

## Note on $H$ -separable extensions

Dedicated to Professor Kiiti Morita on his 60th birthday

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It is the purpose of this note to give a (self-contained) computational proof to the principal theorem (1.3) of [7] and a theorem concerning ring-endomorphisms of an  $H$ -separable extension. Our tool employed in this note is an  $H$ -system of an  $H$ -separable extension, which was introduced in [4].

Recently, we found that the proof of [6, Proposition 1] contained an error and the same was repeated in the proof of main part of [7, (1.3)]. So, the present note comprehends the correction to the previous papers [6] and [7].

Throughout,  $A/B$  will represent a ring extension with common identity 1,  $V$  the centralizer  $V_A(B)$  of  $B$  in  $A$ , and  $C$  the center of  $A$ .

The next will be useful occasionally in the subsequent study.

(1) Let  $B' \subset B''$  be intermediate rings of  $A/B$ . Let  $V' = V_A(B')$ , and  $V'' = V_A(B'')$ . If  ${}_B B' \otimes_B B'' \xrightarrow{B''} {}_B B'' \xrightarrow{B''} (b' \otimes b'' \mapsto b'b'')$  splits then  ${}_{V'} V' \xrightarrow{V''} \oplus_{V'} V_{V''}$ .

PROOF. There exists an element  $\sum_k b'_k \otimes b''_k \in (B' \otimes_B B'')^{B'}$  such that  $\sum_k b'_k b''_k = 1$ . Then, the map  $q: V \rightarrow V'$  defined by  $v \mapsto \sum_k b'_k v b''_k$  is a  $V'-V''$ -homomorphism and induces the identity map on  $V'$ , which means  ${}_{V'} V' \xrightarrow{V''} \oplus_{V'} V_{V''}$ .

$A/B$  is called an  $H$ -separable extension if  $A \otimes_B A$  is  $A$ - $A$ -isomorphic to an  $A$ - $A$ -direct summand of a finite direct sum of copies of  $A$ . To be easily seen,  $A/B$  is  $H$ -separable if and only if there exist some  $v_i \in V$  ( $i=1, \dots, m$ ) and  $\sum_j x_{ij} \otimes y_{ij} \in (A \otimes_B A)^A$  such that  $\sum_{i,j} x_{ij} \otimes y_{ij} v_i = 1 \otimes 1$ . Following [4], such a system  $\{v_i; \sum_j x_{ij} \otimes y_{ij}\}_i$  will be called an  $H$ -system for  $A/B$ .

In what follows, we assume always  $A/B$  is an  $H$ -separable extension with an  $H$ -system  $\{v_i; \sum_j x_{ij} \otimes y_{ij}\}_i$ . Then the map  $\eta: A \otimes_B A \rightarrow \text{Hom}_C(V, A)$  ( $a_1 \otimes a_2 \mapsto (v \mapsto a_1 v a_2)$ ) is an  $A$ - $A$ -isomorphism, whose inverse is given by  $h \mapsto \sum_{i,j} x_{ij} \otimes y_{ij} h(v_i)$  (cf. also (2.1')).

(2) If  $\sigma$  is an arbitrary ring-endomorphism of  $A$  which leaves every element of  $B$  invariant,  $g \in \text{Hom}(A_B, A_B)$  and  $h \in \text{Hom}({}_B A, {}_B A)$ , then

$$(2.1) \quad \sum_{i,j} g(x_{ij}) v\sigma(y_{ij}) \sigma(a) \sigma(v_i) = g(a) v$$

and

$$(2.2) \quad \sum_{i,j} \sigma(v_i) \sigma(a) \sigma(x_{ij}) v h(y_{ij}) = v h(a) \quad (a \in A, v \in V).$$

PROOF.  $\sum_{i,j} x_{ij} \otimes y_{ij} a v_i = a \otimes 1$  implies  $\sum_{i,j} g(x_{ij}) \otimes \sigma(y_{ij} a v_i) = g(a) \otimes 1$ . Applying  $\eta$ , we obtain (2.1).

The above formulae are specialized in various ways :

$$(2.3) \quad \sum_{i,j} \sigma(x_{ij}) v\sigma(y_{ij}) \sigma(v_i) = \sum_{i,j} \sigma(v_i) \sigma(x_{ij}) v\sigma(y_{ij}) = v.$$

$$(2.1') \quad \sum_{i,j} g(x_{ij}) v y_{ij} a v_i = g(a) v.$$

$$(2.2') \quad \sum_{i,j} v_i a x_{ij} v h(y_{ij}) = v h(a).$$

In particular, we have  $\sum_{i,j} v_i x_{ij} v y_{ij} = v$ , which means :

(3)  $V_C$  is f.g. (finitely generated) projective ([3, p. 112]).

(4)  $A/B$  is a separable extension ([3, Theorem 2.2]).

PROOF. Since  $V_C$  is f.g. projective by (3), there exists a  $C$ -epimorphism  $q: V \rightarrow C$  which induces the identity map on  $C$ . Obviously,  $\sum_{i,j} x_{ij} \otimes y_{ij} q(v_i)$  is in  $(A \otimes_B A)^A$  and  $\sum_{i,j} x_{ij} y_{ij} q(v_i) = q(\sum_{i,j} x_{ij} y_{ij} v_i) = 1$ , which means that  $A/B$  is separable.

Next, by a brief computation with (2.1') and (2.2'), we see that the map  $\xi: V \otimes_C V \rightarrow \text{Hom}({}_B A_B, {}_B A_B)$  ( $u_1 \otimes u_2 \mapsto (a \mapsto u_1 a u_2)$ ) is a  $V$ - $V$ -isomorphism, whose inverse is given by  $h \mapsto \sum_i \sum_j h(x_{ij}) y_{ij} \otimes v_i = \sum_i v_i \otimes \sum_j x_{ij} h(y_{ij})$ .

(5) If  ${}_B B < \bigoplus_B A$  or  $B_B < \bigoplus A_B$  then  $V_A(V) = B$  ([5, Proposition 1.2]).

PROOF. Let  $p: A \rightarrow B$  be a left  $B$ -epimorphism which induces the identity map on  $B$ . Then, for  $a \in V_A(V)$  we have  $p(a) = \sum_{i,j} v_i a x_{ij} p(y_{ij}) = a \sum_{i,j} v_i x_{ij} p(y_{ij}) = a$  by (2.2'). Hence,  $V_A(V) = B$ .

Let  $\mathfrak{B}_l$  be the set of all intermediate rings  $B'$  of  $A/B$  such that  ${}_B B'_B < \bigoplus_B A_B$  and  ${}_B B'_B \otimes_B A_A \rightarrow {}_B A_A$  ( $b' \otimes a \mapsto b' a$ ) splits, and  $\mathfrak{B}_l$  the set of all intermediate rings  $V'$  of  $V/C$  such that  ${}_{V'} V' < \bigoplus_{V'} V$  and  ${}_{V'} V' \otimes_C V_V \rightarrow {}_{V'} V_V$  ( $v' \otimes v \mapsto v' v$ ) splits. Similarly, we can consider the sets  $\mathfrak{B}_r$  and  $\mathfrak{B}_r$ . Finally, let  $\mathfrak{B}$  be the set of all intermediate rings  $B'$  of  $A/B$  such that  $B'/B$  is separable and  ${}_B B'_B < \bigoplus_B A_B$ , and  $\mathfrak{B}$  the set of all intermediate rings  $V'$  of  $V/C$  such that  $V'/C$  is separable. Needless to say,  $\mathfrak{B}$  is a subset of  $\mathfrak{B}_l$ . (In [7],  $\mathfrak{B}$  was denoted as  $\mathfrak{D}$ .)

(6) Let  $B'$  be an intermediate ring of  $A/B$  with  $V' = V_A(B')$ . If  ${}_B B'_B < \bigoplus_B A_B$  or  $B_B < \bigoplus A_B$ , then  $V_A(V') = B'$ . Especially, if  $B'$  is in  $\mathfrak{B}_l$  (resp.  $\mathfrak{B}_r$ ) then  $V'$  is in  $\mathfrak{B}_l$  (resp.  $\mathfrak{B}_r$ ) and  $V_A(V') = B'$ .

PROOF. Let  $p: A \rightarrow B'$  be a  $B'$ - $B$ -epimorphism which induces the

identity map on  $B'$ . Then, by  $\sum_j p(x_{ij}) y_{ij} \in V'$  and (2.1'), we obtain for every  $b'' \in V_A(V')$ ,  $p(b'') = \sum_{i,j} p(x_{ij}) y_{ij} b'' v_i = b'' \sum_{i,j} p(x_{ij}) y_{ij} v_i = b''$ . This proves  $V_A(V') = B'$ . Henceforth, we assume further that  ${}_B B' \otimes_B A_A \rightarrow {}_{B'} A_A$  ( $b' \otimes a \mapsto b'a$ ) splits. Then,  ${}_V V' < \bigoplus_V V$  by (1). We define a  $V'$ - $V$ -homomorphism  $V \rightarrow V' \otimes_C V$  by  $v \mapsto \sum_i \sum_j p(x_{ij}) v y_{ij} \otimes v_i = \sum_i \sum_j p(x_{ij}) y_{ij} \otimes v_i v$  (cf. the definition of  $\xi$  and (2.1')). Then,  $\sum_{i,j} p(x_{ij}) v y_{ij} v_i = v$  means that  ${}_V V' \otimes_C V_V \rightarrow {}_V V_V$  ( $v' \otimes v \mapsto v'v$ ) splits. Hence,  $V' \in \mathfrak{B}_l$ .

(7) If  $V'$  is in  $\mathfrak{B}_l$  (resp.  $\mathfrak{B}_r$ ) then  $B' = V_A(V')$  is in  $\mathfrak{B}_l$  (resp.  $\mathfrak{B}_r$ ) and  $V_A(B') = V'$ .

PROOF. Since  ${}_V V' \otimes_C V_V \rightarrow {}_V V_V$  ( $v' \otimes v \mapsto v'v$ ) splits, there exists an element  $\sum_k v'_k \otimes u_k \in (V' \otimes_C V)^V$  such that  $\sum_k v'_k u_k = 1$ . Obviously,  $\sum_k v'_k x_{ij} u_k \in B'$  and  ${}_B B' < \bigoplus_B A_B$  by (1). Next, we consider an arbitrary left  $V'$ -epimorphism  $q: V \rightarrow V'$  which induces the identity map on  $V'$ , and define the map  $\iota: A \rightarrow B' \otimes_B A$  by  $a \mapsto \sum_{i,j} \sum_k v'_k x_{ij} u_k \otimes y_{ij} q(v_i) a$ . By (2.1'), we have  $\sum_{i,j,k} v'_k x_{ij} u_k v y_{ij} q(v_i) b'a = \sum_k v'_k q(u_k v) b'a = b' \sum_{i,j,k} v'_k x_{ij} u_k v y_{ij} q(v_i) a$  ( $b' \in B'$ ,  $v \in V$ ). Then, regarding  $B' \otimes_B A$  as a submodule of  $A \otimes_B A$ , we see that  $\iota$  is a  $B'$ - $A$ -homomorphism and  $\sum_{i,j,k} v'_k x_{ij} u_k y_{ij} q(v_i) a = \sum_k v'_k q(u_k) a = a$ . Hence,  $B' \in \mathfrak{B}_l$ . Moreover, if  $v'' \in V_A(B')$  then  $v'' = v''1 = v'' \sum_{i,j,k} v'_k x_{ij} u_k y_{ij} q(v_i) = \sum_k v'_k q(u_k v'') \in V'$ , which means  $V_A(B') = V'$ .

Now, as a combination of (6) and (7), we readily obtain the main part of [7, (1.3)]:

THEOREM 1. Let  $A/B$  be an H-separable extension.

(a)  $B' \mapsto V_A(B')$  and  $V' \mapsto V_A(V')$  are mutually converse 1-1 correspondences between  $\mathfrak{B}_l$  (resp.  $\mathfrak{B}_r$ ) and  $\mathfrak{B}_l$  (resp.  $\mathfrak{B}_r$ ).

(b)  $B' \mapsto V_A(B')$  and  $V' \mapsto V_A(V')$  are mutually converse 1-1 correspondences between  $\mathfrak{B}$  and  $\mathfrak{B}$ .

PROOF. It remains only to prove (b). First, we claim  $\mathfrak{B} \subset \mathfrak{B}_l$ . Given  $V' \in \mathfrak{B}$ , we put  $C^* = V_{V'}(V')$ ,  $V'' = V_V(V')$ , and  $U = V_V(C^*)$ . Since  $V'/C$  is separable, we have  ${}_{V''} V'' < \bigoplus_{V''} V_{V''}$  by (1). Recalling that  $V_C$  is f.g. projective by (3), we see that  $V''_C$  is f.g. projective. Combining this with the separability of  $C^*/C$  (cf. [1, Theorem 2.3]), one will readily see that  $V''_{C^*}$  is f.g. projective, so that  $C^*_{C^*} < \bigotimes V''_{C^*}$ . On the other hand, since  $V'/C^*$  is central separable by [1, Theorem 2.3], we have  $V' \otimes_{C^*} V'' \cong V' \cdot V'' = U$  by [1, Theorem 3.1] and  ${}_V U_V < \bigoplus_V V_V$  by (1). Hence,  ${}_V V' < \bigoplus_V V_V$  and  $V' \in \mathfrak{B}_l$ . Moreover, if we set  $B' = V_A(V')$  then  ${}_B B' < \bigoplus_B A_B$  by (1) and  ${}_B B' \otimes_B A_A \rightarrow {}_{B'} A_A$  ( $b' \otimes a \mapsto b'a$ ) splits by (a). Hence  $B'/B$  is separable and  $B' \in \mathfrak{B}$ . Similarly, we can prove that  $V_A(B')$  is in  $\mathfrak{B}$ . Now, the rest of part of the proof is immediate by (a).

COROLLARY. Let  $A/B$  be an  $H$ -separable extension with  $V_A(V)=B$ , and  $B'$  is in  $\mathfrak{B}$ . If the center  $Z'$  of  $B'$  is contained in the center of  $B$  then  $V_{B'}(V_{B'}(B))=B$  (and conversely).

PROOF. By Theorem 1 (b),  $V'=V_A(B')$  is separable over  $C$  and  $V_A(V')\cap V'=B'\cap V'=Z'$ . Hence,  $V'$  is a central separable algebra over  $Z'$  by [1, Theorem 2.3]. Since  $Z'$  is contained in  $V_B(B)$  we have  $V=V'\otimes_{Z'}V_V(V')=V'\otimes_{Z'}V_{B'}(B)$  by [1, Theorem 3.1]. It follows then  $V_{B'}(V_{B'}(B))=B'\cap V_A(V_{B'}(B))=V_A(V')\cap V_A(V_{B'}(B))=V_A(V)=B$ .

(8) Every ring-homomorphism  $\sigma$  of  $A$  leaving every element of  $B$  invariant is a monomorphism.

PROOF. In fact, if  $\sigma(a)=0$  then  $0=\sum_{i,j}x_{ij}\sigma(y_{ij})\sigma(a)\sigma(v_i)=a$  by (2.1).

We shall conclude this note with the following theorem.

THEOREM 2. Let  $A/B$  be an  $H$ -separable extension, and  $\sigma$  a ring-endomorphism of  $A$  which leaves every element of  $B$  invariant.

- (a) If  $V_A(\sigma(A))=C$  then  $\sigma$  is an automorphism.
- (b) If  $\sigma$  leaves every element of  $C$  invariant, then  $\sigma$  is an automorphism. Especially, if  $C\subset B$  then  $\sigma$  is an automorphism.
- (c) If  ${}_B B\subset\bigoplus_B A$  or  $B_B\subset\bigoplus A_B$  then  $\sigma$  is an automorphism.

PROOF. Let  $A'=\sigma(A)$ , and  $C'=V_A(A')$ .

(a) To be easily seen,  $\sum_{s,j}\sigma(x_{rs})y_{rs}x_{ij}\sigma(y_{ij})$  is in  $C'=C$ . Hence, if  $a$  is an arbitrary element of  $A$  then by (2.1') we have  $\sigma(\sum_{i,j}x_{ij}\sigma(y_{ij})a\sigma(v_i))=\sum_{r,s}\sigma(x_{rs})y_{rs}(\sum_{i,j}x_{ij}\sigma(y_{ij})a\sigma(v_i))v_r=\sum_{r,i}(\sum_{s,j}\sigma(x_{rs})y_{rs}x_{ij}\sigma(y_{ij}))a\sigma(v_i)v_r=a\sum_{r,i}\sum_{s,j}\sigma(x_{rs})y_{rs}x_{ij}\sigma(y_{ij})\sigma(v_i)v_r=a\sum_{r,s}\sigma(x_{rs})y_{rs}\sum_{i,j}x_{ij}\sigma(y_{ij}v_i)v_r=a\sum_{r,s}\sigma(x_{rs})y_{rs}v_r=a$ . This together with (8) implies that  $\sigma$  is an automorphism.

(b) Obviously,  $A'$  is an  $H$ -separable extension of  $B$ , and hence a separable extension of  $B$  by (4). By (a), our proof will be complete if we can prove that  $C'$  coincides with  $C$ . We consider here the  $C$ -homomorphisms  $f: C'\otimes_C V\rightarrow V$  defined by  $c'\otimes v\mapsto c'\sigma(v)$  and  $g: V\rightarrow C'\otimes_C V$  defined by  $v\mapsto\sum_i\sum_j\sigma(x_{ij})v\sigma(y_{ij})\otimes v_i$ . Then, by (2.3)  $fg(v)=\sum_{i,j}\sigma(x_{ij})v\sigma(y_{ij}v_i)=v$ . On the other hand,  $gf(c'\otimes v)=\sum_i\sum_j\sigma(x_{ij})c'\sigma(v)\sigma(y_{ij})\otimes v_i=c'\sum_i\sigma(\sum_jx_{ij}vy_{ij})\otimes v_i=c'\sum_{i,j}x_{ij}vy_{ij}\otimes v_i=c'\otimes v$ . Hence,  $C'\otimes_C V\cong V$ . Since,  $V_C$  is f. g. projective by (3) and  ${}_C C'_C\subset\bigoplus_C V_C$  by (1),  $C'_C$  is an f. g. projective module of rank 1. Hence, by [2, Corollaire du Théorème 1], it follows  $C'=C$ .

(c) This is immediate by (5) and (b).

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