

KILLING FIELDS PRESERVING TOTALLY GEODESIC, CODIMENSION-ONE FOLIATIONS

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§1. Introduction

Let M be a complete manifold, endowed with a codimension-one foliation \mathcal{F} . We want to study the Lie algebra \mathcal{L} of Killing fields preserving the foliation (i.e., Killing fields such that the isometries of their one-parameter group send leaves of \mathcal{F} onto leaves of \mathcal{F}).

In [5], Johnson and Whitt proved that when the foliation is totally geodesic (i.e., leaves are totally geodesic submanifolds) and all the leaves are compact, then any Killing field preserves \mathcal{F} . Later, Oshikiri (see [7]) proved the same result for the case when the manifold is compact and \mathcal{F} is totally geodesic. Nevertheless, in the general case all Killing fields do not preserve foliations. For example, in the euclidean plane foliated by lines parallel to the OX -axis, Killing fields associated to rotations do not preserve the foliation.

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§2. Totally geodesic foliations

First of all, let us recall that any codimension-one foliation which admits an orthogonal Killing field must be totally geodesic (see [3] for instance). For this reason, from now on we shall only consider totally geodesic foliations. The universal cover of a manifold with such a structure verifies the following

THEOREM 1. (see [2]) *Let (M, \mathcal{F}) be a complete manifold with a codimension-one, totally geodesic foliation. Let \tilde{M} be the universal cover of M . Then \tilde{M} is trivially foliated as $\tilde{L} \times \mathbf{R}$, where \tilde{L} is the universal cover of any leaf and the induced metric reads $ds_{\tilde{M}}^2 = ds_{\tilde{L}}^2 + f^2 dt^2$, where $f : \tilde{M} \rightarrow (0, \infty)$ is a C^∞ function.*

In order to simplify calculations, it will be convenient to give a characterization of Killing fields preserving foliations. Let (M, \mathcal{F}) be a complete manifold with a codimension-one, totally geodesic foliation. With the notations of Theorem 1,

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PROPOSITION 1. Any Killing field $X \in i(\tilde{M})$ is of the form $X = X^t + \lambda \partial t$, where

- i) X^t is a Killing field on \tilde{L} with respect to $ds_{\tilde{L}}^2$;
- ii) $X^t f = -\partial_t(\lambda f)$;
- iii) $f^2 \cdot (Y \lambda) = \langle Y, [X^t, \partial t] \rangle, \forall Y \in T(\mathcal{F})$ with $[Y, \partial t] = 0$.

Moreover, X preserves the foliation if and only if it verifies also that

- iv) $Y \lambda = 0, \forall Y \in T(\mathcal{F})$, or, equivalently, $[X^t, \partial t] = 0$.

Proof. See Propositions 1.1 and 1.2 of [4]. ■

Passing, if necessary, to a 2-fold cover, we may suppose foliations to be transversally oriented. Thus, from now on, we will assume this fact and call N the normal field to \mathcal{F} (i.e. an unitary vector field orthogonal to \mathcal{F}). According to the characteristics of the function f in Theorem 1, we will consider two cases:

- i) $Y f = 0, \forall Y \in T(\mathcal{F})$. Then, \mathcal{F} is a bundle-like foliation.
- ii) Otherwise, we have the general case.

There is not much to say about bundle-like, totally geodesic foliations. Thus, we begin with case ii): let us assume for the moment that f is not constant in the leaves. Our goal now is to give the best bound possible for the dimension of \mathcal{L} , the Lie algebra of Killing fields preserving the foliation. First of all, let us give an upper bound for the dimension of its subalgebra \mathcal{L}^t of Killing fields tangent to \mathcal{F} . If $n = \text{dimension } \mathcal{F}$, it is clear that $\text{dimension } \mathcal{L}^t \leq (1/2)n(n+1)$. We want to show that actually $\text{dimension } \mathcal{L}^t \leq (1/2)n(n-1)$. Before this, we need some Lemmas.

LEMMA 1. Let M be a complete n -dimensional manifold, endowed with a foliation \mathcal{F} of dimension $m < n$ and let $X \in i(M) \cap T(\mathcal{F})$. If there is some leaf L in which $X|_L = 0$, then $X = 0$.

Proof. Let (ϕ_t) be the one-parameter group associated to X . We shall see that, for any $p \in L, (\phi_t)_{*p} = Id, \forall t$. In a neighborhood of p , let $(\partial x^1, \dots, \partial x^m, \partial y^1, \dots, \partial y^{n-m})$ be a basis such that the leaves of \mathcal{F} are locally of the form $\{y^1 = ctt., \dots, y^{n-m} = ctt.\}$. As in [9], we can modify it to a new basis $(\partial x^1, \dots, \partial x^m, \nu^1, \dots, \nu^{n-m})$, with $\nu^j = y^j \partial^j + \sum b_{ji} \partial x^i, \forall j$ and such that $\langle \nu^j, \partial x^i \rangle = 0, \forall i, j$. Now:

$$\begin{aligned}
 (\phi_t)_{*p}(\partial x^i) &= \partial x^i, & (\phi_t)_{*p}(\partial y^j) &= \partial y^j + \sum_{i=1}^m \mu_{ij} \partial x^i, \\
 (\phi_t)_{*p}(\nu^j - \partial y^j) &= \nu^j - \partial y^j & (\text{as } (\nu^j - \partial y^j) &\in T(\mathcal{F})),
 \end{aligned}$$

because X is tangent to \mathcal{F} and vanishes at the leaf L . Thus,

$$(\phi_t)_{*p}(\nu^j) = \nu^j + \sum_{i=1}^m \mu_{ij} \partial x^i. \tag{1}$$

$(\phi_t)_*$ is an isometry and preserves the foliation. Then $(\phi_t)_{*p}(\nu^j)$ must be or-

thogonal to \mathcal{F} . It follows from (1) and the expression of the riemannian metric in the basis $(\partial x^1, \dots, \partial x^m, \nu^1, \dots, \nu^{n-m})$ that $(\phi_t)_{*p}(\nu^j) = (\nu^j), \forall j$. That is, $(\phi_t)_{*p} = Id_{T_p M}$. p was an arbitrary point and the manifold is complete, thus $(\phi_t) = Id$, i.e., $\dot{X} \equiv 0$. ■

LEMMA 2. *Let M be a complete manifold and \mathcal{A} a subalgebra of $i(M)$. Assume that $\forall p \in M$, dimension $\mathcal{A}_p \leq m$. Then dimension $\mathcal{A} \leq r =: (1/2)m(m+1)$.*

Proof. Let $p \in M$ with dimension $\mathcal{A}_p = m$. We can choose m fields, $X_1, \dots, X_m \in \mathcal{A}$, independent (as vectors) in a neighborhood U of p . In U let \mathcal{S} be the distribution generated by $\{X_1, \dots, X_m\}$. It is easy to see that \mathcal{S} is involutive and then defines a foliation \mathcal{F}_U of dimension m in U . If dimension $\mathcal{A} > r$, let $Y_1, \dots, Y_{r+1} \in \mathcal{A}$ be $r+1$ independent vector fields. Their restrictions to U are Killing fields tangent to \mathcal{F}_U , because $\mathcal{A}_q = (\mathcal{F}_U)_q$. Let L be an m -dimensional leaf of \mathcal{F}_U . Thus there are constants c_1, \dots, c_{r+1} such that $\sum c_j (Y_j)|_L = 0$. Let us assume, for example, $c_{r+1} \neq 0$ and let $Y = :c_{r+1} Y_{r+1} - \sum_{j=1}^r c_j Y_j$. By Lemma 1, $Y|_U = 0$. But U is open on M ; then $Y \equiv 0$, which contradicts the assumption on Y_1, \dots, Y_{r+1} . ■

PROPOSITION 2. *Let (M, \mathcal{F}) be a complete manifold with a codimension-one, n -dimensional, totally geodesic (not bundle-like) foliation. Then, dimension $G^t \leq (1/2)n(n-1)$.*

Proof. It is enough to show the theorem for the universal cover of (M, \mathcal{F}) . Therefore we may assume $M = L \times \mathbf{R}$ and $ds_M^2 = ds_L^2 + f^2 dt^2$. Let $Y \in G^t$. Thus, by Proposition 1, $[Y, \partial t] = 0$ and G^t has constant dimension along any \mathcal{F}^t -leaf. Therefore we may define $W = \{p \in L \mid \text{dimension } \mathcal{G}_{p, x t_0}^t = n = \text{dimension } L\}$. If W were dense in L , the foliation should be bundle-like, by Proposition 1. Thus there is an open subset $U \subset L \setminus W$. If $\mathcal{G}_U := \{X|_U, \forall X \in G^t\}$, then $\mathcal{G}_U \subset i(U)$ and $\forall p \in U$, dimension $(\mathcal{G}_U)_p = \text{dimension } \mathcal{G}_p^t \leq n-1$. By Lemma 2, dimension $\mathcal{G}_U \leq 1/2(n-1)n$. $G^t \subset i(L)$ and U is open on L , thus, independent vector fields on G^t give independent vector fields on \mathcal{G}_U and dimension $G^t \leq \text{dimension } \mathcal{G}_U \leq (1/2)n(n-1)$. ■

Let us introduce some definitions:

Let $\mathcal{G}^n =: \{X \in T^1(\mathcal{F}) \cap \mathcal{G}\}$.

Let $\mathcal{S} =: \{Y \in T(\mathcal{F}) \mid \exists X \in \mathcal{G} \text{ and } Y = X^t\}$.

\mathcal{G}^n and \mathcal{S} are subalgebras of \mathcal{G} and $\mathcal{G}^t \subset \mathcal{S}$. Moreover

PROPOSITION 3. *Dimension $\mathcal{G}^n \leq 1$ and dimension $\mathcal{G}^n = 1$ if and only if the universal cover $(\tilde{M}, \tilde{\mathcal{F}})$ is a warped product (in the sense that $\partial_t f = 0$, in Theorem 1).*

Proof. Let us assume M to be simply connected. If (M, \mathcal{F}) is a warped product, it is clear from Proposition 1 that ∂t is a Killing field.

Suppose now that $X = \lambda \partial t$ is a Killing field. Then $\partial_t(\lambda f) = 0$ and $\lambda = \lambda(t)$. (Moreover, λ never vanishes. See [4] for instance). If we reparametrize \mathbf{R}

with $\tilde{t} = \int (1/\lambda) dt$, then the metric reads as $ds^2 = ds_L^2 + (\lambda f)^2 d\tilde{t}^2$, which is a warped product and now $X = \partial\tilde{t}$. Finally let $\mu\partial\tilde{t}$ be another element of \mathcal{G} . Then, from ii) of Proposition 1 we may see that $\mu = \text{constant}$. ■

§ 3. Warped product foliations.

We shall restrict now our attention to totally geodesic foliations with a warped product structure in the universal cover, (but not bundle-like). I.e., $\partial_i f = 0$ in Theorem 1, but $f \neq \text{constant}$. This is equivalent to the fact that the 1-form θ associated to the vector field $\nabla_N N$ will be closed ($\theta(X) = \langle \nabla_N N, X \rangle$, for any vector field X):

PROPOSITION 4. *Let (M, \mathcal{F}) be a complete manifold with a codimension-one, totally geodesic foliation. Let \tilde{M} be the universal cover of M . Then, the structure of $(\tilde{M}, \tilde{\mathcal{F}})$ stated in Theorem 1 is a warped product if and only if $d\theta = 0$.*

Proof. Suppose that $(\tilde{M}, \tilde{\mathcal{F}})$ is a warped product. We may consider in M an orthonormal (local) basis $\{X_1, \dots, X_n, N\}$ for $T(M)$, with $X_i \in T(\mathcal{F})$; $[X_i, \partial t] = 0$, $N = (1/f)\partial t$; and such that $\partial_i f = 0$. Then $\nabla_N N = -\sum (X_i \cdot \log f) X_i$ and $\theta(X_i) = -X_i \cdot \log f$. Thus

$$d\theta(X_i, X_j) = -X_i X_j \log f + X_j X_i \log f + \left\langle \sum_{k=1}^n (X_k \cdot \log f) X_k, [X_i X_j] \right\rangle = 0,$$

$$d\theta(X_i, \partial t) = \partial_i X_i \log f = X_i \partial_i \log f = 0.$$

For the converse, let us assume M to be simply connected. With the same notations as above, we have $X_i \partial t \log f = 0, \forall i$. Then f should be of the form $f = e^g \cdot e^h$, where $g = g(t)$ and h is defined on L , the generic leaf. With the change of parameter $\tilde{t} = \int e^{g(t)} dt$ we obtain a warped product metric for M . ■

Remark. After the change of parameter, the manifold should remain of the form $M = L \times \mathbf{R}$. For if M were equal to $L \times (a, b)$ and $a > -\infty$, for instance, we will consider geodesics with initial tangent vector $-\partial t$. Then, since leaves are totally geodesic submanifolds and translations in the direction of the $0t$ -axis are isometries (where they are defined), these geodesics should cross the extreme leaf $\{t = a\}$, which will contradict the fact that M is complete.

PROPOSITION 5. *Let (M, \mathcal{F}) be a complete manifold with a codimension-one, totally geodesic foliation, whose universal cover has a warped product structure. Let $X \in \mathcal{G}$. Then $\nabla_N X^t = kN$, with $k = \text{constant}$.*

Proof. Let us work in the universal cover of (M, \mathcal{F}) . As $X = (X^t + \lambda \partial t) \in \mathcal{G}$,

$$\nabla_N X^t = -[X^t, N] = -\left[X^t, \frac{1}{f} \partial t\right] = \frac{X^t \cdot f}{f^2} \partial t = -\frac{\partial_t(\lambda f)}{f^2} \partial t = -\frac{\lambda'}{f} \partial t.$$

Actually, ∂t is a Killing field. Thus, $\lambda' \partial t = [\partial t, X] \in \mathfrak{g}$ and $0 = \partial_t(\lambda' f) = \lambda'' f$, so $\lambda'' = 0$. If we put $\lambda' = -k$, then $\nabla_N X^t = (k/f) \partial t = kN$. ■

Remarks. (1) Observe that the constant k verifies: $k = (X^t \log f)$. As a consequence, Killing fields tangent to the foliation are just vector fields $Y \in T(\mathfrak{F})$ such that are Killing fields with respect to the metric of the leaves and verify $\nabla_N Y = 0$. Moreover, every $X \in \mathfrak{g}$ is of the form $X = X^t + (h - kt)fN$, for some constant h .

(2) The converse result is true when the manifold is simply connected (see [8]).

PROPOSITION 6. *Let (M, \mathfrak{F}) be a complete manifold with a codimension-one, totally geodesic foliation, whose universal cover is a warped product. Then dimension $\mathfrak{S} \leq \text{dimension } \mathfrak{g}^t + 1$ and dimension $\mathfrak{g} = \text{dimension } \mathfrak{S} + \text{dimension } \mathfrak{g}^n$.*

Proof. If there is some $Y_1 \in \mathfrak{S} \setminus \mathfrak{g}^t$, we may take Y_2, \dots, Y_r in order to form a basis (Y_1, Y_2, \dots, Y_r) of \mathfrak{S} . Thus, $\nabla_N Y_i = k_i N, \forall i$; and $k_1 \neq 0$. Let $Z_j := ((k_j/k_1)Y_1 - Y_j), j = 2, \dots, r$. It is easy to see that (Y_1, Z_2, \dots, Z_r) is a new basis of \mathfrak{S} with $Z_2, \dots, Z_r \in \mathfrak{g}^t$ and $X_1 = Y_1 + (h_1 - k_1 t)fN \in \mathfrak{g}$.

For the second part, if $\mathfrak{S} = \mathfrak{g}^t$, then $\mathfrak{g} = \mathfrak{S} \oplus \mathfrak{g}^n$ and the result is obvious. Otherwise, let $X \in \mathfrak{g} \setminus \mathfrak{g}^n, X = X^t + (b - kt)fN$, where $\nabla_N X^t = kN$. But $X^t \in \mathfrak{S}$, thus $X^t = a_1 Y_1 + \sum_{j=2}^r a_j Z_j, \nabla_N X^t = a_1 k_1 N$ and $k = a_1 k_1$. We have $X = a_1 Y_1 + \sum_{j=2}^r a_j Z_j + (b - a_1 k_1 t)fN = a_1 X_1 + \sum_{j=2}^r a_j Z_j + (b - h_1)fN$. Then X_1, Z_2, \dots, Z_r and $\partial t = fN$ (if ∂t is a global field) gives a basis of \mathfrak{g} . ■

From Propositions 2, 3, 6, we can give now a complete description of the Lie algebra \mathfrak{g} :

THEOREM 2. *Let (M, \mathfrak{F}) be a complete manifold with a codimension-one, totally geodesic (not bundle-like) foliation of dimension n . Let $(\tilde{M}, \tilde{\mathfrak{F}})$ denote the universal cover of (M, \mathfrak{F}) . If $(\tilde{M}, \tilde{\mathfrak{F}})$ has a warped product structure, then dimension $\mathfrak{g} \leq 2 + (1/2)n(n-1)$ and:*

(1) *For $(\tilde{M}, \tilde{\mathfrak{F}})$ there are the following possibilities:*

CASE	$\dim \mathfrak{g}^n$	$\dim \mathfrak{g}^t$	$\dim \mathfrak{S}$	$\dim \mathfrak{g}$
A	1	m	$m+1$	$m+2$
B	1	m	m	$m+1$

$$\left(\text{with } 0 \leq m \leq \frac{1}{2} n(n-1) \right)$$

(2) and for (M, \mathcal{F}) :

CASE	CASE on \tilde{M}	$\dim \mathcal{G}^n$	$\dim \mathcal{G}^t$	$\dim \mathcal{G}$	$\dim \mathcal{G}$
A_1	A	1	m'	$m'+1$	$m'+2$
A_2	A	1	m'	m'	$m'+1$
A_3	A	0	m'	$m'+1$	$m'+1$
A_4	A	0	m'	m'	m'
B_1	B	1	m'	m'	$m'+1$
B_2	B	0	m'	m'	m'

$$\left(\text{with } 0 \leq m' \leq m \leq \frac{1}{2}n(n-1) \right)$$

- We will give now some examples of all cases enumerated in Theorem 2.
- (A) Let $M_1 = M' \times \mathbf{R} \times \mathbf{R}$, $\mathcal{F} \leftrightarrow (M' \times \mathbf{R}) \times \{point\}$, $ds^2 = ds_{M'}^2 + dx^2 + e^{2x} dt^2$. Here, ∂t generates \mathcal{G}^n , whereas $\mathcal{G}^t = i(M')$ and $\partial x - t\partial t$ is a preserving Killing field neither tangent nor orthogonal to \mathcal{F} . Since \mathbf{R}^2 with the metric $ds^2 = dx^2 + e^{2x} dt^2$ is isometric to the hyperbolic plane, M_1 is complete when M' is complete.
 - (B) Let $M_2 = M' \times \mathbf{R} \times \mathbf{R}$, $\mathcal{F} \leftrightarrow (M' \times \mathbf{R}) \times \{point\}$, $ds^2 = ds_{M'}^2 + dx^2 + e^{2(x+\sin x)} dt^2$. Also in this case, ∂t generates \mathcal{G}^n and $\mathcal{G}^t = i(M')$; but now $\mathcal{G} = \mathcal{G}^t$. It is possible to see that \mathbf{R}^2 with this metric is a complete manifold, by solving differential equations of geodesics and applying Theorem of Peano to extend these geodesics for any value of the parameter. Thus, M_2 will be complete when M' were a complete manifold.
 - (A₁) Let $M = M_1 / \{\phi_1\}$ where $\phi_1(p, x, t) = (\tilde{\phi}(p), x, t)$ and $\tilde{\phi}$ is an isometry of M' . Let us consider in M metric and foliation induced by those in M_1 .
 - (A₂) Let $M = M_1 / \{\phi_2\}$, where now $\phi_2(p, x, t) = (p, x, t+1)$.
 - (A₃) Let $M = M_1 / \{\phi_3\}$, where $\phi_3(p, x, t) = (p, x+1, e^{-t})$.
 - (A₄) Let $M = M' \times T_A^3$, where M' is a complete manifold and T_A^3 is the so called "hyperbolic torus" and consider the product foliation $M' \times \mathcal{F}'$, \mathcal{F}' the usual codimension-one foliation of T_A^3 (see for instance [6]). Here, $\mathcal{G}^t = i(M')$ and there are no Killing fields in the hyperbolic torus preserving \mathcal{F}' .

Remark. This case A_4 may not occur in surfaces (see [8]).

- (B₁) Let $M = M_2 / \{\phi_1\}$, where $\phi_1(p, x, t) = (p, x, t+1)$.
- (B₂) Let $M = M_2 / \{\phi_2\}$, where now $\phi_2(p, x, t) = (p, x+2\pi, te^{-2\pi})$.

§ 4. Bundle-like foliations

We shall consider now the special case when $Yf=0, \forall Y \in T(\mathcal{F})$. With a change of the parameter t , we may assume f to be constant and consequently \tilde{M} to be a riemannian product. Then it is easily seen that $\tilde{\mathcal{F}}$ (and \mathcal{F} also) is a bundle-like (totally geodesic) foliation. Conversely, when \mathcal{F} is totally geodesic and bundle-like, the transverse one-dimensional foliation \mathcal{F}^\perp is also totally geodesic and bundle-like (see [5]). It follows from Theorem A of [1] that the universal cover of M is a riemannian product :

PROPOSITION 7. *Let (M, \mathcal{F}) be a complete manifold with a codimension-one, totally geodesic foliation. Then \mathcal{F} is a bundle-like foliation if and only if the universal cover $(\tilde{M}, \tilde{\mathcal{F}})$ of (M, \mathcal{F}) is a riemannian product $\tilde{L} \times \mathbf{R}$, foliated with leaves of the form $\tilde{L} \times \{\text{point}\}$.*

Let us consider the Lie algebra \mathcal{g} of Killing fields preserving the foliation. We will see that $\dim \mathcal{g} \leq 1 + (1/2)n(n+1)$, where $n = \dim \mathcal{F}$.

PROPOSITION 8. *Let (M, \mathcal{F}) be a complete manifold with a codimension-one, totally geodesic, bundle-like foliation. Then*

- i) $\lambda \partial t \in \mathcal{g}^n \Leftrightarrow \lambda \partial t = hN, h$ a constant.
- ii) $\mathcal{g} = \mathcal{g}^t \oplus \mathcal{g}^n$.

Proof. i) Suppose that $\lambda \partial t \in \mathcal{g}^n$. Thus, by Propositions 1 and 7 it follows that $\lambda f = h$ constant and $\lambda \partial t = \lambda f N = hN$. The converse follows by the same argument.

ii) Let $X = X^t + \lambda \partial t = X^t + \lambda f N \in \mathcal{g}$. Then, by Propositions 1 and 7, λf is constant and $\lambda \partial t \in \mathcal{g}^n$. So $X^t = X - \lambda f N \in \mathcal{g} \cap T(\mathcal{F}) = \mathcal{g}^t$. ■

As an obvious consequence, we have :

COROLLARY 1. *Let (M, \mathcal{F}) be a complete manifold with a codimension-one, totally geodesic, bundle-like foliation of dimension n . Then $1 \leq \dim \mathcal{g} \leq 1 + (1/2)n(n+1)$.*

Remark. In fact, the second inequality in Corollary 1 holds for any codimension-one, bundle-like foliation, also in the non-totally geodesic case (see [8]).

Let us give some examples which prove that inequalities in Corollary 1 are as fine as possible :

The $(n+1)$ -dimensional euclidean space, foliated by parallel hyperplanes, gives us an example with $\dim \mathcal{g} = 1 + (1/2)n(n+1)$. Here \mathcal{g} is generated by $\{i(\mathbf{R}^n) \cup \{\partial x_{n+1}\}\}$.

Now let G be the group generated by the isometries of the euclidean 3-space ϕ and ψ , where $\phi(x, y, t) = (-x, -y, t+1)$; and $\psi(x, y, t) = (x+1, y, t)$. Let $M =$

$(\mathbf{R}^2 \times \mathbf{R})/G$, with the foliation induced by the one in \mathbf{R}^3 whose leaves are of the form $\mathbf{R}^2 \times \{point\}$. Then, \mathcal{L} is generated by $\{\pi_*(\partial t)\}$ and has dimension one.

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