

## Some Comments on Chern-Simons Gauge Theory

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**Abstract.** Following M. F. Atiyah and R. Bott [AB] and E. Witten [W], we consider the space of flat connections on the trivial  $SU(2)$  bundle over a surface  $M$ , modulo the space of gauge transformations. We describe on this quotient space a natural hermitian line-bundle with connection and prove that if the surface  $M$  is now endowed with a complex structure, this line bundle is isomorphic to the determinant bundle. We show heuristically how path-integral quantisation of the Chern-Simons action yields holomorphic sections of this bundle.

### 1. Introduction

In [W], Witten studied a 2+1 dimensional quantum Yang-Mills theory, with an action consisting purely of the Chern-Simons term,

$$CS(\mathbf{A}) = \frac{1}{4\pi} \int Tr \left( \mathbf{A} d\mathbf{A} + \frac{2}{3} \mathbf{A} \mathbf{A} \mathbf{A} \right).$$

He obtained the Jones polynomials of knots on  $S^3$  and their extensions to other 3-manifolds as expectation values of Wilson loop functionals. A key point was the identification of the quantum state space as the space of holomorphic sections of a line bundle.

We first describe this line bundle from an algebraic point of view. Let  $M$  denote a compact 2-manifold without boundary (with genus  $g \geq 3$  – the other cases can be treated with analogous results),  $\mathcal{A}$  the space of connections on the trivial  $SU(2)$  bundle on  $M$ ,  $\mathcal{A}_F$  the space of flat connections,  $\mathcal{A}_F^s$  the space of irreducible flat connections, and  $\mathcal{G}$  the group of gauge transformations. Then it is well-known that  $\mathcal{A}_F^s/\mathcal{G}$  is in a natural way a symplectic manifold. A choice of conformal structure  $M_c$  on  $M$  endows  $\mathcal{A}_F^s/\mathcal{G}$  with a compatible Kähler structure, and it can be

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identified with the moduli space  $\mathcal{S}$  of stable vector bundles on  $M_c$  of rank 2 and trivial determinant [NS, AB]. The Kähler structure on  $\mathcal{S}$ , suitably normalised, comes from an ample class on  $\mathcal{S}$ , the moduli space of *semistable* vector bundles, (which in turn can be identified with the quotient  $\mathcal{A}_F/\mathcal{G}$ ). In fact, by recent results of Narasimhan and Drezet [ND] there is a unique line bundle  $\mathcal{L}$  in this class.

The state-space of relevance for the quantum field theory is the space of global sections  $H^0(\mathcal{S}, \mathcal{L}^k)$ , where the integer  $k$  multiplies the Chern-Simons action in functional integrals. Witten obtains this finite-dimensional space via holomorphic quantization of a classically constrained phase space. On physical grounds he concludes that the vector bundle  $\mathcal{W}$  over Teichmüller space with fibre  $H^0(\mathcal{S}, \mathcal{L}^k)$  is projectively flat, i.e., has a natural connection such that the curvature is a form with values in scalar endomorphisms. (Equivalently, parallel transport in the associated projective bundle has trivial holonomy.) Moreover, every 3-manifold  $N$  such that  $\partial N = M$  determines via the functional integral

$$\int \mathcal{D}\mathbf{A} \exp ikCS(\mathbf{A})$$

a state vector  $v_N \in H^0(\mathcal{S}, \mathcal{L}^k)$ , and, given two such 3-manifolds  $N_1$  and  $N_2$  one has

$$(v_{N_1}, v_{N_2}) = \int \mathcal{D}\mathbf{A} \exp ikCS(\mathbf{A}),$$

where the integral is over connections  $\mathbf{A}$  on the 3-manifold  $\tilde{N}$  obtained by identifying  $N_1$  and  $N_2$  along  $M$ .<sup>2</sup>

This paper was motivated in part by trying to understand the existence of the projectively flat connection in terms of differential geometry. Some progress can be found in Sect. 2 where we prove

**Theorem 1.** *There exists a natural hermitian line bundle  $\mathcal{L}$  on  $\mathcal{A}_F/\mathcal{G}$ . Restricted to  $\mathcal{A}_F^s/\mathcal{G}$ , this line bundle carries a natural connection whose curvature is (up to a factor of  $i$ ) the standard symplectic form.*

This theorem does not require a choice of conformal structure on  $M$ . However given a conformal structure, we can consider the determinant line bundle of the family of elliptic operators  $\{\bar{\partial}_{\lambda} | \vec{\lambda} \in \mathcal{A}_F^s\}$ . If further a choice of compatible Riemannian metric on  $M$  is made, the construction of Quillen [Q] yields a hermitian structure and connection on this bundle, and one can show that it descends to  $\mathcal{S}$  as holomorphic hermitian line bundle  $\mathcal{L}_D$  with connection. We then have

**Theorem 2.**  *$\mathcal{L}$  is isomorphic to the determinant bundle  $\mathcal{L}_D$ , as a hermitian line bundle with connection on  $\mathcal{S}$ .*

Theorems 1 and 2 show that the fibres of the holomorphic bundle  $\mathcal{W}$  imbed naturally into  $C^\infty(\mathcal{S}, \mathcal{L})$ .

In Sect. 3 we sketch a heuristic path-integral approach which yields the quantum state-space  $H^0(\mathcal{S}, \mathcal{L}^k)$  affirming Witten’s geometric quantization. In Sect. 4 we point out some subtleties when the gauge group is  $U(1)$  so that  $\mathcal{A}_F/\mathcal{G}$  is the Jacobian. We conclude with some speculations concerning the correspondence  $N \mapsto v_N$ .

<sup>2</sup> The functional integrals can be defined only after a choice of metric on  $\tilde{N}$ . A suitably modified integral depending on a choice of framing of  $\tilde{N}$  turns out to only depend on the homotopy class of the framing. We will not consider the metric dependence in this paper

Witten considered gauge groups  $SU(n)$ , with  $n \geq 2$ . For simplicity we have limited our attention to the case  $n=2$ .

For a recent study of Chern-Simons gauge theory from the Schrödinger viewpoint see [DJT].

One of us (T.R.R.) would like to thank M.S. Narasimhan for very useful conversations.

## 2. The Line Bundle

2.1. As in the introduction, let  $\mathcal{A}$  be the space of  $su(2)$ -valued 1-forms on  $M$ ,  $\mathcal{A}_F$  the subspace of flat connections,  $\mathcal{A}_F^s$  the submanifold of irreducible flat connections,  $\mathcal{G}$  the space of gauge transformations. Also let  $N$  be a 3-manifold with  $\partial N = M$ ; in fact we assume given a diffeomorphism of a neighbourhood of  $M$  in  $N$  with  $M \times [0, 1)$ .

Consider the following  $U(1)$ -valued function on  $\mathcal{A} \times \mathcal{G}$ :

$$\Theta(\vec{A}, g) \equiv \exp i(CS(\mathbf{A}^g) - CS(\mathbf{A})),$$

where  $\mathbf{A}$  and  $\tilde{g}$  are extensions of  $\vec{A}$  and  $g$  into  $N$  and  $\mathbf{A}^g$  is the gauge transform of  $\mathbf{A}$  by  $\tilde{g}$ . Such an extension of  $g$  into  $N$  is always possible in the case when the structure group is  $SU(2)$  because  $\pi_1(SU(2)) = \pi_2(SU(2)) = 0$ . We shall choose the extensions such that on  $M \times [0, 1)$ ,  $\mathbf{A}$  and  $\tilde{g}$  are the pull-backs of  $\vec{A}$  and  $g$  respectively by the projection to  $M$ . Now  $\Theta$  is independent of  $N$  and the extensions  $\mathbf{A}$  and  $\tilde{g}$ . In fact extensions  $(\mathbf{A}_1, \tilde{g}_1)$  and  $(\mathbf{A}_2, \tilde{g}_2)$  as above into  $N_1$  and  $N_2$  give a connection  $\mathbf{B}$  and gauge transformation  $h$  on  $N = N_1 \cup_M N_2$  so that

$$\begin{aligned} \Theta(\mathbf{A}_1, \tilde{g}_1) \Theta(\mathbf{A}_2, \tilde{g}_2)^{-1} &= \exp i(CS(\mathbf{B}^h) - CS(\mathbf{B})) \\ &= 1 \end{aligned}$$

because  $CS(\mathbf{B}^h) - CS(\mathbf{B})$  is  $2\pi$  times an integer.

The function  $\Theta$  is a cocycle:

$$\Theta(\vec{A}, g) \Theta((\vec{A})^g, h) = \Theta(\vec{A}, gh).$$

We define

$$\mathcal{L} \equiv \mathcal{A}_F^s / \times_{\Theta} \mathcal{C},$$

where on the right we mean the quotient by the equivalence relation:

$$(\vec{A}, z) \sim (\vec{A}^g, \Theta(\vec{A}, g)z).$$

It is clear that  $\mathcal{L}$  is a complex line bundle on  $\mathcal{A}_F^s / \mathcal{G}$ , and since  $\Theta$  is  $U(1)$ -valued, it is a *hermitian* line bundle. One can also define the corresponding principal  $U(1)$  bundle  $\mathcal{U}$  in a similar fashion.

One can easily check that  $\Theta$  is  $C^\infty$  on  $\mathcal{A} \times \mathcal{G}$  in the appropriate Sobolev norms. Integration by parts shows that its differential  $d\Theta(\alpha, \phi)$  at  $(\vec{A}, g)$  equals

$$\frac{i}{4\pi} \Theta \times \left\{ \int_M \text{Tr}(g^{-1} dg g^{-1} \alpha g) - \int_M \text{Tr}(\vec{A}^g \wedge d_{\vec{A}^g} \phi) + 2 \int_M \text{Tr}(F_{\vec{A}^g} \phi) \right\},$$

where  $\alpha$  is a tangent vector to  $\mathcal{A}$  [i.e., an  $su(2)$ -valued 1-form on  $M$ ] and  $\phi$  is an infinitesimal gauge transformation [i.e., an  $su(2)$ -valued 0-form].

We recall next the definition of the symplectic structure on  $\mathcal{A}_F^s/\mathcal{G}$ . On the space  $\mathcal{A}$  of all connections, the two-form

$$\widehat{\Omega}(\alpha, \beta) \equiv \frac{i}{2\pi} \int_M \text{Tr}(\alpha \wedge \beta)$$

(where  $\alpha$  and  $\beta$  are tangent vectors at  $A \in \mathcal{A}$ ) is closed. Restricted to  $\mathcal{A}_F^s$  it is singular in the direction of gauge transformations:

$$\int_M \text{Tr}(d_A \phi \wedge \beta) = - \int_M \text{Tr}(\phi \wedge d_A \beta) = 0$$

if  $d_A \beta = 0$  (the condition that  $\beta$  be tangent to  $\mathcal{A}_F^s$ ). In fact the restricted form descends to a form  $\Omega$  on  $\mathcal{A}_F^s/\mathcal{G}$  which is symplectic (modulo a factor of  $i$ ) [AB].

Note that on  $\mathcal{A}$ ,  $\widehat{\Omega} = d\widehat{\omega}$ , where the 1-form  $\widehat{\omega}$  is defined by

$$\widehat{\omega}(\alpha) = \frac{i}{4\pi} \int_M \text{Tr}(\vec{A} \wedge \alpha).$$

We now check that  $\widehat{\omega}$ , restricted to  $\mathcal{A}_F^s$  is the pull-back [via the map  $\mathcal{A}_F^s \rightarrow \mathcal{A}_F^s \times 1 \hookrightarrow \mathcal{A}_F^s \times U(1) \rightarrow \mathcal{A} \times_{\theta} U(1) \rightarrow \mathcal{U}$ ] of a unitary connection on  $\mathcal{U}$  whose curvature is  $\Omega$ . First,  $\widehat{\omega}$  defines a connection one-form  $\widehat{\omega}_P$  on the principal  $U(1)$  bundle  $\mathcal{A}_F \times U(1)$ . We have a twisted action of  $\mathcal{G}$  on this bundle, and  $\mathcal{U}$  is the quotient. Let  $X$  be a vertical vector field for this action. Using the earlier formula for  $d\Theta$  one verifies that  $\widehat{\omega}_P$  vanishes on  $X$  and

$$L_X \widehat{\omega}_P = i_X d\widehat{\omega}_P = i_X \widehat{\Omega} = 0,$$

where  $L_X$  is the Lie derivative. This completes the proof of Theorem 1.

*Remarks.* 1. In fact the above construction defines a continuous line bundle over  $\mathcal{A}/\mathcal{G}$ . One needs to check the following: if  $g$  fixes  $\vec{A} \in \mathcal{A}$ , then  $\Theta(\vec{A}, g) = 1$ . This is trivially true when  $\vec{A} = 0$  or when  $g \equiv \pm \text{Identity}$ . When that is not the case and  $g$  fixes  $\vec{A}$ , there exists  $h \in \mathcal{G}$  such that

$$\vec{A}^h = \begin{pmatrix} \vec{a} & 0 \\ 0 & -\vec{a} \end{pmatrix},$$

where  $\vec{a}$  is a 1-form, and

$$h^{-1}gh = \begin{pmatrix} u & 0 \\ 0 & \bar{u} \end{pmatrix},$$

for some const  $u$  with  $|u| = 1$ . It is easy to check that  $\Theta(\vec{A}^h, h^{-1}gh) = 1$  and this implies  $\Theta(\vec{A}, g) = 1$ .

2. The cocycle  $\Theta$  was first considered, in a slightly different form, by Jackiw [J]. A construction similar to the above, of a line bundle over a loop group, (using the Wess-Zumino-Novikov-Witten term) appears in Mickelsson [M]. We thank one of the referees for pointing out the latter reference.

3. It is worth pointing out the naturality of  $\mathcal{L}$ : Introducing subscripts in an obvious way, a map of surfaces  $\sigma: M_1 \rightarrow M_2$  induces a map  $\tilde{\sigma}: \mathcal{A}_{F, 2}/\mathcal{G}_2 \rightarrow \mathcal{A}_{F, 1}/\mathcal{G}_1$  such that  $\tilde{\sigma}^* \Omega_1 = (\text{degree } \sigma) \Omega_2$ . We also have a morphism of hermitian line bundles  $\mathcal{L}_2^{\text{degree } \tilde{\sigma}} \rightarrow \mathcal{L}_1$  "over"  $\tilde{\sigma}$  which preserves connections.

To identify  $\mathcal{L}$  with the determinant bundle we will need to know  $\pi_j(\mathcal{A}_F^s/\mathcal{G})$ ,  $j=0, 1, 2$ . We will use:

$$\mathcal{A}_F/\mathcal{G} \equiv \{\text{conjugacy classes of representations of } \pi_1(M)\} \equiv \mathcal{R}(\pi_1).$$

Let  $\{(a_i, b_i) | i=1, \dots, \mathfrak{g}\}$  be loops on  $M$  which form a standard set of generators of  $\pi_1(M)$  so that we have  $\prod_i a_i b_i a_i^{-1} b_i^{-1} = 1$ . Then we can write  $\mathcal{R}(\pi_1) \equiv Z_\pi/SU(2)$ , where

$$Z_\pi = \left\{ A_1, \dots, A_{\mathfrak{g}}, B_1, \dots, B_{\mathfrak{g}} \mid A_i, B_i \in SU(2), \text{ with } \prod_i A_i B_i A_i^{-1} B_i^{-1} = 1 \right\}$$

and  $SU(2)$  acts adjointly on  $Z_\pi$ . We will let  $Z_\pi^s \subset Z_\pi$  denote the irreducible representations of  $\pi_1(M)$ . It is easy to see that  $Z_\pi^s = Z_\pi \setminus Y$ , where  $Y$  is the orbit of  $T \times \dots \times T$  under the adjoint action of  $SU(2)$ ,  $T$  being the standard diagonal  $U(1)$  subgroup of  $SU(2)$ .

We now prove the

**Lemma.**  $\mathcal{R}(\pi_1)$  is connected and simply connected;  $\pi_2(\mathcal{R}(\pi_1)) = \mathbf{Z}$ .

*Proof.* The projection  $Z_\pi^s \rightarrow \mathcal{R}(\pi_1)$  is a locally trivial fibration with fibre  $SO(3)$ . We show below that  $Z_\pi^s$  has  $\pi_0 = 0$ ,  $\pi_1 = 0$ , and  $\pi_2 = \mathbf{Z}$ . Hence the same result holds for  $\mathcal{R}(\pi_1)$ .

Let  $Z$  denote the product of  $2\mathfrak{g}$  copies of  $SU(2)$ :

$$Z \equiv \{A_1, \dots, A_{\mathfrak{g}}, B_1, \dots, B_{\mathfrak{g}} \mid A_i, B_i \in SU(2)\}.$$

The set  $Y$  is a submanifold in  $Z$  of codimension  $4\mathfrak{g} - 2$ ; since  $\mathfrak{g} > 2$ ,  $Z \setminus Y$  satisfies  $\pi_0 = 0$ ,  $\pi_1 = 0$ , and  $\pi_2 = 0$ . Consider the map  $R: (Z \setminus Y) \rightarrow SU(2)$  given by

$$R((A_i, B_i)) = \prod_i A_i B_i A_i^{-1} B_i^{-1}.$$

Because this (proper) map has a differential of maximal rank at every point, it is a fibration. The space  $Z_\pi^s$  is the inverse image of the identity element under  $R$ , and the standard exact sequence in homotopy proves that  $\pi_0(Z_\pi^s) = 0$ ,  $\pi_1(Z_\pi^s) = 0$ . To see that  $\pi_2(Z_\pi^s) = \mathbf{Z}$  we observe that the map  $\pi_3(Z \setminus Y) \rightarrow \pi_3(SU(2))$  induced by  $R$  is zero.

2.2. Choose a conformal structure  $c$  on  $M$ . The space  $\mathcal{A}^s/\mathcal{G}$  can then be identified [NS] with the moduli space  $\mathcal{S}$  of stable vector bundles of rank 2 and trivial determinant on  $M_c$ . In fact, by [S]  $\mathcal{A}_F/\mathcal{G}$  is a complete complex algebraic variety – the moduli space  $\mathcal{P}$  of ( $s$ -equivalence classes of) semistable vector bundles – in which  $\mathcal{S} = \mathcal{A}^s/\mathcal{G}$  sits as the smooth part.

The complex structure on  $\mathcal{A}^s/\mathcal{G}$  can also be obtained [AB] via the  $\star$ -operator on 1-forms defined by the conformal structure  $c$ . The form  $\Omega$  is of type  $(1, 1)$  with respect to any one of these holomorphic structures;  $\mathcal{L}$  has therefore a unique holomorphic structure such that the unitary connection  $\omega$  is compatible with it.

We proceed to identify the line bundle constructed above with the determinant bundle  $\mathcal{L}_D$  of the family of  $\bar{\partial}$  operators parametrised by  $\mathcal{S}$ . We will first define  $\mathcal{L}_D$  as a bundle on  $\mathcal{S}$ . In [Q] Quillen constructs a determinant line bundle  $\tilde{\mathcal{L}}_D$  on  $\mathcal{A}$ . This bundle has a hermitian metric and connection invariant under a lift of the action of  $\mathcal{G}$ , and its curvature is  $\hat{\Omega}$ . Restricted to  $\mathcal{A}_F^s$  it descends to give  $\mathcal{L}_D$ . The

curvatures of  $\mathcal{L}_D$  and  $\mathcal{L}$  coincide, and using the above lemma one sees that  $\mathcal{L}_D$  and  $\mathcal{L}$  are isomorphic.

We now give the details. First, it is enough to consider  $\tilde{\mathcal{L}}_D$  on the neighbourhood  $\mathcal{A}^s$  of  $\mathcal{A}_F^s$  consisting of  $\vec{A}$ 's which define stable bundles. On this set the bundle  $\tilde{\mathcal{L}}_D$  is easy to describe. We have [NS]  $\ker \bar{\partial}_{\vec{A}} = H^0(M_c, \bar{\partial}_{\vec{A}}) = 0$  and so the vector spaces  $\text{coker } \bar{\partial}_{\vec{A}} = H^1(M_c, \bar{\partial}_{\vec{A}})$  form a holomorphic vector bundle over  $\mathcal{A}^s$  of rank  $2(\mathfrak{g} - 1)$  and  $\tilde{\mathcal{L}}_D$  is the determinant bundle of this vector bundle. We have, for  $g \in \mathcal{G}$  the relation  $\bar{\partial}_{(\vec{A})^g} = g^{-1} \circ \bar{\partial}_{\vec{A}} \circ g$  which shows that the action of  $\mathcal{G}$  on  $\mathcal{A}^s$  lifts to the bundle of cokernels. One can check, using the definition of holomorphic structure on this bundle, that the lifted action is by holomorphic isomorphisms. Thus the action of  $\mathcal{G}$  on  $\mathcal{A}^s$  lifts to an action on  $\tilde{\mathcal{L}}_D$  by holomorphic transformations, which, further, preserves the Quillen metric in it. This implies that the action preserves the canonical connection on the bundle: denoting by  $\tilde{g}$  the lift of  $g \in \mathcal{G}$  we have

$$\nabla_X((\tilde{g})^{-1} \circ \mu \circ g) = (\tilde{g})^{-1} \circ (\nabla_{g_*(X)} \mu) \circ g$$

for any section  $\mu$ . Let us now restrict  $\tilde{\mathcal{L}}_D$ , as a hermitian line bundle with connection, to  $\mathcal{A}_F^s$ , continuing to denote it as  $\tilde{\mathcal{L}}_D$ . We now define  $\mathcal{L}_D$  as a line bundle over  $\mathcal{S}$  by taking the quotient of  $\tilde{\mathcal{L}}_D$  by the action of  $\mathcal{G}$ . [The isotopy subgroup of  $\mathcal{G}$  at any  $\vec{A} \in \mathcal{A}_F^s$  is  $\pm$  Identity. Since  $H^1(M_c, \bar{\partial}_{\vec{A}})$  has even rank this subgroup acts trivially on  $\tilde{\mathcal{L}}_D$  as well.]

We need to check that the connection descends. Let  $\mu$  be any covariant section of  $\tilde{\mathcal{L}}_D$ : i.e.,  $(\tilde{g})^{-1} \circ \mu \circ g = \mu$ ,  $X$  a covariant vector field. The covariance of the connection shows that  $\nabla_X \mu$  is covariant. We will now show that  $\nabla_X \mu = 0$  if  $X$  is vertical. Let  $\mathcal{O}$  be a  $\mathcal{G}$  orbit in  $\mathcal{A}_F^s$ . On  $\mathcal{O}$  we can write  $\nabla_X \mu = \kappa(X)\mu$ , where  $\kappa$  is a linear functional on  $\{\text{invariant vertical vector fields on } \mathcal{O}\} = \text{Lie } \mathcal{G}$ , the Lie algebra of  $\mathcal{G}$ . Since the curvature  $\bar{\Omega} = 0$  on  $\mathcal{O}$ , we have  $\kappa([X_1, X_2]) = 0$ . Since  $\text{Lie } \mathcal{G}$  is perfect,  $\kappa = 0$ .

From the lemma one concludes easily that  $H^2(\mathcal{S}, \mathbf{Z}) = \mathbf{Z}$ . This, together with the fact that  $\mathcal{S}$  is simply connected, implies that two hermitian line bundles with the same curvature 2-form are isomorphic as line bundles with connection, thus proving Theorem 2.

*Remarks.* 1. One can check that  $H^1(M_c, \bar{\partial}_{\vec{A}}) \simeq H^0(M_c, K_\chi)^*$  when  $\vec{A}$  is flat,  $\chi$  is the associated representation of  $\pi_1(M)$  in  $SU(2)$  and  $K_\chi$  the canonical line bundle twisted by  $\chi$ . The latter space has a natural inner product  $\langle \tau, \xi \rangle = \int_M (\tau, \wedge \bar{\xi})$ . Hence the line  $L_\chi \equiv A^{2\mathfrak{g}-2} H^0(M_c, K_\chi)$  has a natural inner product. The line bundle  $\{L_\chi\}_{\chi \in Z_{\mathfrak{K}}}$  descends to  $R(\pi_1)$  as a line bundle  $\tilde{\mathcal{L}}^*$  with hermitian structure. If one follows the path of defining a complex structure on  $R(\pi_1)$  as in [NS], one could similarly define a holomorphic structure on  $\tilde{\mathcal{L}}^*$ . We then have isomorphisms of holomorphic line bundles  $\mathcal{L} = \mathcal{L}_D = \tilde{\mathcal{L}}$ .

However the Quillen metric on  $\mathcal{L}_D$  differs from the metric on  $\tilde{\mathcal{L}}$  described above by the function  $\det \Delta_\chi$ , where  $\Delta_\chi$  is the Laplacian on functions equivariant under  $\chi$ .

2. The determinant bundle is an algebraic object, and in fact exists over  $\tilde{\mathcal{P}}$ . In fact since  $\text{Pic } \tilde{\mathcal{P}} \sim \text{Pic } \mathcal{S} \sim \mathbf{Z}$  [ND] every holomorphic line bundle on  $\mathcal{S}$  extends uniquely as an invertible sheaf on  $\tilde{\mathcal{P}}$ .

### 3. Holomorphic Quantisation via Formal Path-Integrals

Consider again the Chern-Simons action functional

$$CS(\mathbf{A}) = \frac{1}{4\pi} \int \text{Tr} \left( \mathbf{A} d\mathbf{A} + \frac{2}{3} \mathbf{A} \mathbf{A} \mathbf{A} \right),$$

where  $\mathbf{A}$  is a connection on the trivial  $SU(2)$  bundle on a 3-manifold. When the 3-manifold is diffeomorphic to  $M \times [0, 1]$ , with  $M$  a 2-manifold, we can write

$$\mathbf{A} = A(t) + A_0(t) dt,$$

where  $A(t)$  and  $A_0(t)$  are a one parameter family of  $su(2)$ -valued one- and zero-forms respectively on  $M$  parametrised by  $t \in [0, 1]$ . The Chern-Simons action becomes then:

$$CS(A, A_0) = \frac{1}{4\pi} \int dt \int_M \text{Tr} \left( 2A_0 F_A - A \frac{dA}{dt} \right).$$

Standard constraint analysis à la Dirac leads to  $\mathcal{A}_F^s/\mathcal{G}$ , with its symplectic structure, as the reduced phase space. A conformal structure  $c$  on  $M$  determines (via the  $\star$  operator) a compatible complex structure and Kähler metric on  $\mathcal{A}_F^s/\mathcal{G}$  and this holomorphic polarisation determines (for  $k=1$ ) the state-space of  $[W]$ , described in Sect. 1.

Our purpose in this section is to obtain the state-space  $H^0(\mathcal{F}, \mathcal{L}^k)$  via the functional integral directly. We emphasize that the arguments in this section are *not* rigorous. Some of them are standard, but the one which suggests the holomorphy of the sections of  $\mathcal{L}$  obtained by functional integrals is, to our knowledge, new.

Consider therefore the functional integral

$$\Phi(\vec{A}, A_{(0, M)}) = \int_{\mathbf{A}(0) = \vec{A} + A_{(0, M)} dt} \mathcal{D}_N \mathbf{A} \exp ik CS(\mathbf{A}) \prod_C W_{\mathbf{A}}(C),$$

where  $\vec{A}$  is an  $su(2)$ -valued 1-form on  $M$ ,  $A_{(0, M)}$  a  $su(2)$ -valued 0-form,  $N$  is a 3-manifold such that  $\partial N = M$ , the subscript on  $\mathcal{D}$  refers to the fact that the functional integral is over  $\mathbf{A}$  on  $N$  and  $\prod_C W_{\mathbf{A}}(C)$  is a product of Wilson loops in  $N$  not intersecting  $M$ . We will argue that  $\Phi$  can be interpreted as a holomorphic section of  $\mathcal{L}^k$ .

Choose a neighbourhood (not intersecting any of the loops  $C$ ) of  $M$  in  $N$  diffeomorphic to  $M \times [0, 1)$  and in fact fix such a diffeomorphism, letting  $t$  denote as before the co-ordinate along  $[0, 1)$ . The functional integral  $\Phi$  above can be expressed as

$$\begin{aligned} \Phi(\vec{A}, A_{(0, M)}) &= \int_{\mathbf{A}(0) = \vec{A} + A_{(0, M)} dt} \mathcal{D}_N \mathbf{A} \exp ik CS(\mathbf{A}) \prod_C W_{\mathbf{A}}(C) \\ &= \int_{A(0) = \vec{A}, A_0(0) = A_{(0, M)}} \mathcal{D} A(t) \mathcal{D} A_0(t) \exp ik CS(A, A_0) \\ &\quad \times \int_{\mathbf{A}(1) = A(1) + A_0(1) dt} \mathcal{D}_{N \setminus M \times [0, 1)} \mathbf{A} \exp ik CS(\mathbf{A}) \prod_C W_{\mathbf{A}}(C) \\ &= \int_{A(0) = \vec{A}, A_0(0) = A_{(0, M)}} \mathcal{D} A(t) \mathcal{D} A_0(t) \exp ik CS(A, A_0) \Psi(A(1), A_0(1)), \end{aligned}$$

where the effects of the integral over the fields on the rest of the manifold are subsumed in the functional  $\Psi$ . We will see below that the functional  $\Phi$  is independent of  $A_{(0,M)}$  and  $\Psi$  of  $A_0(1)$ , so we can write

$$\Phi(\vec{A}) = \int_{(A(0)=\vec{A})} \mathcal{D}A(t) \mathcal{D}A_0(t) \exp ikCS(A, A_0) \Psi(A(1)).$$

The expression

$$CS(A, A_0) = \frac{1}{4\pi} \int dt \int_M \text{Tr} \left( 2A_0 F_A - A \frac{dA}{dt} \right)$$

shows that the above functional integral is nonzero only for paths  $A(t)$  in  $\mathcal{A}_F$ . More precisely,

$$\int \mathcal{D}A_0(t) \exp \frac{ik}{4\pi} \int_M \text{Tr}(2A_0 F_A) = \frac{\delta(F_A(t)=0)}{2k \mathcal{D}_1(t)},$$

where  $\mathcal{D}_1(t) = \sqrt{\det L(t)^* L(t)}$ , and  $L(t) = d_{A(t)}|_{\ker d_{\vec{A}(t)}}$  is the differential (in the normal direction to  $\{F_A(t)=0\}$ ) of the map  $A \mapsto F_A$  of 1-forms to 2-forms. We have our first result:  $\Phi$  is supported on connections  $\vec{A}$  which are flat, i.e.,  $\Phi(\vec{A})=0$  unless  $F_{\vec{A}}=0$ .

We next demonstrate that the functional  $\Phi$  is a section of  $\mathcal{L}^k$ , i.e.,  $\vec{A} \mapsto (\vec{A}, \Phi(\vec{A}))$  is a section of  $\mathcal{L}^k$ . Because of the equivalence relation defining  $\mathcal{L}$ , we need to check  $\Phi(\vec{A}^g) = \Theta(\vec{A}, g)^k \Phi(\vec{A})$ . Since  $\mathcal{G}$  is connected, it suffices to verify this at the Lie algebra level: if  $\phi$  is an infinitesimal gauge transformation,

$$d/dt|_{t=0} \Phi(\vec{A}^{e^{t\phi}}) = d/dt|_{t=0} \Theta(\vec{A}, e^{t\phi})^k \Phi(\vec{A}) = \frac{ik}{4\pi} \left( \int_M \text{Tr}(\vec{A} \wedge d_{\vec{A}}\phi) \right) \Phi(\vec{A}),$$

where in the second equation we have used the expression for the differential of  $\Theta$  from Sect. 2.

Extend the function  $\phi$  into  $N$  so that it is supported within  $M \times [0, \delta]$  and denote the extension by  $\tilde{\phi}$ . We have

$$\Phi(\vec{A}^{e^{t\phi}}) = \int_{\mathbf{A}(0)|_M = \vec{A}^{e^{t\phi}}} \mathcal{D}_N \mathbf{A} \exp ikCS(\mathbf{A}) \prod_C W_{\mathbf{A}}(C).$$

Make a change of variables  $\mathbf{A} \mapsto \mathbf{A}^{e^{t\tilde{\phi}}}$ . Assuming the integral is gauge invariant, we conclude because the Wilson loop functionals are gauge invariant that

$$\Phi(\vec{A}^{e^{t\phi}}) = \int_{\mathbf{A}(0)|_M = \vec{A}} \mathcal{D}_N \mathbf{A} \exp ikCS(\mathbf{A}^{e^{t\tilde{\phi}}}) \prod_C W_{\mathbf{A}}(C).$$

We note now that

$$\frac{d}{dt} \Big|_{t=0} CS(\mathbf{A}^{e^{t\tilde{\phi}}}) = \frac{1}{4\pi} \int_M \text{Tr}(\vec{A} \wedge d_{\vec{A}}\phi) CS(\mathbf{A}),$$

which proves the required covariance. (Note that the above argument also shows that  $\Phi$  is independent of  $A_{(0,M)}$ ; since we can change  $A_{(0,M)}$  arbitrarily by a gauge-transformation that is the identity on the boundary.)

We now give a heuristic argument to show that  $\Phi$  is a holomorphic section of  $\mathcal{L}^k$ . It pretends that  $\mathcal{S}$  is a smooth Kähler manifold or that  $\mathcal{S}$  is compact. Of



course the holomorphic structure comes from a choice of metric on  $N$  needed to define the path integral – the metric on  $N$  induces a metric in  $M$  and hence a complex structure.

We will use the formal path integral expression for the heat kernel [FH]  $e^{-T\Delta\omega_k}$ , where  $\omega_k$  is the connection on  $\mathcal{L}^k$  and  $\Delta_{\omega_k} = d_{\omega_k}^* d_{\omega_k}$ . If  $\psi$  is a section of  $\mathcal{L}^k$  we have

$$e^{-T\Delta\omega_k}\psi(x) = \int_{x:[0, T] \rightarrow \mathcal{S}, x(0)=x} \mathcal{D}x(t) \exp\left(-\int_0^T dt |dx/dt|^2 - \int_{x(t)} \omega_k\right) \psi(x(T)),$$

and  $\exp \int \omega_k$  is the parallel transport operator from the fibre at  $x(0)$  to the fibre at  $x(T)$ .

When  $T \rightarrow \infty$ ,  $e^{T\lambda_0} e^{-T\Delta\omega_k}$  projects  $\psi$  onto the eigenspace corresponding to the smallest eigenvalue  $\lambda_0$ . In our case we are working on a Kähler manifold and the curvature of the line bundle is a constant multiple of the Kähler form; hence  $\Delta_{\omega_k} = 2\bar{\partial}_{\omega_k}^* \bar{\partial}_{\omega_k} + k \times (\dim \mathcal{S})$  so that the above eigenspace is the space of holomorphic sections. That is,

$$\lim_{T \rightarrow \infty} e^{T\lambda_0} \int_{x:[0, T] \rightarrow \mathcal{S}, x(0)=x} \mathcal{D}x(t) \exp\left(-\int_0^T dt |dx/dt|^2 - \int_{x(t)} \omega_k\right) \psi(x(T))$$

is a holomorphic section. We will now regulate our path integral to be exactly this.

Let us rewrite the expression for  $\Phi$ , taking into account our observation that only paths in  $\mathcal{A}_F$  contribute:

$$\begin{aligned} \Phi(\vec{A}) &= \int_{A(0)=\vec{A}, A(t) \in \mathcal{A}_F} \mathcal{D}A(t) \prod_t \mathcal{D}_1(t) \exp\left(-\frac{ik}{4\pi} \int dt \operatorname{Tr}\left(A \frac{dA}{dt}\right)\right) \Psi(A(1)) \\ &= \int_{A(0)=\vec{A}, A(t) \in \mathcal{A}_F} \mathcal{D}A(t) \prod_t \mathcal{D}_1(t) \exp\left(-k \int_{A(t)} \hat{\omega}\right) \Psi(A(1)). \end{aligned}$$

Note that now  $A_0$  is out of the picture and yet the above functional integral has the gauge freedom  $A(t) \mapsto g^{-1}(t)A(t)g(t) + g^{-t}dg(t)$ . We now argue that the above integral descends to an integral over the space of paths on  $\mathcal{S}$ ,

$$\phi(x) = \int_{x:[0, 1] \rightarrow \mathcal{S}, x(0)=x} \mathcal{D}x(t) \exp\left(-\int_{x(t)} \omega_k\right) \psi(x(1)),$$

where  $\phi$  and  $\psi$  are sections of  $\mathcal{L}$  determined respectively by  $\Phi$  and  $\Psi$  and  $\omega$  is the connection one-form – the only point to note being that the volume of  $\mathcal{S}$  cancels the determinant factor:

$$\sqrt{\det(d_{A(t)}^* d_{A(t)})_0} = \sqrt{\det(d_{A(t)}^* d_{A(t)})_1} = \mathcal{D}_1(t).$$

(The operator  $\star d_A$  gives an isomorphism between the eigenvectors with nonzero eigenvalue.)

The last functional integral can be regulated by adding a kinetic energy term to the action:

$$\phi_T(x) = \int_{x:[0, 1] \rightarrow \mathcal{S}, x(0)=x} \mathcal{D}x(t) \exp\left(-\frac{1}{T} \int_0^1 dt |dx/dt|^2 - \int_{x(t)} \omega_k\right) \psi(x(1)).$$

If we now make a change of variables  $s = tT$  we get

$$\phi_T(x) = \int_{x:[0, T] \rightarrow \mathcal{L}, x(0)=x} \mathcal{D}x(s) \exp\left(-\int_0^T ds |dx/ds|^2 - \int_{x(s)} \omega_k\right) \psi(x(T)).$$

As  $T \rightarrow \infty$ , we get the projection of  $\psi$  on the subspace of holomorphic sections, provided we renormalise by multiplying by  $e^{T\lambda_0}$ .

#### 4. The $U(1)$ Case; Concluding Remarks

4.1. We consider the case of  $U(1)$  bundles; two subtleties become more evident from our point of view.

First, the space  $\mathcal{G}$  of maps from  $M$  to  $G$  is connected in the case when  $G = SU(2)$ , but when  $G = U(1)$  the group of connected component of  $\mathcal{G}$  is precisely  $H^1(M, \mathbf{Z})$ . The argument in 2.1 that the functionals  $\Phi$  descend to sections of a line bundle on  $\mathcal{A}_F/\mathcal{G}$  fails when  $SU(2)$  is replaced by  $U(1)$  because the gauge transformation  $g$  need not extend into  $M$ . Also, there is no *a priori* reason now for the number  $k$  to be an integer since the Chern-Simons action (on a manifold without boundary) is single-valued modulo all gauge transformations. Let us, nevertheless see if the procedure *does* define a hermitian line bundle  $\mathcal{L}$  with connection on  $\mathcal{A}_F/\mathcal{G} = H^1(M, \mathbf{R})/H^1(M, \mathbf{Z})$  [where we have identified  $\mathcal{A}_F$  with  $H^1(M, \mathbf{R})$ ] by sending  $\vec{A}$  to the class of  $\frac{1}{2\pi i} \vec{A}$ . To define the factor of automorphy for a given gauge transformation  $g$  one must now choose a three manifold  $N$  such that  $g$  extends into  $N$ . We can now evaluate  $\Theta$  (we include the factor  $k$  in the definition)

$$\Theta_k(\vec{A}, g) = \exp\left(\frac{ik}{4\pi} \int_M (g^{-1} dg \wedge \vec{A})\right).$$

We see that for this to be a factor of automorphy for all gauge transformations [i.e.,  $\Theta_k(\vec{A}, gh) = \Theta_k(\vec{A}, g) \Theta_k((\vec{A})^g, h)$ ]  $k$  must be an even integer. We shall assume this to be the case. We shall denote the line bundle defined by taking  $k = 2$  by  $l$ .

Choosing a complex structure on  $M$  yields one on  $H^1(M, \mathbf{R})$ ; we can then identify  $\mathcal{A}_F/\mathcal{G} = H^1(M, \mathbf{R})/H^1(M, \mathbf{Z})$  with the Jacobian  $J$ .

Note that even if  $k$  is an integer, different three-manifolds  $N$  define vectors in different infinite-dimensional vector spaces, namely, sections of  $l^{k/2}$  on the (noncompact) space  $H^1(M, \mathbf{R})/\text{Im } H^1(N, \mathbf{Z})$  with no further automorphic property to ensure that they descend to  $J$ ; the obvious guess that these are all  $\theta$ -functions on the Jacobian may not be correct. [By  $\text{Im } H^1(N, \mathbf{Z})$  we mean the image of  $H^1(N, \mathbf{Z})$  in  $H^1(M, \mathbf{Z})$ . We have the projection  $H^1(M, \mathbf{R})/\text{Im } H^1(N, \mathbf{Z}) \rightarrow H^1(M, \mathbf{R})/H^1(M, \mathbf{Z})$  and can pull back  $l^{k/2}$  by this map.]

Since  $\pi_1(J) \neq 0$  it is interesting that path integral considerations have enabled us to choose a *particular* line bundle with connection whose curvature is the symplectic form out of a whole family of such bundles. Conventional geometric quantization does not do this.

4.2. When  $N$  is a handle-body, it determines [A] a Lagrangian manifold  $\mathcal{E}_N$  of  $\mathcal{A}_F/\mathcal{G}$ . The line bundle  $\mathcal{L}$  is flat along  $\mathcal{E}_N$ ; we will show that  $\mathcal{L}|_{\mathcal{E}_N}$  has a nonzero flat section.

Let  $\{(a_i, b_i) | i = 1, \dots, \mathbf{g}\}$  be loops on  $M$  which form a standard set of generators of  $\pi_1(M)$  so that we have  $\prod_i a_i b_i a_i^{-1} b_i^{-1} = 1$ . Let  $N$  be such that under the inclusion of fundamental groups  $\pi_1(M) \rightarrow \pi_1(N)$  the  $a_i$  are annihilated. The subspace  $\mathcal{E}_N$  of  $\mathcal{A}_F/\mathcal{G}$  corresponding to connections which have flat extensions into the interior of  $N$  is a Lagrangian submanifold, i.e., a maximal submanifold on which  $\Omega$  vanishes [A]. We give the brief argument: First, given two vectors  $\alpha, \beta$  tangent to  $\mathcal{E}_N$  at a point  $A$ ,

$$\begin{aligned} \int_M \text{Tr}(\alpha \wedge \beta) &= \int_N d \text{Tr}(\alpha \wedge \beta) \\ &= \int_N (\text{Tr}(d_A \alpha \wedge \beta) - \text{Tr}(\alpha \wedge d_A \beta)) \\ &= 0, \end{aligned}$$

where in the second and third line we have extended  $A$  to a flat connection  $A$  and extended  $\alpha, \beta$  to one-forms satisfying  $d_A(\cdot) = 0$ . This shows that  $\mathcal{E}_N$  is isotropic. We next show that  $\dim \mathcal{E}_N = \frac{1}{2} \dim(\mathcal{A}_F/\mathcal{G})$ . To see this, note that  $\mathcal{E}_N \stackrel{\text{diffeo}}{=} X/SU(2)$ , where

$$X = \underbrace{SU(2) \times \dots \times SU(2)}_{\mathbf{g} \text{ times}} \setminus SU(2) \{T \times \dots \times T\} SU(2)^{-1}.$$

$T$  is a maximal torus of  $SU(2)$  and  $SU(2)$  acts adjointly on  $X$ .

One can now also check that  $\mathcal{E}$  is simply connected, and this implies that there is a nonzero flat section of  $\mathcal{L}|_{\mathcal{E}}$ , unique up to a nonzero scalar.

In fact this flat section exists even when the gauge group is  $U(1)$ . In that case we have to consider the bundle  $l$ , defined on  $J \equiv \frac{H^1(M, \mathbf{R})}{H^1(M, \mathbf{Z})}$  by the factor of automorphy,

$$\Theta_1(x, u) = \exp(-2\pi i \int x \wedge u).$$

The one-form

$$\hat{\omega}(x)[y] \equiv -(2\pi i \int x \wedge y)$$

defines a hermitian connection  $\omega$  on this bundle. The curvature is

$$\Omega[x, y] = -4\pi i \int x \wedge y.$$

Let  $W$  be a subgroup of  $H^1(M, \mathbf{Z})$  such that the subspace  $\mathbf{R}W$  generated by it is of dimension  $\mathbf{g}$  and isotropic for the intersection form. Then the expression for  $\hat{\omega}$  shows: the image of  $\mathbf{R}W$  in  $J$  is a Lagrangian submanifold for  $\Omega$ , not simply connected, such that however *the holonomy of  $\omega$  is trivial on it.*

4.3. One would expect a close relationship between a nonzero flat section (say  $s_N$ ), along  $\mathcal{E}_N$  and  $v_N$ . Computations in genus 1 show that  $s_N$  does not extend to a holomorphic section of  $\mathcal{L}$  (although if it did, such an extension would be unique). A saddle-point approximation to the functional integral shows that the sections  $v_N$  are concentrated along  $\mathcal{E}_N$ , and this is sharper as  $k$  increases. Again, computations

in genus 1 show that  $v_N$  is not the projection of  $s_N$  (thought of as a distribution with support along  $\mathcal{E}_N$ ) onto  $H^0(\mathcal{P}, \mathcal{L}^k)$ . The relationship, if any, between  $s_N$  and  $v_N$  is subtler than the above.

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