THE DISTRIBUTION OF VALUES OF MULTIPLICATIVE FUNCTIONS

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1. INTRODUCTION. E. Landau [1] has shown that, as $x \to \infty$, the number of positive integers not exceeding x which are representable as the sum of two squares is asymptotic to

(1) Bx
$$(\log x)^{-1/2}$$
,

where

$$B = \left(2^{-1} \prod_{p \equiv 3 \pmod{4}} \frac{1}{1 - p^{-2}}\right)^{1/2}.$$

P. Lévy [2] gave a simple heuristic derivation of (1) without determining B; his argument also led him to the conjecture that, if $r_2(m)$ is the number of representations of m as the sum of the squares of two positive integers, and $R_k(x)$ is the number of $m \le x$ for which $r_2(m) = k$, then

$$R_k(x) \sim \frac{Bx}{\log^{1/2} x} \cdot \frac{e^{-\theta} \theta^k}{k!}$$
, where $\theta = c \log^{1/2} x$.

In probabilistic terms, this means roughly that, out of the integers m for which $r_2(m) > 0$, those for which $r_2(m)$ has a specified value have a Poisson distribution, with parameter θ .

It will be shown here that this is not the case, and that in fact the asymptotic behavior of $R_k(x)$ depends rather strongly on the arithmetic structure of k, as well as on its size. This is not very surprising, since r_2 , being a multiplicative function, must be considered in probability language as a product of random variables, while the usual theory applies to sums of random variables. Thus A. Wintner [3] has shown that if f is an additive function [so that f(mn) = f(m) + f(n) whenever (m, n) = 1] with the property that f(p) = 1 and $f(p^{\alpha}) > 0$ for all primes p and all $\alpha > 1$, then the number of solutions of f(m) = k which do not exceed x is asymptotic to

$$\frac{x (\log \log x)^{k-1}}{(k-1)! \log x};$$

this is a "Poisson distribution" with parameter $\log \log x$. In particular, if $\omega(m)$ is the total number of prime divisors of m, $\tau(m)$ is the number of divisors of m, and $f(m) = \omega(\tau(m))$, then f satisfies Wintner's hypotheses, so that the integers m for which $\tau(m)$ has a specified number of prime factors are Poisson distributed; that this is not true of the m for which $\tau(m)$ has a specified value is shown in §2.

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It is well known that, with the definition of $r_2(m)$ above, the equation $r_2(m) = \tau(m')$ holds if $r_2(m) > 0$, where m' is the factor of m consisting of all the primes of the form 4t+1 which divide m. Because of this close relation, and because of the independent interest in the τ function, §2 is devoted to an investigation of the asymptotic behavior of the number $T_k(x)$ of integers m not exceeding x for which $\tau(m) = k$. In §3, the estimation of $R_k(x)$ is effected.

2. THE ESTIMATION OF $T_k(x)$. It is clear that, for each k, the multiplicative structure of the numbers m for which $\tau(m)=k$ is determined by that of k. Thus, if k=2, then m=p; if k=3, $m=p^2$; if k=4, m=pq or $m=p^3$, and so forth. In general, if $\tau(m)=k$, then m is an integer of the form p_1 $\cdots p_r$, where p_1, \cdots, p_r are distinct primes and $(\alpha_1+1)\cdots(\alpha_r+1)$ is a factorization of k. Thus

(2)
$$T_{k}(x) = \sum_{k} T(x | \alpha_{1}, \dots, \alpha_{r}),$$

where $T(x|\alpha_1, \dots, \alpha_r)$ is the number of integers $m \le x$ of the form $p_1^{\alpha_1} \dots p_r^{\alpha_r}$, the primes p_1, \dots, p_r being distinct; here (and hereafter)

$$\sum_{\mathbf{k}}^{\mathbf{l}} \mathbf{F} (\alpha_{\mu}, \, \cdots, \, \alpha_{\nu})$$

denotes a summation over all sets $\alpha_{\mu}, \, \cdots, \, \alpha_{\nu}$ of positive integers such that

$$(\alpha_{\mu} + 1) \cdots (\alpha_{\nu} + 1) = k, \quad \alpha_{\mu} \leq \cdots \leq \alpha_{\nu}.$$

THEOREM 1. If $\alpha_1 = \cdots = \alpha_{\nu} < \alpha_{\nu+1} \le \cdots \le \alpha_r$, then

(3)
$$T(x|\alpha_1, \dots, \alpha_r) \sim \frac{A_1}{(\nu-1)!} \frac{x^{1/\alpha_1} \log_2^{\nu-1} x}{\log x}$$

as $x \rightarrow \infty$, where $\log_2 x = \log \log x$ and

$$A_1 = A_1(\alpha_1, ..., \alpha_r) = \alpha_1 \sum_{\nu=1}^{n} \left(p_{\nu+1}^{-\alpha_{\nu+1}} ... p_r^{-\alpha_r} \right)^{1/\alpha_1},$$

the summation Σ^n being over all sets of distinct primes $p_{\nu+1}$, ..., p_r which yield distinct terms of the form written. Hence, if

$$k = P_1^{\nu_1} \cdots P_s^{\nu_s} = P_1^{\nu_1} k_1,$$

where the P_i are primes with $P_1 < P_2 < \cdots < P_s$, then

(4)
$$T_{k}(x) \sim \frac{A_{2}}{(\nu_{1}-1)!} \cdot \frac{x^{(P_{1}-1)^{-1}} \log_{2}^{\nu_{1}-1} x}{\log x},$$

where

$$A_2 = \sum_{k_1}^{\dagger} A_1(\alpha_{\nu_1+1}, \dots, \alpha_r), \quad \alpha_1 = P_1 - 1.$$

It is clear from (3) that the dominant terms in the sum occurring in (2) are those in which, first, α_1 is minimal, and second, ν is maximal; that is, those corresponding to the factorization

$$k = \underbrace{P_1 \cdots P_1}_{\nu_1} (\alpha_{\nu_1+1} + 1) \cdots (\alpha_r + 1)$$

of k in which $\alpha_1 = \cdots = \alpha_{\nu_1} = P_1 - 1$. This gives (4).

For a set of α 's as described in the hypothesis, put

$$a_{n} = \begin{cases} 1 & \text{if } n = p_{1}^{\alpha_{1}} \cdots p_{r}^{\alpha_{r}} \text{ for some distinct primes } p_{1}, \cdots, p_{r}, \\ 0 & \text{otherwise.} \end{cases}$$

Then

$$T(x | \alpha_l, \dots, \alpha_r) = \sum_{n \leq x} a_n.$$

Put

$$f(s) = \sum_{n=1}^{\infty} a_n n^{-s} = \sum_{n=1}^{\infty} p_1^{-\alpha_1 s} \cdots p_r^{-\alpha_r s}$$

and

$$P(s) = \sum_{p} p^{-s};$$

then f(s) can be expressed as a polynomial in

$$P(s)$$
, $P(2s)$, ..., $P((\alpha_1 + \cdots + \alpha_r)s)$.

For example, if r = 1, then $f(s) = P(\alpha_1 s)$, while if r = 2, $\alpha_1 = 1$, and $\alpha_2 = 2$, then f(s) = P(s)P(2s) - P(3s). In general, this polynomial has rational coefficients, and it does not involve any $P(\alpha s)$ with $\alpha < \alpha_1$. We write

(5)
$$f(s) = h_0 (P_1(\alpha_1 s))^{\nu} + h_1 (P_1(\alpha_1 s))^{\nu-1} + \cdots + h_{\nu},$$

where now the coefficients h_{μ} are polynomials in various functions $P(\kappa_i s)$ with each $\kappa_i > \alpha_l$. In particular, it is easily seen that

(6)
$$h_0 = \frac{1}{\nu!} \sum_{\nu=1}^{n} p_{\nu+1}^{-\alpha_{\nu+1}s} \cdots p_r^{-\alpha_r s},$$

and this sum can be written in the desired form.

Let $G(\alpha)$ be the region of complex numbers $s = \sigma + it$ such that

$$\sigma \ge \alpha^{-1}(1 - a/\log^b \alpha t) \qquad \text{for } t \ge c/\alpha,$$

$$\sigma \ge \alpha^{-1}(1 - a/\log^b c) = \theta_{\alpha} \quad \text{for } |t| \le c/\alpha,$$

$$\sigma \ge \alpha^{-1}(1 - a/\log^b (-\alpha t)) \quad \text{for } t \le -c/\alpha.$$

It is well known (see, for example, [4], p. 179) that, for suitable positive numbers a, b, and c, the zeta-function is regular in G(1) except for a pole of order 1 at s=1, and that $\zeta(s) \neq 0$ for all $s \in G(1)$. Hence if $G'(\alpha)$ results from $G(\alpha)$ by making a linear cut from θ_{α} to $1/\alpha$, then $\log \zeta(\alpha s)$ is regular in $G'(\alpha)$, except at $s=\alpha^{-1}$, and $\log \zeta(\alpha s) - \log (\alpha s - 1)^{-1}$ is regular throughout $G(\alpha)$. It is known also ([4], p. 239) that, for

$$|t| \ge c/\alpha$$
 and $\alpha \sigma \ge 1 - a (\log^b |\alpha t|)^{-1}$,

the inequality

$$\left|\log \zeta(\alpha s)\right| < d \log^b \left|\alpha t\right|$$

holds, when the constant d' is sufficiently large.

We have

$$\log \zeta(\alpha s) - P(\alpha s) = \sum_{\substack{m,p \\ m \geq 2}} 1/mp^{\alpha m s},$$

and this series is absolutely convergent for $\sigma > (2\alpha)^{-1}$. Hence for a < 1/2 the function $P(\alpha s)$ - $\log{(\alpha s - 1)^{-1}}$ is regular in $G(\alpha)$, $P(\alpha s)$ is regular in $G'(\alpha)$ excluding $s = \alpha^{-1}$, and

$$|P(\alpha s)| < d \log^b |\alpha t|$$

for $\alpha |t| \ge c$ and $\alpha \sigma \ge 1 - a (\log^b |\alpha t|)^{-1}$.

For a sufficiently small and $\alpha>\alpha_1$, the pole of $P(\alpha s)$ lies outside $G(\alpha_1)$; we choose a small enough to meet all requirements so far stated. Then the coefficients h_{μ} in (5) are regular throughout $G(\alpha_1)=G$. Hence f(s) is regular in G' excluding $s=\alpha_1^{-1}$; for

$$|\alpha_1 t| \ge c$$
 and $\alpha_1 \sigma \ge 1 - a/\log^b |\alpha_1 t|$,

the inequality

$$|f(s)| < d \log^b |t|$$

holds; and there exist functions $\phi_1, \dots, \phi_{\nu}$, regular in G, such that the function

(7)
$$f(s) - \phi_1(s) \log (\alpha_1 s - 1)^{-1} - \cdots - \phi_{\nu}(s) \log^{\nu} (\alpha_1 s - 1)^{-1}$$

is regular in G. [In particular, $\phi_{\nu}(s) = h_0$, as given by (6).] By a well-known theorem ([4], pp. 183-185),

$$2\pi i \sum_{n \le x} a_n \log x/n = \int_{2-i\infty}^{2+i\infty} x^s s^{-2} f(s) ds,$$

the path of integration being the line $\sigma = 2$. By a standard argument (*loc. cit.* pp. 186, 240), this can be replaced by

$$2\pi i S(x) = -\int_{\theta}^{\beta} x^{s} s^{-2} f(s) ds - \int_{\beta}^{\theta} x^{s} s^{-2} f(s) ds + O(x^{\beta}/\log^{m} x),$$

where $\theta = \theta_{\alpha_1}$ and $\beta = \alpha_1^{-1}$, the path of the first integral is the upper edge of the cut, that of the second is the lower, m is arbitrary, and

$$S(x) = \sum_{n < x} a_n \log x/n.$$

Now let $\delta = \delta(x)$ be a monotone decreasing function which approaches zero as $x \to \infty$; later it will be chosen precisely. We have

$$\int_{\mathbf{x}}^{\mathbf{x}+\delta\mathbf{x}} \mathbf{T}(\mathbf{u} | \boldsymbol{\alpha}_{1}, \dots, \boldsymbol{\alpha}_{r}) \mathbf{u}^{-1} d\mathbf{u} = \int_{\mathbf{x}}^{\mathbf{x}+\delta\mathbf{x}} \sum_{\mathbf{n} \leq \mathbf{u}} \mathbf{a}_{\mathbf{n}} \mathbf{u}^{-1} d\mathbf{u}$$

$$= \sum_{\mathbf{n} \leq \mathbf{x}+\delta\mathbf{x}} \mathbf{a}_{\mathbf{n}} \int_{\mathbf{n}}^{\mathbf{x}+\delta\mathbf{x}} \mathbf{u}^{-1} d\mathbf{u} - \sum_{\mathbf{n} \leq \mathbf{x}} \mathbf{a}_{\mathbf{n}} \int_{\mathbf{n}}^{\mathbf{x}} \mathbf{u}^{-1} d\mathbf{u}$$

$$= \sum_{\mathbf{n} \leq \mathbf{x}} \mathbf{a}_{\mathbf{n}} \int_{\mathbf{x}}^{\mathbf{x}+\delta\mathbf{x}} \mathbf{u}^{-1} d\mathbf{u} + \sum_{\mathbf{x}}^{\mathbf{x}+\delta\mathbf{x}} \mathbf{a}_{\mathbf{n}} \int_{\mathbf{n}}^{\mathbf{x}+\delta\mathbf{x}} \mathbf{u}^{-1} d\mathbf{u}$$

$$= \sum_{\mathbf{n} \leq \mathbf{x}} \mathbf{a}_{\mathbf{n}} \log (1+\delta) + \sum_{\mathbf{x}}^{\mathbf{x}+\delta\mathbf{x}} \mathbf{a}_{\mathbf{n}} \log \frac{\mathbf{x}+\delta\mathbf{x}}{\mathbf{n}}$$

$$= \log (1+\delta) \sum_{\mathbf{n} \leq \mathbf{x}} \mathbf{a}_{\mathbf{n}} + \sum_{\mathbf{n} \leq \mathbf{x}+\delta\mathbf{x}} \mathbf{a}_{\mathbf{n}} \log \frac{\mathbf{x}+\delta\mathbf{x}}{\mathbf{n}} - \sum_{\mathbf{n} \leq \mathbf{x}} \mathbf{a}_{\mathbf{n}} \log \left(\frac{\mathbf{x}+\delta\mathbf{x}}{\mathbf{n}} \cdot \frac{\mathbf{x}}{\mathbf{x}}\right)$$

$$= \log (1+\delta) \sum_{\mathbf{n} \leq \mathbf{x}} \mathbf{a}_{\mathbf{n}} + \mathbf{S}(\mathbf{x}+\delta\mathbf{x}) - \mathbf{S}(\mathbf{x}) - \log (1+\delta) \sum_{\mathbf{n} \leq \mathbf{x}} \mathbf{a}_{\mathbf{n}}$$

$$= \mathbf{S}(\mathbf{x}+\delta\mathbf{x}) - \mathbf{S}(\mathbf{x}).$$

But

$$\int_{x}^{x+\delta x} T(u | \alpha_{1}, \dots, \alpha_{r}) u^{-1} du \leq T(x + \delta x | \alpha_{1}, \dots, \alpha_{r}) \log (1 + \delta)$$

$$= T(x + \delta x | \alpha_{1}, \dots, \alpha_{r}) (\delta + o(\delta)),$$

and

$$\int_{x}^{x+\delta x} T(u | \alpha_{1}, \dots, \alpha_{r}) u^{-1} du \geq T(x | \alpha_{1}, \dots, \alpha_{r}) (\delta + o(\delta)).$$

Hence

(8)
$$\limsup_{x\to\infty} \frac{T(x|\alpha_1, \dots, \alpha_r)}{\{S(x+\delta x) - S(x)\}/\delta} \leq 1$$

and

(9)
$$\lim_{x \to \infty} \inf_{\infty} \frac{T(x + \delta x | \alpha_1, \dots, \alpha_r)}{\{S(x + \delta x) - S(x)\}/\delta} \ge 1,$$

and we need an asymptotic expression for $S(x + \delta x) - S(x)$. We have

$$\begin{split} &2\pi i \left\{ S(x+\delta x) - S(x) \right\} \\ &= -\left(\int_{\theta}^{\beta} + \int_{\beta}^{\theta} \right) (x+\delta x)^{S} s^{-2} f(s) ds - \left(\int_{\theta}^{\beta} + \int_{\beta}^{\theta} \right) x^{S} s^{-2} f(s) ds + O(x^{\beta}/\log^{4} x) \\ &= -\int_{\theta}^{\beta} \rho(x,\delta,s) s^{-2} f(s) ds - \int_{\beta}^{\theta} \rho(x,\delta,s) s^{-2} f(s) ds + O(x^{\beta}/\log^{4} x), \end{split}$$

where $\rho(x, \delta, s) = (x + \delta x)^s - x^s$. Using (7), we have

$$2\pi i \{S(x + \delta x) - S(x)\}$$

$$= -\left(\int_{\theta}^{\beta} + \int_{\beta}^{\theta}\right) \rho(x, \delta, s) s^{-2} \{\phi_{\nu}(s) \log^{\nu} (\alpha_{1}s - 1)^{-1} + \phi_{\nu-1}(s) \log^{\nu-1} (\alpha_{1}s - 1)^{-1} + O(\log^{\nu-2} (\alpha_{1}s - 1)^{-1})\} ds + O(x^{\beta}/\log^{4} x).$$

In moving across the cut, $\log (\alpha_1 s - 1)^{-1}$ must be replaced by $\log (\alpha_1 s - 1)^{-1} + 2\pi i$, so that

$$\begin{split} & 2\pi \mathrm{i} \big\{ \mathrm{S}(\mathrm{x} + \delta \mathrm{x}) - \mathrm{S}(\mathrm{x}) \big\} \\ & = \int_{\alpha}^{\beta} \rho(\mathrm{x}, \ \delta, \ \mathrm{s}) \, \mathrm{s}^{-2} \, \phi_{\nu}(\mathrm{s}) \, \big\{ (\log{(\alpha_{1} \mathrm{s} - 1)^{-1}} + 2\pi \mathrm{i})^{\nu} - \log^{\nu}{(\alpha_{1} \mathrm{s} - 1)^{-1}} \big\} \, \mathrm{d} \mathrm{s} \end{split}$$

$$\begin{split} &+ \int_{\theta}^{\beta} \rho(\mathbf{x}, \, \delta, \, \mathbf{s}) \, \mathbf{s}^{-2} \phi_{\nu-1} \, (\mathbf{s}) \, \big\{ (\log (\alpha_1 \mathbf{s} - 1)^{-1} + 2\pi \mathbf{i})^{\nu-1} - \log^{\nu-1} (\alpha_1 \mathbf{s} - 1)^{-1} \big\} \, \mathrm{d}\mathbf{s} \\ &+ O \bigg(\int_{\theta}^{\beta} \rho(\mathbf{x}, \, \delta, \, \mathbf{s}) \, \mathbf{s}^{-2} \log^{\nu-2} (\alpha_1 \mathbf{s} - 1)^{-1} \, \mathrm{d}\mathbf{s} \bigg) + O(\mathbf{x}^{\beta}/\log^4 \mathbf{x}) \\ &= \nu \int_{\theta}^{\beta} \rho(\mathbf{x}, \, \delta, \, \mathbf{s}) \, \mathbf{s}^{-2} \phi_{\nu}(\mathbf{s}) \log^{\nu-1} (\alpha_1 \mathbf{s} - 1)^{-1} \, \mathrm{d}\mathbf{s} \\ &+ O \bigg(\int_{\theta}^{\beta} \rho(\mathbf{x}, \, \delta, \, \mathbf{s}) \, \mathbf{s}^{-2} \log^{\nu-2} (\alpha_1 \mathbf{s} - 1)^{-1} \, \mathrm{d}\mathbf{s} \bigg) + O(\mathbf{x}^{\beta}/\log^4 \mathbf{x}) \, . \end{split}$$

Now

$$\begin{split} &\int_{\theta}^{\beta} \rho(\mathbf{x}, \, \delta, \, \mathbf{s}) \, \mathbf{s}^{-2} \phi_{\nu}(\mathbf{s}) \log^{\nu - 1} (\alpha_{1} \mathbf{s} \, - \, 1)^{-1} \, \mathrm{d} \mathbf{s} \\ &= \int_{\theta}^{\beta} \rho(\mathbf{x}, \, \delta, \, \mathbf{s}) \, \mathbf{s}^{-2} \phi_{\nu}(\mathbf{s}) \{ \log (1 \, - \, \alpha_{1} \, \mathbf{s})^{-1} \, - \, \pi \mathbf{i} \}^{\nu - 1} \, \, \mathrm{d} \mathbf{s} \\ &= \int_{\theta}^{\beta} \rho(\mathbf{x}, \, \delta, \, \mathbf{s}) \, \mathbf{s}^{-2} \phi_{\nu}(\mathbf{s}) \log^{\nu - 1} \, (1 \, - \, \alpha_{1} \mathbf{s})^{-1} \, \mathrm{d} \mathbf{s} \\ &\quad + O\bigg(\int_{\theta}^{\beta} \rho(\mathbf{x}, \, \delta, \, \mathbf{s}) \, \mathbf{s}^{-2} \log^{\nu - 2} (1 \, - \, \alpha_{1} \mathbf{s})^{-1} \, \mathrm{d} \mathbf{s} \bigg), \end{split}$$

and

$$\begin{split} & \int_{\theta}^{\beta} \rho(\mathbf{x}, \ \delta, \ \mathbf{s}) \, \mathbf{s}^{-2} \, \phi_{\nu}(\mathbf{s}) \log^{\nu-1} \, (1 - \alpha_{1}\mathbf{s})^{-1} \, d\mathbf{s} \\ & = \int_{\theta}^{\beta} \rho(\mathbf{x}, \ \delta, \ \mathbf{s}) \big\{ \beta^{-2} \, \phi_{\nu}(\beta) + O(\beta - \mathbf{s}) \big\} \big\{ \log^{\nu-1} \, (\beta - \mathbf{s})^{-1} + O(\log^{\nu-2} \, (\beta - \mathbf{s})^{-1}) \big\} \, d\mathbf{s} \\ & = \alpha_{1}^{2} \, \phi_{\nu}(\beta) \int_{\theta}^{\beta} \rho(\mathbf{x}, \ \delta, \ \mathbf{s}) \log^{\nu-1} \, (\beta - \mathbf{s})^{-1} \, d\mathbf{s} + O\bigg(\int_{\theta}^{\beta} \rho(\mathbf{x}, \ \delta, \ \mathbf{s}) \log^{\nu-2} \, (\beta - \mathbf{s})^{-1} \, d\mathbf{s} \bigg). \end{split}$$

Moreover,

$$\int_{\theta}^{\beta} \rho(x, \delta, s) \log^{\nu - 1} (\beta - s)^{-1} ds = \int_{0}^{\beta - \theta} \rho(x, \delta, \beta - y) (-\log y)^{\nu - 1} dy$$

$$\begin{split} &= \int_0^{\beta-\theta} \left\{ (x+\delta x)^{\beta-y} - x^{\beta-y} \right\} \; (-\log y)^{\nu-1} \, dy \\ &= x^{\beta} \int_0^{\beta-\theta} x^{-y} \left\{ (1+\delta)^{\beta-y} - 1 \right\} \; (-\log y)^{\nu-1} \, dy \\ &= x^{\beta} \int_0^{\beta-\theta} x^{-y} \left\{ (\beta-y)\delta + O(\delta^2) \right\} (-\log y)^{\nu-1} \, dy \\ &= \frac{\delta x^{\beta}}{\alpha_1} \int_0^{\beta-\theta} x^{-y} \; (-\log y)^{\nu-1} \, dy - \delta x^{\beta} \int_0^{\beta-\theta} x^{-y} \; y \; (-\log y)^{\nu-1} \, dy \\ &+ O\left(\delta^2 \, x^{\beta} \int_0^{\beta-\theta} x^{-y} (-\log y)^{\nu-1} \, dy \right) \\ &= \frac{\delta \, x^{\beta} [1+O(\delta)]}{\alpha_1 \log x} \int_0^{(\beta-\theta) \log x} e^{-z} \; (\log_2 x - \log z)^{\nu-1} \, dz \\ &- \frac{\delta \, x^{\beta}}{\log^2 x} \int_0^{(\beta-\theta) \log x} e^{-z} \; z (\log_2 x - \log z)^{\nu-1} \, dz \\ &= \frac{\delta \, x^{\beta} [1+O(\delta)]}{\alpha_1 \log x} \log_2^{\nu-1} \; x \; \int_0^{(\beta-\theta) \log x} e^{-z} \, dz \\ &+ O\left(\frac{\delta \, x^{\beta} [1+O(\delta)]}{\alpha_1 \log x} \log_2^{\nu-2} \; x \; \int_0^{(\beta-\theta) \log x} e^{-z} \log z \; dz \right) + O\left(\frac{\delta \, x^{\beta} \log_2^{\nu-1} \; x}{\log^2 x} \right) \\ &= \frac{\delta \, x^{\beta} \log_2^{\nu-1} x}{\log x} + O\left(\frac{\delta^2 \, x^{\beta} \log_2^{\nu-1} x}{\log x} \right) + O\left(\frac{\delta \, x^{\beta} \log_2^{\nu-2} x}{\log x} \right). \end{split}$$

It follows that

$$\begin{split} \mathrm{S}(\mathrm{x} + \delta \mathrm{x}) - \mathrm{S}(\mathrm{x}) & \neq \alpha_1 \nu \, \phi_{\nu}(\beta) \frac{\delta \mathrm{x}^{\beta} \log_2^{\nu-1} \, \mathrm{x}}{\log \, \mathrm{x}} \\ & + \mathrm{O}\left(\frac{\delta^2 \, \mathrm{x}^{\beta} \log_2^{\nu-1} \, \mathrm{x}}{\log \, \mathrm{x}}\right) + \mathrm{O}\left(\frac{\delta \mathrm{x}^{\beta} \log_2^{\nu-2} \, \mathrm{x}}{\log \, \mathrm{x}}\right) + \mathrm{O}\left(\frac{\mathrm{x}^{\beta}}{\log^4 \, \mathrm{x}}\right), \end{split}$$
 and for $\delta = \delta(\mathrm{x}) = (\log \, \mathrm{x})^{-2}$ we obtain

 $\frac{S(x + \delta x) - S(x)}{\delta} \sim \alpha_1 \nu \phi_{\nu}(\beta) \frac{x^{\beta} \log_2^{\nu-1} x}{\log x}.$

Here the function on the right is of sufficiently slow growth that it has asymptotically equal values for asymptotically equal arguments; together with the relations (8) and (9), this clearly implies that

$$\begin{split} \mathbf{T}(\mathbf{x} \left| \alpha_1, \; \cdots, \; \alpha_{\mathbf{r}} \right) &\sim \alpha_1 \, \nu \, \phi_{\nu}(\beta) \, \frac{\mathbf{x}^{\beta} \log_2^{\nu-1} \, \mathbf{x}}{\log \, \mathbf{x}} \\ &= \frac{\alpha_1}{(\nu-1)!} \, \sum^{"} \left(\mathbf{p}_{\nu+1}^{\alpha_{\nu+1}} \cdots \mathbf{p}_{\mathbf{r}}^{\alpha_{\mathbf{r}}} \right)^{-1/\alpha_1} \, \frac{\mathbf{x}^{\beta} \log_2^{\nu-1} \, \mathbf{x}}{\log \, \mathbf{x}}. \end{split}$$

3. THE ESTIMATION OF $R_k(x)$. Throughout this section the letters p and q will be used exclusively to designate primes congruent to 1 and 3 (mod 4), respectively. To emphasize this, the symbols "(1)" and "(3)" will be adjoined to summation and product symbols, when appropriate. We define

$$\zeta_{1}(s) = \prod_{(1)} (1 - p^{-s})^{-1} \quad \text{for } \Re s > 1,$$

$$\zeta_{3}(s) = \prod_{(3)} (1 - q^{-s})^{-1} \quad \text{for } \Re s > 1,$$

$$L(s) = \sum_{n=0}^{\infty} (-1)^{n} (2n + 1)^{-s} = \prod_{(1)} (1 - p^{-s})^{-1} \prod_{(3)} (1 + q^{-s}) \quad \text{for } \Re s > 1,$$

$$P_{1}(s) = \sum_{(1)} p^{-s} \qquad \qquad \text{for } \Re s > 1.$$

The series expansion for L(s) converges for $\Re s > 0$, so that it provides an analytic continuation of L(s) over this latter region; L(s) is therefore regular for $\Re s > 0$. Moreover, it is known ([4], pp. 462-466) that L(s) has all the properties asserted for $\zeta(s)$ in the paragraph following (6), in the region G(1), except that it has no pole at s = 1.

As noted earlier, if $n=2^{\alpha}$ n'n", where $n'=\Pi p_i^{\alpha_i}$, $n''=\Pi q_i^{\beta_i}$, then

$$r_2(n) = \begin{cases} \tau(n') & \text{if } n'' \text{ is a square.} \\ 0 & \text{otherwise.} \end{cases}$$

Thus if we again let $(\alpha_1 + 1) \cdots (\alpha_r + 1)$ be a factorization of k in which

$$\alpha_1 = \cdots = \alpha_{\nu} < \alpha_{\nu+1} \leq \cdots \leq \alpha_{r}$$
,

and put

$$b_{n} = \begin{cases} 1 & \text{if } n' = p_{1}^{\alpha_{1}} \cdots p_{r}^{\alpha_{r}} \text{ with } p_{i} \neq p_{j}, \text{ and } n'' \text{ is a square,} \\ 0 & \text{otherwise,} \end{cases}$$

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and

$$g(s) = \sum_{n=1}^{\infty} b_n n^{-s},$$

then g(s) is the generating function of those solutions of the equation $r_2(n) = k$ which correspond to the given factorization of k. We have

$$\begin{split} \mathbf{g}(\mathbf{s}) &= \sum_{(1)}^{"} \mathbf{p}_{1}^{-\alpha_{1}\mathbf{s}} \cdots \mathbf{p}_{\mathbf{r}}^{-\alpha_{\mathbf{r}}\mathbf{s}} (1 + 2^{-\mathbf{s}} + 2^{-2\mathbf{s}} + \cdots) \cdot \prod_{(3)} (1 + \mathbf{q}^{-2\mathbf{s}} + \mathbf{q}^{-4\mathbf{s}} + \cdots) \\ &= \sum_{(1)}^{"} \mathbf{p}_{1}^{-\alpha_{1}\mathbf{s}} \cdots \mathbf{p}_{\mathbf{r}}^{-\alpha_{\mathbf{r}}\mathbf{s}} (1 - 2^{-\mathbf{s}})^{-1} \cdot \prod_{(3)} (1 - \mathbf{q}^{-2\mathbf{s}})^{-1} \\ &= \sum_{(1)}^{"} \mathbf{p}_{1}^{-\alpha_{1}\mathbf{s}} \cdots \mathbf{p}_{\mathbf{r}}^{-\alpha_{\mathbf{r}}\mathbf{s}} (1 - 2^{-\mathbf{s}})^{-1} \cdot \zeta_{3}(2\mathbf{s}). \end{split}$$

Now

(11)
$$\zeta(s) = (1 - 2^{-s})^{-1} \zeta_1(s) \zeta_3(s),$$

so that

$$\zeta(s)L(s) = (1 - 2^{-s})^{-1} \zeta_1^2(s) \zeta_3(2s),$$

and

(12)
$$\zeta_1^2(s) = (1 - 2^{-s})\zeta(s)L(s)/\zeta_3(2s).$$

Thus $\zeta_1(s)$ is regular for $\Re s>1/2$, except for a branch point at s=1, and by (11), the same is true of $\zeta_3(s)$. Moreover, by the argument used before and by (12), the functions $P_1(s)$ - $\log \zeta_1(s)$ and $P_1(s)$ - $2^{-1}\log (s-1)^{-1}$ are regular for $\Re s>1/2$. Consequently, writing

$$g(s) = \left\{ \frac{1}{\nu!} \sum_{(1)} {^{"}p_{\nu+1}^{-\alpha_{\nu+1}s} \cdots p_{r}^{-\alpha_{r}s} P_{1}^{\nu}(\alpha_{1}s) + O(P_{1}^{\nu-1}(\alpha_{1}s))} \right\} (1 - 2^{-s})^{-1} \zeta_{3}(2s),$$

we see that the behavior of g(s) depends essentially on the relative sizes of α_1 and 2. Accordingly, we consider separately the cases α_1 = 1, α_1 = 2, $\alpha_1 \geq 3$. In summary, the result is this:

THEOREM 2. a) If k is even, say $k = 2^{\nu_1} k_1$ and $2 \nmid k_1$, then

$$R_k(x) \sim \frac{\zeta_3(2)}{2^{\nu_1-1}(\nu_1-1)!} \sum_{k_1}^{'} \sum_{(1)}^{"} p_{\nu_1+1}^{-\alpha} \cdots p_r^{-\alpha_r} \cdot \frac{x \log_3^{\nu_1-1} x}{\log x}.$$

b) If $2 \nmid k$, and $3 \nmid k$, then

$$R_k(x) \sim \frac{2+\sqrt{2}}{\pi} \zeta_3^{1/2}(2) \sum_k \sum_{(1)} \left(p_1^{-\alpha_1} \cdots p_r^{-\alpha_r} \right)^{1/2} \cdot \frac{x^{1/2}}{\log^{1/2} x}$$

c) If $2 \nmid k$ and $3 \mid k$, so that $k = 3^{\nu_1} k_1$, where $2 \nmid k_1$ and $3 \nmid k_1$, then

$$R_{k}(x) \sim \frac{2(\sqrt{2}+1)}{\pi^{1/2} \nu_{l}!} \sum_{k_{1}} \sum_{(1)} \left(p \frac{-\alpha \nu_{1}+1}{\nu_{1}+1} \cdots p_{r}^{-\alpha r} \right)^{1/2} \cdot \frac{x^{1/2} \log_{2} \nu_{1} x}{\log^{1/2} x} \cdot \frac{x^{1/2} \log_{2} \nu_{1} x}{\log^{1/2} x}$$

Case a) k even. Here there exist factorizations of k for which $\alpha_1 = 1$, and as was seen in §2, the dominant terms in the estimate for $R_2(x)$ arise from those factorizations in which α_1 is minimal and ν is maximal: $\nu = \nu_1$.

The function $P_1(s) - 2^{-1}\log(s-1)^{-1}$ is regular in G(1) and, for

$$|t| \ge c$$
 and $\sigma \ge 1 - a \log^{-b} |t|$,

we have

$$|P_1(s)| < d \log^b |t|$$
.

Thus if we write

$$g(s) = \psi_{\nu}(s)2^{-\nu} \log^{\nu}(s-1)^{-1} + \cdots,$$

where the remaining terms are of lower order at s = 1 than the term written, and where

$$\psi_{\nu}(s) = \frac{\zeta_{3}(2s)}{\nu!(1-2^{-s})} \sum_{(1)} p_{\nu+1}^{-\alpha_{\nu+1}s} \cdots p_{r}^{-\alpha_{r}s},$$

then the method used in §2 leads directly to a) in the statement of Theorem 2.

Case b) $2 \nmid k$ and $3 \nmid k$. Since in this case $\alpha_1 \geq 3$, the last factor in the representation (10) of g(s) now predominates (that is, has the pole with greatest real part). We write $g(s \mid \alpha_1, \dots; \alpha_r)$ in place of g(s), and put

$$g_{1}(s) = \sum_{k} g(s | \alpha_{1}, \dots, \alpha_{r}) = (1 - 2^{-s})^{-1} \sum_{k} \sum_{(1)} p_{1}^{-\alpha_{1}s} \dots p_{r}^{-\alpha_{r}s} \zeta_{3}(2s) = \phi(s) \zeta_{3}(2s).$$

Then $\phi(s)$ is regular for $\sigma > 1/3$, and

$$g_1(s) = \sum_{\substack{n \\ r_2(n)=k}}^{n} n^{-s} = \sum_{n=1}^{\infty} c_n n^{-s},$$

where c_n is 1 or 0 according as $r_2(n)$ is or is not equal to k. We again have

$$2\pi i \sum_{n \leq x} c_n \log x/n = \int_{2-i\infty}^{2+i\infty} x^s s^{-2} g_1(s) ds.$$

From (11) and (12) it is easily seen that

$$\zeta_3^2(s) = (1 - 2^{-s})\zeta(s)\zeta_3(2s)/L(s)$$

so that ζ_3 has a branch point at s = 1:

$$\zeta_3(s) = \left(\frac{1}{2}\frac{\zeta_3(2)}{\pi/4}\right)^{1/2} (s-1)^{-1/2} + \cdots$$

and

$$\zeta_3(2s) = (\zeta_3(2)/\pi)^{1/2} (s - 1/2)^{-1/2} + \cdots$$

Hence the function

$$g_1(s) = (\zeta_3(2)/\pi)^{1/2} \phi(1/2) (s - 1/2)^{-1/2} + \cdots$$

has a branch point at s = 1/2, but is otherwise regular for $\sigma > 1/3$. The situation is now almost identical with that encountered by Landau in his proof of (1); paralleling the development there, one easily obtains the statement b) in Theorem 2.

Case c) $2 \nmid k$, $3 \mid k$. Here $\alpha_1 = 2$ for some factorizations of k; considering such factorizations, we write

$$g(s) = \sum_{(1)} p_1^{-2s} \cdots p_{\nu_1}^{-2s} p_{\nu_1+1}^{-\alpha} p_{\nu_1+1}^{-\alpha} \cdots p_r^{-\alpha_r s} \cdot (1 - 2^{-s})^{-1} \zeta_3(2s)$$

$$= \frac{1}{\nu_1!} \sum_{(1)}^{n} p_{\nu_1+1}^{-\alpha} v_1+1^{s} \cdots p_r^{-\alpha} (1-2^{-s})^{-1} P_1^{\nu_1}(2s) \zeta_3(2s) + \cdots,$$

where the remaining terms involve lower powers of $P_1(2s)$, where $3 \le \alpha_{p_1+1} \le \cdots \le \alpha_r$, and where the function

$$2^{1/2} \chi(s) = \frac{1}{\nu_1!} (1 - 2^{-s})^{-1} \sum_{(1)} p_{\nu_1+1}^{-\alpha \nu_1+1} \cdots p_r^{-\alpha_r s}$$

is regular for $\sigma > 1/3$. This time g has the expansion

$$g(s) = \chi(1/2) (s - 1/2)^{-1/2} \log^{\nu_1} (s - 1/2)^{-1} + \cdots,$$

where the remaining terms are of lower order at s=1/2 than the term written. Thus if $\theta=\theta_{1/2}$, then

$$\begin{split} 2\pi i \left\{ & \sum_{n \leq x + \delta x} b_n \log \frac{x + \delta x}{n} - \sum_{n \leq x} b_n \log x / n \right\} \\ &\sim - \int_{\theta}^{1/2} \rho(x, \, \delta, \, s) \, s^{-2} \, \chi(1/2) \, (s - 1/2)^{-1/2} \log^{\nu_1} (s - 1/2)^{-1} \, ds \\ &- \int_{1/2}^{\theta} \rho(x, \, \delta, \, s) s^{-2} \, \chi(1/2) (s - 1/2)^{-1/2} \log^{\nu_1} (s - 1/2)^{-1} ds \, , \end{split}$$

where the first integral is taken along the upper edge of the cut, and the second along the lower edge. In going from the lower to the upper edge, $(s - 1/2)^{1/2}$ changes sign, and the logarithm increases its argument by $2\pi i$, so that if we put

$$S_1(x) = \sum_{n < x} b_n \log x/n,$$

then

$$\begin{split} &S_{1}(x+\delta x)-S_{1}(x)\\ &=-\frac{X(1/2)}{2\pi i}\int_{\theta}^{1/2}\rho(x,\delta,s)s^{-2}(s-1/2)^{-1/2}\{\log^{\nu_{1}}(s-1/2)^{-1}\\ &+[\log(s-1/2)^{-1}+2\pi i]^{\nu_{1}}\}\,ds\\ &=\frac{X(1/2)}{2\pi}\int_{\theta}^{1/2}\rho(x,\delta,s)s^{-2}\{[\log(1/2-s)^{-1}-\pi i]^{\nu_{1}}\\ &+[\log(1/2-s)^{-1}+\pi i]^{\nu_{1}}\}\;(1/2-s)^{-1/2}ds\\ &\sim X(1/2)\pi^{-1}\int_{\theta}^{1/2}\rho(x,\delta,s)s^{-2}\;(1/2-s)^{-1/2}\log^{\nu_{1}}(1/2-s)^{-1}ds\,. \end{split}$$

By means of the reductions of $\S 2$, this last expression is easily shown to be asymptotic to

$$4 X(1/2) x^{1/2} \delta \pi^{-1} \int_0^{1/2-\theta} x^{-y} y^{-1/2} (1/2 - y) (-\log y)^{\nu_1} dy,$$

and this in turn is asymptotic to

$$2\,\pi^{-1}\,\delta\,\,X(1/2)\,\varGamma(1/2)\cdot\frac{\mathrm{x}^{1/2}\log_2^{\nu_1}\,\mathrm{x}}{\log^{1/2}\mathrm{x}} = 2\,\pi^{-1/2}\delta\,\,X(1/2)\cdot\frac{\mathrm{x}^{1/2}\log_2^{\nu_1}\,\mathrm{x}}{\log^{1/2}\mathrm{x}}\,.$$

Thus, for suitably chosen $\delta = \delta(x)$, it follows that if $R(x | \alpha_{\nu_1+1}, \dots, \alpha_r)$ is the number of integers less than or equal to x and of the form

$$(p_1 \cdots p_{\nu_1})^2 p_{\nu_1+1}^{\alpha_{\nu_1+1}} \cdots p_r^{\alpha_r},$$

then

$$R(x | \alpha_{1}^{-1} + 1, \dots, \alpha_{r}) \sim \frac{2(2^{1/2} + 1)}{\pi^{1/2} \nu_{1}!} \sum_{(1)} \left(p \frac{\alpha_{\nu_{1}+1}}{\nu_{1}+1} \cdots p_{r}^{\alpha_{r}} \right)^{-1/2} \cdot \frac{x^{1/2} \log_{2}^{\nu_{1}} x}{\log^{1/2} x}$$

and c) follows in the usual way.

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