

THE REDUCED DIVISOR CLASS GROUP AND THE TORSION NUMBER

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ABSTRACT. The reduced divisor class group of a normal Cohen–Macaulay graded domain together with its torsion number is introduced. They are studied in detail especially for normal affine semigroup rings.

INTRODUCTION

Let P be a finite partially ordered set and R the normal affine semigroup ring introduced in [8]. Nowadays authors call R the *Hibi ring*, but in the present paper we call R the *join-meet ring* arising from P , because its relations are given by the joins and meets of the distributive lattice defined by P . It is shown [5] that the divisor class group $\text{Cl}(R)$ of R is free of rank $p + q + e - d - 1$, where p is the number of minimal elements of P , q is the number of maximal elements of P , e is the number of edges of the Hasse diagram of P and $d = |P|$. On the other hand, in [8], by studying the generators of the canonical module ω_R of R , it is proved that R is Gorenstein if and only if R is pure, i.e., every maximal chain of P has the same cardinality. In general, it is known that R is Gorenstein if and only if the canonical class $[\omega_R]$ of R is equal to 0 in $\text{Cl}(R)$. In other words, $[\omega_R] = 0$ in $\text{Cl}(R)$ if and only if P is pure. It is reasonable to ask how to compute $[\omega_R]$ in terms of combinatorics of P . This natural question is what motivated the authors to write this paper in the first place. Its satisfied solution will be given in Section 2.

Let R be a Noetherian local ring or a finitely generated graded K -algebra for which R is a normal Cohen–Macaulay domain with a canonical module ω_R . In the first half of Section 1, the new concepts, the *reduced divisor class group* of R and the *torsion number* of R , are introduced. The reduced divisor class group of R is $\overline{\text{Cl}}(R) = \text{Cl}(R)/\mathbb{Z}[\omega_R]$ and the torsion number of R is the nonnegative integer $d(R)$ defined as follows: let $\text{Fitt}_i(G)$ denote the i th Fitting ideal of a finite Abelian group, and let $r = \text{rank } \overline{\text{Cl}}(R)$. If $\text{Fitt}_r(\text{Cl}(R)) = \text{Fitt}_r(\overline{\text{Cl}}(R))$, then we set $d(R) = 0$. Otherwise, $d(R)$ is given by the identity $\text{Fitt}_r(\overline{\text{Cl}}(R)) = (d(R))$. One has $d(R) = 0$ if and only if R is Gorenstein (Lemma 1.1). When $\text{Cl}(R)$ is free of rank r , the torsion number $d(R)$ has a concrete interpretation. In fact, one has $\overline{\text{Cl}}(R) \cong \mathbb{Z}^{r-1} \oplus \mathbb{Z}/(d(R))$ and $[\omega_R]$ is part of a basis of $\text{Cl}(R)$ if and only if $d(R) = 1$ (Lemma 1.2). When $S \subset \mathbb{Z}^n$ is a normal affine semigroup, the divisor class group of the associated normal

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semigroup ring $R = K[S]$ is well understood. In the latter half of Section 1, the basic facts related to the divisor class group $\text{Cl}(K[S])$ of $R = K[S]$, especially the result by Chouinard [2] on a set of generating relations of $\text{Cl}(R)$ are summarized in short.

Section 2 will be devoted to the study of the divisor class groups of the join-meet ring of a finite partially ordered set. As was discussed in [5], the information of the facets of the cone coming from P (Stanley [10]) yields the relation matrix of $\text{Cl}(R)$ and it gives the explicit expression of $[\omega_R]$ in terms of the basis of $\text{Cl}(R)$, which is the satisfied solution of the original question as well as which directly explains why $[\omega_R] = 0$ in $\text{Cl}(R)$ if and only if P is pure (Theorem 2.2).

On the other hand, the detailed study of torsion numbers is achieved in Section 3. In the join-meet ring R , the torsion number can be an arbitrary nonnegative integer (Example 3.1). Furthermore, if a join-meet ring R is nearly Gorenstein but not Gorenstein, then one has $d(R) = 1$ (Corollary 3.3). However, in general, even though a normal affine semigroup ring is nearly Gorenstein but not Gorenstein, it happens that $d(R) > 1$ (Example 3.5).

1. THE CANONICAL CLASS AND THE TORSION NUMBER

Let R be a Noetherian local ring or a finitely generated graded K -algebra. We furthermore assume that R is a normal Cohen-Macaulay domain with a canonical module ω_R . The canonical module can be identified with a divisorial ideal. Let $\text{Cl}(R)$ be the divisor class group of R . The class of a divisorial ideal I of R will be denoted by $[I]$. We choose of system a of generators g_1, \dots, g_m of $\text{Cl}(R)$. Then $[\omega]$ can be written as a linear combination of these generators, say, $[\omega_R] = \sum_{i=1}^m a_i g_i$. The integer coefficients of this presentation depend of course on the choice of the generators. Of special interest is the case that $[\omega_R] = 0$, because this is the case if and only if R is Gorenstein. However the above linear combination does not tell us immediately, whether or not $[\omega_R] = 0$. Thus we are looking for a more intrinsic invariant of the canonical class. To this end, we consider the group $\overline{\text{Cl}}(R) = \text{Cl}(R)/\mathbb{Z}[\omega_R]$, and a certain Fitting ideal of it. We call $\overline{\text{Cl}}(R)$ the *reduced divisor class group* of R .

Let us briefly recall the concept of Fitting ideals and their basic properties. Let M be a finitely generated module over a commutative ring R with generators u_1, \dots, u_n and with a relation matrix $A = [a_{ij}]_{\substack{i=1, \dots, n \\ j=1, \dots, m}}$. In other words, $\sum_{i=1, \dots, n} a_{ij} m_i = 0$ for all j , and these are the generating relations of M with respect to these generators. Given these data, the i th Fitting ideal $\text{Fitt}_i(M)$ of M is the ideal $I_{n-i}(A)$ of $(n-i)$ -minors of A . The Fitting ideals are invariants of the module, that is, they do not depend on the choice of the system of generators and the relation matrix. One has $\text{Fitt}_0(M) \subseteq \text{Fitt}_1(M) \subseteq \dots \subseteq \text{Fitt}_n(M) = R$. If R is a domain, then $\text{rank } M = \min\{i: \text{Fitt}_i(M) \neq 0\}$. Moreover, M is free of rank r if and only if $\text{Fitt}_i(M) = 0$ for $i < r$ and $\text{Fitt}_r(M) = R$.

We may view any finitely generated Abelian group G as a \mathbb{Z} -module, and hence the Fitting ideals of G are defined. Suppose G has n generators and the relation matrix A has rank m . Then there exists an exact sequence $0 \rightarrow \mathbb{Z}^m \rightarrow \mathbb{Z}^n \rightarrow G \rightarrow 0$,

which implies that $\text{rank } G = n - m$. Thus, if $r = \text{rank } G$, then r is the smallest integer for which $\text{Fitt}_r(G) \neq 0$.

Now we are ready to define the *torsion number* $d(R)$ of R . Let $r = \text{rank } \overline{\text{Cl}}(R)$. If $\text{Fitt}_r(\text{Cl}(R)) = \text{Fitt}_r(\overline{\text{Cl}}(R))$, then we set $d(R) = 0$. Otherwise, $d(R)$ is given by the identity

$$\text{Fitt}_r(\overline{\text{Cl}}(R)) = (d(R)).$$

We have

Lemma 1.1. *R is Gorenstein if and only if $d(R) = 0$.*

Proof. Suppose that R is Gorenstein. Then $\overline{\text{Cl}}(R) = \text{Cl}(R)$, and so $\text{Fitt}_r(\overline{\text{Cl}}(R)) = \text{Fitt}_r(\text{Cl}(R))$.

Conversely, suppose that $\text{Fitt}_r(\overline{\text{Cl}}(R)) = \text{Fitt}_r(\text{Cl}(R))$. Let $s = \text{rank } \text{Cl}(R)$. Then $s \geq r \geq s - 1$. Suppose $r = s - 1$. Then $\text{Fitt}_{s-1}(\text{Cl}(R)) = \text{Fitt}_r(\overline{\text{Cl}}(R)) \neq 0$, a contradiction. Hence $\text{rank } \text{Cl}(R) = \text{rank } \overline{\text{Cl}}(R)$, and $\text{Cl}(R) \cong \mathbb{Z}^r \oplus H$, where H is a finite group. Since $\text{rank } \text{Cl}(R) = \text{rank } \overline{\text{Cl}}(R)$, it follows that $[\omega_R] \in H$. Therefore, $\overline{\text{Cl}}(R) \cong \mathbb{Z}^r \oplus \overline{H}$, where $\overline{H} = H/\mathbb{Z}[\omega_R]$. It follows that $(|H|) = \text{Fitt}_r(\text{Cl}(R)) = \text{Fitt}_r(\overline{\text{Cl}}(R)) = (|\overline{H}|)$. Therefore, $H = \overline{H}$. This implies that $[\omega_R] = 0$. \square

When the divisor class group is free, then $d(R)$ has a concrete interpretation.

Lemma 1.2. *Suppose $\text{Cl}(R)$ is free of rank r . Then $\text{Cl}(R) \cong \mathbb{Z}^r$. Under this isomorphism, let $[\omega_R] = (a_1, \dots, a_r)$ with $a_i \in \mathbb{Z}$. Then $d(R) = \gcd(a_1, \dots, a_r)$. In particular, $\overline{\text{Cl}}(R) \cong \mathbb{Z}^{r-1} \oplus \mathbb{Z}/(d(R))$ and $[\omega_R]$ is part of a basis of $\text{Cl}(R)$ if and only if $d(R) = 1$,*

Proof. With respect to the basis of $\text{Cl}(R)$ corresponding to the isomorphism $\text{Cl}(R) \cong \mathbb{Z}^r$, the relation matrix of $\overline{\text{Cl}}(R)$ is given by $[a_1, \dots, a_r]$. We have $[\omega_R] = 0$, if and only if all $a_i = 0$, and this is the case if and only if $\text{rank } \overline{\text{Cl}}(R) = r$. In this case, $\text{Fitt}_r(\overline{\text{Cl}}(R)) = \text{Fitt}_r(\text{Cl}(R)) (= \mathbb{Z})$, and hence $d(R) = 0$ according to our definition. On the other hand, if $a_i \neq 0$ for some i , then $\text{rank } \overline{\text{Cl}}(R) = r - 1$ and $\text{Fitt}_{r-1}(\overline{\text{Cl}}(R)) = (\gcd(a_1, \dots, a_r))$. This yields the statements of the lemma. \square

Let K be a field. For a normal affine semigroup $S \subset \mathbb{Z}^n$ the divisor class group of the associated semigroup ring $R = K[S]$ is well understood. We use the notation introduced in [1] and denote by $\mathbb{Z}S$ the smallest subgroup of \mathbb{Z}^n containing S and by $\mathbb{R}_+S \subset \mathbb{R}^n$ the smallest cone containing S . Since R is normal, Gordon's lemma [1, Proposition 6.1.2] guarantees that $S = \mathbb{Z}^n \cap \mathbb{R}_+S$. After a suitable change of coordinates, one may always assume that $\mathbb{Z}S = \mathbb{Z}^n$. Notice that $\mathbb{R}_+S \subset \mathbb{R}^n$ is a positive rational cone. Given any such cone C , one has that $\mathbb{Z}^n \cap C$ is a normal affine semigroup. Let H_1, \dots, H_r be the supporting hyperplanes of C . Since for each i , the hyperplane H_i is spanned by lattice points, a linear form $f_i = \sum_{j=1}^n a_{ij}x_j$ defining H_i has rational coefficients. By clearing denominators we may assume that all a_{ij} are integers, and then dividing f_i by the greatest common divisor of the a_{ij} , we may furthermore assume that $\gcd(a_{i1}, \dots, a_{in}) = 1$. Up to sign, this linear form f_i is uniquely determined by H_i . Let p be a lattice point in the relative interior of

C . By replacing f_i by $-f_i$, if necessary, we may assume that $f_i(p) > 0$ for all i . We call this normalized uniquely determined linear form f_i the *support form* of H_i .

We recall the following facts:

(i) Let $P_i \subset R$ be the K subvector space of $K[S]$ spanned by all monomials $\mathbf{x}^{\mathbf{a}}$ with $\mathbf{a} \in C \setminus H_i$. Then P_i is a monomial prime ideal of height 1, and we have $\{P_1, \dots, P_r\}$ is the set of all monomial prime ideals of height 1 in R .

(ii) $\text{Cl}(R)$ is generated by the classes $[P_1], \dots, [P_r]$.

(iii) (Chouinard [2]) $\sum_{i=1}^r a_{ij}[P_i] = 0$ for $j = 1, \dots, n$, and this is a set of generating relations of $\text{Cl}(R)$. In other words, the $r \times n$ -matrix $A_R = [a_{ij}]_{\substack{i=1, \dots, r \\ j=1, \dots, n}}$ is a relation matrix of $\text{Cl}(R)$. and we have an exact sequence of abelian groups

$$0 \longrightarrow \mathbb{Z}^n \xrightarrow{A_R} \mathbb{Z}^r \longrightarrow \text{Cl}(R) \longrightarrow 0.$$

(iv) $\text{Cl}(R)$ is free of rank s if and only if $\text{Fitt}_i(\text{Cl}(R)) = 0$ for $i < s$ and $\text{Fitt}_s(\text{Cl}(R)) = \mathbb{Z}$, equivalently, if $I_{n-s}(A_R) = \mathbb{Z}$ and $\text{rank } A_R = n - s$.

By a theorem of Danilov and Stanley (see [1, Theorem 6.3.5]), ω_R is generated by the monomials $\mathbf{x}^{\mathbf{a}}$ for which \mathbf{a} belongs to the relative interior of C . This implies that $\omega_R = \bigcap_{i=1}^r P_i$, and hence $[\omega_R] = \sum_{i=1}^r [P_i]$. Consequently, $\overline{\text{Cl}}(R)$ has the relation matrix \overline{A}_R , where \overline{A}_R is obtained from A_R by adding a column whose entries are all one.

If $\text{Cl}(R)$ is free of rank r , then $\text{rank } \overline{\text{Cl}}(R) = r - 1$, and hence $d(R)$ is the generator of the principal ideal $\text{Fitt}_{r-1}(\overline{\text{Cl}}(R)) = I_{n-r+1}(\overline{A}_R)$.

2. THE DIVISOR CLASS GROUP OF A JOIN-MEET RING

The present section will be devoted to the discussion of the divisor class group of the normal semigroup ring, introduced in [8], arising from a finite partially ordered set. Let $P = \{x_1, \dots, x_n\}$ be a finite partially ordered set and suppose that i is smaller than j whenever $x_i < x_j$ in P . Let $\hat{P} = P \cup \{\hat{0}, \hat{1}\}$, where $\hat{0} < x_i < \hat{1}$ for $1 \leq i \leq n$. Let $E(\hat{P})$ denote the set of edges of the Hasse diagram of \hat{P} . Thus $(x, y) \in \hat{P} \times \hat{P}$ belongs to $E(\hat{P})$ if $x < y$ in \hat{P} and $x < z < y$ for no $z \in \hat{P}$. Following [10, p. 10], one associates each $e \in E(\hat{P})$ with the linear form f_e by setting

$$f_e = \begin{cases} x_i & \text{if } e = (x_i, \hat{1}); \\ x_i - x_j & \text{if } e = (x_i, x_j) \in P \times P; \\ x_0 - x_j & \text{if } e = (\hat{0}, x_j). \end{cases}$$

Let $C \subset \mathbb{R}_+^{n+1}$ denote the cone whose supporting hyperplanes are those H_e defined by f_e with $e \in E(\hat{P})$. Let K be a field and $R = K[C \cap \mathbb{Z}^{n+1}]$ the affine semigroup ring, called the *join-meet ring* arising from P . It is known [8] that the the join-meet ring $R = K[C \cap \mathbb{Z}^{n+1}]$ is normal. In particular, $R = K[C \cap \mathbb{Z}^{n+1}]$ is Cohen–Macaulay. The divisor class group $\text{Cl}(R)$ of $R = K[C \cap \mathbb{Z}^{n+1}]$ is generated by the classes $[P_e]$ with $e \in E(\hat{P})$, where P_e is the monomial prime ideal of height 1 arising from H_e . It is shown [5] that $\text{Cl}(R)$ is free of rank $|E(\hat{P})| - (n + 1)$.

Following [5] one fixes a spanning tree $T = \{e_0, \dots, e_n\}$ of $E(\hat{P})$, where $e_i = (x_i, x_{i'})$ with $x_0 = \hat{0}$. Let $E(\hat{P}) = \{e_0, \dots, e_n, e_{n+1}, \dots, e_r\}$. Let $A_R = [a_{ij}]_{\substack{i=0, \dots, r \\ j=0, \dots, n}}$

denote the relation matrix of $\text{Cl}(R)$, where a_{ij} is the coefficient of x_j in f_{e_i} . The choice of the tree T says that the submatrix of A_R consisting of the first $n + 1$ rows is an upper triangle matrix with each diagonal entry 1. It then follows that $[P_{n+1}], \dots, [P_r]$ is a basis of the free abelian group $\text{Cl}(R)$, where $P_i = P_{e_i}$. In the divisor class group $\text{Cl}(R)$, for each $0 \leq i \leq n$ one writes

$$(1) \quad [P_i] = \sum_{j=n+1}^r c_j^{(i)} [P_j], \quad c_j^{(i)} \in \mathbb{Q}.$$

Each $c_j^{(i)} \in \mathbb{Q}$ can be computed as follow: For each edge $e_j = (x, y)$ with $n + 1 \leq j \leq r$, the subgraph G_j consisting of the edges e_0, \dots, e_n, e_j possesses a unique cycle C_j . One fixes the orientation of C_j with $x \rightarrow y$. If $e_i = (x_i, x_{i'})$ with $0 \leq i \leq n$ appears in C_j whose orientation is $x_i \rightarrow x_{i'}$, then one has $c_j^{(i)} = 1$. If $e_i = (x_i, x_{i'})$ with $0 \leq i \leq n$ appears in C_j whose orientation is $x_{i'} \rightarrow x_i$, then one has $c_j^{(i)} = -1$. If e_i with $0 \leq i \leq n$ does not appear in C_j , then one has $c_j^{(i)} = 0$.

One claims the validity of the above computation of $c_j^{(i)}$. In other words, $[P_0], \dots, [P_n]$ with the expression (1) together with $[P_{n+1}], \dots, [P_r]$ satisfy the relations of the columns of A_R . Let $x_i \in P \cup \{\hat{0}\}$ with $\hat{0} = x_0$. Let \mathcal{A} denote the set of edges of \hat{P} of the form $(x_i, x_{i'})$ and \mathcal{B} that of the form $(x_{i'}, x_i)$. If the cycle C_j , where $n + 1 \leq j \leq r$ intersects $\mathcal{A} \cup \mathcal{B}$, then one of the followings occurs:

- (i) $|C_j \cap \mathcal{A}| = |C_j \cap \mathcal{B}| = 1$;
- (ii) $|C_j \cap \mathcal{A}| = 2$ and $C_j \cap \mathcal{B} = \emptyset$;
- (iii) $C_j \cap \mathcal{A} = \emptyset$ and $|C_j \cap \mathcal{B}| = 2$.

In each of the above (i), (ii) and (iii), the total sum of $[P_j]$ appearing in $[P_e]$'s with $e \in \mathcal{A}$ is equal to that of $[P_j]$ appearing in $[P_e]$'s with $e \in \mathcal{B}$. Hence $[P_0], \dots, [P_n]$ with the expression (1) together with $[P_{n+1}], \dots, [P_r]$ satisfy the relations of the i th column of A_R , as desired.

Example 2.1. Let $P = \{x_1, x_2, x_3, x_4, x_5, x_6\}$ be the finite partially ordered set of Figure 1. The tree $T = \{e_0, \dots, e_6\}$ of Figure 2 satisfies the above condition. The cycle C_7 consists of the edges $e_7, e_1, e_4, e_6, e_5, e_2, e_0$ (Figure 3). Fix the orientation of C_7 with

$$x_0 \rightarrow x_1 \rightarrow x_4 \rightarrow \hat{1} \rightarrow x_6 \rightarrow x_5 \rightarrow x_2 \rightarrow x_0.$$

Thus the coefficient of $[P_7]$ in each of $[P_1], [P_4]$ is 1, the coefficient of $[P_7]$ in each of $[P_0], [P_2], [P_5], [P_6]$ is -1 and the coefficient of $[P_7]$ in $[P_3]$ is 0. One has

$$\begin{aligned} [P_0] &= -[P_7] - [P_8], & [P_1] &= [P_7], & [P_2] &= -[P_7] - [P_8] - [P_9], \\ [P_3] &= [P_8] - [P_{10}], & [P_4] &= [P_7] + [P_9] + [P_{10}], \\ [P_5] &= -[P_7] - [P_9] - [P_{10}], & [P_6] &= -[P_7] - [P_9] - [P_{10}]. \end{aligned}$$

Thus in particular

$$[\omega_R] = -[P_7] - [P_9] - [P_{10}].$$

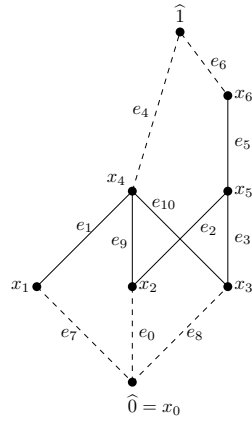


FIGURE 1. poset P

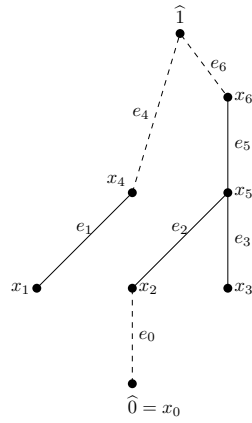


FIGURE 2. tree T

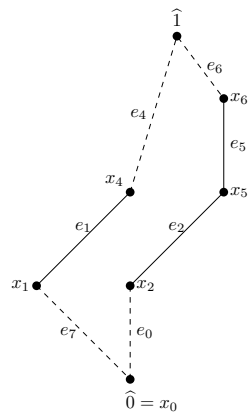


FIGURE 3. cycle C_7

Now, it is of interest to know when $[\omega_R] = \sum_{e \in E(\hat{P})} [P_e] = 0$ in $\text{Cl}(R)$, because this is the case if and only if R is Gorenstein. The explicit computation done in Example 2.1 easily enables us to prove the following

Theorem 2.2. *In $\text{Cl}(R)$, one has $[\omega_R] = 0$ if and only if P is pure.*

Proof. One employs the notation as above.

(“if”) Suppose that P is pure. Then, clearly, in each cycle C_j , the number of $e_i = (x_i, x_{i'})$ with $0 \leq i \leq n$ appearing in C_j whose orientation is $x_i \rightarrow x_{i'}$ is exactly one less than that of $e_i = (x_i, x_{i'})$ with $0 \leq i \leq n$ appearing in C_j whose orientation is $x_{i'} \rightarrow x_i$. Hence each coefficient q_j of $[\omega_R] = \sum_{j=n+1}^r q_j [P_j]$ is equal to 0.

(“only if”) Suppose that P is not pure and

$$C : x < x_{i_1} < \cdots < x_{i_s} < y, \quad C' : x < x_{i'_1} < \cdots < x_{i'_{s'}} < y$$

are maximal chains of the interval $[x, y]$ of \hat{P} with $s < s'$ for which $i_j \neq i'_j$ for each j and j' . One can choose a tree T which contains all edges except for $(x, x_{i'_1})$ appearing in the chains C and C' . Let $e_j = (x, x_{i'_1})$. It then follows that the coefficient q_j of $[\omega_R] = \sum_{j=n+1}^r q_j [P_j]$ is equal to $s' - s \neq 0$. Hence $[\omega_R] \neq 0$, as desired. \square

Theorem 2.2 gives an alternative proof to the old results that the join-meet ring $R = K[C \cap \mathbb{Z}^{n+1}]$ is Gorenstein if and only if P is pure ([8, p. 105]).

3. COMPUTATION OF THE TORSION NUMBER

Let R be a normal Cohen–Macaulay domain with free divisor class group of rank r , and let b_1, \dots, b_r be a basis of $\text{Cl}(R)$. Then $[\omega_R] = \sum_{i=1}^r c_i b_i$ with $c_i \in \mathbb{Z}$ for all i . Of course, a basis of $\text{Cl}(R)$ is not uniquely determined. In Section 2 we recalled that for given poset P each spanning tree of $E(\hat{P})$ yields a basis of the divisor class group of the associated join-meet ring. For different bases the coefficients c_i in the presentation of $[\omega_R]$ differ. However $\gcd(c_1, \dots, c_r)$ is independent of the choice of the basis, because it is just the torsion number $d(R)$ of R , defined in Section 1.

Example 3.1. P be the poset with components P_1 and P_2 where P_1 and P_2 are chains of length a and b , say, $P_1 : x_0 < \cdots < x_a$ and $P_2 : y_0 < \cdots < y_b$. Fix the tree T in \hat{P} consisting of the edges belonging to $E(\hat{P}) \setminus (x_0, x_a)$, where $x_0 = \hat{0}$. Then $[P_e]$ with $e = (x_0, x_a)$ is a basis of $\text{Cl}(R)$. The computation in Section 2 yields $[P_{e'}] = [P_e]$ if $e' \in E(P_1) \cup \{(x_a, \hat{1})\}$ and $[P_{e''}] = -[P_e]$ if $e'' \in E(P_2) \cup \{(\hat{0}, y_1), (y_b, \hat{1})\}$. Hence $[\omega_R] = (a - b)[P_e]$ and $d(R) = a - b$.

The Example 3.1 shows that $d(R)$ can be any number. However, for any join-meet ring, the torsion number can be bounded as follow.

Proposition 3.2. *Let P be a finite poset. Let $L_1 : x_0 < \cdots < x_a$ and $L_2 : y_0 < \cdots < y_b$ be maximal chains of P for which $x_i \neq y_j$ for each i and j . Then $d(R)$ divides $a - b$.*

Proof. Fix a tree T in \hat{P} whose edges contains all edges belonging to

$$E = E(L_1) \cup E(L_2) \cup \{(x_a, \hat{1}), (\hat{0}, y_0), (y_b, \hat{1})\}.$$

Then $e = (\hat{0}, x_0) \notin E(T)$. The unique cycle in $T \cup \{e\}$ consists of the edges belonging to $E \cup \{e\}$. Hence, as was done in Example 3.1, the coefficient of $[P_e]$ of $[\omega_R]$ is equal to $a - b$. Thus in particular $d(R)$ divides $a - b$, as desired. \square

When, in general, R is a Cohen–Macaulay graded K -algebra over a field K with canonical module ω_R , it is called nearly Gorenstein [6] if the canonical trace ideal $\text{tr}(\omega_R)$ contains the maximal graded ideal of R . Here $\text{tr}(\omega_R)$ is the ideal generated by the image of ω_R through all homomorphism of R -modules into R . As $\text{tr}(\omega_R)$ describes the non-Gorenstein locus of R , one has $\text{tr}(\omega_R) = R$ if and only if R is a Gorenstein ring.

If the join-meet ring R is nearly Gorenstein but not Gorenstein, then one has $a - b = 1$ ([6, Theorem 5.4]). In particular, one has $d(R) = 1$.

Corollary 3.3. *If the join-meet ring R is nearly Gorenstein but not Gorenstein, then $d(R) = 1$.*

Here is another example of a nearly Gorenstein ring which is not Gorenstein and whose torsion number is 1.

Proposition 3.4. *Let K be a field, let X be an $m \times n$ -matrix of indeterminates with $m \leq n$, and let $R = K[X]/I_{r+1}(X)$. Then $\text{Cl}(R)$ is free of rank 1, and if R is nearly Gorenstein but not Gorenstein then $d(R) = 1$.*

Proof. The divisor class group of R is isomorphic to $[P]\mathbb{Z} \cong \mathbb{Z}$, where P is the prime ideal in R generated by the r -minors of the first r rows X modulo $I_{r+1}(X)$, see [1, Theorem 7.3.5]. Furthermore, $\omega_R = P^{(n-m)}$, see [1, Theorem 7.3.6].

In [7, Theorem 1.1] it is shown that $\text{tr}(\omega_R) = I_r(X)^{n-m}R$. From this fact it follows that R is nearly Gorenstein but not Gorenstein if and only if $r = 1$ and $n - m = 1$, and that in this case $[\omega_R] = [P]$. This implies that $d(R) = 1$. \square

One would expect that torsion number, if defined, is always 1 for rings which are nearly Gorenstein but not Gorenstein. However, the following family of examples show that this is not the case.

Example 3.5. Let $R_m = K[x_1, \dots, x_m]$ denote the polynomial ring in m variables over a field K and $S_n = K[y_1, \dots, y_n]$ that in n variables over K . Let $R_m^{(p)}$, where $1 \leq p \in \mathbb{Z}$, be the p th Veronese subring of R_m . It is known that $R_m^{(p)}$ is normal and Cohen–Macaulay ([4, p. 193]). Furthermore, $R_m^{(p)}$ is Gorenstein if and only if p divides m ([9]). Fix positive integers m, n, p and q and write $R = R_m^{(p)} \# S_n^{(q)}$ for the Segre product of $R_m^{(p)}$ and $S_n^{(q)}$.

Let $\mathcal{P} \subset \mathbb{R}^{m+n}$ denote the convex polytope consisting of those

$$(a_1, \dots, a_m, b_1, \dots, b_n) \in \mathbb{R}^{m+n}$$

for which

- (i) $a_i \geq 0$ for $1 \leq i \leq m$;
- (ii) $b_j \geq 0$ for $1 \leq j \leq n$;
- (iii) $\sum_{i=1}^m a_i = p$;
- (iv) $\sum_{j=1}^n b_j = q$.

As is discussed in [3], the convex polytope \mathcal{P} is a lattice polytope of dimension $m + n - 2$. (A convex polytope is called a *lattice polytope* if each of the vertices has integer coordinates.) The Segre product $R = R_m^{(p)} \# S_n^{(q)}$ is the toric ring of \mathcal{P} . In other words, R is generated by those monomials

$$\left(\prod_{i=1}^m x_i^{a_i} \right) \left(\prod_{j=1}^n y_j^{b_j} \right)$$

with $(a_1, \dots, a_m, b_1, \dots, b_n) \in \mathcal{P} \cap \mathbb{Z}^{n+m}$. Furthermore, R is normal and Cohen–Macaulay ([4, p. 198]). Now, one introduces the lattice polytope $\mathcal{Q} \subset \mathbb{R}^{m+n-2}$ of dimension $m + n - 2$ consisting of those

$$(a_1, \dots, a_{m-1}, b_1, \dots, b_{n-1}) \in \mathbb{R}^{m+n-2}$$

for which

- (i) $a_i \geq 0$ for $1 \leq i \leq m - 1$;
- (ii) $b_j \geq 0$ for $1 \leq j \leq n - 1$;
- (iii) $\sum_{i=1}^{m-1} a_i \leq p$;
- (iv) $\sum_{j=1}^{n-1} b_j \leq q$.

The facets of \mathcal{Q} are

- (i) $x_i = 0$ for $1 \leq i \leq m - 1$;
- (ii) $y_j = 0$ for $1 \leq j \leq n - 1$;
- (iii) $\sum_{i=1}^{m-1} x_i = p$;
- (iv) $\sum_{j=1}^{n-1} y_j = q$.

One can regard the Segre product R to be the toric ring of \mathcal{Q} . Let $C \subset \mathbb{R}_+^{m+n+1}$ denote the cone whose supporting hyperplanes are

- (i) $H_i : x_i = 0$ for $1 \leq i \leq m - 1$;
- (ii) $H'_j : y_j = 0$ for $1 \leq j \leq n - 1$;
- (iii) $H : -\sum_{i=1}^{m-1} x_i + pt = 0$;
- (iv) $H' : -\sum_{j=1}^{n-1} y_j + qt = 0$.

Let P_i denote the monomial prime ideal of height 1 arising from H_i and Q_j that arising from H'_j . Let P denote the monomial prime ideal of height 1 arising from H and Q that arising from H' . The divisor class group $\text{Cl}(R)$ is generated by

$$[P_1], \dots, [P_{m-1}], [P], [Q_1], \dots, [Q_{n-1}], [Q]$$

whose relations are

$$[P_1] = \dots = [P_{m-1}] = [P], [Q_1] = \dots = [Q_{n-1}] = [Q], p[P] + q[Q] = 0.$$

Hence

$$\text{Cl}(R) = (\mathbb{Z}[P] \bigoplus \mathbb{Z}[Q]) / (p[P] + q[Q]).$$

In particular one has $\text{Cl}(R) = \mathbb{Z}$ if and only if p and q are relatively prime. Since the canonical class is $[\omega_R] = m[P] + n[Q]$, it follows that R is Gorenstein if and only if $(m, n) = c(p, q)$ for some integer $c > 1$. In particular if R is Gorenstein, then each of $R_m^{(p)}$ and $R_n^{(q)}$ is Gorenstein. (See also [4, chapter 4].) Furthermore, the Segre product R is nearly Gorenstein, but not Gorenstein if and only if p divides m , q

divides n and $|m/p - n/q| = 1$ ([6]). If p and q are relatively prime and if p' and q' are integers with $p'p + q'q = 1$, then $\text{Cl}(R)$ is free of rank 1 which is generated by $-q'[P] + p'[Q]$.

For example, $R = R_4^{(2)} \# S_9^{(3)}$ is nearly Gorenstein, but not Gorenstein and $\text{Cl}(R)$ is free of rank 1 which is generated by $[P] + 2[Q]$. Since

$$[\omega_R] = 4[P] + 9[Q] = -(2[P] + 3[Q]) + 6([P] + 2[Q]),$$

one has $d(R) = 6$.

Finally, we add an example of the computation of the torsion number of R when $\text{Cl}(R)$ is not free.

Example 3.6. Let K be a field, and let $R = K[x_1, \dots, x_n]^{(r)}$ be the r th Veronese subring of the polynomial ring $K[x_1, \dots, x_n]$. Then the support forms of the hyperplanes describing the cone of the natural embedding of the semigroup describing R are $x_i \geq 0$ for $i = 1, \dots, n-1$ and $-(x_1 + \dots + x_{n-1}) + rt \geq 0$. Therefore, we have

$$\bar{A}_R = \begin{bmatrix} 1 & 0 & \cdots & 0 & 0 & 1 \\ 0 & 1 & \cdots & 0 & 0 & 1 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & 0 & 1 \\ -1 & -1 & \cdots & -1 & r & 1 \end{bmatrix}$$

The torsion number of R is then given by $I_n(\bar{A}_R) = (r, n)$. Therefore, $d(R) = \text{gcd}(r, n)$.

It is shown in [6, Corollary 4.8] that any Veronese subring of the polynomial is nearly Gorenstein, but again $d(R)$ can be any number.

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