

REPRESENTATIONS OF COMPLEX SEMISIMPLE LIE GROUPS AND LIE ALGEBRAS¹

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1. Notation. The object of this note is to announce some results on representations of complex semisimple Lie groups and Lie algebras.

\mathfrak{G} is a semisimple Lie algebra over \mathbf{C} , the field of complex numbers. \mathfrak{G} , considered over \mathbf{R} , the field of real numbers, is denoted by \mathfrak{G}_0 . \mathfrak{h} is a Cartan subalgebra of \mathfrak{G} , W , the Weyl group of $(\mathfrak{G}, \mathfrak{h})$. We use the standard terminology in the theory of semisimple Lie algebras (Jacobson [3] and Harish-Chandra [2(a)], [2(b)], [2(c)]). P_0 is a positive system of roots, fixed once for all and $S_0 = \{\alpha_1, \dots, \alpha_l\}$, the associated fundamental system, $\mathfrak{n} = \sum_{\alpha \in P_0} \mathfrak{G}^{-\alpha}$; \mathfrak{n} , considered as a Lie algebra over \mathbf{R} , is denoted by \mathfrak{n}_0 . $\mathfrak{h}_0 = \sum_{\alpha} \mathbf{R} \cdot H_{\alpha}$.

Fix a square root $(-1)^{1/2}$ of -1 in \mathbf{C} . \mathfrak{k}_0 is a compact form of \mathfrak{G} containing $(-1)^{1/2} \mathfrak{h}_0$. $\mathfrak{G}_0 = \mathfrak{k}_0 + \mathfrak{h}_0 + \mathfrak{n}_0$ is an Iwasawa decomposition of \mathfrak{G}_0 and $G = K \cdot A_+ \cdot N$ the corresponding decomposition of G . $c(X \rightarrow X^c)$ is the conjugation of \mathfrak{G} corresponding to the compact form \mathfrak{k}_0 . Let $\hat{\mathfrak{G}}$ denote the Lie algebra $\mathfrak{G} \times \mathfrak{G}$ over \mathbf{C} , and let

$$i: X \rightarrow (X^c, X) \quad (X \in \mathfrak{G}).$$

$(\hat{\mathfrak{G}}, i)$ is a complexification of \mathfrak{G}_0 . For any $X \in \mathfrak{G}$ let $\bar{X} = (X, X)$, $\hat{\mathfrak{G}} = \{\bar{X}: \bar{\mathfrak{G}} \in \mathfrak{G}\}$. $\hat{\mathfrak{Z}}(\mathfrak{Z})$ is the universal enveloping algebra of $\hat{\mathfrak{G}}(\mathfrak{G})$ and $\bar{\mathfrak{Z}}$ the subalgebra of $\hat{\mathfrak{Z}}$ generated by $\bar{\mathfrak{G}}$. For any dominant integral λ , $\mu \in \mathfrak{h}^*$ π_{λ} denotes the associated irreducible representation of \mathfrak{G} and $\pi_{\bar{\lambda}}$ that of $\bar{\mathfrak{G}}$ (under the isomorphism $X \rightarrow \bar{X}$); $\pi(\lambda, \mu)$ is the irreducible representation $\pi_{\lambda} \times \pi_{\mu}$ of $\hat{\mathfrak{G}}$ (Kronecker product).

2. A theorem on finite dimensional representations. We have:

THEOREM 1. *Let $\lambda, \mu \in \mathfrak{h}^*$ be dominant integral, $\nu = \lambda - \mu^*$ and ν^0 the unique dominant integral element in the orbit $w \cdot \nu$. Then the representation $\pi_{\bar{\nu}^0}$ of $\bar{\mathfrak{G}}$ occurs exactly once in the restriction of $\pi(\lambda, \mu)$ to $\bar{\mathfrak{G}}$.*

3. The homomorphisms h_Q . For $X \in \mathfrak{G}$ and $a \in \mathfrak{Z}$ we write $[X, a] = Xa - aX$. a is said to be of rank 0 if $[H, a] = 0$ for all $H \in \mathfrak{h}$. Let \mathfrak{K} be the subalgebra of \mathfrak{Z} generated by \mathfrak{h} . Suppose Q is any positive system of roots. Then, for any $a \in \mathfrak{Z}$ of rank 0, there is a unique $\beta_Q(a)$ in \mathfrak{K} such that $a \equiv \beta_Q(a) \pmod{\sum_{\alpha \in Q} \mathfrak{Z}^{\alpha} \cdot a}$ $\rightarrow \beta_Q(a)$ is a homo-

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morphism (of the algebra of all zero rank elements) onto \mathfrak{X} . We identify \mathfrak{X} with $P(\mathfrak{h}^*)$ in a natural fashion.

Let Ω be the centralizer of \mathfrak{G} in \mathfrak{F} . Given $\omega \in \Omega$ we can find unique elements $\mathfrak{r}(0)$ and $\mathfrak{r}(k)$ of rank 0 in \mathfrak{F} such that

$$\omega \equiv \mathfrak{r}(0)^- + \sum_{k>0} \mathfrak{r}(k)^- \cdot (H_{\alpha_1})^{k_1} \cdot \dots \cdot (H_{\alpha_l})^{k_l}$$

modulo $\mathfrak{F}\hat{\mathfrak{n}}$, where $\hat{\mathfrak{n}} = \mathbf{C} \cdot (i \cdot \pi_0)$. We define $h_Q(\omega; \cdot, \cdot)$ as the polynomial on $\mathfrak{h}^* \times \mathfrak{h}^*$ given by

$$h_Q(\omega; \lambda, \nu) = \beta_Q(\mathfrak{r}(0))(\nu) + \sum \beta_Q(\mathfrak{r}(k))(\nu) \lambda(H_{\alpha_1})^{k_1} \cdot \dots \cdot \lambda(H_{\alpha_l})^{k_l}.$$

$\omega \rightarrow h_Q(\omega; \cdot, \cdot)$ can then be proved to be a homomorphism of Ω into $P(\mathfrak{h}^* \times \mathfrak{h}^*)$. If V is the space on which $\pi(\lambda, \mu)$ of Theorem 1 acts and if V_0 is the subspace transforming according to $\pi_{\bar{\rho}}$ under $\pi(\lambda, \mu)$ (\mathfrak{F}), then for $\omega \in \Omega$ and $v \in V_0$, $\pi(\lambda, \mu)(\omega)v = h_Q(\omega; \lambda, \nu)v$ where Q is a positive system in which ν is dominant.

Let $2\delta = \sum_{\alpha \in P_0} \alpha$ and for $s \in W$, $\lambda \in \mathfrak{h}^*$, let $s^A \lambda = s\lambda + s\delta - \delta$.

4. The representations $\hat{\pi}_{\lambda, \nu}$. We shall now define a class of integrable irreducible representations of \mathfrak{F} (by integrable we mean that they are the infinitesimal forms of representations of the group G ; (cf. Harish-Chandra [2(b)], [2(c)]). Let $\nu \in \mathfrak{h}^*$ be integral and $\overline{\mathfrak{M}}_\nu$ the unique maximal left ideal of \mathfrak{F} containing all $\overline{X}_\beta, \overline{H}_\beta - \nu(H_\beta) \cdot 1$ ($\beta \in Q$).

THEOREM 2. Let $\nu, \lambda \in \mathfrak{h}^*$, ν integral, and let Q be a positive system under which ν is dominant. Then there exists a unique maximal left ideal of \mathfrak{F} containing $\overline{\mathfrak{M}}_\nu$ and $\omega - h_Q(\omega; \lambda, \nu) \cdot 1$ for all $\omega \in \Omega$. The representation $\hat{\pi}_{\lambda, \nu}$ of \mathfrak{F} defined by the maximal left ideal is integrable. In the restriction of $\hat{\pi}_{\lambda, \nu}$ to \mathfrak{F} , the representation $\pi_{\bar{\rho}}$ occurs exactly once, and for any dominant integral $\rho \in \mathfrak{h}^*$, $\pi_{\bar{\rho}}$ cannot occur unless ν is a weight of π_ρ , in which case, $\pi_{\bar{\rho}}$ occurs with a multiplicity $\leq d_\rho^\nu$ where d_ρ^ν is the multiplicity of ν in π_ρ . If λ and $\mu = (\lambda - \nu)^*$ are dominant integral, $\hat{\pi}_{\lambda, \nu}$ is equivalent to $\pi(\lambda, \mu)$. Finally, for fixed λ, ν , all the representations $\hat{\pi}_{s^A \lambda, s\nu}$ are equivalent.

5. The rings \mathfrak{R}_ν for dominant integral ν . Let ν be dominant integral. Let \mathfrak{R}_ν be the range of the homomorphism $\omega \rightarrow h_{P_0}(\omega; \cdot, \nu)$.

$$W_\nu = \{s: s \in W, s \cdot \nu = \nu\},$$

$$I_\nu^A = \{p: p \in P(\mathfrak{h}^*), s^A \cdot p = p \text{ for all } s \in W_\nu\}.$$

THEOREM 3. $\mathfrak{R}_\nu \subseteq I_\nu^A$. If $\nu = 0$ or if ν is in general position, $\mathfrak{R}_\nu = I_\nu^A$. For general ν , let $\mathfrak{F}_0(\nu)$ be defined by

$$\mathfrak{S}_0(\nu) = \{a: a \in \mathfrak{S}, a \text{ is of rank } 0 \text{ and} \\ (\text{ad } X_{\alpha_i})^{\nu_i+1}(a) = 0; \text{ for } i = 1, \dots, l\}$$

(where X_α is a nonzero element of \mathfrak{G}^α and $\nu_i = \nu(H_{\alpha_i})$). Then

$$\mathfrak{R}_\nu = s_0^A \cdot \{\mathfrak{B}_{P_0}(\mathfrak{S}_0(-s_0\nu))\}.$$

By case considerations we can show that $\mathfrak{R}_\nu = I_\nu^A$ for all ν . But we do not possess a uniform proof of this fact.

6. Representations of class 0. An integrable representation of $\hat{\mathfrak{S}}$, say π , is said to be of *class 0* if its restriction to \mathfrak{S} contains the trivial representation of \mathfrak{S} . We can show that every integrable irreducible representation of class 0 of $\hat{\mathfrak{S}}$ is equivalent to some $\hat{\pi}_{\lambda,0}$. We shall say that $\hat{\pi}_{\lambda,0}$ is *complete* if for each ρ such that 0 is a weight of π_ρ, π_ρ^- occurs with multiplicity equal to d_ρ^0 in the restriction of $\hat{\pi}_{\lambda,0}$ to \mathfrak{S} .

THEOREM 4. For a $\lambda \in \mathfrak{h}^*, \hat{\pi}_{\lambda,0}$ is complete if and only if for each root $\alpha \in P_0, (\lambda + \delta)(H_\alpha) \notin Z^*$ where Z^* is the set of nonzero integers.

Let \mathfrak{X} be the \mathfrak{S} -module (\mathfrak{S} is the center of \mathfrak{S}) of linear maps from the representation space V^ρ of π_ρ into \mathfrak{S} which intertwine π_ρ and the adjoint representation, let $d = d_\rho^0$ and let $\{L_1, \dots, L_d\}$ be a basis of the free module \mathfrak{X} (cf. Kostant [4]). Let $\{v_1, \dots, v_d\}$ be a basis of the 0 weight subspace of V^ρ , and let K'_ρ be the $d \times d$ matrix of elements of \mathfrak{X} whose $(i-j)$ th element is $\mathfrak{B}_{P_0}(L_i v_j)$.

THEOREM 5. The multiplicity $m(\rho, \lambda)$ of π_ρ^- in $\hat{\pi}_{\lambda,0}$ is given by

$$m(\rho, \lambda) = \min\{\text{rank } K'_{\rho^*}(\lambda), \text{rank } K'_\rho(s_0^A \lambda)\}$$

where $s_0 \in W$ is such that $s_0 P_0 = -P_0, \rho^* = -s_0 \rho$.

7. Representations of G . Let G be the simply connected group corresponding to \mathfrak{G}_0 . Let \log denote the inverse of the exponential map from A_+ onto \mathfrak{h}_0 . For any integral $\nu \in \mathfrak{h}^*$ let $\psi_\nu (\exp(-1)^{1/2} H_0) = \exp(-1)^{1/2} \cdot \nu(H_0) (H_0 \in \mathfrak{h}_0)$. ψ_ν is a character of M , the connected component of the centraliser of A_+ in K . Let $\mathfrak{S} = \mathfrak{S}^2(K)$,

$$\mathfrak{S}(\nu) = \{f: f \in \mathfrak{S}, R_r(k)f = \psi_{-\nu}(k)f \text{ for all } k \in M\}$$

(ν integral, R_r the right regular representation of K). Following Harish-Chandra [2(b), p. 240] we define, for each $\xi \in \mathfrak{h}^*$, the representation $\pi_{\xi, \nu}$ in $\mathfrak{S}(\nu)$ by setting for all $f \in \mathfrak{S}(\nu)$

$$(\pi_{\xi, \nu}(x)f)(k) = \exp[(\xi + 2\delta)(\log a_+(x^{-1}, k))] \cdot f(\sigma_x^{-1}(k))$$

$(k \in K, x \in G)$; here, for $y \in G$, and $k \in K$, $y \cdot k = \sigma_y(k) \cdot a_+(y, k) \cdot n(y, k)$ where $\sigma_y(k) \in K$, $a_+(y, k) \in A_+$, $n(y, k) \in N$. ν^0 is the dominant integral element in $W \cdot \nu$, $\mathfrak{G}(\nu)_{\nu^0}$ is the set of all elements in $\mathfrak{G}(\nu)$ which transform under the left regular representations of K according to $\pi_{\bar{\nu}}$.

THEOREM 6. *The representation $\pi_{\xi, \nu}$ of G in $\mathfrak{G}(\nu)$ is homogeneous. Let $\mathfrak{G}(\nu; \xi)$ be the smallest closed subspace of $\mathfrak{G}(\nu)$ invariant under $\pi_{\xi, \nu}(G)$ and containing $\mathfrak{G}(\nu)_{\nu^0}$. Then there exists a unique maximal closed subspace $\mathfrak{G}'(\nu; \xi)$ of $\mathfrak{G}(\nu; \xi)$ invariant under $\pi_{\xi, \nu}(G)$ and not containing $\mathfrak{G}(\nu)_{\nu^0}$. $\mathfrak{G}'(\nu; \xi)$ is orthogonal to $\mathfrak{G}(\nu)_{\nu^0}$ and the representation of G defined by $\pi_{\xi, \nu}$ in $\mathfrak{G}(\nu; \xi)/\mathfrak{G}'(\nu; \xi)$ is irreducible and the associated representation of \mathfrak{S} is equivalent to $\hat{\pi}_{\lambda, \nu}$ where $\lambda = \frac{1}{2}(\nu + \xi) - \delta$.*

The question as to when the $\pi_{\xi, \nu}$ are themselves irreducible is a crucial one; (cf. Bruhat [1]) for $\nu = 0$ we have a complete answer to this question.

THEOREM 7. *For $\pi_{\xi, 0}$ to be irreducible it is necessary and sufficient that $\frac{1}{2}\xi(H_\alpha) \notin Z^*$ for each root α . In particular, all unitary representations of the principal nondegenerate series, whose restrictions to K contain the trivial representation of K , are irreducible.*

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