

## On the intersection of classes of infinitely differentiable functions

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### 1. Introduction

It is well known that the intersection of all non-quasianalytic classes of functions is equal to the class of all real analytic functions (see e.g. Bang [1]). In the present paper we shall describe the intersection of more restricted families of non-quasianalytic classes of functions.

If  $L: k \rightarrow L(k)$ ,  $k=0, 1, 2, \dots$  is a sequence of positive numbers, and  $\Omega$  is an open subset of  $R^n$ , we define  $C^L = C^L(\Omega)$  as the set of infinitely differentiable functions  $u$  such that to every compact set  $F \subset \Omega$  there exists a constant  $C$  such that

$$|D^k u| \leq C^{k+1} L(k)^k, \quad \text{if } x \in F \quad (k=0, 1, 2, \dots).$$

Here  $D^k$  denotes an arbitrary partial derivative of order  $k$ . If  $L(k) = k$  when  $k \geq 1$ , then  $C^L$  is equal to the class of all real analytic functions on  $\Omega$ .

Put  $C_0^L =$  the set of all functions in  $C^L$  whose supports are compact subsets of  $\Omega$ .

**Definition 1.** *The class  $C^L$  is said to be quasianalytic, if  $C_0^L$  contains no function except the zero-function.*

A complete characterisation of the sequences  $L$  such that the class  $C^L$  is quasianalytic was given in 1926 by the following theorem.

**Denjoy-Carleman Theorem.** (Carleman [3].) *The class  $C^L$  is quasianalytic if and only if  $\sum_{k=0}^{\infty} L(k)^{-1}$  is divergent, where  $\bar{L}$  denotes the largest increasing minorant sequence of  $L$ .*

**Theorem 1.** *Let  $M$  and  $N$  be two positive sequences such that  $\sum M(k)^{-1} = \infty$ ,  $\sum N(k)^{-1} < \infty$  and  $N/M$  is increasing. Denote by  $\mathcal{K}(M, N)$  the following set of sequences  $L$ :*

$$\mathcal{K}(M, N) = \{L; L/M \text{ is increasing, } L/N \text{ is decreasing, } \sum L(k)^{-1} < \infty\}.$$

Then

$$\bigcap_{L \in \mathcal{K}(M, N)} C^L = C^{\sup(\hat{M}, \check{N})}, \tag{1}$$

where

$$\hat{M}(k) = M(k) \sum_0^k M(j)^{-1} \quad (k \geq 0),$$

and

$$\check{N}(k) = N(k) \sum_k^{\infty} N(j)^{-1} \quad (k \geq 0),$$

Note that if  $M$  is increasing the Denjoy-Carleman theorem shows that the condition  $\sum L(k)^{-1} < \infty$  in the definition of  $\mathcal{K}(M, N)$  is equivalent to the condition that  $C^L$  is non-quasianalytic.

From Theorem 1 we formally obtain Theorem 2 and Theorem 3 by deleting the condition that  $L/N$  is decreasing and that  $L/M$  is increasing respectively.

**Theorem 2.** *Let  $M$  be a positive sequence such that  $\sum M(k)^{-1} = \infty$ . Put  $\mathcal{K}^+(M) = \{L; L/M \text{ is increasing, } \sum L(k)^{-1} < \infty\}$ .*

Then 
$$\bigcap_{L \in \mathcal{K}^+(M)} C^L = C^{\hat{M}}.$$

**Theorem 3.** *Let  $N$  be a positive sequence such that  $\sum N(k)^{-1} < \infty$ . Put  $\mathcal{K}^-(N) = \{L; L/N \text{ is decreasing, } \sum L(k)^{-1} < \infty\}$ .*

Then 
$$\bigcap_{L \in \mathcal{K}^-(N)} C^L = C^{\check{N}}.$$

Taking  $M(k) = 1$  for every  $k$  gives  $\hat{M}(k) = k + 1$ , and the class in the right-hand side of (1) becomes  $C^{\sup((k+1), \check{N})}$ . In some applications it is useful to know conditions on  $N$  in order that this class be equal to the analytic class. It is obvious that this is the case if  $\check{N}(k) < C(k + 1)$  for some  $C$ . However, this condition turns out to be also necessary, as is expressed by the following theorem (see the remark after Theorem 1).

**Theorem 4.** *Let  $N$  be a positive increasing sequence such that  $\sum N(k)^{-1} < \infty$ . Then the intersection of all non-quasianalytic classes  $C^L$ , where  $L$  is increasing and  $L/N$  is decreasing, is equal to the analytic class if and only if  $\check{N}(k) < C(k + 1)$  for some constant  $C$ , or, which is equivalent*

$$\sum_k^\infty N(j)^{-1} < Ck/N(k) \quad (k = 1, 2, \dots). \tag{2}$$

**Theorem 5.** *Under the conditions of Theorem 1 the classes  $C^{\hat{M}}$ ,  $C^{\check{N}}$  and  $C^{\sup(\hat{M}, \check{N})}$  are quasianalytic.*

Note that the quasianalyticity of two classes  $C^A$  and  $C^B$  does not imply the quasianalyticity of the class  $C^{\sup(A, B)}$ . In Theorem 5 the quasianalyticity of the class  $C^{\sup(\hat{M}, \check{N})}$  follows from the quasianalyticity of the classes  $C^{\hat{M}}$  and  $C^{\check{N}}$  and the fact that the sequences  $\hat{M}$  and  $\check{N}$  are related by the condition that  $N/M$  is increasing.

In the next section we give proofs of the theorems. In section 3 we discuss a number of special cases and applications.

I wish to express my gratitude to professor Lars Hörmander for his stimulating instruction and valuable criticism.

## 2. Proofs of the theorems

We first deduce some formulas which connect the sequences  $M$  and  $N$  with their respective transforms  $\hat{M}$  and  $\check{N}$ . From the definition of  $M$  we obtain

$$1 - \hat{M}(k)^{-1} = 1 - \left( M(k)^{-1} \bigg/ \sum_0^k M(j)^{-1} \right) = \sum_0^{k-1} M(j)^{-1} \bigg/ \sum_0^k M(j)^{-1}, \quad \text{if } k \geq 1.$$

Hence 
$$\prod_1^k (1 - \hat{M}(j)^{-1}) = (M(0) \sum_0^k M(j)^{-1})^{-1}. \tag{3}$$

Similarly we obtain

$$\prod_0^{k-1} (1 - \check{N}(j)^{-1}) = \sum_k^\infty N(j)^{-1} / \sum_0^\infty N(j)^{-1}, \quad k \geq 1. \tag{4}$$

(Note that  $\hat{M}(k) > 1$  when  $k \geq 1$  and  $\check{N}(k) > 1$  for every  $k$ .) From these formulas it follows immediately that  $\sum \hat{M}(k)^{-1}$  and  $\sum \check{N}(k)^{-1}$  are divergent. In fact, since  $\sum M(k)^{-1}$  is divergent by assumption, (3) proves that  $\prod (1 - \hat{M}(k)^{-1})$  is divergent to zero and hence that  $\sum \hat{M}(k)^{-1}$  is divergent. Similarly (4) shows that  $\sum \check{N}(k)^{-1}$  is divergent, since  $\sum N(k)^{-1}$  is convergent.

Using (3) and the definition of  $\hat{M}$  we can express  $M(k)$  when  $k \geq 1$  in terms of  $M(0)$  and  $\hat{M}$ :

$$M(k) = M(0) \hat{M}(k) \prod_1^k (1 - \hat{M}(j)^{-1}). \tag{5}$$

Similarly we obtain from (4)

$$N(k) = \left( \sum_0^\infty N(j)^{-1} \right)^{-1} \check{N}(k) / \prod_0^{k-1} (1 - \check{N}(j)^{-1}). \tag{6}$$

Formulas (5) and (6) show that to any given sequence  $A_k$  such that  $A_k > 1$  and  $\sum A_k^{-1} = \infty$  there exist positive sequences  $M$  and  $N$  (not uniquely determined), such that  $\hat{M}(k) = \check{N}(k) = A_k$  when  $k \geq 1$ ,  $\sum M(k)^{-1} = \infty$  and  $\sum N(k)^{-1} < \infty$ .

*Proof of Theorem 1.* First we prove that if  $L \in \mathcal{K}(M, N)$ , then  $C^L \supset C^{\sup(\hat{M}, \check{N})}$ . Since  $\sum L(k)^{-1} = C < \infty$  and  $L/M$  is increasing, we have

$$C > \sum_0^k L(j)^{-1} = \sum_0^k (M(j)/L(j)) M(j)^{-1} \geq (M(k)/L(k)) \sum_0^k M(j)^{-1} = L(k)^{-1} \hat{M}(k). \tag{7}$$

Similarly, since  $L/N$  is decreasing

$$C > \sum_k^\infty L(j)^{-1} = \sum_k^\infty (N(j)/L(j)) N(j)^{-1} \geq (N(k)/L(k)) \sum_k^\infty N(j)^{-1} = L(k)^{-1} \check{N}(k). \tag{8}$$

Thus  $C L(k) > \max(\hat{M}(k), \check{N}(k))$ , which proves that  $C^L \supset C^{\sup(\hat{M}, \check{N})}$  and hence that  $\cap C^L \supset C^{\sup(\hat{M}, \check{N})}$ .

To prove that  $\cap C^L \subset C^{\sup(\hat{M}, \check{N})}$  we shall prove that to an arbitrary function  $g \notin C^{\sup(\hat{M}, \check{N})}$  there exists a sequence  $L$  such that  $L \in \mathcal{K}(M, N)$  and  $g \notin C^L$ . If  $g \notin C^{\sup(\hat{M}, \check{N})}$ , there exists a compact set  $F \subset \Omega$ , such that  $G(k) = (\sup_{x \in F} |D^k g(x)|)^{1/k}$  satisfies

$$\overline{\lim}_{k \rightarrow \infty} (G(k) / \max(\hat{M}(k), \check{N}(k))) = \infty. \tag{9}$$

We have to find a sequence  $L \in \mathcal{K}(M, N)$  such that

$$\overline{\lim}_{k \rightarrow \infty} (G(k)/L(k)) = \infty. \tag{10}$$

We may assume that  $G/N$  is bounded, since otherwise (10) is satisfied with  $L=N$ , and clearly  $N \in \mathcal{K}(M, N)$ . Let  $a_j$  and  $b_j$  be sequences of positive numbers, such that  $a_j \rightarrow \infty$  and  $b_j \rightarrow 0$  when  $j \rightarrow \infty$ , and  $\Sigma(a_j b_j)^{-1} < \infty$ . In view of (9) we can find an increasing sequence of indices  $k_j$  such that

$$G(k_j)/\max(\hat{M}(k_j), \check{N}(k_j)) \geq a_j \quad (j=1, 2, \dots). \tag{11}$$

Then  $b_j G(k_j)/M(k_j) \rightarrow \infty$  by virtue of (11) and the fact that  $\hat{M}/M$  is increasing. Also,  $b_j G(k_j)/N(k_j) \rightarrow 0$ , since  $G/N$  is bounded. Thus by taking a subsequence if necessary we can always obtain that

$$\bar{b}_j G(k_j)/M(k_j) \text{ is increasing,} \tag{12}$$

$$\bar{b}_j G(k_j)/N(k_j) \text{ is decreasing, and} \tag{13}$$

$$G(k_j)/\max(\hat{M}(k_j), \check{N}(k_j)) \geq \bar{a}_j, \tag{14}$$

where  $\bar{a}_j$  and  $\bar{b}_j$  are subsequences of the sequences  $a_j$  and  $b_j$  respectively and hence satisfy  $\bar{b}_j \rightarrow 0$  and  $\Sigma(\bar{a}_j \bar{b}_j)^{-1} < \infty$ .

Assume that  $k_1=0$  and put

$$\begin{aligned} L'(k) &= \bar{b}_j G(k_j) N(k)/N(k_j), \quad k_j \leq k < k_{j+1} \quad (j=1, 2, \dots), \\ L''(k) &= \bar{b}_{j+1} G(k_{j+1}) M(k)/M(k_{j+1}), \quad k_j < k \leq k_{j+1} \quad (j=1, 2, \dots), \\ L''(0) &= \bar{b}_1 G(0), \text{ and} \end{aligned}$$

$$L(k) = \min(L'(k), L''(k)) \quad (k=0, 1, 2, \dots). \tag{15}$$

Then it is obvious that (10) is fulfilled, since  $L(k_j) = \bar{b}_j G(k_j)$  for every  $j$  and  $\bar{b}_j \rightarrow 0$ .

Next we prove that  $L/M$  is increasing if  $L$  is defined by (15). In view of (12)  $L'/M$  is increasing.  $L'/M$  is increasing in every interval  $k_j \leq k < k_{j+1}$ , since  $L'/N$  is constant in that interval and  $N/M$  is increasing according to the assumption. Noting that  $L'(k_j) = L''(k_j)$  for every  $j$  we conclude that  $L/M$  is increasing in the whole interval  $k \geq 0$ . Using (13) we can prove in an exactly analogous way that  $L/N$  is decreasing.

Finally we prove that  $\Sigma L(k)^{-1}$  is convergent. From the definition of  $L$  we obtain  $L(k)^{-1} \leq L'(k)^{-1} + L''(k)^{-1}$  and since  $L'(k_j) = L''(k_j)$

$$\begin{aligned} \sum_{k_j \leq k < k_{j+1}} L(k)^{-1} &\leq \sum_{k_j \leq k < k_{j+1}} L'(k)^{-1} + \sum_{k_j < k \leq k_{j+1}} L''(k)^{-1} \\ &= \bar{b}_j^{-1} G(k_j)^{-1} N(k_j) \sum_{k_j \leq k < k_{j+1}} N(k)^{-1} + \bar{b}_{j+1}^{-1} G(k_{j+1})^{-1} M(k_{j+1}) \sum_{k_j < k \leq k_{j+1}} M(k)^{-1} \\ &< \bar{b}_j^{-1} G(k_j)^{-1} \check{N}(k_j) + \bar{b}_{j+1}^{-1} G(k_{j+1})^{-1} \hat{M}(k_{j+1}). \end{aligned}$$

This together with (14) gives

$$\sum_{k_j \leq k < k_{j+1}} L(k)^{-1} \leq (\bar{a}_j \bar{b}_j)^{-1} + (\bar{a}_{j+1} \bar{b}_{j+1})^{-1},$$

which proves that  $\Sigma L(k)^{-1}$  is convergent, since  $\Sigma(\hat{a}_j \hat{b}_j)^{-1}$  is convergent. This completes the proof of Theorem 1.

*Proof of Theorem 2.* Formula (7) proves that

$$\bigcap_{x^+(M)} C^L \supset C^{\hat{M}}.$$

On the other hand, if we can find a sequence  $N$  such that  $N/M$  is increasing,  $\check{N} \leq \hat{M}$  and  $\Sigma N(k)^{-1} < \infty$ , we obtain from Theorem 1

$$\bigcap_{x^+(M)} C^L \subset \bigcap_{x^+(M, N)} C^L = C^{\sup(\hat{M}, \check{N})} = C^{\hat{M}}.$$

Put  $A_k = \min(\hat{M}(k), (M(k) + M(k+1))/M(k+1))$ . Since  $\Sigma A_k^{-1} \geq \Sigma \hat{M}(k)^{-1} = \infty$ , the remark following formula (6) shows that there exists a sequence  $N$  such that

$$\check{N}(k) = A_k \quad (k=1, 2, \dots) \tag{16}$$

and  $\Sigma N(k)^{-1} < \infty$ . It is obvious that  $\check{N}(k) \leq \hat{M}(k)$  for every  $k$ , so it only remains to prove that  $N/M$  is increasing. In fact, from (6) we obtain

$$\frac{N(k+1)}{M(k+1)} \cdot \frac{N(k)}{M(k)} = \frac{M(k)}{M(k+1)} \cdot \frac{\check{N}(k+1)}{\check{N}(k)} \cdot \frac{1}{(1 - \check{N}(k)^{-1})} > \frac{M(k)}{M(k+1)(\check{N}(k) - 1)} \geq 1.$$

The last inequality follows from (16). The proof is complete.

*Proof of Theorem 3.* Formula (8) shows that

$$\bigcap_{x^-(N)} C^L \supset C^{\check{N}}.$$

The opposite inclusion will follow in exactly the same way as in the proof of Theorem 2 if we can find, for a given sequence  $N$ , a sequence  $M$  such that  $\hat{M} \leq \check{N}$ ,  $\Sigma M(k)^{-1} = \infty$  and  $N/M$  is increasing. By the remark following formula (6) we can find a sequence  $M$  such that  $\Sigma M(k)^{-1} = \infty$  and

$$\hat{M}(k) = \min((N(k-1) + N(k))/N(k-1), \check{N}(k)). \tag{17}$$

Then we obtain from (5):

$$\frac{N(k+1)}{M(k+1)} \cdot \frac{N(k)}{M(k)} = \frac{N(k+1)}{N(k)} \cdot \frac{\hat{M}(k)}{\hat{M}(k+1)} \cdot \frac{1}{1 - \hat{M}(k+1)^{-1}} > \frac{N(k+1)}{N(k)(\hat{M}(k+1) - 1)} \geq 1,$$

where the last inequality follows from (17). This proves Theorem 3.

*Proof of Theorem 4.* As we have already mentioned it follows from Theorem 1 and the Denjoy-Carleman theorem that the intersection studied in Theorem 4 is equal to  $C^{\sup((k+1), \check{N})}$ . Clearly this class always contains the analytic class. Hence what we have to prove is that  $C^{\sup((k+1), \check{N})}$  is contained in the analytic class if and only if (2) holds. The sufficiency of (2) is trivial. In proving the necessity we shall use the following lemma.

**Lemma 1.** *Assume that  $B(k) \geq k$  and that  $B$  is almost increasing in the sense that*

$$B(k+1) \geq B(k) - a \tag{18}$$

*with some constant  $a$  independent of  $k$ . Then  $C^B$  is contained in the analytic class if and only if  $B(k) \leq Ck$  for some  $C$  and all  $k \geq 1$ .*

To simplify some formulas we shall consider the sequence  $\{k\}$ , although it does not take a positive value when  $k=0$ ; thus in a number of formulas  $k$  should take the values  $k \geq 1$  instead of  $k \geq 0$ .

To deduce Theorem 4 from Lemma 1 it is sufficient to show that  $\check{N}$  is almost increasing in the sense of (18), and hence that the same is true of the sequence  $B = \sup(\{k+1\}, \check{N})$ . And this follows from (6) and the fact that  $N$  is increasing:

$$\frac{N(k+1)}{N(k)} = \frac{\check{N}(k+1)}{\check{N}(k)(1 - \check{N}(k)^{-1})} = \frac{\check{N}(k+1)}{\check{N}(k) - 1} \geq 1.$$

For the proof of Lemma 1 we need this well-known result (see e.g. Bang [1]).

**Lemma 2.** *If  $E(k)^k$  and  $F(k)^k$  are logarithmically convex, then  $C^E$  is contained in  $C^F$  if and only if  $E(k) \leq CF(k)$  for some  $C$ .*

If  $B(k)^k$  had been assumed to be logarithmically convex, then Lemma 1 would have followed immediately from Lemma 2, since  $k^k$  is logarithmically convex.

We have to make a simple computation, the result of which can be expressed as follows.

**Lemma 3.** *Let  $m$  and  $n$  be positive integers, such that  $n/m > e$ , and let  $G(k)$  be defined for  $m \leq k \leq n$ , in such a way that  $G(m) \geq m$ ,  $G(n) \geq n$ , and  $k \log G(k)$  is linear. Then*

$$\max_k (G(k)/k) > (2e)^{-1} \frac{n/m}{\log(n/m)}. \tag{19}$$

We now prove Lemma 1 using Lemma 2 and Lemma 3. We need of course only prove the necessity of the condition  $B(k) \leq Ck$ . Given the sequence  $B$ , define  $B^0$  as the largest sequence such that  $B^0(k) \leq B(k)$  and  $k \log B^0(k)$  is convex. In other words  $B^0(k)^k$  is the largest logarithmically convex minorant of  $B(k)^k$ . Let  $k_j$ ,  $j=1, 2, \dots$ , be the increasing sequence of all  $k \geq 1$  such that  $B(k) = B^0(k)$ . Assume that  $C^B$  is contained in the analytic class  $A$ , that  $B(k) \geq k$  and that  $B$  satisfies (18). Then obviously  $C^{B^0} \subset A$  and by Lemma 2 there is a constant  $C$  such that  $B^0(k) \leq Ck$ . Further, since  $B(k_j) = B^0(k_j)$  for every  $j$ , we have

$$\begin{aligned} \frac{B(k)}{k} &\leq \frac{B(k_{j+1}) + (k_{j+1} - k_j) \max(a, 0)}{k_j} \\ &\leq (C + \max(a, 0)) (k_{j+1}/k_j), \quad \text{if } k_j \leq k \leq k_{j+1}. \end{aligned}$$

To prove Lemma 1 it thus only remains to show that  $k_{j+1}/k_j$  must be bounded if  $B^0(k)/k$  is bounded. But this is immediately seen from Lemma 3, if we take  $m = k_j$ ,  $n = k_{j+1}$  and  $B^0(k) = G(k)$ . (Note that  $B^0(k) \geq k$  for every  $k$ , since  $B(k) \geq k$  and  $k^k$  is logarithmically convex.)

It remains to prove Lemma 3. First note that the inequality

$$k \log G(k) \geq k \log n - m \log(n/m), \tag{20}$$

holds for each  $k$ , since it obviously holds for  $k=m$  and  $k=n$ , and both sides are linear in  $k$ . Taking  $k_0 = [m \log(n/m)] + 1$ , where  $[x]$  denotes the integral part of  $x$ , we have  $m < k_0 < n$ , and we obtain from (20)

$$\max_k \log(G(k)/k) > \log n - 1 - \log k_0 > \log(n/m) - 1 - \log 2 - \log \log(n/m),$$

which is the same as (19).

*Proof of Theorem 5.* In view of the Denjoy-Carleman theorem it is enough to prove that the series  $\sum \hat{M}(k)^{-1}$ ,  $\sum \check{N}(k)^{-1}$  and  $\sum(\max(\hat{M}(k), \check{N}(k)))^{-1}$  are divergent. We have already proved that  $\sum \hat{M}(k)^{-1}$  and  $\sum \check{N}(k)^{-1}$  are divergent, so it only remains to prove that  $\sum(\max(\hat{M}(k), \check{N}(k)))^{-1}$  is divergent.

Set  $\hat{M}(k)^{-1} = a_k$ ,  $\check{N}(k)^{-1} = b_k$  and  $d_k = \min(a_k, b_k)$ . The condition that  $N/M$  is increasing can be formulated in terms of  $a_k$  and  $b_k$  by means of the formulas (5) and (6):

$$\frac{N(k+1)}{M(k+1)} \cdot \frac{N(k)}{M(k)} = \frac{a_{k+1}}{b_{k+1}} \cdot \frac{b_k}{a_k} \cdot \frac{1}{(1-a_{k+1})(1-b_k)} \geq 1, \quad k \geq 1. \tag{21}$$

We first prove that if (21) is valid, then the following inequality holds

$$\frac{d_{k+1}}{b_{k+1}} \cdot \frac{b_k}{d_k} \geq (1-d_{k+1})(1-b_k), \tag{22}$$

i.e. (21) is valid with  $d_k = \min(a_k, b_k)$  instead of  $a_k$ . To see this, put  $c_j = d_j/b_j$ , and note that (22) must hold if

$$c_{k+1}/c_k \geq 1. \tag{23}$$

Since  $c_j \leq 1$  for each  $j$ , it is clear that (23) holds if  $a_{k+1} \geq b_{k+1}$ , because then  $c_{k+1} = 1$ . On the other hand, if  $a_{k+1} < b_{k+1}$ , then  $d_{k+1} = a_{k+1}$ , and by applying (21) and the fact that  $d_k \leq a_k$  we obtain (22), which proves the assertion.

Now, if  $a_k$  were  $> b_k$  only for a finite number of  $k$ , it would be trivial that  $\sum d_k$  is divergent, since  $\sum a_k$  is divergent. Hence we can assume that  $d_k = b_k$  for infinitely many  $k$ . It is obvious that we can also assume that  $d_k = b_k < \frac{1}{2}$  for infinitely many  $k$ . Let  $m$  denote any of those indices  $k$ . Let  $n$  be the smallest integer such that  $\sum_m^n b_k \geq \frac{1}{2}$ . Then  $n > m$  and we have  $\sum_m^{n-1} d_k \leq \sum_m^{n-1} b_k < \frac{1}{2}$ . Since  $\prod(1-c_k) > 1 - \sum c_k$  for arbitrary  $c_k$  such that  $0 < c_k < 1$ , we obtain

$$\prod_m^j (1-b_k) \prod_m^j (1-d_k) > \frac{1}{4}, \quad \text{if } m \leq j < n. \tag{24}$$

By multiplying the inequalities (22) for  $k = m, m+1, \dots, j-1$  and using (24) and the fact that  $b_m = d_m$  we obtain

$$\frac{d_j}{b_j} \geq \prod_m^{j-1} (1-b_k) \prod_{m+1}^j (1-d_k) > \frac{1}{4}, \quad \text{if } m < j < n \tag{25}$$

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and 
$$\frac{d_n}{b_n} \geq \prod_m^{n-1} (1 - b_k) \prod_{m+1}^{n-1} (1 - d_k) (1 - d_n) > \frac{1}{4}(1 - d_n),$$

which gives 
$$d_n > \frac{1}{8} \min(1, b_n). \tag{26}$$

Now recall that  $n$  was chosen so that  $\sum_m^n b_k \geq \frac{1}{2}$ . Together with (25) and (26) this gives

$$\sum_m^n d_k > \frac{1}{4} \sum_m^{n-1} b_k + \frac{1}{8} \min(1, b_n) > \frac{1}{16}. \tag{27}$$

Since (27) can be proved for an infinite number of indices  $m$ , it follows that  $\sum d_k$  is divergent. This completes the proof of Theorem 5.

### 3. Applications

We will now study a number of special cases of our theorems.

I. Taking  $M(k) = 1$  for every  $k$  gives  $\hat{M}(k) = k + 1$ . Taking into account the Denjoy-Carleman theorem (see the remark after Theorem 1) we can express the corresponding special case of Theorem 2 as follows.

**Theorem 6** (see e.g. Bang [1]). *The intersection of all non-quasianalytic classes  $C^L$ , where  $L$  is increasing, is equal to the class of all real analytic functions.*

II. Taking  $M(0) = 1$  and  $M(k) = k$  when  $k \geq 1$  gives  $\hat{M}(k) = k(1 + \sum_1^k (1/j))$  if  $k \geq 1$ . Since there are constants  $C_1$  and  $C_2$  such that  $C_1 \log k \leq \sum_1^k (1/j) \leq C_2 \log k$ , Theorem 2 gives

**Theorem 7.** *The intersection of all non-quasianalytic classes  $C^L$ , where  $L(k)/k$  is increasing, is equal to the class  $C^{(k \log k)}$ .*

The class  $C^L$  is said to be inverse closed, if  $u \in C^L$  and  $u \neq 0$  implies that  $1/u \in C^L$ . Rudin [5] proved that if  $L(k)^k$  is logarithmically convex and  $C^L$  is non-quasianalytic, then  $C^L$  is inverse closed if and only if  $L(k)/k$  is almost increasing in the following sense: there exists a constant  $C$  such that  $L(j)/j \leq CL(k)/k$  when  $j \leq k$ . Using this result Rudin proved the following theorem, which is closely related to Theorem 7.

**Theorem 8.** *The intersection of all inverse closed non-quasianalytic classes  $C^L$ , where  $L(k)^k$  is logarithmically convex, is equal to the class  $C^{(k \log k)}$ .*

III. We indicate two applications of Theorem 4. First, take  $N(k) = k^a$ , ( $k \geq 1$ ), where  $a > 1$ . This gives  $\check{N}(k) < 2k/(a-1)$ , so that (2) is satisfied. This special case of Theorem 4 can be used in the study of the propagation of analyticity of solutions of linear partial differential equations of general type (see Boman [2]).

Ehrenpreis uses another special case of Theorem 4 in studying the range of convolution operators [4]. He considers the intersection of all non-quasianalytic classes  $C^L$ , where  $L$  is increasing and satisfies  $L(k+1) < CL(k)$  for some constant  $C$ . This case one can obtain from Theorem 4 by taking  $N(k) = C^k$  where  $C > 1$ , which gives  $\check{N}(k) = C/(C-1)$  for every  $k$ .



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Tryckt den 10 april 1964

Uppsala 1964. Almqvist & Wiksells Boktryckeri AB