

ON INTEGRAL FUNCTIONS OF INTEGRAL OR ZERO ORDER

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Let $F(z)$ be an integral function of finite order ρ . We write $F(z) = z^k e^{g(z)} f(z)$ where $g(z)$ is a polynomial of degree $q \leq \rho$ and

$$f(z) = \prod_1^{\infty} \left\{ \left(1 - \frac{z}{a_n} \right) \exp \left(\frac{z}{a_n} + \dots + \frac{1}{p} \left(\frac{z}{a_n} \right)^p \right) \right\}$$

is the canonical product of order ρ_1 and genus p . Let $M(r, F) = \max_{|z|=r} |F(z)|$ and $n(r, F-a) = n(r, a)$ be the number of zeros of $F(z) - a$ in $|z| = r$. In an earlier paper¹ I proved the following result.

THEOREM 1. *If $F(z)$ be of integral order ρ and if the genus of the canonical product $f(z)$ be $p = \rho$, then*

$$(1) \quad \liminf_{r \rightarrow \infty} \frac{\log M(r, F)}{n(r, F)\phi(r)} = 0$$

where $\phi(x)$ is any positive continuous increasing function of the real variable x such that

$$(2) \quad \int_a^{\infty} \frac{dx}{x\phi(x)}$$

is convergent.

In this note I prove a similar result for the canonical products of order ρ and genus $p = \rho - 1$, and discuss whether the result can be extended to integral functions which are not canonical products. The main result is the following.

THEOREM 2. *If $f(z)$ is a canonical product of integral order ρ and genus $p = \rho - 1$ then*

$$(3) \quad \liminf_{r \rightarrow \infty} \frac{\log M(r, f)}{n(r, f)\Phi(r)} = 0$$

where $\Phi(x)$ is any positive increasing function such that

$$(4) \quad \int_a^{\infty} \frac{dx}{x\Phi(x)}$$

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¹ *A Theorem on integral functions of integral order*, Journal of the London Mathematical Society, vol. 15 (1940), pp. 23-31. I shall refer to this paper as (1).

is convergent and

$$(4.1) \quad \Phi(x)/x^\alpha$$

is monotonic for all large x , say $x \geq \Delta > 0$; α a constant such that $0 < \alpha < 1$.

LEMMA 1. For all $r \geq r_0(A, \beta)$

$$J = \int_{\Delta_1}^r \frac{dx}{x^\beta \Phi(x)} < \frac{Ar^{1-\beta}}{\log r},$$

where A and Δ_1 are positive constants, and β is a constant such that $0 < \beta < 1$.

PROOF. From the convergence of the integral in (4), we have $\log x < \Phi(x)$ for all $x \geq \Delta_2$. Hence for $r \geq r_0$

$$J = \int_{\Delta_1}^{r^{1/2}} + \int_{r^{1/2}}^r \leq \frac{1}{\Phi(\Delta_1)} \frac{r^{(1-\beta)/2}}{(1-\beta)} + \frac{2}{(1-\beta)} \frac{r^{1-\beta}}{\log r} < \frac{Ar^{1-\beta}}{\log r}.$$

LEMMA 2. Suppose that the real functions $\psi(x)$ and $\theta(x)$ satisfy the following conditions:

- (1) $\psi(x)$ is continuous in (δ, ∞) where $\delta > 0$, except for isolated points where $\psi(x)$ has ordinary left-hand discontinuities.
- (2) $\psi(x)$ is non-increasing as $x \geq \delta$ increases in any interval between two consecutive discontinuities.
- (3) $\theta(x)$ is a positive continuous increasing function for $x \geq \delta$.

$$(4) \quad \limsup_{x \rightarrow \infty} \psi(x) = \infty, \quad \limsup_{x \rightarrow \infty} \frac{\psi(x)}{\theta(x)} = 0.$$

Then we can find a sequence $\{x_n\}$ of values of x tending to ∞ such that the two inequalities

$$\begin{aligned} \psi(x) &\leq \psi(x_n), & x_1 &\leq x < x_n, \\ \frac{\psi(x)}{\theta(x)} &\leq \frac{\psi(x_n)}{\theta(x_n)}, & x &> x_n, \end{aligned}$$

are satisfied simultaneously.

The x_n are points of discontinuity so that $\psi(x_n) = \psi(x_n + 0)$ and x_1 is the first point of discontinuity in (δ, ∞) .

The proof is similar to that of Lemma 2 of my paper referred to above, and is based on the following lemma of Pólya.²

If

$$\begin{aligned} l_1, l_2, l_3, \dots, & & l_m &> 0, \\ s_1, s_2, s_3, \dots, & & s_1 &> 0; s_{m+1} > s_m; m = 1, 2, 3, \dots, \end{aligned}$$

² Mathematische Annalen, vol. 88 (1923), p. 170.

are two sequences of positive numbers, of which the second is monotonic and increasing, such that

$$\lim_{m \rightarrow \infty} l_m = 0, \quad \limsup_{m \rightarrow \infty} l_m s_m = \infty,$$

then we can find an infinite sequence $\{n\}$ of the indices n such that the two sets of inequalities

$$\begin{aligned} l_n &> l_\nu, & \nu > n, \\ l_n s_n &> l_\mu s_\mu, & \mu < n, \end{aligned}$$

are satisfied simultaneously.

To prove Theorem 2 we first consider the case when

$$(5) \quad \limsup_{r \rightarrow \infty} \frac{n(r, f) \Phi(r)}{r^{p+1}} > 0.$$

We have

$$(5.1) \quad r^{p+1} < A n(r) \Phi(r)$$

for an infinity of values $r = R_n$ tending to ∞ and so

$$\frac{\log M(R_n)}{n(R_n) \Phi(R_n)} < \frac{A \log M(R_n)}{R_n^{p+1}} \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

Hence

$$\liminf_{r \rightarrow \infty} \frac{\log M(r)}{n(r) \Phi(r)} = 0.$$

Suppose secondly that

$$(5.2) \quad \lim_{r \rightarrow \infty} \frac{n(r) \Phi(r)}{r^{p+1}} = 0.$$

Here $\Phi(x)/x^\alpha$ must be monotonic decreasing, for if not $\Phi(x) \geq Ax^\alpha$, and so

$$\limsup_{r \rightarrow \infty} \frac{n(r) \Phi(r)}{r^{p+1}} \geq A \limsup_{r \rightarrow \infty} \frac{n(r)}{r^{p+1-\alpha}} = \infty,$$

contradicting hypothesis (5.2); so $\Phi(x)/x^\alpha$ is monotonic decreasing for $x \geq \Delta$. We apply Lemma 2 putting

$$\psi(x) = \frac{n(x) \Phi(x)}{x^{p+1-\beta}} = n(x) \frac{\Phi(x)}{x^\alpha} \frac{1}{x^{p+1-\alpha-\beta}},$$

and choosing $\theta(x) = x^\beta$, β a constant such that $0 < \beta < 1 - \alpha$, $\delta = \Delta$. The

conditions of Lemma 2 are satisfied, and hence, putting $x_n = R$ we obtain

$$\frac{n(x)\Phi(x)}{x^{p+1-\beta}} \leq \frac{n(R)\Phi(R)}{R^{p+1-\beta}}, \text{ for } \Delta \leq x_1 \leq x \leq R,$$

$$\frac{n(x)\Phi(x)}{x^{p+1}} \leq \frac{n(R)\Phi(R)}{R^{p+1}}, \text{ for } x > R.$$

Thus for $R > x_1$,

$$\log M(R, f) < AI(R, f)$$

$$\begin{aligned} &= A \int_0^\infty \frac{n(x, f)}{x^{p+1}} \frac{R^{p+1}}{(x + R)} dx \\ &\leq A \left\{ A_1 R^p \int_{x_1}^R \frac{n(x)}{x^{p+1}} dx + R^{p+1} \int_R^\infty \frac{n(x)}{x^{p+2}} dx \right\} \\ &\leq A \left\{ A_1 R^p \frac{n(R)\Phi(R)}{R^{p+1-\beta}} \int_{x_1}^R \frac{dx}{x^\beta \Phi(x)} + n(R)\Phi(R) \int_R^\infty \frac{dx}{x\Phi(x)} \right\} \\ &\leq A \left\{ \frac{A_2 n(R)\Phi(R)}{\log R} + o(n(R)\Phi(R)) \right\}. \end{aligned}$$

Hence

$$(6) \quad \liminf_{r \rightarrow \infty} \frac{\log M(r, f)}{n(r, f)\Phi(r)} \leq A \liminf_{r \rightarrow \infty} \frac{I(r, f)}{n(r, f)\Phi(r)} = 0$$

and this completes the proof of the theorem.

COROLLARY. *If $F(z) = z^k \rho^{(\nu)} f(z)$ is of integral order ρ and genus $g = \rho - 1$ then*

$$(7) \quad \liminf_{r \rightarrow \infty} \frac{\log M(r, F)}{n(r, f)\Phi(r)} = 0.$$

We have $g = \rho - 1 = \max(p, q)$. It is easily seen that $p = \rho - 1$, $q \leq \rho - 1$ and

$$(8) \quad \log M(r, F) < A \{r^{\rho-1} + \log r\} + \log M(r, f).$$

If

$$\limsup_{r \rightarrow \infty} \frac{n(r, f)\Phi(r)}{r^{p+1}} > 0$$

then R_n being defined in (5.1),

$$\frac{\log M(R_n, F)}{n(R_n, F) \Phi(R_n)} < \frac{A \{R_n^{\rho-1} + \log R_n\}}{n(R_n, F) \Phi(R_n)} + \frac{\log M(R_n, f)}{n(R_n, f) \Phi(R_n)}.$$

Hence

$$\liminf_{r=\infty} \frac{\log M(r, F)}{n(r, F) \Phi(r)} = 0.$$

If now

$$\lim_{r=\infty} \frac{n(r, f) \Phi(r)}{r^{\rho+1}} = 0$$

then for all large r

$$\log M(r, F) < A \{r^{\rho-1} + \log r\} + AI(r, f) < A_3 I(r, f)$$

and hence from (6) the required result follows.

The condition (4) on $\Phi(x)$ is sufficient but not necessary³ for (3) and (7) to hold. The condition (4.1) is also not necessary for we can take $\Phi(x)$ to be any function

$$(l_1x)(l_2x) \cdots (l_{k-1}x)(l_kx)^{1+\eta}, \quad \eta > 0,$$

of the logarithmic comparison scale, and hence any function for which

$$\liminf_{x=\infty} \frac{\Phi(x)}{(l_1x)(l_2x) \cdots (l_{k-1}x)(l_kx)^{1+\eta}} \geq A > 0.$$

We can take $\Phi(x)$ to be any positive L function⁴ which satisfies (4) but we cannot take $\Phi(x)$ (or $\phi(x)$ in Theorem 1) to be $(l_1x)(l_2x) \cdots (l_kx)$.

Consider for instance

$$f_1(z) = \prod_N \left\{ 1 - \frac{z}{a_n} \right\}, \quad f_2(z) = \prod_N \left\{ \left(1 - \frac{z}{a'_n} \right) \exp \left(\frac{z}{a'_n} \right) \right\},$$

where

$$a_n = -n(l_1n) \cdots (l_kn)(l_{k+1}n)^2, \\ a'_n = n(l_1n) \cdots (l_kn)(l_{k+1}n).$$

The functions $f_1(z)$ and $f_2(z)$ are canonical products of order 1. The genus of $f_1(z)$ is 0, and of $f_2(z)$ is 1. For each of them we have

$$\lim_{r=\infty} \frac{\log M(r)}{n(r)(l_1r) \cdots (l_kr)} = \infty.$$

³ Cf. p. 4 of (1).

⁴ For definition see G. H. Hardy, *Orders of Infinity*, 1924, p. 17.

In what follows we shall take $\phi(x)$ to be a positive L function satisfying the condition (2).

Suppose now $F(z)$ is of integral order ρ . There are four possibilities:

- (1) $\rho_1 < \rho, p \leq \rho_1, q = \rho,$ (2) $\rho_1 = p = \rho, q \leq \rho,$
 (3) $\rho_1 = q = \rho, p = \rho - 1,$ (4) $\rho_1 = \rho, q < \rho, p = \rho - 1.$

Combining the above results we have in cases (2) and (4)

$$(9) \quad \liminf_{r=\infty} \frac{\log M(r, F)}{n(r, F)\phi(r)} = 0.$$

In cases (1) and (3), (9) does not hold.⁵ For functions of fractional order and zero order⁶ it certainly holds. In particular (9) is true for any canonical product of finite order; it also holds for functions of maximum or minimum type, order ρ .

It is known that if $F(z)$ is of integral order ρ , then⁷

$$(10) \quad \liminf_{r=\infty} \frac{\log M(r, F)}{n(r, F - a)} < \infty$$

for every a , except possibly a single exceptional value of a . Since $F(z)$ and $F(z) - a$ belong to the same type, we deduce from (9) that if $F(z)$ is of maximum or minimum type, order ρ , where ρ is an integer, then

$$(11) \quad \liminf_{r=\infty} \frac{\log M(r, F)}{n(r, F - a)\phi(r)} = 0$$

for every a . If $F(z)$ is of mean type then (11) need not hold for one exceptional value of a . For example, ze^z and

$$e^z \prod_2^{\infty} \left\{ 1 + \frac{z}{n(\log n)^2} \right\}$$

are both functions of mean type, order 1. For each of these two functions

$$\lim_{r=\infty} \frac{\log M(r, F)}{n(r, F - 0)(\log r)^{3/2}} = \infty.$$

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⁵ (1), p. 29.

⁶ (1), pp. 29-30.

⁷ G. Valiron, *Lectures on the General Theory of Integral Functions*, 1923, p. 86.