circ \alpha_2^{-1} = h^j$ for $j = 2, 3, 4$ and thus $f^j = h^j \circ \alpha_2$ on some open subset. Now, we have a local inverse $(f^j)^{-1} = \alpha_2^{-1} \circ (h^j)^{-1}$. Since the degree of $(f^j)^{-1}$ equals 6 while the degree of α_2^{-1} equals 2, the degree of $(h^j)^{-1}$ should be equal to 3. Thus $(h^2, h^3, h^4) : \Delta \rightarrow \Delta^3$ is the cube-root embedding up to reparametrizations by [Ng10, Theorem 8.1]. Hence, $f = (f^1, f^2, f^3, f^4) = (\alpha_1, h^2 \circ \alpha_2, h^3 \circ \alpha_2, h^4 \circ \alpha_2)$ up to reparametrizations. □

PROPOSITION 3.4. *If $f \in \mathbf{HI}_1(\Delta, \Delta^4; 6; 3, 6, 6, 3)$, then*

$$f = (\beta_1, \alpha_1 \circ \beta_2, \alpha_2 \circ \beta_2, \beta_3)$$

up to reparametrizations, where $(\beta_1, \beta_2, \beta_3) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$ and $(\alpha_1, \alpha_2) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$.

Proof. We may assume without loss of generality that $f = (f^1, f^2, f^3, f^4)$ satisfying $f(0) = \mathbf{0}$. Then, there is a holomorphic function $g : \Delta \rightarrow \Delta$ with $g(0) = 0$ such that $(f^1, f^4, g) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$ by Proposition 2.4. From the functional equation, we have

$$(1 - |f^2(z)|^2)(1 - |f^3(z)|^2) = 1 - |g(z)|^2.$$

Since g is a component function of some holomorphic isometry in $\mathbf{HI}_1(\Delta, \Delta^3; 3)$, there is a local inverse g^{-1} of g around $0 \in \Delta$ so that

$$(1 - |f^2 \circ g^{-1}(z)|^2)(1 - |f^3 \circ g^{-1}(z)|^2) = 1 - |z|^2$$

on some open neighborhood of 0 in Δ (cf. [Ng10, p. 2918]). Thus $(f^2 \circ g^{-1}, f^3 \circ g^{-1}) : \Delta \rightarrow \Delta^2$ is a germ of holomorphic isometric embedding with the isometric constant 1. In particular, $(f^2 \circ g^{-1}, f^3 \circ g^{-1})$ is a germ of the square-root embedding at 0 up to reparametrizations. From [Mok12, Theorem 1.3.1], such a germ of holomorphic isometric embedding can be extended to a holomorphic isometric embedding from (Δ, ds_Δ^2) to $(\Delta^2, ds_{\Delta^2}^2)$. Therefore, we have $f^2 \circ g^{-1} = \alpha_1|_U, f^3 \circ g^{-1} = \alpha_2|_U$ for some neighborhood U of 0 in Δ , where $(\alpha_1, \alpha_2) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$. Then, $f^2 = \alpha_1 \circ g$ and $f^3 = \alpha_2 \circ g$ on Δ . Hence, we have $f = (\beta_1, \alpha_1 \circ \beta_2, \alpha_2 \circ \beta_2, \beta_3)$, where $(\beta_1, \beta_2, \beta_3) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$ and $(\alpha_1, \alpha_2) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$. \square

Let $f = (f^1, f^2, f^3, f^4) \in \mathbf{HI}_1(\Delta, \Delta^4; 8; 4, 4, 4, 4)$ and $v : X \rightarrow V$ be the normalization, where X is a compact Riemann surface of genus $g(X)$. Without loss of generality, we may assume that $f(0) = \mathbf{0}$. The universal cover of X is either \mathbb{P}^1, \mathbb{C} or Δ by the Uniformization Theorem. In any case, we may use the global holomorphic coordinate ζ on $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}, \mathbb{C}$ or Δ to represent a point in X . Given a non-constant meromorphic function \hat{S} on X , denote by $\text{Zeros}(\hat{S}(\zeta))$ (resp. $\text{Poles}(\hat{S}(\zeta))$) the set of all zeros (resp. poles) of \hat{S} not counting multiplicities.

Recall that $\pi : V \rightarrow \mathbb{P}^1$ is the finite branched covering defined by $(z, w_1, w_2, w_3, w_4) \mapsto z$. Then, $\pi \circ v(\zeta) = R(\zeta)$ is a non-constant meromorphic function on X with precisely 8 distinct poles and 8 distinct zeros. Let $S_j(\zeta) := (\text{Pr}_2 \circ (P_j \circ v))(\zeta)$ for $1 \leq j \leq 4$, where $\text{Pr}_2 : \mathbb{P}^1 \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$ is the projection onto the second factor, $P_j : V \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$ is defined by $(z, w_1, w_2, w_3, w_4) \mapsto (z, w_j)$ and $V_j = P_j(V)$ for $1 \leq j \leq 4$. Then, S_j is a non-constant meromorphic function on X with precisely two distinct poles and two distinct zeros. Moreover, we have $R(\zeta) = R_j(S_j(\zeta))$ for $1 \leq j \leq 4$.

Let $(f_{l_1}^1, f_{l_2}^2, f_{l_3}^3, f_{l_4}^4)$ be a branch of f over Δ for some $l_j \in \{1, 2, 3, 4\}$. For $\zeta \in U' := v^{-1}(\text{Graph}(f))$, we have $f^j(R(\zeta)) = S_j(\zeta)$ for $1 \leq j \leq 4$. Note that for any branch f_l^j of $f^j, 1 \leq l, j \leq 4$, there are precisely two distinct branches of f over Δ with the j th-component function being equal to f_l^j because $S_j : X \rightarrow \mathbb{P}^1$ is a degree 2 branched covering and the graph of each branch of f over Δ (resp. $\mathbb{P}^1 \setminus \overline{\Delta}$) lies in the regular part of the variety V . The following consideration comes from [Mok]. From the polarized functional equation, for $\zeta \in$

$U' := \nu^{-1}(\text{Graph}(f))$ and $w \in \Delta$, we have

$$\prod_{j=1}^4 \left(1 - S_j(\zeta) \overline{f_{l_j^j}^j(w)} \right) = 1 - R(\zeta) \overline{w}. \tag{3.1}$$

Fixing $w \in \Delta$, both sides of Equation (3.1) are meromorphic functions on X . Thus, by the Identity Theorem of meromorphic functions on compact Riemann surfaces, the above equality holds true for $\zeta \in X$ and $w \in \Delta$. Putting $w = 0$ in Equation (3.1), we have

$$\prod_{j=1}^4 \left(1 - S_j(\zeta) \overline{f_{l_j^j}^j(0)} \right) = 1 \quad \forall \zeta \in X.$$

LEMMA 3.5. *If $f = (f^1, f^2, f^3, f^4) \in \mathbf{HI}_1(\Delta, \Delta^4; 8; 4, 4, 4, 4)$, then there is a branch of f over Δ which is of the form (g_1, \dots, g_4) , where $g_{\sigma(j)} := f_1^{\sigma(j)}$ ($j = 1, 2$) and $g_{\sigma(\mu)} := f_{l_{\sigma(\mu)}}^{\sigma(\mu)}$ with $l_{\sigma(\mu)} \neq 1$ ($\mu = 3, 4$) for some $\sigma \in \Sigma_4$.*

Proof. We assume without loss of generality that $f(0) = \mathbf{0}$. Let $\nu : X \rightarrow V$ be the normalization. Assume the contrary that f does not have a branch of the desired form. From the functional equation, it is known that f cannot have a branch of the form $(f^{\sigma(1)}, f^{\sigma(2)}, f^{\sigma(3)}, f_{j_{\sigma(4)}}^{\sigma(4)})$ over Δ up to a permutation of component functions of f , where $\sigma \in \Sigma_4$ and $j_{\sigma(4)} \neq 1$. Otherwise, we would have $|f_{j_{\sigma(4)}}^{\sigma(4)}(z)|^2 = |f^{\sigma(4)}(z)|^2$ so that $f_{j_{\sigma(4)}}^{\sigma(4)}(0) = f^{\sigma(4)}(0) = 0$, which contradicts the fact that $f_{j_{\sigma(4)}}^{\sigma(4)}$ and $f^{\sigma(4)}$ are distinct branches and 0 is not a branch point of $R_{\sigma(4)}$. Then, we have some branches of f over Δ which are of the forms

$$\begin{aligned} & (f^1, f_{l_2^2}^2, f_{l_3^3}^3, f_{l_4^4}^4), & (f_{l_1^1}^1, f^2, f_{l_3^3}^3, f_{l_4^4}^4), \\ & (f_{l_1^1}^1, f_{l_2^2}^2, f^3, f_{l_4^4}^4), & (f_{l_1^1}^1, f_{l_2^2}^2, f_{l_3^3}^3, f^4), \end{aligned} \tag{3.2}$$

where $l_j^{(k)} \neq 1$ for each j, k . Note that performing (multivalued) analytic continuation of (f^1, f^2, f^3, f^4) along some simple closed loop around each branch point of R_j in \mathbb{C} , $1 \leq j \leq 4$, would produce all branches of f over Δ because $\text{Reg}(V)$ is connected (cf. Proposition 1 in [MN10, pp. 2634–2635] for the structure of V and properties of the branches of f). From the polarized functional equation, we have

$$\prod_{j=1}^3 \left(1 - S_{\sigma(j)}(\zeta) \overline{\beta_{\sigma(j)}^{(\sigma(4))}} \right) = 1$$

for each $\sigma \in \Sigma_4$, where for each $k \in \{1, 2, 3, 4\}$, $\beta_j^{(k)} := f_{l_j^{(k)}}^j(0) \in \mathbb{C}^* = \mathbb{C} \setminus \{0\}$ for $j \in \{1, 2, 3, 4\} \setminus \{k\}$. Note that the poles of $1 - S_j(\zeta) \overline{\beta_j^{(l)}}$ are pre-

cisely the poles of $S_j(\zeta)$ for $j \in \{1, 2, 3, 4\} \setminus \{l\}$ and $l = 1, 2, 3, 4$. Moreover, $1 - S_j(\zeta)\overline{\beta_j^{(l)}}$ has precisely two distinct zeros and two distinct poles for $j \in \{1, 2, 3, 4\} \setminus \{l\}$ and $l = 1, 2, 3, 4$.

Consider the branch $(f_{l_1^{(4)}}^1, f_{l_2^{(4)}}^2, f_{l_3^{(4)}}^3, f^4)$. Then, there is a unique branch of f over Δ which is of the form $(f_{k_1}^1, f_{k_2}^2, f_{l_3}^3, f_{k_4}^4)$ with $k_4 \neq 1$ because we already have the branch (f^1, f^2, f^3, f^4) of f , S_j is a degree 2 branched covering and all points in $v^{-1}(\pi^{-1}(\infty))$ are not ramification points of S_l for $1 \leq l \leq 4$. We claim that $k_j \neq l_j^{(4)}$ for $j = 1, 2$.

If $k_j = l_j^{(4)}$ for $j = 1, 2$, then we would have $|f^4(z)|^2 = |f_{k_4}^4(z)|^2$ for $z \in \Delta$, which leads to a contradiction by the arguments above. If $k_1 = l_1^{(4)}$ and $k_2 \neq l_2^{(4)}$, then we have

$$1 - S_2(\zeta)\overline{\beta_2^{(4)}} = \left(1 - S_2(\zeta)\overline{f_{k_2}^2(0)}\right) \left(1 - S_4(\zeta)\overline{f_{k_4}^4(0)}\right)$$

from the functional equation so that

$$S_4(\zeta) = \frac{1}{f_{k_4}^4(0)} \frac{\left(\overline{\beta_2^{(4)}} - \overline{f_{k_2}^2(0)}\right) \cdot S_2(\zeta)}{1 - S_2(\zeta)\overline{f_{k_2}^2(0)}}.$$

Thus, $S_4 = \varphi \circ S_2$ for some $\varphi \in \text{Aut}(\mathbb{P}^1)$. But then this implies that all branches of f are of the form $(f_{l_1}^1, f_{l_2}^2, f_{l_3}^3, f_{l_4}^4)$ for some $l_1, l_3, l \in \{1, 2, 3, 4\}$ by performing (multivalued) analytic continuation, which contradicts the existence of the branch $(f_{l_1^{(4)}}^1, f_{l_2^{(4)}}^2, f_{l_3^{(4)}}^3, f^4)$. Similarly, if $k_2 = l_2^{(4)}$ and $k_1 \neq l_1^{(4)}$, then this also leads to a contradiction. Hence, $k_j \neq l_j^{(4)}$ for $j = 1, 2$.

From the functional equation, we have

$$1 - S_4(\zeta)\overline{f_{k_4}^4(0)} = \frac{1 - S_1(\zeta)\overline{\beta_1^{(4)}}}{1 - S_1(\zeta)\overline{f_{k_1}^1(0)}} \frac{1 - S_2(\zeta)\overline{\beta_2^{(4)}}}{1 - S_2(\zeta)\overline{f_{k_2}^2(0)}}$$

and $\prod_{j=1}^3 (1 - S_j(\zeta)\overline{\beta_j^{(4)}}) = 1$. Thus, we have

$$\begin{aligned} \text{Zeros} \left(1 - S_4(\zeta)\overline{f_{k_4}^4(0)}\right) &\subseteq \text{Zeros} \left(\left(1 - S_1(\zeta)\overline{\beta_1^{(4)}}\right)\left(1 - S_2(\zeta)\overline{\beta_2^{(4)}}\right)\right) \\ &= \text{Zeros} \left(\frac{1}{1 - S_3(\zeta)\overline{\beta_3^{(4)}}}\right) = \text{Poles}(S_3(\zeta)) \end{aligned}$$

Since S_3 has two distinct simple poles and $1 - S_4(\zeta)\overline{f_{k_4}^4(0)}$ has two distinct simple zeros, we have $\text{Zeros} \left(1 - S_4(\zeta)\overline{f_{k_4}^4(0)}\right) = \text{Poles}(S_3(\zeta))$. Therefore, there are two distinct points $y_1, y_2 \in V$ (resp. $x_1, x_2 \in X$) such that $v(x_j) = y_j = (\infty, \alpha_1^j, \alpha_2^j, \infty, 1/f_{k_4}^4(0))$ for $j = 1, 2$, and $\{x_1, x_2\} = \text{Zeros} \left(1 - S_4(\zeta)\overline{f_{k_4}^4(0)}\right) = \text{Poles}(S_3(\zeta))$, where $\alpha_1^j, \alpha_2^j \in \mathbb{C}^*$, $j = 1, 2$. Note that $x_1, x_2 \in X$ are two distinct unramified points of $\pi \circ v : X \rightarrow \mathbb{P}^1$ and $y_1, y_2 \in V$ are smooth points on V .

Then, we have two distinct branches of f over $\mathbb{P}^1 \setminus \overline{\Delta}$ which are of the forms $(f_{l_1,-}^1, f_{l_2,-}^2, f_{l_3,-}^3, f_{l_4,-}^4), (f_{n_1,-}^1, f_{n_2,-}^2, f_{l_3,-}^3, f_{l_4,-}^4)$ such that

$$y_1 = (\infty, f_{l_1,-}^1(\infty), f_{l_2,-}^2(\infty), f_{l_3,-}^3(\infty), f_{l_4,-}^4(\infty)),$$

$$y_2 = (\infty, f_{n_1,-}^1(\infty), f_{n_2,-}^2(\infty), f_{l_3,-}^3(\infty), f_{l_4,-}^4(\infty)).$$

If $n_j = l_j$ and $n_i \neq l_i$ for distinct $i, j \in \{1, 2\}$, then we have

$$1 - f_{l_i,-}^i(z) \overline{f_{l_i,-}^i(w)} = 1 - f_{n_i,-}^i(z) \overline{f_{l_i,-}^i(w)}$$

for $z, w \in \mathbb{P}^1 \setminus \overline{\Delta}$ from the functional equation, which implies that $f_{l_i,-}^i = f_{n_i,-}^i$, so that $l_i = n_i$, a plain contradiction. Thus, $n_j \neq l_j$ for $j = 1, 2$. Now, we have $\alpha_l^1 \neq \alpha_l^2$ for $l = 1, 2$. From the functional equation, we have

$$\begin{aligned} & \left(1 - f_{l_1,-}^1(z) \overline{f_{n_1,-}^1(w)}\right) \left(1 - f_{l_2,-}^2(z) \overline{f_{n_2,-}^2(w)}\right) \\ &= \left(1 - f_{l_1,-}^1(z) \overline{f_{l_1,-}^1(w)}\right) \left(1 - f_{l_2,-}^2(z) \overline{f_{l_2,-}^2(w)}\right) \end{aligned}$$

so that

$$\frac{1 - f_{l_1,-}^1(z) \overline{\alpha_1^2}}{1 - f_{l_1,-}^1(z) \overline{\alpha_1^1}} = \frac{1 - f_{l_2,-}^2(z) \overline{\alpha_2^1}}{1 - f_{l_2,-}^2(z) \overline{\alpha_2^2}},$$

which implies that $f_{l_1,-}^1(z) = \varphi(f_{l_2,-}^2(z))$ for some $\varphi \in \text{Aut}(\mathbb{P}^1)$ satisfying $\varphi(0) = 0$. Denote by $\mathcal{O} := \mathbb{P}^1 \setminus \overline{\Delta}$. Thus, $R_1 \circ \varphi|_{f_{l_2,-}^2(\mathcal{O})} = R_2|_{f_{l_2,-}^2(\mathcal{O})}$. Since $f_{l_2,-}^2(\mathcal{O}) \subset \mathbb{P}^1$ is open, we have $R_1 \circ \varphi = R_2$ by the Identity Theorem for meromorphic functions on irreducible holomorphic varieties [Gun90, p. 177]. We claim that $R_j(h(z)) = z$ for some holomorphic function h on Δ implies $h = f_l^j$ for some l and $h(0) = f_l^j(0)$. Actually, there is an open neighborhood B_0 of 0 in Δ such that $R_j|_{U_l} : U_l \rightarrow B_0$ is biholomorphic and $h(0) = f_l^j(0)$ for some l since 0 is not a branch point of R_j , where U_l is some open neighborhood of $f_l^j(0)$ in \mathbb{P}^1 . Then, $(R_j|_{U_l})^{-1}|_{B_0} = h|_{B_0} = f_l^j|_{B_0}$ and thus $h = f_l^j$ by the Identity Theorem. Therefore, this implies that $\varphi \circ f^2$ is one of the branches of f^1 over Δ . Since $(\varphi \circ f^2)(0) = 0$, we have $\varphi \circ f^2 = f^1$ because 0 is not a branch point of any $R_j, 1 \leq j \leq 4$. But then performing (multivalued) analytic continuation of (f^1, f^2, f^3, f^4) could only produce branches of f over Δ of the form $(f_l^1, f_{l_3}^2, f_{l_3}^3, f_{l_4}^4)$ for some $l, l_3, l_4 \in \{1, 2, 3, 4\}$, which contradicts Equation (3.2). Hence, there is a branch of f over Δ which is of the desired form. \square

PROPOSITION 3.6. *If $f \in \mathbf{HI}_1(\Delta, \Delta^4; 8; 4, 4, 4, 4)$, then*

$$f = (\alpha_1 \circ \alpha_2, \beta_1 \circ \alpha_2, \alpha_3 \circ \beta_2, \beta_3 \circ \beta_2)$$

up to reparametrizations, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2), j = 1, 2, 3$.

Proof. We may assume without loss of generality that $f(0) = \mathbf{0}$. By Lemma 3.5, there is a branch of f over Δ which is of the form (g_1, \dots, g_4) , where $g_{\sigma(j)} :=$

$f_1^{\sigma(j)}$ for $1 \leq j \leq 2$ and $g_{\sigma(\mu)} := f_{l_{\sigma(\mu)}}^{\sigma(\mu)}$ with $l_{\sigma(\mu)} \neq 1$ for $\mu = 3, 4$, and for some $\sigma \in \Sigma_4$. Then, it follows from Theorem 2.2 that

$$(1 - |f^{\sigma(3)}(z)|^2)(1 - |f^{\sigma(4)}(z)|^2) = 1 - |h(z)|^2$$

for some holomorphic function $h : \Delta \rightarrow \mathbb{C}$. Thus, it follows from the functional equation that $(f^{\sigma(1)}, f^{\sigma(2)}, h) \in \mathbf{HI}_1(\Delta, \Delta^3)$. Since the sheeting numbers of both $f^{\sigma(1)}$ and $f^{\sigma(2)}$ are equal to 4, the sheeting number of h equals 2 and h is a component function of some isometry in $\mathbf{HI}_1(\Delta, \Delta^2; 2)$ (cf. [Ng10, Theorem 8.1]). This shows that $(f^{\sigma(1)}, f^{\sigma(2)}, h) \in \mathbf{HI}_1(\Delta, \Delta^3; 4; 4, 4, 2)$. From [Ng10, Theorem 8.1], we have $(f^{\sigma(1)}, f^{\sigma(2)}, h) = (\alpha_5 \circ g, \beta_5 \circ g, h)$ up to reparametrizations, where $(\alpha_5, \beta_5) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ and $(g, h) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for some holomorphic function $g : \Delta \rightarrow \Delta$. Moreover, $(1 - |f^{\sigma(3)}(h^{-1}(z))|^2)(1 - |f^{\sigma(4)}(h^{-1}(z))|^2) = 1 - |z|^2$ for $z \in B^1(0, \varepsilon) \subset \Delta$, where $\varepsilon > 0$ is some real constant. Thus, $(f^{\sigma(3)} \circ h^{-1}, f^{\sigma(4)} \circ h^{-1}) : B^1(0, \varepsilon) \rightarrow \Delta^2$ is a local holomorphic isometric embedding which can be extended to the whole unit disk Δ (cf. [Mok12, Theorem 1.3.1]), where the isometric constant equals 1. Therefore, we have $f^{\sigma(3)} = \alpha_4 \circ h$ and $f^{\sigma(4)} = \beta_4 \circ h$ for some $(\alpha_4, \beta_4) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$. Hence, $(f^{\sigma(1)}, f^{\sigma(2)}, f^{\sigma(3)}, f^{\sigma(4)}) = (\alpha_5 \circ g, \beta_5 \circ g, \alpha_4 \circ h, \beta_4 \circ h)$ up to reparametrizations so that $f = (\alpha_1 \circ \alpha_2, \beta_1 \circ \alpha_2, \alpha_3 \circ \beta_2, \beta_3 \circ \beta_2)$ up to reparametrizations, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2, 3$. \square

Combining the above results, part (1) of the Theorem 1.1 is proved.

3.2. Classification of Holomorphic Isometries in $\mathbf{HI}_k(\Delta, \Delta^4)$ for $k \geq 2$

In this section, we consider the case where $k = 2, 3$ or 4 . The following is part (2) of Theorem 1.1.

PROPOSITION 3.7. *Let $f : (\Delta, 2 ds_{\Delta}^2) \rightarrow (\Delta^4, ds_{\Delta^4}^2)$ be a holomorphic isometric embedding. Then, $f(z)$ is of one of the following forms up to reparametrizations:*

- (1) $(\alpha_1(z), \beta_1(z), \alpha_2(z), \beta_2(z))$, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2$.
- (2) $(z, \alpha_1(z), (\alpha_2 \circ \beta_1)(z), (\beta_2 \circ \beta_1)(z))$, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2$.
- (3) $(z, \alpha_1(z), \alpha_2(z), \alpha_3(z))$, where $(\alpha_1, \alpha_2, \alpha_3) \in \mathbf{HI}_1(\Delta, \Delta^3; 3)$.

Moreover, the space $\mathbf{HI}_2(\Delta, \Delta^4; n; 2, 2, 2, 2)$ is non-empty only if $n = 2$ or $n = 4$.

Proof. We may assume without loss of generality that $f(0) = \mathbf{0}$. Let s_j be the sheeting number of f^j and n be the global sheeting number (cf. [Ng10, p. 2911]). In the case where $k = 2$, we have $2 \leq n \leq 8$. If $n = 5$, then we have $\sum_{j=1}^4 (1/s_j) = 2$ with $s_j \mid 5$ for $1 \leq j \leq 4$. Thus, $l + \frac{4-l}{5} = 2$ for some integer $l \geq 0$, but this would imply that $4l = 6$, which is a contradiction. If $n = 7$, then we have $\sum_{j=1}^4 (1/s_j) = 2$ with $s_j \mid 7$ for $1 \leq j \leq 4$. Therefore, $l + \frac{4-l}{7} = 2$ for some integer $l \geq 0$, but this would imply that $6l = 10$, which is again a contradiction. Then, we have $n \notin \{5, 7\}$ so that $n = 2, 3, 4, 6$ or 8 .

In a priori for $n = 6$ or $n = 8$, it is possible that $(n, s_1, s_2, s_3, s_4) = (6, 2, 2, 2, 2), (6, 1, 3, 3, 3), (6, 1, 2, 3, 6), (8, 2, 2, 2, 2)$ or $(8, 1, 2, 4, 4)$.

If $s_1 = 1$, then $f^1(z) = z$ up to reparametrizations so that the problem reduces to the study of $\mathbf{HI}_1(\Delta, \Delta^3)$, which is completely described by [Ng10, Theorem 8.1]. If $(n, s_1, s_2, s_3, s_4) = (6, 1, 3, 3, 3)$, then (f^2, f^3, f^4) is the cube-root embedding up to reparametrizations by [Ng10, Theorem 8.1] and this implies that $n = 3$, which is a contradiction. If $(n, s_1, s_2, s_3, s_4) = (6, 1, 2, 3, 6)$, then we would have a holomorphic isometry in $\mathbf{HI}_1(\Delta, \Delta^3; n'; 2, 3, 6)$ so that $n' \geq 6$, which contradicts $n' \leq 4$ (cf. [Ng10, Proposition 5.2]). If $(n, s_1, s_2, s_3, s_4) = (8, 1, 2, 4, 4)$, then (f^2, f^3, f^4) is of the form $(\alpha_1, \alpha_2 \circ \beta_1, \beta_2 \circ \beta_1)$ for $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2)$, $j = 1, 2$, by [Ng10, Theorem 8.1] and thus $n = 4$, which is a contradiction. This rules out the cases where $(n, s_1, s_2, s_3, s_4) = (6, 1, 3, 3, 3)$, $(n, s_1, s_2, s_3, s_4) = (6, 1, 2, 3, 6)$ or $(n, s_1, s_2, s_3, s_4) = (8, 1, 2, 4, 4)$. Therefore, the only possible global sheeting numbers n and sheeting numbers s_1, \dots, s_4 are the following:

- (1) $(n, s_1, s_2, s_3, s_4) = (n, 2, 2, 2, 2)$, $n = 2, 4, 6$ or 8 ,
- (2) $(n, s_1, s_2, s_3, s_4) = (4, 1, 2, 4, 4)$,
- (3) $(n, s_1, s_2, s_3, s_4) = (3, 1, 3, 3, 3)$.

Now, we deal with these cases:

- (1) Let $f = (f^1, f^2, f^3, f^4) \in \mathbf{HI}_2(\Delta, \Delta^4; n; 2, 2, 2, 2)$. Then, each f^j becomes one of the component functions of the square-root embedding from [Ng10, Lemma 6.7]. From [Ng10, Colloary 4.7], for each branch point $a \in \partial\Delta$ of some component function f^j of f , we have $|f^j(a)|^2 = 1$. From the use of the Puiseux series of each component function f^j of f around a branch point $a \in \partial\Delta$ of f^j , we see that either a is a branch point of all component functions of f or a is a branch point of another component f^l of f ($l \neq j$) and a is not a branch point of other component functions f^μ of f ($\mu \notin \{l, j\}$).

Then, either (i) the branching loci of all component functions of f are the same or (ii) for any branch point $a \in \partial\Delta$ of each component function f^j of f , a is only a branch point of f^l for some $l \neq j$ and not a branch point of f^μ for $\mu \notin \{l, j\}$.

(i) If the branching loci of all component functions of f are the same, then there is a single reparametrization of f so that each f^j is one of the α_1, β_1 , where $(\alpha_1, \beta_1) \in \mathbf{HI}_1(\Delta, \Delta^2)$ is the square-root embedding. From the proof of Theorem 6.5 in [Ng10], since for every branch of f there are precisely two component functions of f which take the value ∞ at ∞ , only two of the f^j 's are α_1 and the remaining two component functions of f are β_1 up to reparametrizations. In particular, f is $(\alpha_1, \beta_1, \alpha_1, \beta_1)$ up to reparametrizations for some $(\alpha_1, \beta_1) \in \mathbf{HI}_1(\Delta, \Delta^2)$.

(ii) Suppose that for any branch point $a \in \partial\Delta$ of each component function f^j of f , a is only a branch point of f^l for some $l \neq j$ and not a branch point of f^μ for $\mu \notin \{l, j\}$. We may assume that f^1 and f^2 have a common branch point $a \in \partial\Delta$ and a is not a branch point of f^3, f^4 . Then, after performing (multivalued) analytic continuation along a simple continuous closed loop

around $a \in \partial\Delta$ once, we obtain another branch (f_l^1, f_l^2, f^3, f^4) of f for some $l \neq 1$. From the proof of Theorem 2.2, we have

$$(1 - |f^1(z)|^2)(1 - |f^2(z)|^2) = 1 - |h(z)|^2$$

for some holomorphic function $h : \Delta \rightarrow \Delta$. Thus, $(h, f^3, f^4) \in \mathbf{HI}_2(\Delta, \Delta^3)$. Since both f^3 and f^4 have sheeting numbers equal to 2, it follows from [Ng10] that the sheeting number of h is equal to 1, i.e., $h(z) = z$ up to reparametrizations. In particular, $(f^1, f^2) \in \mathbf{HI}_1(\Delta, \Delta^2)$ and thus $(f^3, f^4) \in \mathbf{HI}_1(\Delta, \Delta^2)$. Hence, f is $(\alpha_1, \beta_1, \alpha_2, \beta_2)$ up to reparametrizations for some $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2)$, $j = 1, 2$.

In any case, it follows that any $f \in \mathbf{HI}_2(\Delta, \Delta^4; n; 2, 2, 2, 2)$ is $(\alpha_1, \beta_1, \alpha_2, \beta_2)$ up to reparametrizations for some $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2)$, $j = 1, 2$. Note that the branching loci of α_j and β_j are the same for each j , where $j = 1, 2$. By performing (multivalued) analytic continuation of the given isometry $f \in \mathbf{HI}_2(\Delta, \Delta^4; n; 2, 2, 2, 2)$, the global sheeting number n is at most 4, i.e., either $n = 2$ or $n = 4$. This rules out the possibility of n being equal to 6 or 8.

If $f = (f^1, f^2, f^3, f^4) \in \mathbf{HI}_2(\Delta, \Delta^4; 2; 2, 2, 2, 2)$, then the branching loci of all f^j are the same so that there is a single parametrization of f to make f^j to be either α_1 or β_1 , where $(\alpha_1, \beta_1) : \Delta \rightarrow \Delta^2$ is the square-root embedding. Moreover, since for each branch of f , there are only two component functions take the value ∞ at ∞ , so $f = (\alpha_1, \beta_1, \alpha_1, \beta_1)$ up to reparametrizations.

If $f \in \mathbf{HI}_2(\Delta, \Delta^4; 4; 2, 2, 2, 2)$, then $f = (\alpha_1, \beta_1, \alpha_2, \beta_2)$ up to reparametrizations, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2$ such that the branching loci of (α_1, β_1) is different from that of (α_2, β_2) .

- (2) Let $f = (f^1, f^2, f^3, f^4) \in \mathbf{HI}_2(\Delta, \Delta^4; 4; 1, 2, 4, 4)$. Then, $f^1(z) = z$ up to reparametrizations so that $(f^2, f^3, f^4) \in \mathbf{HI}_1(\Delta, \Delta^3; 4; 2, 4, 4)$. From [Ng10], we have $(f^2, f^3, f^4) = (\alpha_1, \alpha_2 \circ \beta_1, \beta_2 \circ \beta_1)$ up to reparametrizations, where $(\alpha_j, \beta_j) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$ for $j = 1, 2$.
- (3) Now, we consider the case where $n = 3$. The only possibility is that $(s_1, s_2, s_3, s_4) = (1, 3, 3, 3)$. Then, we have $f^1(z) = z$ up to reparametrizations so that

$$(1 - |f^2(z)|^2)(1 - |f^3(z)|^2)(1 - |f^4(z)|^2) = 1 - |z|^2$$

and thus $(f^2, f^3, f^4) : \Delta \rightarrow \Delta^3$ is a holomorphic isometric embedding with the isometric constant equal to 1. From [Ng10, Theorem 8.1], (f^2, f^3, f^4) has to be the cube-root embedding up to reparametrizations. Thus $f(z) = (z, \alpha_1(z), \alpha_2(z), \alpha_3(z))$ up to reparametrizations, where $(\alpha_1, \alpha_2, \alpha_3) : \Delta \rightarrow \Delta^3$ is the cube-root embedding. □

The following is part (3) of Theorem 1.1.

PROPOSITION 3.8. *Let $f : (\Delta, 3ds_\Delta^2) \rightarrow (\Delta^4, ds_{\Delta^4}^2)$ be a holomorphic isometric embedding. Then, $f(z) = (z, z, \alpha(z), \beta(z))$ up to reparametrizations, where $(\alpha, \beta) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$.*

Proof. We may assume without loss of generality that $f(0) = \mathbf{0}$. Note that $\sum_{j=1}^4 (1/s_j) = 3$, so there exists j such that $1/s_j \geq \frac{3}{4}$. But then $s_j \leq \frac{4}{3} < 2$ implies $s_j = 1$ so that $f^j(z) = z$ up to reparametrizations. We may assume without loss of generality that $f^1(z) = z$. Then, $(1 - |f^2(z)|^2)(1 - |f^3(z)|^2)(1 - |f^4(z)|^2) = (1 - |z|^2)^2$ so that $(f^2, f^3, f^4) \in \mathbf{HI}_2(\Delta, \Delta^3)$. It follows from Theorem 8.2 in [Ng10] that $(f^2(z), f^3(z), f^4(z)) = (z, \alpha(z), \beta(z))$ up to reparametrizations, where $(\alpha, \beta) \in \mathbf{HI}_1(\Delta, \Delta^2; 2)$. The result follows. \square

Combining the results, Theorem 1.1 is proved when $k = 1, 2, 3$. For the case where the isometric constant k equals 4, it is known from [Ng10, p. 2909] that $f(z) = (z, z, z, z)$ is the diagonal embedding up to reparametrizations. Hence, Theorem 1.1 is proved completely.

4. Generalizations of the Global Rigidity of the p th Root Embedding

In [Ch16a], the author has proven that any holomorphic isometric embedding in $\mathbf{HI}_1(\Delta, \Delta^p; p)$ is the p th root embedding F_p up to reparametrizations, which means that F_p is globally rigid in $\mathbf{HI}_1(\Delta, \Delta^p; p)$ in the sense of [Mok11]. This kind of phenomenon also occurs for the space $\mathbf{HI}_k(\Delta, \Delta^p; \frac{p}{k})$, where k, p are positive integers satisfying $p \geq 2, k \mid p$ and $\frac{p}{k} \geq 2$. Note that the case of $\mathbf{HI}_k(\Delta, \Delta^p; \frac{p}{k})$ is precisely the minimal case of $\mathbf{HI}_k(\Delta, \Delta^p)$ in terms of the global sheeting number. More precisely, we will show that all holomorphic isometries in $\mathbf{HI}_k(\Delta, \Delta^{qk}; q)$ are globally rigid for positive integers q, k satisfying $q \geq 2$ and $k \geq 1$. The following may be regarded as an analogue of [Ch16a, Theorem 1.1] because the technique of proving [Ch16a, Theorem 1.1] is still valid for a more general situation with slight modifications.

PROPOSITION 4.1. *Let p and k be integers satisfying $p \geq 2, 1 \leq k \leq p, \frac{p}{k} \in \mathbb{Z}$ and $\frac{p}{k} \geq 2$. Let $f = (f^1, \dots, f^p) : (\Delta, k ds_\Delta^2) \rightarrow (\Delta^p, ds_{\Delta^p}^2)$ be a holomorphic isometric embedding with the global sheeting number $q := \frac{p}{k}$ and the isometric constant k . Then, $f = (g_1, \dots, g_k)$ up to reparametrizations, where $g_j = F_q$ up to reparametrizations for $1 \leq j \leq k$ such that the branching loci of all g_j 's are the same and $F_q = (F_q^1, \dots, F_q^q) : \Delta \rightarrow \Delta^q$ is the q th root embedding.*

LEMMA 4.2 (Analogue of Lemma 4.9 in [Ch16a]). *Under the same assumptions as in Proposition 4.1, suppose that $q \geq 4$ is an even integer and π has 3 distinct branch points $a_1, a_2, a_3 \in \partial\Delta$. Then, there is a component function f^j of f such that $\tilde{f}^j(\tilde{\Delta}) \subset \Delta$, where $\tilde{f} = (\tilde{f}^1, \dots, \tilde{f}^{qk}) : \tilde{\Delta} \rightarrow \tilde{\Delta}^{qk}$ is the continuous mapping such that $\tilde{f}|_\Delta = f$.*

Proof. From the proof of [Ch16a, Proposition 4.4], we see that the ramification index $v(\pi, x)$ is independent of the choice of $x \in \pi^{-1}(a_j)$ for each j . Moreover, we will see in the proof of Proposition 4.1 that the branching loci of all component functions of f are the same and coincide with the branching locus of π . Let the ramification index of π at $x \in \pi^{-1}(a_j)$ be v_j for $j = 1, 2, 3$. Then,

from [Ch16a, Remark 4.5] we also have the Riemann-Hurwitz formula $2q - 2 = \sum_{j=1}^3 q(1 - \frac{1}{v_j})$ and all possible (v_1, v_2, v_3) are listed on Table 1 in [Ch16a, p. 355]. We may write $a_j = e^{i\theta_j}$ for $j = 1, 2, 3$ and assume that $0 \leq \theta_1 < \theta_2 < \theta_3 < 2\pi$ without loss of generality. Let $A_{3,1} = \{e^{i\theta} \in \partial\Delta \mid \theta \in (\theta_3, \theta_1 + 2\pi)\}$, $A_{1,2} = \{e^{i\theta} \in \partial\Delta \mid \theta \in (\theta_1, \theta_2)\}$ and $A_{2,3} = \{e^{i\theta} \in \partial\Delta \mid \theta \in (\theta_2, \theta_3)\}$. Since $m = 3$, each component function of f can only map precisely one connected component $A \subset \partial\Delta \setminus \{a_1, a_1, a_3\}$ into $\partial\Delta$. Then, by properness of the holomorphic isometric embedding f (cf. [Mok12]), we may suppose that $\widetilde{f}^\mu(A_{3,1}) \subset \partial\Delta$ for $1 \leq \mu \leq k$ and $\widetilde{f}^j(A_{3,1}) \not\subset \partial\Delta$ for $k + 1 \leq j \leq qk$; $\widetilde{f}^\mu(A_{1,2}) \subset \partial\Delta$ for $k + 1 \leq \mu \leq 2k$ and $\widetilde{f}^j(A_{1,2}) \not\subset \partial\Delta$ for $1 \leq j \leq k$ or $2k + 1 \leq j \leq qk$; $\widetilde{f}^\mu(A_{2,3}) \subset \partial\Delta$ for $2k + 1 \leq \mu \leq 3k$ and $\widetilde{f}^j(A_{2,3}) \not\subset \partial\Delta$ for $1 \leq j \leq 2k$ or $3k + 1 \leq j \leq qk$.

For all cases listed on Table 1 in [Ch16a, p. 355], we have $v_3 = 2$. In order to be consistent to the above setting, by continuity of the map \widetilde{f} , we would have $|\widetilde{f}^\mu(a_3)| = 1$ for $1 \leq \mu \leq k$ or $2k + 1 \leq \mu \leq 3k$, $|\widetilde{f}^j(a_3)| < 1$ for $k + 1 \leq j \leq 2k$ or $3k + 1 \leq j \leq qk$ by arguments in the proof of Lemma 4.3 in [Ch16a]; $|\widetilde{f}^{\mu'}(a_2)| = 1$ for $2k + 1 \leq \mu' \leq 3k$ or $k + 1 \leq \mu' \leq 2k$ and $|\widetilde{f}^{\mu''}(a_1)| = 1$ for $k + 1 \leq \mu'' \leq 2k$ or $1 \leq \mu'' \leq k$. Actually, arguments in the proof of Lemma 4.3 in [Ch16a] would imply that if the ramification index of π at $(a_i, f_1^{-1}(a_i), \dots, f_l^{qk}(a_i))$ equals s , then there exist distinct $j_1, \dots, j_{sk} \in \{1, \dots, qk\}$ such that $|\widetilde{f}_1^{j_\mu}(a_i)| = 1$ for $1 \leq \mu \leq sk$. If $2 \leq s < q$, then $|\widetilde{f}_1^j(a_i)| \neq 1$ for $j \notin \{j_1, \dots, j_{sk}\}$. The only difference is that in the proof of Lemma 4.3 in [Ch16a, p. 352], we replace the term $1 - |z|^2$ by $(1 - |z|^2)^k$ in the functional equation, replace the term $-\overline{a_i}\xi^s$ by $(-\overline{a_i})^k \xi^{ks}$ in the polarized functional equation and also replace p by q . The argument of comparing the vanishing orders of holomorphic functions at $\xi = 0$ is still valid. Now, we assume the contrary that

$$\nexists j \in \{1, \dots, kq\} \text{ such that } \widetilde{f}^j(\overline{\Delta}) \subset \Delta. \tag{4.1}$$

Then, for $3k + 1 \leq \mu \leq qk$, we should have $|\widetilde{f}^\mu(a_2)| = 1$ or $|\widetilde{f}^\mu(a_1)| = 1$.

In any case listed on Table 1 in [Ch16a, p. 355], the number of elements in the set

$$I_2 := \{\mu \in \mathbb{Z} \mid 3k + 1 \leq \mu \leq qk, |\widetilde{f}^\mu(a_2)| = 1 \text{ or } |\widetilde{f}^\mu(a_1)| = 1\}$$

is at most $2(\frac{q}{2} \cdot k - 2k) = (q - 4)k$ because we already have $|\widetilde{f}^{\mu'}(a_2)| = 1$ for $2k + 1 \leq \mu' \leq 3k$ or $k + 1 \leq \mu' \leq 2k$, $|\widetilde{f}^{\mu''}(a_1)| = 1$ for $k + 1 \leq \mu'' \leq 2k$ or $1 \leq \mu'' \leq k$ and $v_1, v_2 \leq \frac{q}{2}$. Note that $|\widetilde{f}^j(a_3)| < 1$ for $k + 1 \leq j \leq 2k$ or $3k + 1 \leq j \leq qk$, by the assumption made in Equation (4.1), the set I_2 would have precisely $(q - 3)k$ elements. This leads to a contradiction. Hence, we conclude that there exists $j \in \{1, \dots, qk\}$ such that $\widetilde{f}^j(\overline{\Delta}) \subset \Delta$. □

Proof of Proposition 4.1. Assume without loss of generality that $f(0) = \mathbf{0}$. Note that $\sum_{j=1}^{kq} (1/s_j) = k$ and $s_j \mid q$ so that $s_j \leq q$. Then, $k = \sum_{j=1}^{kq} \frac{1}{q} \leq \sum_{j=1}^{kq} (1/s_j) = k$ implies that $s_j = q$ for $1 \leq j \leq p$. The method used in the proof of the global rigidity of the p th root embedding can be applied to the study of $\mathbf{HI}_k(\Delta, \Delta^{kq}; q)$ since $s_j = q$ for $1 \leq j \leq kq$, so that all rational functions R_j

are equivalent, i.e., $R_i = R_j \circ \varphi_{ji}$ for some $\varphi_{ji} \in \text{Aut}(\mathbb{P}^1)$. From the arguments in the study of the minimal case in [Ng10], the branching loci of all component functions of f are the same, and for each point $(z, w_1, \dots, w_p) \in V$, the ramification index of π_j at (z, w_j) equals the ramification index of π_i at (z, w_i) for distinct $i, j, 1 \leq i, j \leq p$. Let $\{a_1, \dots, a_m\} \subset \partial\Delta$ be the set of all distinct branch points of $\pi : V \rightarrow \mathbb{P}^1$. Then, for each connected component $A \subset \partial\Delta \setminus \{a_1, \dots, a_m\}$, there are precisely k component functions of f that map A into $\partial\Delta$. From the arguments in the proof of Proposition 4.4 in [Ch16a], we have $2 \leq m \leq 3$, and Table 1 in [Ch16a, p. 355] still provides all possible cases when $q \geq 4$ is even and $m = 3$. In fact, we only need to modify the arguments in the proof of Proposition 4.4 in [Ch16a], namely replacing the term $1 - |z|^2$ (resp. $-\overline{a_i}\xi^s$) by $(1 - |z|^2)^k$ (resp. $(-\overline{a_i})^k \xi^{ks}$) in the functional equation (resp. polarized functional equation) and also replacing p by q . The argument of comparing the vanishing orders of holomorphic functions at $\xi = 0$ is still valid.

If $q = 2$ or $q \geq 3$ is odd, then it follows from the arguments in the proof of both Proposition 4.4 and Corollary 4.6 in [Ch16a] that f has precisely two distinct branch points. If $q \geq 4$ is an even integer and $m = 3$, then it follows from Lemma 4.2 that $\widetilde{f^j}(\overline{\Delta}) \subset \Delta$ for some j , which contradicts the maximum principle as in the proof of Proposition 4.8 in [Ch16a]. Thus $m \neq 3$, so that $m = 2$. Therefore, all component functions of f are some component functions of the q th root embedding up to reparameterizations (see Lemma 4.9 in [Ng10, p. 2913]). Note that $\pi : V \rightarrow \mathbb{P}^1$ is also q -sheeted. By the proof of Theorem 6.5 in [Ng10] and the polarized functional equation

$$\prod_{j=1}^{qk} (1 - f^j(z)\overline{f^j(w)}) = (1 - z\overline{w})^k$$

for fixed $w \in \Delta \setminus \{0\}$, each branch of f has precisely k distinct component functions that take the value ∞ at ∞ . Thus, these k component functions of f are the same component function of the q th root embedding up to reparameterizations. We may suppose without loss of generality that $f^{\mu k+1}, \dots, f^{\mu k+k}$ are the same component function of F_q up to reparameterizations for each $\mu = 0, \dots, q - 1$ and that for $1 \leq j, i \leq k, f^{\mu k+j}$ and $f^{\mu' k+i}$ are not congruent to the same component function of F_q , provided that $\mu \neq \mu'$. In addition, $(f^j, f^{j+k}, \dots, f^{j+(q-1)k})$ is the q th root embedding F_q up to reparameterizations for $1 \leq j \leq k$. The result follows. □

REMARK. As an application of Theorem 1.1, we can solve the classification problem for the space $\mathbf{HI}_{p-l}(\Delta, \Delta^p)$ when $l = 1, 2$. In fact, given any $f \in \mathbf{HI}_{p-l}(\Delta, \Delta^p)$ for $p \geq 5$ and $l = 1$ (resp. $l = 2$), it follows from direct computation via Ng's identity $\sum_{j=1}^p (1/s_j) = p - l$ (see [Ng10]) that there are $p - 2$ (resp. at least $p - 4$) component functions f^j of f with sheeting numbers equal to 1, so that $f^j(z) = z$ up to reparameterizations. This shows that such a holomorphic isometry f is given by $f(z) = (g_1(z), g_2(z))$ up to reparameterizations

for some $g_1 \in \mathbf{HI}_\mu(\Delta, \Delta^\mu)$ and $g_2 \in \mathbf{HI}_{p-l-\mu}(\Delta, \Delta^{p-\mu})$, where μ is the number of component functions of f with the sheeting numbers equal to 1. Here we apply Theorem 1.1 precisely when $l = 2$ and $\mu = p - 4$. The details can be found in [Ch16b]. This gives a complete classification of all holomorphic isometries in $\mathbf{HI}_{p-l}(\Delta, \Delta^p)$ when $l = 1, 2$ and $p \geq 3$. (Noting that the cases where $p = 3, 4$ have been done by Ng [Ng10] and the author in Theorem 1.1, respectively.) Moreover, the result obtained for the case where $p = 4$ and $l = 1$ is precisely that in Proposition 3.8.

On the other hand, by applying both Proposition 4.1 and Theorem 1.1 we have solved the classification problem for the subspace $\mathbf{HI}_k(\Delta, \Delta^p; n)$ of $\mathbf{HI}_k(\Delta, \Delta^p)$ whenever the global sheeting number n is a prime number such that $\mathbf{HI}_k(\Delta, \Delta^p; n)$ is nonempty. More precisely, if $f \in \mathbf{HI}_k(\Delta, \Delta^p; n)$ for some prime number n , then f is parameterized by the n th root embedding, the diagonal embeddings, and automorphisms of Δ and Δ^p , respectively. This has been done in the Ph.D. thesis of the author [Ch16b].

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