

ZERO MULTIPLICITY AND LOWER BOUND ESTIMATES OF $|\zeta(s)|$

ANATOLIJ A. KARATSUBA

Dedicated to Professor Eduard Wirsing
on the occasion of his 75th birthday

Abstract: We give an improved lower bound for $\max_{|T-t| \leq H} |\zeta(\frac{1}{2} + it)|$ when $2 \leq \alpha H \leq \log \log T - c$, $1 \leq \alpha < \pi$. Our theorem slightly refines the result in [11]. We also prove a theorem about an upper bound for the multiplicities of zeros of $\zeta(s)$ conditionally, assuming some lower bound for $\max_{|s-s_1| \leq \Delta} |\zeta(s)|$.

Keywords: Riemann zeta-function, zero multiplicity.

1. Introduction

One of the interesting problems in the theory of the function $\zeta(s)$ is the question of multiple zeros of $\zeta(s)$. There are several conjectures about how large the multiplicity of such a zero may be: zeros may be simple, of bounded multiplicity, of unbounded multiplicity. Let $\varkappa(T)$ be the largest multiplicity of a zero of $\zeta(s)$ in the rectangle $0 < \operatorname{Re} s < 1$, $0 < \operatorname{Im} s \leq T$. Then the above-mentioned conjectures may be stated as:

Conjecture 1. $\varkappa(T) = 1$, $T > 0$.

Conjecture 2. $\varkappa(T) \leq c$, c being a constant, $T > 0$.

Conjecture 3. $\varkappa(T) \rightarrow +\infty$ as $T \rightarrow +\infty$.

A simple theorem about nontrivial zeros ρ of $\zeta(s)$, namely the relationship

$$\sum_{\rho} \frac{1}{1 + (T - \operatorname{Im} \rho)^2} = O(\log T), \quad T \geq 2,$$

implies that $\varkappa(T) = O(\log T)$ (cf. [7, p. 39], or [12, p. 209], or [8, p. 24]). The Riemann Hypothesis implies that

$$\varkappa(T) = O\left(\frac{\log T}{\log \log T}\right),$$

cf. [12, pp. 209 and 346]. Finally, the weaker Mertens hypothesis, i.e. the relationship

$$\int_1^X \left(\frac{1}{x} \sum_{n \leq x} \mu(n) \right)^2 dx = O(\log X),$$

implies that $\varkappa(T) = 1$, $T > 0$ (cf. [12, p. 374]).

The universality of $\zeta(s)$ (cf. [13], [14]) should include the inequality $\varkappa(T) > 1$ and, moreover, the property $\varkappa(T) \rightarrow +\infty$ as $T \rightarrow +\infty$. However, all these are merely surmises (cf. also [8, p. 137]).

The problems related to the multiplicity of a zero of $\zeta(s)$ were considered by A. Ivič [5], [6]. In particular, these papers provided new upper bounds for the multiplicity of a zero in point s in the left neighbourhood of the line $\operatorname{Re} s = 1$.

Lower bounds for $|\zeta(s)|$ in small regions of the critical strip allow for the upper bound estimation of $\varkappa(T)$. Such lower bounds are also interesting in their own right. Therefore in Section 2 we show results about lower bound estimates of $|\zeta(s)|$ on short intervals of the critical line, in Section 3 we show results about lower bound estimates of $|\zeta(s)|$ in small regions of the critical strip, and finally, in Section 4 we prove a theorem about an upper bound for $\varkappa(T)$.

Everywhere below $A, c, c_1, c_2, \dots, T_1$ denote positive absolute constants, generally different in different formulae; $\zeta(s)$ — the Riemann zeta function; RH — the Riemann Hypothesis about zeros of $\zeta(s)$; $T \geq T_1$; $\cosh \alpha = \frac{1}{2}(e^\alpha + e^{-\alpha})$, $\sinh \alpha = \frac{1}{2}(e^\alpha - e^{-\alpha})$; $\mu(n)$ — the Möbius function; $\tau(n)$ — the number of divisors of a natural number n ; $\Gamma(s)$ — Euler's gamma function; $s = \sigma + it$, $i^2 = -1$, $\sigma = \operatorname{Re} s$, $t = \operatorname{Im} s$.

2. Lower bounds for the Riemann zeta function on short intervals of the critical line

We have satisfactory knowledge about the behaviour of $\zeta(s)$ and the related quantities when $s = \sigma + it$ and t varies along a large interval, i.e.

$$T < t < T + H,$$

$H = H(T) \rightarrow +\infty$ as $T \rightarrow +\infty$. It is described by the theorems about the behaviour of $\max |\zeta(s)|$, the mean values of $|\zeta(s)|^{2k}$, $0 < k \leq 2$, $\arg \zeta(s)$, theorems about the number of zeros of $\zeta(\frac{1}{2} + it)$ and others. At the same time little is known about the answers to similar questions when t varies in a short intervals, for example when $H = H(T) = \text{const}$, or when $H(T) \rightarrow 0$, $T \rightarrow +\infty$. Of course we assume $0 < \sigma < 1$, i.e. the points s are inside the critical strip. Define the function $F(T; H)$ as:

$$F(T; H) = \max_{|T-t| \leq H} \left| \zeta\left(\frac{1}{2} + it\right) \right|.$$

If $H = H(T)$ is large, more precisely if

$$c \log \log T \leq H \leq \frac{1}{10} T,$$

then the following estimate due to Balasubramanian [1] holds for $F(T; H)$:

$$F(T; H) \geq \exp \left(\frac{3}{4} \sqrt{\frac{\log H}{\log \log H}} \right).$$

If H is small, $0 < H = \Delta < 1$, then the theorem of Valiron-Landau-Hoheisel [12, p. 217] leads to the estimate:

$$F(T; \Delta) = F(T; H) \geq \exp \left(-A \frac{1}{\Delta} \log T \right) \quad (1)$$

It was also noted there that small values of $F(T; \Delta)$ are located in the neighbourhoods of zeros of $\zeta(s)$. Moreover, a theorem shown in [12, pp. 355–358] implies that if RH is true, then the inequality

$$F(T; \Delta) \geq \exp \left(-A \frac{1}{\Delta} \log T \frac{\log \log \log T}{\log \log T} \right) \quad (2)$$

holds for $0 < \Delta < 1$. Since RH also implies that the mean distance between subsequent zeros of $\zeta(\frac{1}{2} + it)$ on the interval $T < t < 2T$ is of the order $(\log T)^{-1}$, it is interesting, first of all, what is the lower bound for $F(T; \Delta)$ for $\Delta \leq (\log T)^{-1}$. In 2001 the author [10] has shown that for $0 < \Delta \leq (\log T)^{-1}$ the following inequality holds:

$$F(T; \Delta) \geq \exp \left(-A \left(\log \frac{1}{\Delta} \right) \log T \right). \quad (3)$$

If $\Delta = (\log T)^{-1}$, the exponents in the right-hand sides of (1), (2), and (3) equal, respectively:

$$-A \log^2 T, \quad -A (\log^2 T) \left(\frac{\log \log \log T}{\log \log T} \right), \quad -A (\log T) (\log \log T).$$

The discrepancy in the estimates (1) – (3) is even greater when $\Delta = T^{-1}$, as the corresponding exponents are equal to

$$-AT \log T, \quad -AT \frac{\log T}{\log \log T} \log \log \log T, \quad -A \log^2 T$$

respectively in that case. Three conjectures were stated in [10] (each subsequent one stronger than the previous).

Conjecture 1F. *There exists a function $\Delta = \Delta(T) \rightarrow 0$ as $T \rightarrow +\infty$ such that the following estimate holds:*

$$F(T; \Delta) \geq \exp(-A \log T).$$

Conjecture 2F. *Conjecture 1F is true with*

$$\Delta = (\log \log T)^{-1}.$$

Conjecture 3F. *Conjecture 1F is true with*

$$\Delta = (\log T)^{-1}.$$

We note that (2) implies Conjecture 1F with

$$\Delta = \frac{\log \log \log T}{\log \log T}.$$

During the last three years new results were obtained in this direction. M.Z. Garaev [4] has shown (3) for

$$(\log T)^{-1} \leq \Delta \leq \frac{1}{3},$$

and has proved Conjecture 3F assuming RH.

Shao-Ji Feng [3] has proved Conjecture 1F assuming the Lindelöf hypothesis. M.E. Changa [2] has obtained the new proof of (3) for $0 < \Delta \leq \frac{1}{3}$.

We note that for slightly higher values of $H = H(T)$, namely for

$$10 \leq H \leq c \log \log T,$$

where $c \geq 100$ is the constant in the theorem of Balasubramanian, little is known about lower bound estimates of $F(T; H)$. Of course, trivially we have

$$\exp(-A \log T) \leq F(T; \frac{1}{3}) \leq F(T; 10) \leq F(T; H).$$

Moreover, the following unpublished estimate was proved by the author about 1980:

$$F(T; H) \geq \exp\left(-\frac{1}{H^2} \log T\right).$$

Finally, in [11] the author has shown that there exists an absolute constant $c > 0$ such that for $T \geq T_1 > 0$ and $2 \leq H \leq \log \log T - c$ the following inequality is satisfied:

$$F(T; H) \geq \frac{1}{8} \exp\left(-\frac{1}{2(\cosh H - 1)} \log T\right). \quad (4)$$

This implies, in particular, that for $H \geq \log \log T$ the following estimate holds:

$$F(T; H) \geq c_1 > 0.$$

A similar result based on the principle of maximum was obtained by M.E. Changa [2]:

$$F(T; H) \geq \exp\left(-\frac{1}{\exp(\frac{1}{10}H)} \log T\right),$$

where $40 \leq H \leq \log \log T$. We note that it is an interesting unsolved problem to prove, for example, an inequality like this:

$$F(T; 10) \geq \exp(-\epsilon(T) \log T),$$

where $\epsilon(T) \rightarrow 0$ as $T \rightarrow +\infty$. It would be just as interesting to prove the inequality:

$$F(T; H) \geq 1, \quad H \geq \log \log \log T.$$

Below we show a theorem that slightly refines (4).

Theorem 1. *For every α such that $1 \leq \alpha < \pi$ there exist absolute positive constants c and T_1 such that for $T \geq T_1$ and*

$$2 \leq \alpha H \leq \log \log T - c$$

the following estimate holds:

$$F(T; H) \geq \frac{1}{16} \exp\left(-\frac{5 \log T}{6(\frac{\pi}{\alpha} - 1)(\cosh \alpha H - 1)}\right).$$

Proof. We follow the argument in [11].

1. We use a simple approximation of $\zeta(s)$ (cf. [12, p. 80]): for $\pi x \geq t \geq 2\pi$, $\frac{1}{10} \leq \sigma \leq 2$, $s = \sigma + it$ we have:

$$\zeta(s) = \sum_{n \leq x} n^{-s} + \frac{x^{1-s}}{s-1} + O(x^{-\sigma}).$$

Taking $\frac{1}{2}T \leq t \leq T$, $T \geq 10$, $x = T$, $\sigma = \frac{1}{2}$, $s = \frac{1}{2} + it$, we obtain:

$$\zeta(s) = \sum_{n \leq T} n^{-s} + O(T^{-0.5}).$$

Let $1 \leq X \leq \sqrt{T}$, $s = \frac{1}{2} + it$,

$$M_X(s) = \sum_{n \leq X} \mu(n) n^{-s}.$$

Obviously

$$|M_X(s)| \leq \sum_{n \leq X} n^{-0.5} \leq 2\sqrt{X}.$$

Consequently the product $\zeta(s)M_X(s)$ satisfies the formula:

$$\zeta(s)M_X(s) = \sum_{n \leq XT} a(n)n^{-s} + O(T^{-0.25}), \quad (5)$$

where

$$a(n) = \sum'_{d|n} \mu(d),$$

and the ' in the sum means that $d \leq X$ and $n \leq dT$. If $n = 1$ then $a(n) = a(1) = 1$. If $1 < n \leq X$, then by the well known property of the Möbius function we have

$$a(n) = \sum_{d|n} \mu(d) = 0.$$

Moreover we always have $|a(n)| \leq \tau(n)$. Consequently the equality (5) may be written like this:

$$\zeta(s)M_X(s) = 1 + \sum_{X < n \leq XT} a(n)n^{-s} + O(T^{-0.25}). \quad (6)$$

2. Consider the integral j ,

$$j = \int_{-H}^H e^{-z \cosh \alpha t} \zeta(s+it)M_X(s+it) dt, \quad (7)$$

where $s = \frac{1}{2} + iT$, $1 \leq \alpha < \pi$, $z \geq 1$. We put

$$j(z) = \int_{-\infty}^{\infty} e^{-z \cosh t} dt,$$

and find, by (7),

$$|j| \leq 2F(T; H)\sqrt{X} \int_{-\infty}^{\infty} e^{-z \cosh \alpha t} dt = 4\alpha^{-1}F(T; H)\sqrt{X}j(z). \quad (8)$$

3. On the other hand the integral j satisfies the following equality:

$$j = \int_{-\infty}^{\infty} e^{-z \cosh \alpha t} \zeta(s+it)M_X(s+it) dt + R, \quad (9)$$

where

$$\begin{aligned} |R| &\leq \int_H^{\infty} e^{-z \cosh \alpha t} |\zeta(s+it)||M_X(s+it)| dt \\ &\quad + \int_H^{\infty} e^{-z \cosh \alpha t} |\zeta(s-it)||M_X(s-it)| dt. \end{aligned}$$

Since

$$M_X(s \pm it) = O(\sqrt{X}), \quad \zeta(s \pm it) = O((T+t)^{\frac{1}{6}}),$$

we obtain an estimate for R :

$$\begin{aligned} R &= O\left(\sqrt{X} \int_H^\infty e^{-z \cosh \alpha t} (T+t)^{\frac{1}{6}} dt\right) \\ &= O\left(\sqrt{XT}^{\frac{1}{6}} \int_H^\infty e^{-z \cosh \alpha t} dt\right) \\ &= O\left(\sqrt{XT}^{\frac{1}{6}} e^{-z \cosh \alpha H} (z \sinh \alpha H)^{-1}\right). \end{aligned} \quad (10)$$

Therefore, by (6), (9), and (10) we find:

$$\begin{aligned} j &= \int_{-\infty}^\infty e^{-z \cosh \alpha t} dt + \sum_{X < n \leq XT} a(n) n^{-s} \int_{-\infty}^\infty e^{-z \cosh \alpha t} e^{-it \log n} dt \\ &\quad + O(j(z) T^{-0.25}) + O\left(\sqrt{XT}^{\frac{1}{6}} e^{-z \cosh \alpha H} (z \sinh \alpha H)^{-1}\right) \\ &= \alpha^{-1} j(z) + \alpha^{-1} \sum_{X < n \leq XT} a(n) n^{-s} \int_{-\infty}^\infty e^{-z \cosh t} e^{-it \frac{\log n}{\alpha}} dt \\ &\quad + O(j(z) T^{-0.25}) + O\left(T^{\frac{5}{12}} e^{-z \cosh \alpha H} (z \sinh H)^{-1}\right). \end{aligned} \quad (11)$$

4. We estimate the integral in (11) using Basset's formula:

$$K_\nu(z) = \int_0^\infty e^{-z \cosh t} \cosh(\nu t) dt = \frac{\Gamma(\nu + \frac{1}{2})(2z)^\nu}{\sqrt{\pi}} \int_0^\infty \frac{\cos t dt}{(t^2 + z^2)^{\nu + \frac{1}{2}}},$$

where $z > 0$, ν is a complex number, $\operatorname{Re} \nu > -\frac{1}{2}$ (cf. [15, p. 191]). In our case $\nu = i \frac{\log n}{\alpha}$, hence

$$\begin{aligned} \left| \int_{-\infty}^\infty e^{-z \cosh t} e^{-it \frac{\log n}{\alpha}} dt \right| &= 2 \left| \int_0^\infty e^{-z \cosh t} \cos\left(\frac{\log n}{\alpha} t\right) dt \right| \\ &\leq \frac{2 \left| \Gamma\left(i \frac{\log n}{\alpha} + \frac{1}{2}\right) \right|}{\sqrt{\pi}} \left| \int_0^\infty \frac{\cos t dt}{(t^2 + z^2)^{\nu + \frac{1}{2}}} \right|. \end{aligned}$$

Then, integrating once by parts we obtain:

$$\begin{aligned} \left| \int_0^\infty \frac{\cos t dt}{(t^2 + z^2)^{\nu + \frac{1}{2}}} \right| &= \left| \int_0^\infty \frac{d \sin t}{(t^2 + z^2)^{\nu + \frac{1}{2}}} \right| \\ &= \left| \left(\nu + \frac{1}{2}\right) \int_0^\infty \frac{2t \sin t dt}{(t^2 + z^2)^{\nu + \frac{3}{2}}} \right| \\ &\leq \sqrt{\frac{1}{4} + \frac{\log^2 n}{\alpha^2}} \int_0^\infty \frac{du}{(u + z^2)^{\frac{3}{2}}} \\ &\leq z^{-1} \sqrt{1 + 4 \log^2 n}. \end{aligned}$$

The following asymptotic formula holds for $\Gamma(\sigma + it)$:

$$\Gamma(\sigma + it) = t^{\sigma - \frac{1}{2} + it} e^{-\frac{\pi}{2}t - it + i\frac{\pi}{2}(\sigma - \frac{1}{2})} \sqrt{2\pi} (1 + O(\frac{1}{t})),$$

where $-10 \leq \sigma \leq 10, t \geq 2$. In our case we have:

$$\left| \Gamma\left(\frac{1}{2} + i\frac{\log n}{\alpha}\right) \right| = O\left(e^{-\frac{\pi}{2}\frac{\log n}{\alpha}}\right) = O\left(n^{-\frac{\pi}{2\alpha}}\right).$$

This way we obtain:

$$z \int_{-\infty}^{\infty} e^{-z \cosh t} e^{-it\frac{\log n}{\alpha}} dt = O\left(n^{-\frac{\pi}{2\alpha}} \log n\right).$$

5. We bring together the estimates found so far and obtain the following for the sum over n in (11):

$$\begin{aligned} & \left| \sum_{X < n \leq XT} a(n)n^{-s} \int_{-\infty}^{\infty} e^{-z \cosh t} e^{-it\frac{\log n}{\alpha}} dt \right| \\ &= O\left(z^{-1} \sum_{X < n \leq XT} \tau(n)n^{-\frac{1}{2} - \frac{\pi}{2\alpha}} \log n\right) \\ &= O\left(z^{-1} X^{\frac{1}{2} - \frac{\pi}{2\alpha}} \log^2 X\right). \end{aligned}$$

Therefore the integral j satisfies the following asymptotic formula:

$$\begin{aligned} j &= \alpha^{-1}j(z) + O\left(z^{-1} X^{\frac{1}{2} - \frac{\pi}{2\alpha}} \log^2 X\right) \\ &+ O(j(z)T^{-0.25}) + O\left(T^{\frac{5}{12}} e^{-z \cosh \alpha H} (z \sinh H)^{-1}\right). \end{aligned} \tag{12}$$

6. Using (8) and (12) we find:

$$\begin{aligned} 4F(T; H)\sqrt{X} &\geq 1 - O\left((j(z))^{-1} z^{-1} X^{\frac{1}{2} - \frac{\pi}{2\alpha}} \log^2 X\right) - O(T^{-0.25}) \\ &- O\left((j(z))^{-1} T^{\frac{5}{12}} e^{-z \cosh \alpha H} (z \sinh H)^{-1}\right) \end{aligned} \tag{13}$$

The lower bound for $j(z)$ may be found easily:

$$\begin{aligned} j(z) &= \int_{-\infty}^{\infty} e^{-z \cosh t} dt = 2 \int_0^{\infty} e^{-z \cosh t} dt = 2 \int_1^{\infty} e^{-zu} \frac{du}{\sqrt{u^2 - 1}} \\ &= 2e^{-z} \int_0^{\infty} \frac{e^{-zw} dw}{\sqrt{w(w+2)}} \geq 2e^{-z} \int_0^{z^{-1}} \frac{e^{-zw} dw}{\sqrt{w(w+2)}} \\ &\geq \frac{2}{\sqrt{3}} e^{-z-1} \int_0^{z^{-1}} \frac{dw}{\sqrt{w}} = \frac{4}{\sqrt{3}} z^{-\frac{1}{2}} e^{-z-1}. \end{aligned}$$

Therefore by (13) we obtain:

$$4F(T; H)\sqrt{X} \geq 1 - c_1 z^{-\frac{1}{2}} e^z X^{\frac{1}{2} - \frac{\pi}{2\alpha}} \log^2 X - c_2 T^{-0.25} - c_3 z^{-\frac{1}{2}} T^{\frac{5}{12}} e^{z-z \cosh \alpha H} (\sinh H)^{-1}. \quad (14)$$

7. Now we can fix the parameters z and X with equations:

$$z = \frac{5 \log T}{12(\cosh \alpha H - 1)} = \frac{1}{4} \left(\frac{\pi}{\alpha} - 1 \right) \log X. \quad (15)$$

By (14) and (15) we have:

$$4F(T; H) \geq 1 - c_1 z^{-\frac{1}{2}} X^{-\frac{1}{4}(\frac{\pi}{\alpha}-1)} \log^2 X - c_2 T^{-0.25} - c_3 z^{-\frac{1}{2}} (\sinh H)^{-1}.$$

Since H satisfies the inequalities

$$2 \leq \alpha H \leq \log \log T - c,$$

we have

$$\cosh \alpha H \leq e^{\alpha H} \leq e^{-c} \log T,$$

i.e., the following lower bound holds for z :

$$z \geq \frac{5}{12} e^c.$$

We choose $c = c(\alpha) \geq 1$ large enough, so that for $z \geq \frac{5}{12} e^c$ the following inequalities hold:

$$c_1 z^{-\frac{1}{2}} X^{-\frac{1}{4}(\frac{\pi}{\alpha}-1)} \log^2 X \leq \frac{1}{4},$$

$$c_3 z^{-\frac{1}{2}} (\sinh H)^{-1} \leq \frac{1}{4}.$$

Next we choose $T_1 = T_1(\alpha) > 0$ large enough, so that for $T \geq T_1$ the following inequalities hold:

$$2 \leq \log \log T - c,$$

$$c_2 T^{-0.25} \leq \frac{1}{4}.$$

This way, with the selected c and T_1 , and for $T \geq T_1$, $2 \leq \alpha H \leq \log \log T - c$, we obtain the inequality:

$$4F(T; H) \geq \frac{1}{4} X^{-\frac{1}{2}},$$

i.e.

$$F(T; H) \geq \frac{1}{16} \exp \left(-\frac{5 \log T}{6(\frac{\pi}{\alpha} - 1)(\cosh \alpha H - 1)} \right). \quad \blacksquare$$

Corollary 1. Taking $\alpha H = \log \log T - c$ in the theorem we have

$$F(T; H) \geq \frac{1}{16} \exp\left(-\frac{5}{6\left(\frac{\pi}{\alpha} - 1\right)} e^c\right) = c_4 > 0.$$

Hence, for any α in the interval $1 \leq \alpha < \pi$ there exists $T_1 = T_1(\alpha) > 0$ such that for $T \geq T_1$ and $H \geq \frac{1}{\alpha} \log \log T$ we have:

$$F(T; H) \geq c_4(\alpha) > 0.$$

3. Lower bounds for $|\zeta(s)|$ in small regions of the critical strip

A more general problem than that of estimating $F(T; \Delta)$ from below is to estimate $G(s_1; \Delta)$ from below, where, by definition,

$$G(s_1; \Delta) = \max_{|s-s_1| \leq \Delta} |\zeta(s)|,$$

$s_1 = \sigma_1 + it_1$, $\frac{1}{2} \leq \sigma_1 \leq 1$, $t_1 \geq 4$, $0 < \Delta \leq \frac{1}{3}$. Obviously, for $\sigma_1 = \frac{1}{2}$, $t_1 = T$, we have

$$G(s_1; \Delta) \geq F(T; \Delta).$$

In [9] the author has shown that for $t_1 \geq c_1 > 0$

$$G(s_1; \Delta) \geq \exp\left(-6\left(\log \frac{1}{\Delta}\right)(\log |s_1|)\right).$$

The same paper proposes three conjectures about lower bounds for $G(s_1; \Delta)$, equal to those in Conjectures 1F-3F.

Conjecture 1G. There exists a function $\Delta = \Delta(s_1) \rightarrow 0$ as $|s_1| \rightarrow +\infty$ such that the following estimate holds:

$$G(s_1; \Delta) \geq \exp(-A \log |s_1|).$$

Conjecture 2G. Conjecture 1G is true with

$$\Delta = (\log \log |s_1|)^{-1}.$$

Conjecture 3G. Conjecture 1G is true with

$$\Delta = (\log |s_1|)^{-1}.$$

The above-mentioned works of M.Z. Garaev [4] and M.E. Changa [2] establish a link between the bounds of $F(T; \Delta)$ and $G(s_1; \Delta)$ and, in particular, demonstrate the equivalence of the F and G conjectures, $s_1 = \frac{1}{2} + iT$.

4. The multiplicity of a zero of $\zeta(s)$ and lower bounds for $G(s_1; \Delta)$

Lower bounds for $G(s_1; \Delta)$ make it possible to obtain upper bounds for $\varkappa(T)$.

Theorem 2. Suppose for some Δ and A such that $0 < \Delta \leq \frac{1}{3}$, $A \geq 1$, we have

$$G(s_1; \Delta) \geq \exp(-A \log |s_1|).$$

Then the following upper bound holds for $\varkappa(T)$:

$$\varkappa(T) \leq 1 + \frac{A+4}{\log \frac{1}{\Delta}} \log T.$$

Proof. Let $s_1 = \sigma_1 + iT$, $T \geq T_1$, $\frac{1}{2} \leq \sigma_1 \leq 1$, $K+1 = \varkappa(T)$, and

$$\zeta(s_1) = \zeta^{(1)}(s_1) = \dots = \zeta^{(K)}(s_1) = 0. \quad (16)$$

Further let s_2 be such that $|s_2 - s_1| = \Delta$ and

$$|\zeta(s_2)| = \max_{|s-s_1| \leq \Delta} |\zeta(s)|.$$

We have the equality:

$$\zeta(s_2) = \frac{1}{2\pi i} \int_{|s-s_2|=2} \frac{\zeta(s)}{s-s_2} ds. \quad (17)$$

Moreover we find:

$$\begin{aligned} \frac{1}{s-s_2} &= \frac{1}{s-s_1+s_1-s_2} = \frac{1}{s-s_1} \left(1 + \frac{s_1-s_2}{s-s_1}\right)^{-1} \\ &= \frac{1}{s-s_1} \sum_{\nu=0}^{\infty} (-1)^\nu \left(\frac{s_1-s_2}{s-s_1}\right)^\nu. \end{aligned} \quad (18)$$

Substituting (18) in (17) and using (16) we subsequently obtain

$$\begin{aligned} \zeta(s_2) &= \sum_{\nu=0}^{\infty} (-1)^\nu (s_1-s_2)^\nu \frac{1}{2\pi i} \int_{|s-s_2|=2} \frac{\zeta(s)}{(s-s_1)^{\nu+1}} ds \\ &= \sum_{\nu=K+1}^{\infty} (-1)^\nu (s_1-s_2)^\nu \frac{1}{2\pi i} \int_{|s-s_2|=2} \frac{\zeta(s)}{(s-s_1)^{\nu+1}} ds. \end{aligned} \quad (19)$$

Obviously:

$$2 = |s-s_2| = |s-s_1+s_1-s_2| \leq |s-s_1| + |s_1-s_2| = |s-s_1| + \Delta,$$

$$|s - s_1| \geq 2 - \Delta \geq \frac{5}{3}.$$

By assumption we have

$$|\zeta(s_2)| \geq \exp\left(-A \log \sqrt{T^2 + 1}\right). \quad (20)$$

Moreover, the functional equation

$$\pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) \zeta(s) = \pi^{-\frac{1-s}{2}} \Gamma\left(\frac{1-s}{2}\right) \zeta(1-s)$$

leads to the following inequality for $|s - s_2| = 2$:

$$|\zeta(s)| \leq T^3. \quad (21)$$

Using the relations (19), (20), and (21) we obtain

$$\begin{aligned} \exp\left(-A \log \sqrt{T^2 + 1}\right) &\leq 2 \sum_{\nu=K+1}^{\infty} \Delta^\nu T^3 \left(\frac{3}{5}\right)^\nu \\ &= T^3 \left(\frac{3\Delta}{5}\right)^{K+1} \cdot \frac{5}{2} \leq T^3 \left(\frac{3\Delta}{5}\right)^K, \\ \left(\frac{5}{3\Delta}\right)^K &\leq T^3 \exp\left(A \log \sqrt{T^2 + 1}\right), \\ K &\leq \frac{1}{\log \frac{5}{3\Delta}} \left(A \log \sqrt{T^2 + 1} + 3 \log T\right). \end{aligned}$$

The assertion follows. ■

References

- [1] R. Balasubramanian, *On the frequency of Titchmarsh's phenomenon for $\zeta(s)$* . IV, Hardy-Ramanujan J., **9**, (1986), 1–10.
- [2] M.E. Changa, *On lower bounds for the modulus of the Riemann zeta function on the critical line*, Mat. Zametki **76**, (2004), no. 6, 922–927.
- [3] Shao-Ji Feng, *On Karatsuba conjecture and the Lindelöf hypothesis*, Acta Arith. **114**, (2004), no. 3, 295–300.
- [4] M.Z. Garaev, *Concerning the Karatsuba conjectures*, Taiwanese J. Math. **6**, (2002), no. 4, 573–580.
- [5] A. Ivić, *On the multiplicity of zeros of the zeta-function*, Bull. Cl. Sci. Math. Nat. Sci. Math., no. 24, (1999), 119–132.
- [6] A. Ivić, *The distribution of zeros of the zeta-function*, Bull. Cl. Sci. Math. Nat. Sci. Math., no. 40, (2003), 77–91.

- [7] A.A. Karatsuba, *Osnovy analiticheskoi teorii chisel*, Izdat. “Nauka”, Moscow, 1975.
- [8] A.A. Karatsuba, *Complex analysis in number theory*, CRC Press, Boca Raton, FL, 1995.
- [9] A.A. Karatsuba, *Lower bounds for the maximum modulus of $\zeta(s)$ in small domains of the critical strip*, Mat. Zametki, **70**, no. 5, (2001), 796–797.
- [10] A.A. Karatsuba, *On lower bounds for the Riemann zeta function*, Dokl. Akad. Nauk **376**, no. 1, (2001), 15–16.
- [11] A.A. Karatsuba, *On lower bounds for the maximum modulus of the Riemann zeta function on short intervals of the critical line*, Izv. Ross. Akad. Nauk Ser. Mat. **68**, no. 6, (2004), 99–104.
- [12] E.C. Titchmarsh, *The Theory of the Riemann Zeta-Function*, Oxford, at the Clarendon Press, 1951.
- [13] S.M. Voronin, *The differential independence of ζ -functions*, Dokl. Akad. Nauk SSSR, **209**, (1973), 1264–1266.
- [14] S.M. Voronin, *A theorem on the “universality” of the Riemann zeta-function*, Izv. Akad. Nauk SSSR Ser. Mat., **39**, (1975), no. 3, 475–486.
- [15] G.N. Watson, *A Treatise on the Theory of Bessel Functions*, Cambridge University Press, Cambridge, England, 1944.

Address: Steklov Institute of Mathematics RAS, 8, Gubkina str., 119991, Moscow, Russia

E-mail: karatsuba@mi.ras.ru

Received: 10 July 2005; **revised:** 15 March 2006