

RATIOS OF VOLUMES AND FACTORIZATION THROUGH ℓ_∞

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Introduction

The projection constant $\lambda(X)$ of a finite dimensional normed space X is often difficult to compute, but it plays an important role in the classical and in the local theory of Banach spaces. We extend its definition in a natural way so as to include the class of quasi-normed spaces as well, and we present a new method for getting a lower bound for $\lambda(X)$ in terms of ratios of volumes. This bound allows us for example to easily obtain the right asymptotic estimate for $\lambda(\ell_p^n)$ in the case $0 < p < 1$ and dispenses with the logarithmic factor in the estimate obtained by Peck [Pe] who used some involved probabilistic method. The method applies also for the Schatten classes S_p^n ($0 < p \leq 1$) of operators on ℓ_2^n .

Given a centrally symmetric body K in \mathbb{R}^n we can endow \mathbb{R}^n with the quasi-norm defined by

$$\|x\| = \inf\{a > 0; x \in aK\},$$

and let $E = (\mathbb{R}^n, \|\cdot\|)$ be the n -dimensional quasi-normed space with K as its unit ball. Let $B = B_X$ be the unit ball of a given Banach space X . We define the volume ratio $\text{vr}(E, X)$, also denoted by $\text{vr}(K, B)$, to be

$$\text{vr}(E, X) = \inf \left(\frac{\text{vol}_n(K)}{\text{vol}_n(T(B))} \right)^{1/n}$$

where the infimum ranges over all onto linear maps $T : X \rightarrow \mathbb{R}^n$ satisfying $T(B) \subset K$. We define the external volume ratio $\text{evr}(E, X)$, also denoted by $\text{evr}(K, B)$, to be

$$\text{evr}(E, X) = \inf \left(\frac{\text{vol}_n(T(B))}{\text{vol}_n(K)} \right)^{1/n}$$

where the infimum ranges over all onto linear maps $T : X \rightarrow \mathbb{R}^n$ such that $T(B) \supset K$. For $0 < p \leq \infty$, let ℓ_n^p be the space \mathbb{R}^n equipped with the quasi-norm

$$\|x\|_p = \left(\sum_{i=1}^n |x_i|^p \right)^{1/p}$$

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and let B_p^n be its unit ball:

$$B_p^n = \left\{ x = (x_1, \dots, x_n) \in \mathbb{R}^n; \sum_{i=1}^n |x_i|^p \leq 1 \right\}.$$

Let $Q_n = [-1, 1]^n = B_\infty^n$ be the unit cube of \mathbb{R}^n and $C_n = B_1^n$ be its polar body:

$$C_n = \left\{ (x_1, \dots, x_n) \in \mathbb{R}^n; \sum_{i=1}^n |x_i| \leq 1 \right\}.$$

The ratio $\text{evr}(K, B_\infty^n) = \text{evr}(K, Q_n)$, known also as the *cubic ratio* of K , was studied by various authors (see [B1], [Ge], [PS]) in relation to the classical *volume ratio* $\text{vr}(K, B_n^2)$. The *zonoid ratio*, which in our notation is $\text{vr}(K, B_{\ell_\infty})$, was also studied in [B1].

We prove that if K is the unit ball of a quasi-normed space X , then

$$\text{evr}(K, Q_n) \text{vr}(K, B_{\ell_\infty}) \leq \lambda(X);$$

geometrically this means that there exist a parallelepiped P and a zonoid Z such that $Z \subset K \subset P$, and $(\frac{\text{vol}_n(P)}{\text{vol}_n(Z)})^{1/n} \leq \lambda(X)$, and we study various relations among the above mentioned quantities and other parameters associated with centrally symmetric, and not necessarily convex, bodies K .

Notation

If I is a finite set, we shall denote by $|I|$ its cardinality, and by $\mathcal{M}_{n,m}$ the set of all matrices $A = [a_{ij}]_{i=1, \dots, n, j=1, \dots, m}$ with real entries consisting of n rows and m columns. For $I \subset \{1, 2, \dots, n\}$ and $J \subset \{1, 2, \dots, m\}$, let $A_{IJ} = [a_{ij}]_{i \in I, j \in J}$. We will make use of the following Cauchy-Binet formula: If $A \in \mathcal{M}_{n,m}$ and $B \in \mathcal{M}_{m,n}$, and if $N = \{1, \dots, n\}$ and $M = \{1, \dots, m\}$, $1 \leq n \leq m$, then

$$\det(AB) = \sum_{I \subset M, |I|=n} \det(A_{NI}) \det(B_{IN}).$$

Let $v_n = \pi^{\frac{n}{2}} / \Gamma(1 + \frac{n}{2})$ denote the volume of the Euclidean ball B_2^n of \mathbb{R}^n ; then $v_n^{1/n} \sim \sqrt{\frac{2\pi e}{n}}$. If K is a centrally symmetric body (not necessarily convex) in \mathbb{R}^n , we note that by our definition

$$\text{vr}(K, \ell_1^n) = \min \left\{ \left(\frac{\text{vol}_n(K)}{\text{vol}_n(C)} \right)^{1/n}; C \subset K \text{ is the symmetric convex hull of } n \text{ points} \right\},$$

and

$$\text{vr}(K, B_{\ell_\infty}) = \min \left\{ \left(\frac{\text{vol}_n(K)}{\text{vol}_n(Z)} \right)^{1/n}; Z \subset K \text{ is a zonoid} \right\}.$$

The cubic ratio of K is

$$\text{evr}(K, Q_n) = \min \left\{ \left(\frac{\text{vol}_n(P)}{\text{vol}_n(K)} \right)^{1/n} ; P \text{ is a parallelotope containing } K \right\},$$

and the classical volume ratio of K is

$$\text{vr}(K, B_2^n) = \min \left\{ \left(\frac{\text{vol}_n(K)}{\text{vol}_n(D)} \right)^{1/n} ; D \subset K \text{ is an ellipsoid} \right\}.$$

Since B_2^n is a zonoid and ℓ_1^n has uniformly bounded volume ratio, it is clear that

$$\text{vr}(E, \ell_\infty^n) \leq \text{vr}(E, \ell_2^n) \leq \text{vr}(E, \ell_1^n) \text{vr}(\ell_1^n, \ell_2^n) \sim \sqrt{\frac{2e}{\pi}} \text{vr}(E, \ell_1^n).$$

Ratios of volumes and factorization through l_∞

The following proposition is essentially known [B1], [Ge], [PS].

PROPOSITION 1. *Let K be a centrally symmetric convex body in \mathbb{R}^n and K° be its polar body with respect to the ordinary scalar product denoted by $\langle \cdot, \cdot \rangle$. Suppose that $u_i \in \mathbb{R}^n$, $\langle u_i, u_i \rangle = 1$ and $c_i > 0$, $i = 1, \dots, m$ satisfy*

$$\sum_{i=1}^m c_i \langle u_i, x \rangle u_i = x$$

for every $x \in \mathbb{R}^n$. Then:

- (i) *If $u_i \in K^\circ$, $i = 1 \dots, m$, there exists a parallelotope P such that $K \subset P$ and $(\text{vol}_n(P))^{1/n} \leq \sqrt{e}(\text{vol}_n(Q_n))^{1/n} = 2\sqrt{e}$.*
- (ii) *If $u_i \in K$, $i = 1 \dots, m$, there exists a cross-polytope C such that $C \subset K$ and $(\text{vol}_n(C))^{1/n} \geq \frac{1}{\sqrt{e}}(\text{vol}_n(C_n))^{1/n} \sim 2\frac{\sqrt{e}}{n}$.*

Proof. If $C \in \mathcal{M}_{n,m}$ is the matrix whose columns are the coordinates of the vectors $\sqrt{c_i} u_i$, $1 \leq i \leq m$, in the canonical basis of \mathbb{R}^n , we have $CC^* = I_n$, where I_n denotes the identity on \mathbb{R}^n and thus $\sum_{i=1}^m c_i = n$. It follows from the Cauchy-Binet identity that

$$\begin{aligned} 1 &= \sum_{I \subset \{1, \dots, m\}, |I|=n} \left(\prod_{i \in I} c_i \right) (\det(u_i)_{i \in I})^2 \\ &\leq \binom{m}{n} \max_{I \subset \{1, \dots, m\}, |I|=n} (\det(u_i)_{i \in I})^2 \left(\frac{\sum_{|I|=n} \prod_{i \in I} c_i}{\binom{m}{n}} \right) \end{aligned}$$

Now, since $\sum_{i=1}^m c_i = n$, by Newton's inequality we get

$$1 \leq \binom{m}{n} \left(\frac{n}{m}\right)^n \max_{I \subset \{1, \dots, m\}, |I|=n} (\det(u_i)_{i \in I})^2 .$$

It follows that

$$\max_{I \subset \{1, \dots, m\}, |I|=n} |\det(u_i)_{i \in I}|^{1/n} \geq \frac{1}{\sqrt{e}} .$$

In case (i) for some $I \subset \{1, \dots, m\}$, $|I| = n$, the parallelotope $P = \{x \in \mathbb{R}^n; | \langle x, u_i \rangle | \leq 1 \text{ for every } i \in I\}$ satisfies the required properties. Case (ii) follows from (i) by replacing K^o with K and taking $C = P^o$. \square

The following results relate $\text{vr}(K, B_2^n)$ to $\text{evr}(K, Q_n)$. It is a direct consequence of the preceding proposition.

COROLLARY 2. ([B2], [Ge], [PS]) *Let K be a convex symmetric convex body. Then*

$$\text{vr}(Q_n, B_2^n) \leq \text{evr}(K, Q_n) \text{vr}(K, B_2^n) \leq \sqrt{e} \text{vr}(Q_n, B_2^n)$$

where $\text{vr}(Q_n, B_2^n) = \frac{2}{v_n^{1/n}} \sim \sqrt{\frac{2n}{\pi e}}$.

Proof. The left-hand side inequality follows from the definition. For the right hand-side, we may suppose that the Euclidean ball is the maximal volume ellipsoid inside K , that is the John ellipsoid of K ; then by [J], both assumptions (i), (ii) of Proposition 1 are satisfied. The result follows. \square

The next corollary is an improvement of an estimate due independently to many authors ([BF], [BP], [C], [Gl], and B. Maurey in [Pi1]).

COROLLARY 3. *There exists a constant $c > 0$ such that if $x_1, \dots, x_m \in \mathbb{R}^n$, $m \geq n$ and $K = \text{conv}(\pm x_i, 1 \leq i \leq m)$, then*

$$(\text{vol}_n(K))^{1/n} \leq c \sqrt{\ln \left(\frac{2m}{n}\right)} \max_{I \subset \{1, \dots, m\}, |I|=n} (\text{vol}_n(\text{conv}(\pm x_i, i \in I)))^{1/n} .$$

Proof. Let $A \in \mathcal{M}_{n,n}$ be a matrix such that the minimal volume ellipsoid containing the body $K' = AK$ is the Euclidean ball. Then again the assumptions of Proposition 1,(ii) are satisfied. Thus there exists a cross-polytope $C \subset K'$ such that

$(\text{vol}_n(\mathbf{C}))^{1/n} \geq \frac{1}{\sqrt{e}}((\text{vol}_n(\mathbf{C}_n))^{1/n})$. It follows that

$$\begin{aligned} & \left(\frac{\text{vol}_n(K)}{\max_{y_1, \dots, y_n \in K} \text{vol}_n(\text{conv}(\pm y_i, 1 \leq i \leq n))} \right)^{1/n} \\ &= \left(\frac{\text{vol}_n(K')}{\max_{z_1, \dots, z_n \in K'} \text{vol}_n(\text{conv}(\pm z_i, 1 \leq i \leq n))} \right)^{1/n} \\ &\leq \left(\frac{\text{vol}_n(K')}{\text{vol}_n(\mathbf{C})} \right)^{1/n} \leq \sqrt{e} \left(\frac{\text{vol}_n(K')}{\text{vol}_n(\mathbf{C}_n)} \right)^{1/n}. \end{aligned}$$

But since $K' \subset B_2^n$ is the convex hull of Ax_1, \dots, Ax_m , it follows from [BP], [BF], [C], [GI] or [Pi1] that for some constant $d > 0$, independent of n and m , we have

$$(\text{vol}_n(K'))^{1/n} \leq d \sqrt{\ln \left(\frac{2m}{n} \right)} (\text{vol}_n(\mathbf{C}_n))^{1/n}.$$

Combining the preceding inequalities, we get our estimate. \square

Remark. If the convex body K is not supposed to be centrally symmetric, then Proposition 1 can be generalized in both cases if we replace the parallelotope P and the cross-polytope \mathbf{C} by a simplex, and Q_n and \mathbf{C}_n by the regular simplices circumscribed to B_2^n and inscribed in B_2^n . Observe also that Corollary 3 can be generalized as follows: if $K = \text{conv}(x_1, \dots, x_m)$, then

$$(\text{vol}_n(K))^{1/n} \leq d \sqrt{\ln \left(\frac{2m}{n} \right)} \max\{(\text{vol}_n(\Delta))^{1/n}; \Delta \text{ simplex, } \Delta \subset K\},$$

for some constant $d > 0$ independent of $n, m > n + 1$ and $x_1, \dots, x_m \in \mathbb{R}^n$.

If E is a subspace of \mathbb{R}^n , then P_E will denote the orthogonal projection onto E .

LEMMA 4. *Let B be a symmetric body in \mathbb{R}^n (not necessarily convex). Let $1 \leq k \leq n \leq m$ and $T = VU$, with $T \in \mathcal{M}_{n,n}$, $V \in \mathcal{M}_{n,m}$ and $U \in \mathcal{M}_{m,n}$, $\text{rank}(T) = k$ and $UB \subset \|U\|Q_m$, where $\|U\| > 0$. Then*

$$\begin{aligned} \frac{\text{vol}_k(TB)}{\text{vol}_k(P_{\ker(T)^\perp} B)} &\leq \frac{k!}{4^k} \sqrt{\binom{n}{k}} \lambda_k(B^\circ) \|U\|^k \max_{\dim(E)=k} \text{vol}_k(P_E V Q_m) \\ &= \sqrt{\binom{n}{k}} \|U\|^k \max_{\dim(E)=k} \text{vol}_k(P_E V Q_m) \\ &\quad \times \left(\min_{\dim(E)=k} (\text{evr}(P_E B, Q_k)^k \text{vol}_k(P_E B)) \right)^{-1}. \end{aligned}$$

where $B^\circ = \{y \in \mathbb{R}^n; \langle x, y \rangle \leq 1, \text{ for all } x \in B\}$ and for a subset C of \mathbb{R}^n , $\lambda_k(C) =: \max\{\text{vol}_k(\text{conv}(\pm x_1, \dots, \pm x_k)); x_1, \dots, x_k \in C\}$.

Proof. By standard linear algebra methods, we have

$$\text{vol}_k(TB) = \left(\sum_{|I|=|J|=k} (\det(T_{IJ}))^2 \right)^{1/2} \text{vol}_k(P_{\ker(T)^\perp} B).$$

For $I, J \subset \{1, \dots, n\}$ with $|I| = |J| = k$ define $t_{IJ} = \det(T_{IJ})$ and similarly for u_{IJ} and v_{IJ} . Then

$$t_{IJ} = \sum_{K \subset \{1, \dots, m\}, |K|=k} v_{IK} u_{KJ},$$

so that

$$\begin{aligned} \sum_{|I|=|J|=k} t_{IJ}^2 &= \sum_{|I|=|J|=k} \left(\sum_{|K|=|L|=k} v_{IK} u_{KJ} v_{IL} u_{LJ} \right) \\ &= \sum_I \left(\sum_{K,L} v_{IK} v_{IL} \left(\sum_J u_{KJ} u_{LJ} \right) \right) \\ &\leq \left(\max_K \sum_J u_{KJ}^2 \right) \left(\sum_I \left(\sum_K |v_{IK}| \right)^2 \right) \end{aligned}$$

For $I \subset \{1, \dots, n\}$, $\text{card}(I) = k$, let $\Pi_I : \mathbb{R}^n \rightarrow \mathbb{R}^I$ denote the orthogonal projection; we have

$$\sum_{|K|=k} |v_{IK}| = 2^{-k} \text{vol}_k(\Pi_I V Q_m).$$

Indeed, $Q_m = \sum_{j=1}^m [-e_j, e_j]$. Hence $\Pi_I V Q_m = \sum_{j=1}^m [-\Pi_I v_j, \Pi_I v_j] \subset \Pi_I(\mathbb{R}^n) = \mathbb{R}^k$, where $\{v_j\}_{j=1}^m$ denote the columns of the matrix V ; and now it is well known [Mc] that if $Z = \sum_{j=1}^m [-z_j, z_j]$ is a zonotope in \mathbb{R}^k then

$$\text{vol}_k(Z) = 2^k \sum_{J \subset \{1, \dots, m\}, |J|=k} |\det(z_j; j \in J)|.$$

It follows that

$$\sum_I \left(\sum_K |v_{IK}| \right)^2 \leq \binom{n}{k} \left(2^{-k} \max_{\dim(E)=k} \text{vol}_k(P_E V Q_m) \right)^2.$$

Observe also that since $U(B) \subset \|U\| Q_m$, the rows U_1, \dots, U_m of the matrix U are vectors of \mathbb{R}^n which satisfy $U_i \in \|U\| B^o$ for $1 \leq i \leq m$. Then, for $K \subset \{1, \dots, m\}$, $|K| = k$, we have

$$\left(\sum_{J \subset \{1, \dots, n\}, |J|=k} u_{KJ}^2 \right)^{1/2} = \frac{k!}{2^k} \text{vol}_k(\text{conv}(\pm U_i; i \in K)).$$

This may require an explanation: Let $\{z_i\}_{i=1}^k$ be k vectors in \mathbb{R}^n and $C = \text{conv}(\pm z_i; 1 \leq i \leq k)$. Denote by $Z \in \mathcal{M}_{k,n}$ the matrix with z_i 's as rows. Then there is an orthogonal matrix $\Lambda \in \mathcal{M}_{n,n}$ such that $Z\Lambda = [W, 0]$, where $W \in \mathcal{M}_{k,k}$ is a matrix with rows $\{w_i\}_{i=1}^k$ and 0 denotes the zero matrix in $\mathcal{M}_{k,n-k}$. Obviously, $WW^* = ZZ^*$, and we obtain

$$\begin{aligned} \text{vol}_k(C) &= \text{vol}_k(\text{conv}(\pm w_i, 1 \leq i \leq k)) = \text{vol}_k(W(C_k)) = \frac{2^k}{k!} |\det(W)| \\ &= \frac{2^k}{k!} \sqrt{\det(WW^*)} = \frac{2^k}{k!} \sqrt{\det(ZZ^*)} \\ &= \frac{2^k}{k!} \left(\sum_{J \subset \{1, \dots, n\}, |J|=k} (\det(Z_{KJ}))^2 \right)^{1/2}, \end{aligned}$$

where $K = \{1, \dots, k\}$.

It follows that

$$\left(\max_K \sum_J u_{KJ}^2 \right)^{1/2} \leq \frac{k!}{2^k} \|U\|^k \lambda_k(B^o).$$

Finally, by duality we have

$$\frac{k!}{2^k} \lambda_k(B^o) = 2^k \left(\min_{\dim(E)=k} (\text{evr}(P_E B, Q_k)^k \text{vol}_k(P_E B)) \right)^{-1}.$$

The lemma follows. \square

LEMMA 5. *Let K be a symmetric body in \mathbb{R}^n (not necessarily convex). Let $1 \leq n \leq m$ and $T = VU$, with $T \in \mathcal{M}_{n,n}$, $V \in \mathcal{M}_{n,m}$ and $U \in \mathcal{M}_{m,n}$, with $\text{rank}(T) = n$ and $U(K) \subset \|U\| Q_m$, where $\|U\| > 0$. Then*

$$\text{evr}(K, Q_n) |\det(T)|^{1/n} \leq \|U\| \left(\frac{\text{vol}_n(V(Q_m))}{\text{vol}_n(K)} \right)^{1/n}.$$

Proof. Apply Lemma 4 with $k = n$. \square

Now let E and F be two n -dimensional quasi-normed spaces with unit balls B_E and B_F respectively. For $T \in L(E, F)$ define

$$\gamma_\infty(T) = \inf \{ \|U\| \|V\| \}$$

where the infimum is taken over all the factorizations $T = VU$, $U \in L(E, \ell_\infty)$, $V \in L(\ell_\infty, F)$, and if B_∞ denotes the unit ball of ℓ_∞ , $\|U\| = \inf\{a > 0; U(B_E) \subset a B_\infty\}$ and $\|V\| = \inf\{b > 0; V(B_\infty) \subset b B_F\}$.

For a centrally symmetric body K in \mathbb{R}^n , if E is the quasi-normed space such that $B_E = K$, we define the projection constant of E or, of K , to be

$$\lambda(K) = \lambda(E) = \gamma_\infty(I)$$

where $I : E \rightarrow E$ denotes the identity.

THEOREM 6. *Let $T \in L(E, F)$, where $E = (\mathbb{R}^n, \|\cdot\|_E)$, and $F = (\mathbb{R}^n, \|\cdot\|_F)$ are n -dimensional quasi-normed spaces. Then,*

$$\text{evr}(E, \ell_\infty^n) \text{vr}(F, \ell_\infty) |\det T|^{1/n} \leq \gamma_\infty(T) \left(\frac{\text{vol}_n(B_F)}{\text{vol}_n(B_E)} \right)^{1/n}.$$

Proof. By Lemma 5, if we let $K = B_E$ then for any factorization $T = VU$ through ℓ_∞^m ,

$$\text{evr}(E, \ell_\infty^n) |\det(T)|^{1/n} \leq \|U\| \left(\frac{\text{vol}_n(V(Q_m))}{\text{vol}_n(B_E)} \right)^{1/n}.$$

Thus, if Z is the zonoid $\|V\|^{-1}V(Q_m)$, then $Z \subset B_F$. \square

COROLLARY 7. *If E is an n -dimensional quasi-normed space, with unit ball B_E , then*

$$\begin{aligned} \lambda(E) &\geq \text{evr}(E, \ell_\infty^n) \text{vr}(E, \ell_\infty) \\ &= \max \left\{ \left(\frac{\text{vol}_n(P)}{\text{vol}_n(Z)} \right)^{1/n}; Z \text{ zonoid, } P \text{ paralleloptope, } Z \subset B_E \subset P \right\}. \end{aligned}$$

Remarks. (1) It is easy to prove that, under the hypothesis of the preceding corollary, we have

$$\text{evr}(E, \ell_\infty^n) \leq \inf \left\{ \|U\| \left(\frac{\text{vol}_n(V(B_\infty))}{\text{vol}_n(B_E)} \right)^{1/n} \right\}$$

where the infimum is taken over all linear operators $U : X \rightarrow \ell_\infty$ and $V : \ell_\infty \rightarrow X$ such that VU is the identity on \mathbb{R}^n .

(2) By Lemma 5, if E, F are n -dimensional, then

$$\sup_{T: E \rightarrow F, T \neq 0} \frac{|\det(T)|^{1/n}}{\gamma_\infty(T)} \leq \frac{1}{\text{evr}(E, \ell_\infty^n) \text{vr}(F, \ell_\infty)} \left(\frac{\text{vol}_n(B_F)}{\text{vol}_n(B_E)} \right)^{1/n}.$$

In particular it follows that

$$\text{evr}(E, \ell_\infty^n) \text{evr}(F, \ell_\infty^n) \text{vr}(E, \ell_\infty) \text{vr}(F, \ell_\infty) \leq \inf_{T \in L(E, F)} \{ \gamma_\infty(T) \gamma_\infty(T^{-1}) \}.$$

Let us recall now some definitions; if X is a normed space, we define the following quantities:

(1) The Gordon-Lewis constant $gl_2(X)$ (according to G. Pisier [Pi1]) is the least constant C such that for any operator $T \in L(X, l_2)$,

$$\gamma_1(T) \leq C\pi_1(T).$$

(2) The Gordon-Lewis constant $gl(X)$ of X (see [GL]), is the least constant C such that for any normed space Y and any operator $T \in L(X, Y)$,

$$\gamma_1(T) \leq C\pi_1(T).$$

(3) The weak Gordon-Lewis constant $wrgl_2(X)$ of an n -dimensional space X (according to K. Ball [B1], a different definition was introduced in [Pi3]) is the least constant K such that for any $T \in L(X, l_2)$

$$(\text{vol}_n(T(B_X)))^{1/n} \leq \frac{2K}{n}\pi_1(T).$$

(For the definition of the ideal norm $\pi_p(T)$ the reader may refer to the books [Kö], [Pie], [Pi2], [Tj].) It was proved in [B2] that for some constant $c > 0$, independent of X ,

$$(*) \quad wrgl_2(X) \leq c \min\{gl_2(X), \text{vr}(X, B_2^{\dim(X)})\}.$$

and moreover, if X is finite dimensional,

$$wrgl_2(X) \sim \text{vr}(X, \ell_\infty)$$

in the sense that there exist absolute constants c_1 and $c_2 > 0$ such that

$$(**) \quad c_1 \text{vr}(X, \ell_\infty) \leq wrgl_2(X) \leq c_2 \text{vr}(X, \ell_\infty).$$

To better see how these numbers are related, let us also define the local unconditional constant of X , $\chi_u(X)$ ([GL]): this is the least constant C such that for any finite-dimensional subspace $F \subset X$ there exists a Banach space U with a finite unconditional basis constant $\chi(U)$, and operators $A \in L(F, U)$ and $B \in L(U, X)$ with $BA = i_F$ (the inclusion of F into X), and satisfying

$$\|A\| \|B\| \chi(U) \leq C.$$

Of course if E is finite-dimensional, $\chi_u(E) = \chi_u(E^*)$, and clearly subspaces of L_1 , and quotients of L_∞ , have finite gl_2 constants. We see then, by results of [GL] and inequalities (*) and (**) that for some absolute constants c and $d > 0$, we have

$$(***) \quad c \leq d wrgl_2(X) \leq gl_2(X) \leq gl(X) \leq \chi_u(X) \leq \chi(X) \leq \sqrt{\dim(X)}.$$

The following result improves inequality (*):

COROLLARY 8. *There is an absolute positive constant c such that for every finite dimensional normed space F , if F^* denotes the dual of F , we have*

$$\text{vr}(F, \ell_\infty) \text{vr}(F^*, \ell_\infty) \sim \text{wrgl}_2(F) \text{wrgl}_2(F^*) \leq c \min(\text{gl}_2(F), \text{gl}_2(F^*)).$$

Proof. Take E in Theorem 6 to be the space ℓ_2^n , then $\text{evr}(E, \ell_\infty^n) = \left(\frac{2^n}{\text{vol}_n(B_E)}\right)^{1/n} \sim \sqrt{n}$. Now, multiplying the inequality of Theorem 6 by $(\text{vol}_n(B_{F^*}))^{1/n}$, and using Santaló's inequality, we have that

$$\text{wrgl}_2(F) (\text{vol}_n(T^* B_{F^*}))^{1/n} \leq \frac{c}{n} \gamma_\infty(T).$$

The inequality

$$\text{wrgl}_2(F) \text{wrgl}_2(F^*) \leq c \text{gl}_2(F)$$

follows now immediately from the fact that $T^* \in L(F^*, \ell_2^n)$, and that $\gamma_\infty(T) = \gamma_1(T^*) \leq \text{gl}_2(F^*) \pi_1(T^*)$, and the definition of $\text{wrgl}_2(F^*)$. For the second inequality replace F by F^* . We use then (**). \square

Remarks. (a) In particular, since $\text{gl}_2(F^*) \leq \sqrt{\dim(F)}$ and $\lambda(F^*) \leq \sqrt{\dim(F)}$, it follows from Corollaries 7 and 8 that if $\text{wrgl}_2(F^*) \sim \sqrt{\dim(F)}$, then $\text{evr}(F^*, \ell_\infty^{\dim(F)}) \sim 1$. In other words there is a cross-polytope C contained in B_F (see the comments before Proposition 10) such that $\left(\frac{\text{vol}_n(B_F)}{\text{vol}_n(C)}\right)^{1/n} \sim 1$; in 'volume sense' B_F is equivalent to a cross-polytope; moreover both $\lambda(F^*)$, $\text{gl}_2(F^*)$ and $\text{gl}_2(F)$ are then asymptotically equivalent to $\sqrt{\dim(F)}$.

(b) If $\text{gl}_2(F) \sim 1$, which happens for example when F is 'well' complemented in a Banach lattice, then both B_F and B_{F^*} are in 'volume sense' equivalent to zonoids.

As we shall see, the estimate given by Corollary 7 for $\lambda(K)$ can provide good information about its real value; however, we ignore whether it is a sharp estimate. The weaker estimate, $\text{evr}(F, \ell_\infty^{\dim(F)}) \leq \lambda(F)$, is not sharp, as it is shown by the following example.

Example. There is an n -dimensional subspace F of ℓ_∞^{2n} such that

$$\lambda(F) \sim \sqrt{n} \text{ and } \text{evr}(F, \ell_\infty^n) \leq \sqrt{2}e$$

(for another example of the same type, see [B1]). In order to show this we first prove:

(a) Let E be a n -dimensional subspace of ℓ_∞^n with B_E as unit ball. Then

$$\text{evr}(B_E, \mathcal{Q}_n) \leq \left(\sqrt{\binom{m}{n}}\right)^{1/n} \leq \sqrt{\frac{me}{n}}.$$

Let P_E be the orthogonal projection of \mathbb{R}^m onto E . Then B_E can be described as follows:

$$B_E = \{x \in E; | \langle x, P_E e_i \rangle | \leq 1, \text{ for } 1 \leq i \leq m\} .$$

Let u_1, \dots, u_n be an orthonormal basis of E , and set $P_E = \sum_{i=1}^m \sum_{j=1}^n \mu_{ij} e_i \otimes u_j$. Denote by $M \in \mathcal{M}_{m,n}$ the matrix $(\mu_{ij})_{1 \leq i \leq m, 1 \leq j \leq n}$ which represents P_E . Since P_E is an orthogonal projection, the matrix $M^*M \in \mathcal{M}_{n,n}$ is the identity on E . Therefore by the Cauchy-Binet formula,

$$1 = \det(M^*M) = \sum_{I \subset \{1, \dots, m\}, |I|=n} (\det(M_{IN}))^2 ,$$

where $N = \{1, \dots, n\}$. The matrix M_{IN} represents the operator $P_E|_{\text{span}\{e_i, i \in I\}}$ which maps e_i to $P_E(e_i) = \sum_{j=1}^n \mu_{ij} u_j$ for every $i \in I$. Hence, denoting by C^I the cross-polytope $\text{conv}(\pm P_E(e_i), i \in I)$, we obtain

$$\text{vol}_n(C^I) = \frac{2^n}{n!} |\det(M_{IN})| .$$

It follows that

$$\sum_{|I|=n} \left(\frac{n!}{2^n} \text{vol}_n(C^I) \right)^2 = 1 .$$

Therefore there exists $J \subset \{1, \dots, m\}$, $|J| = n$ such that

$$\text{vol}_n(C^J) \geq \frac{2^n}{n! \sqrt{\binom{m}{n}}} .$$

Now the parallelotope $Q^J = \{x \in E; | \langle x, P_E e_i \rangle | \leq 1 \text{ for } i \in J\}$ contains B_E , and moreover since $(Q^J)^\circ = C^J$, we have $\text{vol}_n(Q^J) \text{vol}_n(C^J) = 4^n/n!$, from which it follows that

$$(\text{vol}_n(Q^J))^{1/n} \leq 2 \left(\sqrt{\binom{m}{n}} \right)^{1/n} \leq 2 \sqrt{\frac{me}{n}} .$$

But it follows from [V] that $\text{vol}_n(B_E) \geq \text{vol}_n(Q_n) = 2^n$. Therefore we have

$$\text{evr}(B_E, Q_n) \leq \sqrt{\frac{me}{n}} .$$

(b) Under the same hypothesis as in (a), if $i : \ell_2^m \rightarrow \ell_\infty^m$ denotes the identity map, and if i_E denotes its restriction to E , we have

$$\|i_E\| \geq \sqrt{\frac{n}{m}} .$$

In fact, if we set $u_i = \sum_{j=1}^m u_{ij}e_j$, $1 \leq i \leq n$, then

$$i_E = \sum_{i=1}^n u_i \otimes u_i = \sum_{i=1}^n \sum_{j=1}^m u_{ij}u_i \otimes e_j,$$

so that

$$\begin{aligned} \|i_E\|^2 &= \max_{a_1^2+\dots+a_m^2 \leq 1} \left(\max_{j=1,\dots,m} \left(\sum_{i=1}^n a_i u_{ij} \right)^2 \right) = \max_{j=1,\dots,m} \left(\max_{a_1^2+\dots+a_n^2 \leq 1} \left(\sum_{i=1}^n a_i u_{ij} \right)^2 \right) \\ &= \max_{j=1,\dots,m} \sum_{i=1}^n u_{ij}^2 \geq \frac{1}{m} \sum_{j=1}^m \sum_{i=1}^n u_{ij}^2 = \frac{n}{m}. \end{aligned}$$

(c) Now let F be an n -dimensional subspace of ℓ_∞^m and let $q_F : \ell_\infty^m \rightarrow \ell_\infty^m/F$ be the quotient mapping. If P is any linear projection of ℓ_∞^m onto F , set $E = i^{-1}(\ker P)$ and $Q = I_\infty - P$, where I_∞ denotes the identity mapping on ℓ_∞^m . If we set

$$r_Q(q_F y) = Qy \text{ for every } y \in \ell_\infty^m,$$

we get a mapping $r_Q : \ell_\infty^m/F \rightarrow \ker P$ such that $r_Q q_F$ is the identity on $\ker P$, hence $i_E = r_Q q_F i_E$, and moreover $\|r_Q\| = \|Q\| = \|I_\infty - P\|$, so that

$$\|i_E\| \leq \|I_\infty - P\| \|q_F i_E\|.$$

Let now $m = 2n$; then it follows from a result of Kashin [K1] that for some constant $c > 0$, independent of n , there exists an n -dimensional subspace F of ℓ_∞^{2n} so that, with the previous notation,

$$\|q_F i\| \leq \frac{c}{\sqrt{n}}.$$

Applying (b), we get

$$\frac{1}{\sqrt{2}} = \sqrt{\frac{n}{2n}} \leq \|i_E\| \leq \|I_\infty - P\| \|q_F i_E\| \leq \|I_\infty - P\| \|q_F i\| \leq (1 + \|P\|) \frac{c}{\sqrt{n}}$$

for every projection $P : \ell_\infty^m \rightarrow F$, with $i^{-1}(\ker P) = E$. It follows that

$$\lambda(F) \geq \frac{\sqrt{n}}{c\sqrt{2}} - 1,$$

but by (a) applied to F , we have

$$\text{evr}(B_F, Q_n) \leq \sqrt{2}e.$$

THEOREM 9. For every $0 < p \leq 1$ there exists a constant $c(p) > 0$ such that for every integer n , we have

$$c(p)n^{\frac{1}{p}-\frac{1}{2}} \leq \text{evr}(\ell_p^n, \ell_\infty^n) \leq \lambda(\ell_p^n) \leq n^{\frac{1}{p}-\frac{1}{2}}.$$

Proof. Observe that a parallelotope contains the unit ball B_p^n of ℓ_p^n , $0 < p \leq 1$, if and only if it contains $C_n = B_1^n$. Therefore, since $\text{vr}(C_n, B_2^n)$ is bounded and hence from Corollary 2, $\text{evr}(C_n, Q_n) \sim \sqrt{n}$, it follows from Corollary 7 that

$$\begin{aligned} \lambda(\ell_p^n) &\geq \text{evr}(B_p^n, Q_n) = \text{evr}(C_n, Q_n) \left(\frac{\text{vol}_n(C_n)}{\text{vol}_n(B_p^n)} \right)^{1/n} \\ &\geq c(p) \sqrt{n} n^{-1+\frac{1}{p}} = c(p) n^{\frac{1}{p}-\frac{1}{2}}. \end{aligned}$$

The upper estimate is trivial, since the distance between ℓ_p^n and ℓ_1^n is $n^{1/p-1}$, and $\lambda(\ell_1^n) < \sqrt{n}$. \square

Remark. The preceding lower estimate for $\lambda(\ell_p^n)$ has been obtained in [Pe], with an extra multiplicative $\ln(n)$, using a much more involved proof.

We also observe that easy calculation of $\text{evr}(\ell_p^n, Q_n)$ yields the known asymptotic estimates of the projection constants for all values of $p \geq 1$.

For $0 < p \leq +\infty$, let s_p^n be the n^2 -dimensional space of all real $[n \times n]$ matrices A equipped with the quasi-norm

$$\|A\|_p = \left(\sum_{i=1}^n \lambda_i^p \right)^{1/p},$$

where $(\lambda_1, \dots, \lambda_n)$ are the eigenvalues of $(A^*A)^{1/2}$, and let S_p^n be the unit ball of s_p^n .

THEOREM 10. For every $0 < p \leq 1$, there exists a positive constant $a(p)$ such that

$$a(p)n^{1/p} \leq \text{evr}(S_p^n, Q_{n^2}) \leq \lambda(s_p^n) \leq n^{1/p}.$$

Proof. By Corollary 8 of [S], for some constant $d(p) > 0$, $(\text{vol}_{n^2}(S_p^n))^{1/n^2} \sim d(p)n^{-(\frac{1}{2}+\frac{1}{p})}$ (the proof of [S] considers only the case $p \geq 1$, but it is easily seen that it yields this estimate for $0 < p \leq 1$). As in Theorem 9, we have

$$\text{evr}(S_p^n, Q_{n^2}) = \text{evr}(S_1^n, Q_{n^2}) \left(\frac{\text{vol}_{n^2}(S_1^n)}{\text{vol}_{n^2}(S_p^n)} \right)^{1/n^2} \geq d(p) \text{evr}(S_1^n, Q_{n^2}) n^{-1+\frac{1}{p}}.$$

But $S_1^n \subset S_2^n \subset \sqrt{n} S_1^n$ and $\text{vr}(S_1^n, B_2^{n^2}) \leq (\text{vol}_{n^2}(S_1^n) / \text{vol}_{n^2}(S_2^n))^{1/n^2} \sqrt{n} \leq c_1$. Hence, by Corollary 2, $\text{evr}(S_1^n, Q_{n^2}) \geq c_2 n$, from which the lower estimates follows. For the upper estimate, observe that

$$\lambda(s_p^n) \leq \lambda(s_1^n) d(s_p^n, s_1^n) \leq n \cdot n^{\frac{1}{p}-1} = n^{\frac{1}{p}}$$

where $d(\cdot, \cdot)$ denotes here the Banach-Mazur distance. \square

Remark. If K is a centrally symmetric convex body in \mathbb{R}^n , let

$$K^o = \{x \in \mathbb{R}^n; \langle x, y \rangle \leq 1 \text{ for every } y \in K\}$$

be its polar body. Then for some absolute constant $c > 0$,

$$\frac{2}{\pi} \leq \frac{\text{evr}(K, Q_n)}{\text{vr}(K^o, C_n)} \leq c.$$

Indeed,

$$\begin{aligned} \frac{\text{evr}(K, Q_n)}{\text{vr}(K^o, C_n)} &= \inf_{P \subset K} \sup_{C \subset K^o} \left(\frac{\text{vol}_n(P) \text{vol}_n(C)}{\text{vol}_n(K) \text{vol}_n(K^o)} \right)^{1/n} \\ &\geq \inf_{P \supset K} \left(\frac{\text{vol}_n(P) \text{vol}_n(P^o)}{\text{vol}_n(K) \text{vol}_n(K^o)} \right)^{1/n} \\ &= \left(\frac{4^n}{n! \text{vol}_n(K) \text{vol}_n(K^o)} \right)^{1/n}, \end{aligned}$$

and by Santalò's inequality we get

$$\frac{\text{evr}(K, Q_n)}{\text{vr}(K^o, C_n)} \geq \left(\frac{4^n}{n! v_n^2} \right)^{1/n} \geq \frac{2}{\pi}.$$

On the other hand, by the inverse Santalò's inequality (see [BM] or [Pi2])

$$\frac{\text{evr}(K, Q_n)}{\text{vr}(K^o, C_n)} \leq \sup_{C \subset K^o} \left(\frac{\text{vol}_n(C) \text{vol}_n(C^o)}{\text{vol}_n(K) \text{vol}_n(K^o)} \right)^{1/n} \leq c.$$

If we suppose that K or K^o is a zonoid, using [R] or [GMR] we have

$$\text{vol}_n(K) \text{vol}_n(K^o) \geq \frac{4^n}{n!},$$

so that

$$\frac{\text{evr}(K, Q_n)}{\text{vr}(K^o, C_n)} \leq 1.$$

PROPOSITION 11. *Let Z be a zonoid in \mathbb{R}^n . Then $\text{vr}(Z, B_1^n) \geq \text{vr}(Q_n, C_n) \geq \frac{\sqrt{n}}{e}$.*

Proof. Let us observe first that

$$\text{vr}(Q_n, C_n) = \left(\frac{n!}{\max\{|\det(\theta_{ij}, 1 \leq i, j \leq n)|; |\theta_{ij}| \leq 1\}} \right)^{1/n} \geq \frac{\sqrt{n}}{e}.$$

Indeed, any cross-polytope $C \subset Q_n$ has the form $\text{conv}(\pm \sum_{j=1}^n \theta_{ij} e_j, 1 \leq i \leq n)$, for some choice of the $n \times n$ matrix $\Theta = (\theta_{ij})$ and clearly

$$\text{vol}_n(C) = \frac{2^n}{n!} |\det(\Theta)| = \frac{\text{vol}_n(Q_n)}{n!} |\det(\Theta)|.$$

By Hadamard's inequality, $|\det(\Theta)| \leq \prod_{i=1}^n \left(\sum_{j=1}^n \theta_{ij}^2 \right)^{1/2} \leq n^{n/2}$, hence

$$\text{vr}(Q_n, C_n) \geq \left(\frac{n!}{n^{n/2}} \right)^{1/n} \geq \frac{\sqrt{n}}{e}.$$

Since a zonoid can be approximated by zonotopes in the Hausdorff metric, we may reduce to the latter case and suppose that $Z = \sum_{j=1}^m [-z_j, z_j]$ for some $z_j \in \mathbb{R}^n, 1 \leq j \leq m$ and $n \leq m$.

Let $A \in \mathcal{M}_{n,m}$ be the matrix with the coordinates of $z_j, 1 \leq j \leq m$ in the canonical basis of \mathbb{R}^n as columns. If x_1, \dots, x_n are points in Z , then they have the form $x_i = \sum_{j=1}^m \theta_{ij} z_j$, with $\theta_{ij} \in [-1, 1]$, so letting $C = \text{conv}(\pm x_1, \dots, \pm x_n) \subset Z$, and denoting by $L = [x_1, \dots, x_n] \in \mathcal{M}_{n,n}$ the corresponding matrix, and by $\Theta \in \mathcal{M}_{m,n}$ the matrix with entries θ_{ji} in the i -th row and j -th column for $1 \leq i \leq m, 1 \leq j \leq n$, we have $L = A \Theta$. By the Cauchy-Binet formula,

$$\det(L) = \sum_{I \subset \{1, \dots, m\}, |I|=n} \det(A_{NI}) \det(\Theta_{IN}).$$

But $\text{vol}_n(Z) = 2^n \left(\sum_{I \subset \{1, \dots, m\}, |I|=n} |\det(A_{NI})| \right)$, and hence

$$2^n |\det(L)| \leq \text{vol}_n(Z) \max_{|I|=n} |\det(\Theta_{IN})| \leq (n!) \frac{\text{vol}_n(Z)}{(\text{vr}(Q_n, C_n))^n}.$$

Therefore

$$\left(\frac{\text{vol}_n(Z)}{\text{vol}_n(C)} \right)^{1/n} = \left(\frac{\text{vol}_n(Z)}{\frac{2^n}{n!} |\det(L)|} \right)^{1/n} \geq \text{vr}(Q_n, C_n) \geq \frac{\sqrt{n}}{e}. \quad \square$$

Remarks. (1) The estimate $\text{vr}(Q_n, C_n) \geq \frac{(n!)^{1/n}}{\sqrt{n}}$ is sharp in the case when $n = 2^k, k = 1, 2, \dots$ (use Walsh matrix). For an upper estimate of $\text{vr}(K, C_n)$, valid for every convex symmetric body K , observe that the quantity

$$\max_{x_1, \dots, x_n \in K} \det(x_1, \dots, x_n)$$

decreases under Steiner symmetrization of K (see [M] for instance). It follows that

$$\text{vr}(K, C_n) \leq \text{vr}(B_2^n, C_n) = \left(\frac{v_n n!}{2^n}\right)^{1/n} \sim \sqrt{\frac{\pi n}{2e}}.$$

This estimate was proved in [K2], up to a multiplicative constant.

(2) Proposition 11 allows us to give an easy geometric proof of the following result, which is also a consequence of the fact, originally due to Bourgain and Milman [BM], that the finite-dimensional subspaces $\{F\}$ of an infinite-dimensional normed space of cotype 2, have uniformly bounded volume ratios $\text{vr}(F, \ell_2^{\dim(F)})$ (see also [Pi2], [Tj], and [GK] for the general quasi-normed case). This applies in particular for ℓ_1 which has cotype 2: In this case, we see that every zonoid Z in \mathbb{R}^n satisfies $\text{vr}(Z^o, B_2^n) \leq e\sqrt{\frac{\pi}{2}}$. Indeed, by Corollary 2, the remarks preceding Proposition 11 and Proposition 11 itself, we have successively

$$\text{vr}(Z^o, B_2^n) \leq \sqrt{e} \sqrt{\frac{2n}{\pi e}} \cdot \frac{1}{\text{evr}(Z^o, Q_n)} \leq \sqrt{\frac{2n}{\pi}} \cdot \frac{\pi/2}{\text{vr}(Z, C_n)} \leq \sqrt{\frac{\pi n}{2}} \cdot \frac{e}{\sqrt{n}} \leq e\sqrt{\frac{\pi}{2}}.$$

It was proved by K. Ball [B2], using more involved arguments, that if Z is a zonoid in \mathbb{R}^n , one always has

$$\text{vr}(Z^o, B_2^n) \leq \text{vr}(C_n, B_2^n) \sim \sqrt{\frac{2e}{\pi}}.$$

It may be observed that finding the exact maximum of $\text{evr}(K, Q_n)$ over all the centrally symmetric convex bodies K in \mathbb{R}^n is still an open problem for $n \geq 3$ (see [Ba], where it is solved for $n = 2$).

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TECHNION

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