

# On sums of primes

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## 1. Introduction

In this paper we prove the following

**Theorem.** *Every even natural number can be represented as a sum of at most eighteen primes.*

It follows at once that every natural number  $n$  with  $n > 1$  is a sum of at most nineteen primes. The previous best result of this kind is due to Deshouillers [2] who has twenty-six in place of nineteen.

Let  $N(x)$  denote the number of even numbers  $n$  not exceeding  $x$  for which  $n$  is the sum of at most two primes. Then it suffices to show that

$$(1.1) \quad N(x) > x/18 \quad (x \geq 2),$$

for then the theorem will follow in the usual manner (for example as in §6 of [7]).

The proof of (1.1) is divided into three cases according to the size of  $x$ . When  $\log x \geq 375$  we use the method described in §7 of [7], but with an important modification that enables us to dispense altogether with the Brun-Titchmarsh theorem. When  $\log x \leq 27$  the inequality (1.1) is easy to establish. This leaves the intermediate region  $27 < \log x < 375$ . Here we develop a completely new argument, based partly on sieve estimates and partly on calculation.

## 2. Some constants

We give here a list of constants that arise in the proof together with estimates for their values. A detailed description of the more difficult calculations is given in §10.

Let

$$(2.1) \quad \gamma_k = \lim_{n \rightarrow \infty} \left( \sum_{m=1}^n m^{-1} (\log m)^k - \frac{(\log n)^{k+1}}{k+1} \right).$$

Then it is well known that

$$(2.2) \quad 0.577215 < \gamma_0 < 0.577216, \quad -0.072816 < \gamma_1 < -0.072815.$$

In fact  $\gamma_0$  and  $\gamma_1$  are easily calculated by means of the Euler-Maclaurin summation formula.

Let

$$(2.3) \quad C = 2 \prod_{p>2} \frac{p(p-2)}{(p-1)^2},$$

the twin prime constant. Then

$$(2.4) \quad 1.320323 < C < 1.320324.$$

Define the multiplicative function  $g$  by taking

$$(2.5) \quad g(p^k) = 0 \text{ when } k > 3, \quad g(2) = 0, \quad g(4) = -3/4, \quad g(8) = 1/4$$

and

(2.6)

$$g(p) = \frac{4}{p(p-2)}, \quad g(p^2) = \frac{-3p-2}{p^2(p-2)}, \quad g(p^3) = \frac{2}{p^2(p-2)} \text{ when } p > 2.$$

Let

$$(2.7) \quad H(w) = \sum_{m=1}^{\infty} |g(m)| m^{-w}.$$

Then

$$(2.8) \quad 251.0127 < H\left(-\frac{1}{3}\right) < 251.0128.$$

Futher define

$$(2.9) \quad A_0 = \sum_p \frac{\log p}{p(p-1)},$$

$$(2.10) \quad A_1 = \frac{1}{2} \log 2 + 2A_0,$$

$$(2.11) \quad A_2 = \sum_p \frac{8p^2 - 10p + 4}{p^2(p-1)^2} (\log p)^2,$$

$$(2.12) \quad A_3 = 4\gamma_0 + 2A_1,$$

$$(2.13) \quad A_4 = \frac{1}{4} A_3^2 - 2\gamma_0^2 - 4\gamma_1 + \frac{1}{4} (\log 2)^2 - A_2.$$

Then

$$(2.14) \quad 6.023476 < A_3 < 6.023477, \quad 1.114073 < A_4 < 1.114074.$$

Let

$$(2.15) \quad A_5 = 3.282CH\left(-\frac{1}{3}\right),$$

$$(2.16) \quad A_6(\lambda) = 2A_3 - 4 \log 2 - 2 \log \lambda,$$

$$(2.17) \quad A_7(\lambda) = \frac{2}{3} \pi^2 + 4A_4 - 4A_3 \log 2 + (\log \lambda)^2 - (2A_3 - 4 \log 2) \log \lambda_0,$$

$$(2.18) \quad A_8(\lambda) = 8A_5 \lambda^{1/6},$$

$$(2.19) \quad A_9(\lambda) = 4A_3 \lambda^{1/2}.$$

Then

$$(2.20) \quad 8.463433 < A_6\left(\frac{3}{2}\right) < 8.463434,$$

$$(2.21) \quad -9.260623 < A_7\left(\frac{3}{2}\right) < -9.260622,$$

$$(2.22) \quad 9310.076 < A_8\left(\frac{3}{2}\right) < 9310.077, \quad 29.50888 < A_9\left(\frac{3}{2}\right) < 29.50889.$$

Let

$$(2.23) \quad A_{10} = \prod_{p>2} \left(1 + \frac{1}{p(p-1)}\right)$$

and

$$(2.24) \quad A_{11} = \prod_{p>2} \left(1 + \frac{2p-1}{p(p-1)^2}\right).$$

Then  $A_{10} = \frac{2\zeta(3)\zeta(2)}{3\zeta(6)} = \frac{105}{\pi^4} \zeta(3)$  and  $\zeta(3)$  is readily estimated by means of the Euler–Maclaurin summation formula. Thus

$$(2.25) \quad 1.295730 < A_{10} < 1.295731.$$

We also have

$$(2.26) \quad 1.772431 < A_{11} < 1.772432.$$

Let

$$(2.27) \quad T(u) = \sum \sum_{s \leq p_1 < p_2 \leq u} \prod_{\substack{p|p_2-p_1 \\ p>2}} \frac{p-1}{p-2},$$

and define

$$(2.28) \quad s = \pi(u) - 1.$$

Then we have

$$(2.29) \quad T(u) < t$$

where  $t=t(u)$  satisfies

$$(2.30) \quad t(79) = 328.5614, \quad t(99989) = 80096031.$$

We also have

$$(2.31) \quad s(79) = 21, \quad s(99989) = 9590.$$

### 3. The sieve estimate

The fundamental information concerning prime numbers that we use in the proof is embodied in Lemma 5 below. It is a refinement of Lemma 8 of Vaughan [7] and likewise follows from Corollary 1 of Montgomery and Vaughan [4]. The improved values for  $A$  in Lemma 5 are essential to our argument.

The principal term that arises from Corollary 1 of [4] is related to the sum

$$\sum_{q \leq Q} \mu(q)^2 \prod_{p|q, p > 2} \frac{2}{p-2}$$

and in turn this is related to the sum

$$\sum_{m \leq x} \frac{d(m)}{m}.$$

The following lemma gives a good quantitative estimate for this latter sum.

**Lemma 1.** *When  $x > 0$ , let*

$$(3.1) \quad E(x) = \sum_{m \leq x} \frac{d(m)}{m} - \frac{1}{2} (\log x)^2 - 2\gamma_0 \log x - \gamma_0^2 + 2\gamma_1.$$

Then

$$|E(x)| < 1.641x^{-1/3}.$$

*Proof.* We have

$$\sum_{m \leq x} \frac{d(m)}{m} = \sum_{m \leq x^{1/2}} \frac{1}{m} \sum_{n \leq x/m} \frac{2}{n} - \left( \sum_{m \leq x^{1/2}} \frac{1}{m} \right)^2.$$

Let  $B_1(y) = y - [y] - \frac{1}{2}$ ,  $B_2(y) = \frac{1}{2} \left( y - [y] - \frac{1}{2} \right)^2$ . Then the Euler-Maclaurin summation formula gives

$$\sum_{n \leq y} \frac{1}{n} = \log y + \gamma_0 - \frac{1}{y} B_1(y) - y^{-2} B_2(y) + \int_y^\infty B_2(u) 2u^{-3} du$$

and

$$\sum_{m \leq y} \frac{\log m}{m} = \frac{1}{2} \log^2 y + \gamma_1 - \frac{\log y}{y} B_1(y) + \frac{1 - \log y}{y^2} B_2(y) - \int_y^\infty B_2(u) \frac{3 - 2 \log u}{u^3} du.$$

Hence

$$E(x) = -\frac{2}{x}B_2(\sqrt{x}) + \int_{\sqrt{x}}^{\infty} B_2(u)u^{-3}(6-4\log(ux^{-1/2}))du - D(\sqrt{x})^2 - 2\sum_{m \leq \sqrt{x}} \frac{1}{m}D(x/m)$$

where

$$D(y) = \frac{1}{y}B_1(y) + y^{-2}B_2(y) - \int_y^{\infty} B_2(u)2u^{-3}du.$$

Clearly  $-\frac{1}{2} \leq B_1(u) < \frac{1}{2}$  and  $0 \leq B_2(u) \leq \frac{1}{8}$ . Thus, for  $x \geq 1$ ,

$$\begin{aligned} E(x) &\leq \int_{\sqrt{x}}^{e^{\frac{3}{2}}\sqrt{x}} \frac{3-2\log(ux^{-1/2})}{4u^3} du + x^{-1/2} + \sum_{m \leq \sqrt{x}} \frac{1}{m} \int_{x/m}^{\infty} \frac{du}{2u^3} \\ &\leq \left(\frac{1}{2} + \frac{1}{8}e^{-3}\right)x^{-1} + x^{-1/2}, \end{aligned}$$

and

$$\begin{aligned} E(x) &\geq -\frac{1}{4}x^{-1} + \int_{e^{\frac{3}{2}}\sqrt{x}}^{\infty} \frac{3-2\log(ux^{-1/2})}{4u^3} du - x^{-1/2} - \sum_{m \leq \sqrt{x}} \frac{m}{4x^2} - \left(\frac{1}{2\sqrt{x}} + \frac{1}{8x}\right)^2 \\ &\geq -x^{-1/2} - \frac{3}{4}x^{-1} + \left[\frac{3-2\log(ux^{-1/2})}{-8u^2}\right]_{e^{\frac{3}{2}}\sqrt{x}}^{\infty} - \int_{e^{\frac{3}{2}}\sqrt{x}}^{\infty} \frac{du}{4u^3} - \frac{1}{8x^{3/2}} - \frac{1}{64x^2} \\ &= -x^{-1/2} - \left(\frac{3}{4} + \frac{1}{8}e^{-3}\right)x^{-1} - \frac{1}{8}x^{-3/2} - \frac{1}{64}x^{-2}. \end{aligned}$$

Therefore, for  $x \geq 2$  we have

$$|E(x)|x^{1/3} \leq 2^{-1/6} + \left(\frac{3}{4} + \frac{1}{8}e^{-3}\right)2^{-2/3} + \frac{1}{8}2^{-7/6} + \frac{1}{64}2^{-5/3} < 1.5.$$

When  $1 \leq x < 2$  we have

$$E(x) = 1 - \frac{1}{2}(\log x)^2 - 2\gamma_0 \log x - \gamma_0^2 + 2\gamma_1.$$

Moreover  $E(x)$  is strictly decreasing on  $(1, 2)$ ,  $E(1) = 1 - \gamma_0^2 + 2\gamma_1 < 0.53$  and  $E(2-) = 1 - \frac{1}{2}(\log 2)^2 - 2\gamma_0 \log 2 - \gamma_0^2 + 2\gamma_1 > -0.52$ . Hence

$$|E(x)|x^{1/3} < 0.67.$$

When  $0 < x < 1$  we have

$$E(x) = -\frac{1}{2}(\log x)^2 - 2\gamma_0 \log x - \gamma_0^2 + 2\gamma_1.$$

Let

$$F(x) = -\left(\frac{1}{2}(\log x)^2 + 2\gamma_0 \log x + \gamma_0^2 - 2\gamma_1\right)x^{1/3}.$$

Then  $F(x) \rightarrow 0^-$  as  $x \rightarrow 0^+$ ,  $F(x) \rightarrow -\infty$  as  $x \rightarrow +\infty$  and  $F(x)$  has a local minimum at  $x_-$  and a local maximum at  $x_+$  where  $x_{\pm}$  is given by

$$\log x_{\pm} = -2\gamma_0 - 3 \pm (2\gamma_0^2 + 9 + 4\gamma_1)^{1/2}.$$

Moreover  $0 < x_- < x_+ < 1$ ,  $F(x_{\pm}) = 3(\log x_{\pm} + 2\gamma_0)x_{\pm}^{1/3}$ ,  $F(1^-) = 2\gamma_1 - \gamma_0^2 > -0.48$  and

$$-1.641 < F(x_-) < 0 < F(x_+) < 0.13.$$

Hence

$$|E(x)|x^{1/3} = |F(x)| < 1.641.$$

**Lemma 2.** *Let*

$$(3.2) \quad S(y) = \sum_{q \leq y} \mu(q)^2 \prod_{\substack{p|q \\ p > 2}} \frac{2}{p-2}.$$

Then for  $y \geq 1$ ,

$$|2CS(y) - (\log y)^2 - A_3 \log y - A_4| < A_5 y^{-1/3}.$$

*Proof.* Let  $g$  be as in (2.5) and (2.6) and define for  $w > -\frac{1}{2}$

$$(3.3) \quad G(w) = \sum_{n=1}^{\infty} g(n)n^{-w}.$$

Then it is easily verified that when  $w > 0$

$$(3.4) \quad \sum_{q=1}^{\infty} \frac{\mu(q)^2}{q^w} \prod_{\substack{p|q \\ p > 2}} \frac{2}{p-2} = \zeta(w+1)^2 G(w).$$

Thus, by the identity theorem for Dirichlet series,

$$(3.5) \quad S(y) = \sum_m g(m) \sum_{n \leq y/m} \frac{d(n)}{n}.$$

Therefore, by (3.1),

$$(3.6) \quad S(y) = \sum_m g(m) \left[ \frac{1}{2} \left( \log \frac{y}{m} \right)^2 + 2\gamma_0 \log \frac{y}{m} + \gamma_0^2 - 2\gamma_1 + E \left( \frac{y}{m} \right) \right].$$

By (2.7) and Lemma 1,

$$(3.7) \quad \left| \sum_m g(m) E \left( \frac{y}{m} \right) \right| < 1.641 y^{-1/3} H \left( -\frac{1}{3} \right).$$

The main term in (3.6) is

$$(3.8) \quad \left( \frac{1}{2} (\log y)^2 + 2\gamma_0 \log y + \gamma_0^2 - 2\gamma_1 \right) G(0) + (\log y + 2\gamma_0) G'(0) + \frac{1}{2} G''(0).$$

By (3.3), (2.5), (2.6) and (2.3),

$$(3.9) \quad G(0) = \frac{1}{2} \prod_{p > 2} \left( 1 + \frac{4p-2-3p+2}{p^2(p-2)} \right) = C^{-1}.$$

By (3.4), when  $w > 0$

$$G(w) = \zeta(w+1)^{-2}(1+2^{-w}) \prod_{p>2} \left(1 + \frac{2}{p^w(p-2)}\right).$$

Hence

$$\frac{G'}{G}(w) = J(w)$$

where

$$J(w) = \frac{2 \log 2}{2^{w+1}-1} - \frac{\log 2}{2^w+1} + \sum_{p>2} \left( \frac{2 \log p}{p^{w+1}-1} - \frac{2 \log p}{p^w(p-2)+2} \right).$$

Letting  $w \rightarrow 0+$  gives, by (2.10) and (2.9),

$$(3.10) \quad G'(0) = A_1 C^{-1}.$$

We also have

$$G''(w) = (J'(w) + J(w)^2)G(w)$$

and

$$J'(w) = \frac{2^w \log^2 2}{(2^{w+1}-1)^2} + \sum_p \left( \frac{2p^w(p-2) \log^2 p}{(p^w(p-2)+2)^2} - \frac{2p^{w+1} \log^2 p}{(p^{w+1}-1)^2} \right).$$

Hence, by (2.11),

$$G''(0) = \left( \frac{1}{4} (\log 2)^2 - A_2 + A_1^2 \right) C^{-1}.$$

Therefore the main term in (3.6) is

$$\frac{1}{2C} \left\{ (\log y)^2 + (4\gamma_0 + 2A_1)(\log y) + 2\gamma_0^2 - 4\gamma_1 + 4\gamma_0 A_1 + \frac{1}{4} (\log 2)^2 - A_2 + A_1^2 \right\}.$$

The lemma now follows from (2.12), (2.13), (2.15), (3.6) and (3.7).

**Lemma 3.** *When  $n$  is even, let*

$$(3.12) \quad S_n(z) = \sum_{q \equiv z} \frac{\mu(q)^2}{1+z^{-1}q} \left( \prod_{p|q} \frac{2}{p-2} \right) \prod_{p|(q,n)} \frac{1}{p-1}.$$

Then

$$(3.13) \quad S_n(z) \cong S_2(z) \prod_{p|n} \frac{p-2}{p-1}$$

and, for  $z \cong 1$ ,

$$(3.14) \quad |2CS_2(z) - (\log z)^2 - \frac{1}{2} A_6(1)(\log z) - \frac{1}{4} A_7(1)| < \frac{1}{4} A_8(1)z^{-1/3} + \frac{1}{4} A_9(1)z^{-1}.$$

*Proof.* Let  $s(q) = \prod_{p|q} p$ , the squarefree kernel of  $q$ . By considering the expansions

$$\frac{2}{p-2} = \sum_{h=1}^{\infty} \left(\frac{2}{p}\right)^h, \quad \frac{1}{p-1} = \sum_{h=1}^{\infty} \frac{1}{p^h}$$

it follows that

$$S_n(z) = \sum_{s(q) \leq z} \frac{1}{q + z^{-1}qs(q)} \sum_{\substack{d|q \\ (d,n)=1}} f(d)$$

where  $f$  is the multiplicative function with  $f(p^m) = 2^{m-1}$ . Thus

$$\begin{aligned} S_n(z) &\cong \sum_{\substack{s(dr) \leq z \\ (d,n)=1}} \frac{f(d)}{dr + z^{-1}drs(dr)} \left( \sum_{\substack{s(qdr) \leq z \\ s(q)|n, q \text{ odd}}} \frac{f(q)}{q} \right) \left( \sum_{q \text{ odd}} \frac{f(q)}{q} \right)^{-1} \\ &= \sum_{s(m) \leq z} \frac{1}{m + z^{-1}ms(m)} \sum_{\substack{k|m \\ k \text{ odd}}} f(k) \prod_{\substack{p|n \\ p>2}} \left( 1 + \frac{1}{p} \left( 1 + \frac{2}{p} + \frac{2^2}{p^2} + \dots \right) \right)^{-1} \\ &= \left( \prod_{\substack{p|n \\ p>2}} \frac{p-2}{p-1} \right) S_2(z), \end{aligned}$$

which gives (3.13).

By (3.12) and (3.2),

$$S_2(z) = \frac{1}{2} S(z) + \int_1^z \frac{zS(u)}{(z+u)^2} du.$$

Let

$$(3.15) \quad M(y) = (\log y)^2 + A_3 \log y + A_4.$$

Then by Lemma 2,

$$\begin{aligned} \left| 2CS_2(z) - \frac{1}{2} M(z) - \int_1^z \frac{zM(u)}{(z+u)^2} du \right| &< \frac{1}{2} A_5 z^{-1/3} + \int_1^z \frac{zA_5 u^{-1/3}}{(z+u)^2} du \\ &\cong \frac{1}{2} A_5 z^{-1/3} + z^{-1} A_5 \int_1^z u^{-1/3} du. \end{aligned}$$

Therefore

$$(3.16) \quad \left| 2CS_2(z) - \frac{1}{2} M(z) - \int_1^z \frac{zM(u)}{(z+u)^2} du \right| < 2A_5 z^{-1/3}.$$

By (3.15),

$$\int_1^z \frac{zM(u)}{(z+u)^2} du = \left[ \frac{-zM(u)}{z+u} \right]_1^z + \int_1^z \frac{zM'(u)}{z+u} du.$$

The first term on the right contributes

$$\frac{zA_4}{z+1} - \frac{1}{2} (\log z)^2 - \frac{1}{2} A_3 \log z - \frac{1}{2} A_4$$



and the integral on the right contributes

$$\int_1^z \frac{2z}{u(z+u)} (\log u) du + \int_1^z \frac{zA_3}{u(z+u)} du.$$

On expanding  $z(z+u)^{-1}$  as an infinite series in powers of  $u$  and interchanging the order of summation and integration (obviously justified by bounded convergence) the first integral becomes

$$\begin{aligned} \sum_{h=0}^{\infty} \int_1^z \frac{2}{u} \left(-\frac{u}{z}\right)^h (\log u) du &= (\log z)^2 + \sum_{h=1}^{\infty} 2 \left(-\frac{1}{z}\right)^h \left(\frac{z^h}{h} \log z - \frac{z^h-1}{h^2}\right) \\ &= (\log z)^2 - 2(\log 2)(\log z) + \frac{\pi^2}{6} - \sum_{h=1}^{\infty} \frac{2(-1)^{h-1}}{z^h h^2}. \end{aligned}$$

Hence, by (3.15),

$$\begin{aligned} \frac{1}{2} M(z) + \int_1^z \frac{zM(u)}{(z+u)^2} du &= (\log z)^2 + (A_3 - 2 \log 2) \log z + A_4 \\ &+ \frac{\pi^2}{6} - A_3 \log 2 - \sum_{h=1}^{\infty} \frac{2(-1)^{h-1}}{z^h h^2} - \frac{A_4}{z+1} + A_3 \log \left(1 + \frac{1}{z}\right). \end{aligned}$$

The terms in the series  $\sum_{h=1}^{\infty} 2z^{-h}(-1)^{h-1}h^{-2}$  decrease in absolute value and oscillate in sign. Thus the series lies between 0 and  $2/z$ . Also, by (2.16)  $A_3 - 2 \log 2 = \frac{1}{2} A_6(1)$ , by (2.17)  $A_4 + \frac{\pi^2}{6} - A_3 \log 2 = \frac{1}{4} A_7(1)$ , by (2.14)  $0 < A_4 < A_3 - 2$ , by (2.18)  $2A_5 = \frac{1}{4} A_8(1)$ , and by (2.19)  $A_3 = \frac{1}{4} A_9(1)$ . Hence, by (3.16) we have the lemma.

**Lemma 4.** *Suppose that  $x \cong \lambda$  and  $z = (x/\lambda)^{1/2}$ . Then*

$$|8CS_2(z) - (\log x)^2 - A_6(\lambda) \log x - A_7(\lambda)| < A_8(\lambda)x^{-1/6} + A_9(\lambda)x^{-1/2}.$$

*Proof.* The lemma follows at once from (2.16), (2.17), (2.18), (2.19) and Lemma 3.

**Lemma 5.** *Let*

$$(3.17) \quad R(x, a, b) = \sup_I \sum_{\substack{p \in I \\ ap+b \text{ prime}}} 1$$

where the supremum is taken over all intervals  $I$  of length  $x$ . Suppose that  $L$  and  $A = A(L)$  are related by the table below. Then, whenever  $x \cong e^L$  and  $ab \neq 0$  we have

$$R(x, a, b) < \left( \frac{8Cx}{(\log x)(A + \log x)} - 100x^{1/2} \right) \prod_{\substack{p|ab \\ p > 2}} \frac{p-1}{p-2}.$$

<i>L</i>	<i>A</i>	<i>B</i>	<i>L</i>	<i>A</i>	<i>B</i>
24	0	0.97	48	8.2	8.2054
25	1	2.31	60	8.3	8.302
26	2	3.40	82	8.35	8.3503
27	3	4.28	100	8.37	8.3708
28	4	5.00	127	8.39	8.3905
29	5	5.58	147	8.4	8.4004
31	6	6.45	174	8.41	8.4102
34	7	7.24	214	8.42	8.4201
36	7.5	7.56	278	8.43	8.4301
42	8	8.04	396	8.44	8.44004
44	8.1	8.11	690	8.45	8.45001

*Proof.* We may suppose that  $(a, b) = 1$  and  $ab$  is even, for otherwise  $R(x, a, b) \leq 2$  and the conclusion is trivial. Let  $N = [x]$  and let  $I$  denote a typical interval of length  $x$ . For some integer  $M$  the integers  $h$  in  $I$  satisfy  $M < h \leq M + N + 1$ . Let

$$(3.18) \quad z = \left(\frac{2}{3}x\right)^{1/2}.$$

Then

$$\sum_{\substack{p \in I \\ ap+b \text{ prime}}} 1 \leq \sum_{\substack{h=M+1 \\ (h(ab+b), Q)=1}}^{M+N} 1 + 2\pi(z) + 1$$

where  $Q = \prod_{p \leq z} p$ . Therefore, by Corollary 1 of Montgomery and Vaughan [4],

$$R(x, a, b) \leq \left(\sum_{q \leq z} \frac{\mu(q)^2}{N + 3/2qz} \left(\prod_{p|(a, ab)} \frac{1}{p-1}\right) \left(\prod_{\substack{p|q \\ p+ab}} \frac{2}{p-2}\right)^{-1} + 2x^{1/2}.\right.$$

Hence, by (3.12) and (3.18),

$$R(x, a, b) \leq x(S_{ab}(z))^{-1} + 2x^{1/2}.$$

Therefore, by (3.13),

$$(3.19) \quad R(x, a, b) \leq (x(S_2(z))^{-1} + 2x^{1/2}) \prod_{\substack{p|ab \\ p>2}} \frac{p-1}{p-2}.$$

By Lemma 4 with  $\lambda = \frac{3}{2}$  we have

$$8CS_2(z) > (\log x)^2 + F(x) \log x$$

where

$$F(x) = A_6 \left(\frac{3}{2}\right) + \frac{A_7 \left(\frac{3}{2}\right)}{\log x} - \frac{A_8 \left(\frac{3}{2}\right)}{x^{1/6} \log x} - \frac{A_9 \left(\frac{3}{2}\right)}{x^{1/2} \log x}.$$

By (2.20), (2.21) and (2.22),  $F(x)$  is an increasing function of  $x$  for  $x > 1$  and

$$F(x) > B \quad (x \geq e^L)$$

where  $B$  is given by the above table. Hence, by (3.19),

$$(3.20) \quad R(x, a, b) < \left( \frac{8Cx}{(\log x)(B + \log x)} + 2x^{1/2} \right) \prod_{p>2} \frac{p-1}{p-2}.$$

Since  $(\log x)x^{-1/6}$  is a decreasing function for  $x \geq e^6$  and, by (2.4),

$$(3.21) \quad (\log x)(A + \log x)(B + \log x) < \frac{4C(B-A)}{51} x^{1/2}$$

when  $x = e^L$  and  $A$  and  $B$  are given by the above table, it follows that (3.21) holds whenever  $x \geq e^L$ . Moreover (3.21) is equivalent to

$$\frac{8Cx}{(\log x)(B + \log x)} + 102x^{1/2} < \frac{8Cx}{(\log x)(A + \log x)}.$$

The lemma now follows from (3.20).

#### 4. An auxiliary lemma concerning prime numbers

In order to treat  $N(x)$  we need to know that the prime numbers are fairly plentiful, and are reasonably well distributed. This information is provided by the following lemma.

**Lemma 6.** (i) *Suppose that  $\log x \geq 17$ . Then*

$$(4.1) \quad \pi(x) > \frac{x}{\log x} + (0.9911) \frac{x}{(\log x)^2}.$$

(ii) *Suppose that  $\log x \geq 300$ . Then*

$$(4.2) \quad \pi(x) < \frac{x}{\log x} + (1.0151) \frac{x}{(\log x)^2}.$$

*Proof.* We quote a number of results from Rosser and Schoenfeld [6]. Their Theorem 2 gives

$$|\theta(x) - x| < x\varepsilon(x) \quad (\log x \geq 105)$$

where

$$\varepsilon(x) = 0.257634 \left( 1 + \frac{0.96642}{X} \right) X^{3/4} e^{-X}$$

with  $X=(R^{-1} \log x)^{1/2}$  and  $R=9.645908801$ . Now  $\varepsilon(x) \log x = \varepsilon(x) X^2 R$  and  $X^{11/4} e^{-X}$  is decreasing for  $X > 11/4$ . Hence

$$(4.3) \quad |\theta(x) - x| < (0.000154) \frac{x}{\log x} \quad (\log x \cong 3000).$$

The table on page 267 of Rosser and Schoenfeld [6], the use of which is described at the beginning of their §4, gives values of  $\varepsilon$  and  $b$  such that

$$|\psi(x) - x| < \varepsilon x \quad (\log x \cong b).$$

Inspection of this table shows that

$$(4.4) \quad |\psi(x) - x| < (0.00822) \frac{x}{\log x} \quad (22 \cong \log x \cong 5000).$$

Theorem 6 of Rosser and Schoenfeld [5] gives

$$\theta(x) > \psi(x) - (1.001102)x^{1/2} - 3x^{1/3} \quad (x > 0).$$

Thus

$$\theta(x) > \psi(x) - (0.0003961) \frac{x}{\log x} \quad (\log x \cong 22).$$

Hence, by (4.4) and (4.3),

$$(4.5) \quad \theta(x) > x - (0.00862) \frac{x}{\log x} \quad (\log x \cong 22).$$

Now

$$(4.6) \quad \pi(x) = \frac{\theta(x)}{\log x} + \int_2^x \frac{\theta(u)}{(\log u)^2} du.$$

Writing  $\delta = 0.00862$ ,  $y = e^{22}$  we obtain for  $x \cong y$

$$\begin{aligned} \pi(x) &> \frac{x}{\log x} - \frac{\delta x}{(\log x)^2} + \int_y^x \frac{1}{(\log u)^2} - \frac{\delta}{(\log u)^3} du \\ &= \frac{x}{\log x} - \frac{\delta x}{(\log x)^2} + \left[ \frac{u}{(\log u)^2} - \frac{\delta u}{(\log u)^3} \right]_y^x + \int_y^x \frac{2}{(\log u)^3} - \frac{3\delta}{(\log u)^4} du \\ &> \frac{x}{\log x} + \frac{x}{(\log x)^2} \left( 1 - \delta - \frac{\delta}{\log x} - \frac{y(\log x)^2}{x(\log y)^3} \right). \end{aligned}$$

When  $\log x \cong 32$

$$\frac{\delta}{\log x} + \frac{y(\log x)^2}{x(\log y)^3}$$

is a decreasing function of  $x$  and so does not exceed 0.00028. This gives (4.1) when  $\log x \cong 32$ .

Corollary 2 to Theorem 7 of Rosser and Schoenfeld [6] gives

$$\theta(x) > x - \frac{x}{40 \log x} \quad (x \geq 678,407).$$

Let  $y=678,407$ . Then, by (4.6), when  $x \geq y$  we have

$$\begin{aligned} \pi(x) &> \frac{x}{\log x} - \frac{x}{40(\log x)^2} + \int_y^x \frac{1}{(\log u)^2} - \frac{1}{40(\log u)^3} du = \frac{x}{\log x} - \frac{x}{40(\log x)^2} \\ &+ \left[ \frac{u}{(\log u)^2} - \frac{u}{40(\log u)^3} + \frac{2u}{(\log u)^3} - \frac{3u}{40(\log u)^4} \right]_y^x + \int_y^x \frac{6}{(\log u)^4} - \frac{12}{40(\log u)^5} du \\ &> \frac{x}{\log x} + \frac{x}{(\log x)^2} + \frac{x}{(\log x)^3} \left( \frac{79 - \log x}{40} - \frac{3}{40 \log x} - \frac{y(\log x)^3}{x(\log y)^2} \left( 1 + \frac{2}{\log y} \right) \right). \end{aligned}$$

Hence, for  $17 \leq \log x \leq 35$  we have

$$\pi(x) > \frac{x}{\log x} + \frac{x}{(\log x)^2}$$

which is more than is required.

It remains to prove (4.2). We have  $\theta(x) \leq \psi(x)$ . Hence, by (4.3) and (4.4)

$$\theta(x) < x + (0.00822) \frac{x}{\log x} \quad (\log x \geq 22).$$

Let  $y=e^{200}$  and  $\delta=0.00822$ . Then, by (4.6),

$$(4.7) \quad \pi(x) < \frac{x}{\log x} \left( 1 + \frac{\delta}{\log x} \right) + \int_y^x \frac{1}{(\log u)^2} \left( 1 + \frac{\delta}{\log u} \right) du + \pi(y).$$

Let

$$I = \int_y^x \frac{du}{(\log u)^2}.$$

Then

$$I = \left[ \frac{u}{(\log u)^2} + \frac{2u}{(\log u)^3} \right]_y^x + \int_y^x \frac{6 du}{(\log u)^4} < \frac{x}{(\log x)^2} + \frac{2x}{(\log x)^3} + \frac{6I}{(\log y)^2}.$$

Hence, when  $\log x \geq 300$ ,

$$I < 1.006818 \frac{x}{(\log x)^2}.$$

Similarly

$$\int_y^x \frac{du}{(\log u)^3} < (0.003385) \frac{x}{(\log x)^2}$$

Therefore, by (4.7), we have (4.2) as desired.

**5. The estimation of  $N(x)$  when  $x$  is small**

**Lemma 7.** *Suppose that  $2 \leq x \leq e^{27}$ . Then  $N(x) > x/18$ .*

*Proof.* Each of 2, 4, 6, 8 is the sum of at most two primes. Hence  $N(x) > x/18$  when  $2 \leq x \leq 67$ .

By considering those numbers of the form  $p+3$  and  $p+5$  with  $p \geq 3$  it follows that

$$N(x) \cong \pi(x-3) + \pi(x-5) - 1 - \sum_{\substack{3 \leq p \leq x-3 \\ p-2 \text{ prime}}} 1.$$

If  $p > 7$  and  $p-2$  is prime, then  $p-4$  is not prime whereas both  $p$  and  $p-2$  are counted by  $\pi(x-3)$ . Hence

$$\sum_{\substack{3 \leq p \leq x-3 \\ p-2 \text{ prime}}} 2 \cong \pi(x-3).$$

Thus, when  $x \geq 8$ ,

$$N(x) \cong \frac{1}{2} \pi(x-3) + \pi(x-5) - 1 \cong \frac{3}{2} \pi(x) - 4.$$

By (3.3) of Theorem 2 of Rosser and Schoenfeld [5], when  $x \geq 67$  we have

$$\pi(x) \cong \frac{2x}{(2 \log x) - 1}.$$

We have  $\frac{y}{\log y} > \left(\frac{32}{3\sqrt{e}}\right)^{1/2}$  whenever  $y > 1$ . Thus, on writing  $y = \left(\frac{x}{\sqrt{e}}\right)^{1/2}$  we have

$$-\frac{6}{x(2 \log x - 1)^2} + \frac{4}{x^2} < 0 \quad \text{for } x > 2.$$

Thus

$$\frac{3}{(2 \log x) - 1} - \frac{4}{x} - \frac{1}{18}$$

is decreasing for  $x \geq 67$  and is positive when  $x = e^{27}$ .

**6. The intermediate region**

It is in the proof of the following lemma that the improved form of Lemma 5 plays a crucial rôle.

**Lemma 8.** *Suppose that  $24 \leq \log x \leq 424$ . Then*

$$N(x) > x/18.$$

*Proof.* Let

$$(6.1) \quad R(n) = \sum \sum_{\substack{3 \leq p_1 \leq u, 3 \leq p_2 \leq u \\ p_1 + p_2 = n}} 1$$

where  $u$  is a parameter at our disposal with

$$(6.2) \quad 3 \cong u \cong 10^5.$$

Note that  $R(n)=0$  when  $n>x$ . Hence, by Cauchy's inequality

$$(\sum_n R(n))^2 \cong N(x) \sum_n R(n)^2.$$

We also have

$$\sum_n R(n)^2 = \sum_n R(n) + \sum \sum_{3 \cong p_1 < p_2 \cong u} \sum_{\substack{3+p_2-p_1 \cong p_3 \cong x-u \\ p_3-p_2+p_1 \text{ prime}}} 2.$$

Therefore, by Lemma 5 and (2.27),

$$(6.3) \quad \sum_n R(n) (\sum_n R(n) - N(x)) \cong \frac{16CxN(x)T(u)}{(\log x)(A + \log x)}.$$

By (6.1),

$$(6.4) \quad \sum_n R(n) = (\pi(u) - 1)(\pi(x - u) - 1)$$

and, by (6.2) and Lemma 6,

$$\pi(x - u) - 1 \cong \pi(x) - u \cong \alpha$$

where

$$(6.5) \quad \alpha = \frac{x}{\log x} + \frac{Dx}{(\log x)^2}$$

and

$$(6.6) \quad D = 0 \quad (24 \cong \log x \cong 42), \quad D = 0.99 \quad (\log x > 42).$$

Therefore, by (2.28) and (6.4),

$$\alpha s (\alpha s - N(x)) \cong \alpha s (\sum_n R(n) - N(x)) \cong (\sum_n R(n)) (\sum_n R(n) - N(x)).$$

Let

$$(6.7) \quad \beta = \frac{16Cx}{(\log x)(A + \log x)}.$$

then, by (2.29) and (6.3)

$$\alpha s (\alpha s - N(x)) \cong \beta t N(x).$$

Hence

$$N(x) \cong \frac{\alpha^2 s^2}{\alpha s + \beta t}.$$

Therefore, it suffices to show that for suitable choices of  $u$  we have

$$\frac{\alpha^2 s^2}{\alpha s + \beta t} > \frac{x}{18}.$$

By (6.5) and (6.7) this is equivalent to

$$(6.8) \quad s \left( \frac{A}{l} + 1 \right) \left( \frac{D}{l} + 1 \right) \left[ 18s \left( \frac{D}{l} + 1 \right) - l \right] - 16Ct > 0$$

where

$$l = \log x.$$

For given  $A, D, u$  with  $A \geq 0, D \geq 0$  the left hand side of (6.8) is a decreasing function of  $l$ . We choose our parameters as follows.

$A=0,$	$D=0,$	$u=79$	when	$24 \leq l \leq 42.$
$A=8,$	$D=0.99,$	$u=99989$	when	$42 < l \leq 300.$
$A=8.43,$	$D=0.99,$	$u=99989$	when	$300 < l \leq 400.$
$A=8.44,$	$D=0.99,$	$u=99989$	when	$400 < l \leq 424.$

These choices are in conformity with Lemma 5 and (6.6). Then on inserting in (6.8) the corresponding values of  $s$  and  $t$  given by (2.29) and (2.30) and the upper bound for  $C$  given by (2.4) we see that the left hand side of (6.8) is positive when  $l=42$ , when  $l=300$ , when  $l=400$  and when  $l=424$  respectively. The lemma now follows.

### 7. Preliminaries to the estimation of $N(x)$ when $x$ is large

Let

$$(7.1) \quad K = 200, \quad y = x/(K+2),$$

$$(7.2) \quad I_k = \left[ \frac{1}{2}ky, \frac{1}{2}ky + y \right] \quad (k = 1, 2, \dots, K)$$

and define

$$(7.3) \quad R_k(n) = \sum_{\substack{p+p'=n \\ p \in I_k, p' \in I_k}} 1,$$

$$(7.4) \quad w(n) = \prod_{\substack{p|n \\ p > 2}} \frac{p-2}{p-1}$$

and

$$(7.5) \quad \Psi = \sum_{k=1}^K \sum_n R_k(n) w(n).$$

**Lemma 9.** *Suppose that  $\log y > 350$ . Then*

$$\Psi < (N(x) - N(y)) \frac{8Cy}{\left(\log \frac{y}{2}\right) \left(8.3 + \log \frac{y}{2}\right)}.$$

*Proof.* By (7.3),  $R_k(n) = 0$  when  $n \leq ky$  or  $n > ky + 2y$ ,

$$R_k(n) = \sum_{\substack{\frac{1}{2}ky < p < n - \frac{1}{2}ky \\ n-p \text{ prime}}} 1 \quad \text{when} \quad ky < n \leq ky + y.$$

$$R_k(n) = \sum_{\substack{n - \frac{1}{2}ky - y \leq p \leq \frac{1}{2}ky + y \\ n-p \text{ prime}}} 1 \quad \text{when} \quad ky + y < n \leq ky + 2y.$$



Hence, by (7.5),

$$(7.6) \quad \Psi = \sum_{y < n \leq 2y} R_1(n)w(n) + \sum_{k=2}^K \sum_{ky < n \leq ky+y} (R_k(n) + R_{k-1}(n))w(n) \\ + \sum_{Ky+y < n \leq Ky+2y} R_K(n)w(n),$$

and, by (7.4) and Lemma 5, when  $ky + e^{60} < n \leq ky + y - e^{60}$  we have

$$(7.7) \quad (R_k(n) + R_{k-1}(n))w(n) \\ < 8C \left( \frac{u}{(\log u)(8.3 + \log u)} + \frac{y-u}{(\log(y-u))(8.3 + \log(y-u))} \right)$$

where  $u = n - ky$ . If instead  $ky + y - e^{60} < n \leq ky + y$ , then

$$(R_k(n) + R_{k-1}(n))w(n) < \left( \frac{8Cu}{(\log u)(8.3 + \log u)} - 100u^{1/2} + e^{60} \right)$$

and since  $u > y - e^{60} > e^{120}$  it follows that

$$(R_k(n) + R_{k-1}(n))w(n) < \frac{8Cy}{(\log y)(8.3 + \log y)}.$$

A similar argument gives the same inequality when  $ky < n \leq ky + e^{60}$ . Also, by Lemma 5, we have

$$R_k(n)w(n) < \frac{8Cy}{(\log y)(8.3 + \log y)} \quad (k = 1 \text{ or } K).$$

Therefore the lemma will follow from (7.6) and (7.7) provided that we can show that

$$(7.8) \quad \frac{u}{(\log u)(8.3 + \log u)} + \frac{y-u}{(\log(y-u))(8.3 + \log(y-u))} \leq \frac{y}{\left(\log \frac{y}{2}\right) \left(8.3 + \log \frac{y}{2}\right)}$$

whenever  $e^{60} \leq u \leq \frac{1}{2}y$ . Write  $f(u)$  for the left hand side of (7.8) and consider it as a function of the continuous variable  $u$ . For brevity write  $l = \log u$ ,  $m = \log(y-u)$ , so that  $60 \leq l \leq \log \frac{y}{2} \leq m$ . Then

$$f'(u) = \frac{l(8.3+l) - 8.3 - 2l}{l^2(8.3+l)^2} - \frac{m(8.3+m) - 8.3 - 2m}{m^2(8.3+m)^2}.$$

Now  $(l(8.3+l) - 8.3 - 2l)l^{-2}(8.3+l)^{-2}$  is strictly decreasing for  $l \geq 60$ . Thus  $f'(u) > 0$  when  $m > l \geq 60$  and so (7.8) holds. This completes the proof of the lemma.

8. A lower bound for  $\Psi$ 

By (7.4),

$$w(n) = \sum_{\substack{d|n \\ 2 \nmid d}} \frac{\mu(d)}{\varphi(d)}.$$

Hence, by (7.5),

(8.1)

$$\Psi = \sum_{k=1}^K \Psi_k$$

where

(8.2)

$$\Psi_k = \sum_p \frac{\mu(d)}{\varphi(d)} \sum_{d|n} R_k(n).$$

By (7.3), when  $y > 4$  and  $d$  is odd,

(8.3)

$$\sum_{d|n} R_k(n) = \sum_{\substack{p \in I_k \\ d|p+p'}} \sum_{\substack{p' \in I_k \\ d|p+p'}} 1.$$

Hence, by (7.1) and (7.2), this expression is zero when  $d > \frac{1}{2}x$ . Let

(8.4)

$$\Xi_k = \sum_{\substack{\frac{1}{2}y < d \leq \frac{1}{2}x \\ 2 \nmid d}} \frac{\mu(d)}{\varphi(d)} \sum_{d|n} R_k(n).$$

Then, by (8.2),

(8.5)

$$\Psi_k = \Xi_k + \sum_{\substack{d \leq \frac{1}{2}y \\ 2 \nmid d}} \frac{\mu(d)}{\varphi(d)} \sum_{d|n} R_k(n).$$

Let

(8.6)

$$M_k = \left\lfloor \frac{1}{2}ky \right\rfloor, \quad N_k = \left\lfloor \frac{1}{2}ky + y \right\rfloor - M_k, \quad z = z_k = \left( \frac{2}{3}N_k \right)^{1/2}, \quad w = w_k = \frac{z}{100}.$$

When  $d \leq \frac{1}{2}y$  it follows from (7.2) that every prime  $p$  in  $I_k$  satisfies  $p \nmid d$ . Hence, by (8.3),

(8.7)

$$\sum_{d|n} R_k(n) = \frac{1}{\varphi(d)} \sum_{\chi \bmod d} \chi(-1) |S_k(\chi)|^2$$

where

(8.8)

$$S_k(\chi) = \sum_{p \in I_k} \chi(p).$$

Moreover each term in (8.7) is unaltered if we replace  $\chi$  by the primitive character  $\chi^*$  that induces it. Let  $d^*$  denote the conductor of  $\chi$ . Then, by (8.5) and (8.7),

(8.9)

$$\Psi_k = \Phi_k - \Delta_k + \theta_k + \Xi_k$$

where

$$(8.10) \quad \Phi_k = \sum_d \frac{\mu(d)}{2^{\nu(d)}} \sum_{\substack{\chi \bmod d \\ d^* \equiv w}} \chi^*(-1) |S_k(\chi^*)|^2,$$

$$(8.11) \quad \Delta_k = \sum_{d > \frac{1}{2}y} \frac{\mu(d)}{2^{\nu(d)}} \sum_{\substack{\chi \bmod d \\ d^* \equiv w}} \chi^*(-1) |S_k(\chi^*)|^2,$$

$$(8.12) \quad \theta_k = \sum_{d \leq \frac{1}{2}y} \frac{\mu(d)}{2^{\nu(d)}} \sum_{\substack{\chi \bmod d \\ d^* > w}} \chi(-1) |S_k(\chi)|^2.$$

Let

$$(8.13) \quad S_k = \sum_{p \in I_k} 1.$$

**Lemma 10.** *Suppose that  $\log y > 350$ . Then*

$$|\mathcal{E}_k| \leq 6.31 A_{10} S_k.$$

*Proof.* The length of  $I_k$  is  $y$  and in (8.3)  $p'$  is determined by  $p$  modulo  $2d$ . Hence when  $d > \frac{1}{2}y$ ,  $p'$  is uniquely determined. Therefore

$$\sum_{d|n} R_k(n) \leq S_k.$$

Hence, by (8.4),

$$|\mathcal{E}_k| \leq \sum_{\frac{1}{2}y < d \leq \frac{1}{2}x} \frac{\mu(d)^2}{\varphi(d)} S_k.$$

We have

$$(8.14) \quad \frac{1}{\varphi(d)} = \frac{1}{d} \sum_{r|d} \frac{\mu(r)^2}{\varphi(r)}.$$

Hence

$$\sum_{\frac{1}{2}y < d \leq \frac{1}{2}x} \frac{\mu(d)^2}{\varphi(d)} \leq \sum_{r \text{ odd}} \frac{\mu(r)^2}{r\varphi(r)} \sum_{\frac{1}{2r}y < m \leq \frac{1}{2r}x} \frac{1}{m} < \sum_{r \text{ odd}} \frac{\mu(r)^2}{r\varphi(r)} \left(1 + \log \frac{x}{y}\right).$$

Therefore, by (7.1) and (2.23),

$$|\mathcal{E}_k| \leq A_{10}(1 + \log(202)) S_k.$$

**Lemma 11.** *Suppose that  $\log y > 350$ . Then*

$$|\theta_k| \leq 3A_{10} \frac{y}{w} S_k.$$

*Proof.* When  $w < d \leq \frac{1}{2}y$ , it follows from (8.8) that

$$\sum_{\chi \bmod d} |S_k(\chi)|^2 = \varphi(d) \sum_{\substack{p \in I_k, p' \in I_k \\ p \equiv p' \pmod{d}}} 1 \leq \varphi(d) S_k \left(\frac{y}{d} + 1\right) \leq \frac{3\varphi(d)}{2d} y S_k.$$

Therefore, by (8.12),

$$|\theta_k| \cong \frac{3}{2} S_k y \sum_{\substack{d > w \\ 2 \nmid d}} \frac{\mu(d)^2}{d \varphi(d)}.$$

By (8.14),

$$\sum_{\substack{d > w \\ 2 \nmid d}} \frac{\mu(d)^2}{d \varphi(d)} \cong \sum_{r \text{ odd}} \frac{\mu(r)^2}{r^2 \varphi(r)} \sum_{m > w/r} \frac{1}{m^2} \cong \frac{2}{w} \prod_{p > 2} \left(1 + \frac{1}{p(p-1)}\right).$$

The lemma now follows from (2.23).

**Lemma 12.** *Suppose that  $\log y > 350$ . Then*

$$|\Delta_k| \cong 8A_{11} w S_k.$$

*Proof.* Clearly

$$\begin{aligned} \sum_{\substack{\chi \bmod d \\ d^* \equiv w}} |S_k(\chi^*)|^2 &\cong \sum_{\substack{r|d \\ r \equiv w}} \sum_{\chi \bmod r} |S_k(\chi)|^2 \cong \sum_{r \equiv w} \varphi(r) \sum_{\substack{p \in I_k, p' \in I_k \\ p \equiv p' \pmod{r}}} 1 \\ &\cong \sum_{r \equiv w} \varphi(r) S_k \left( \frac{y}{r} + 1 \right). \end{aligned}$$

Hence, by (8.6) and (8.11),

$$(8.15) \quad |\Delta_k| \cong \sum_{\substack{d > \frac{1}{2}y \\ 2 \nmid d}} \frac{\mu(d)^2}{\varphi(d)^2} 2wy S_k.$$

Define the multiplicative function  $g$  by

$$g(2) = 0, \quad g(p) = \frac{2p-1}{(p-1)^2} \quad (p > 2), \quad g(p^k) = 0 \quad (k > 1).$$

Then for odd squarefree  $d$

$$\frac{1}{\varphi(d)^2} = \frac{1}{d^2} \sum_{r|d} g(r).$$

Hence

$$\sum_{\substack{d > \frac{1}{2}y \\ 2 \nmid d}} \frac{\mu(d)^2}{\varphi(d)^2} \cong \sum_r \frac{g(r)}{r^2} \sum_{m > \frac{y}{2r}} \frac{1}{m^2} \cong \frac{4}{y} \sum_r \frac{g(r)}{r}.$$

Therefore, by (8.15) and (2.24), we have the lemma.

**Lemma 13.** *Suppose that  $\log y > 350$ . Then*

$$\Phi_k \cong \frac{1}{2} C \left( \frac{16}{15} S_k^2 - \frac{2S_k(N_k - S_k \log 5)}{15(-2.9024 + \log N_k)} \right)$$

*Proof.* By (8.10),

$$\Phi_k = \sum_{q \equiv w} \sum_{\chi \bmod q}^* \chi(-1) |S_k(\chi)|^2 \sum_{\substack{d|q \\ 2 \nmid d}} \frac{\mu(d)}{\varphi(d)^2}$$

where  $\sum^*$  means that we sum only over the primitive characters modulo  $q$ . Let

$$f(q) = \prod_{p|q} \frac{1}{p(p-2)}$$

when  $q$  is odd and squarefree, and let  $f(q)=0$  otherwise. Then, by (2.3),

$$\sum_{\substack{d \\ \frac{q|d}{2 \nmid d}} \frac{\mu(d)}{\varphi(d)^2} = \frac{1}{2} C\mu(q)f(q).$$

Hence

$$(8.16) \quad \Phi_k = \frac{1}{2} C \sum_{q \equiv w} \mu(q)f(q) \sum_{\chi \bmod q}^* \chi(-1)|S_k(\chi)|^2.$$

Let

$$S(\alpha) = \sum_{p \in I_k} e(\alpha p)$$

where  $e(\beta) = e^{2\pi i\beta}$ . Then, by (2.6) of Montgomery and Vaughan [4], (7.2) and (8.6), we have

$$(8.17) \quad \sum_{q \equiv z} \left(N_k + \frac{3}{2} qz\right)^{-1} \sum_{\substack{a=1 \\ (a,q)=1}}^q \left|S\left(\frac{a}{q}\right)\right|^2 \cong S_k.$$

When  $\chi$  is a character modulo  $q$ , let  $\tau(\chi)$  denote the gaussian sum associated with  $\chi$ ,

$$\tau(\chi) = \sum_{r=1}^q \chi(r)e\left(\frac{r}{q}\right).$$

Then, for  $q \equiv \frac{1}{2}y$ ,

$$S_k\left(\frac{a}{q}\right) = \frac{1}{\varphi(q)} \sum_{\chi \bmod q} \chi(a) \tau(\bar{\chi}) S_k(\chi).$$

Hence

$$\sum_{\substack{a=1 \\ (a,q)=1}}^q \left|S_k\left(\frac{a}{q}\right)\right|^2 = \frac{1}{\varphi(q)} \sum_{\chi} |\tau(\chi)|^2 |S_k(\chi)|^2.$$

Let  $q^*$  denote the conductor of  $\chi$ . It is easily shown (e.g. on page 67 of Davenport [1]) that  $|\tau(\chi)|^2 = q^*$  when  $q/q^*$  is squarefree and  $(q/q^*, q^*)=1$ , and that  $|\tau(\chi)|^2 = 0$  otherwise. Hence

$$\sum_{\substack{a=1 \\ (a,q)=1}}^q \left|S_k\left(\frac{a}{q}\right)\right|^2 = \sum_{\substack{r|q \\ (q/r,r)=1}} \frac{\mu(q/r)^2 r}{\varphi(q)} \sum_{\chi \bmod r}^* |S_k(\chi)|^2.$$

Therefore, by (8.6) and (8.17),

$$\sum_{r \equiv z} \frac{r}{\varphi(r)} \left( \sum_{\substack{m \equiv z/r \\ (m,r)=1}} \frac{\mu(m)^2}{\varphi(m)} \cdot \frac{1}{1+rmz^{-1}} \right) \sum_{\chi \bmod r}^* |S_k(\chi)|^2 \cong S_k N_k.$$

By Lemmas 3 and 8 of Montgomery and Vaughan [4] and (8.6), whenever

$$r \cong w$$

we have

$$\frac{r}{\varphi(r)} \sum_{\substack{m \cong z/r \\ (m,r)=1}} \frac{\mu(m)^2}{\varphi(m)} \cdot \frac{1}{1+rmz^{-1}} > 0.361 + \log \frac{z}{r}.$$

Hence

$$(8.18) \quad \sum_{5 \cong r \cong w} \left( 0.361 + \log \frac{z}{r} \right) \sum_{\chi \bmod r}^* |S_k(\chi)|^2 \cong S_k N_k - S_k^2 (0.361 + \log z).$$

There is only one primitive character  $\chi$  modulo 3, and for that character we have  $\chi(-1) = -1$ . Hence, by (8.16),

$$\Phi_k \cong \frac{1}{2} C (S_k^2 - \sum_{5 \cong q \cong w} f(q) \sum_{\chi \bmod q}^* |S_k(\chi)|^2).$$

Therefore, by (8.18),

$$\Phi_k \cong \frac{1}{2} C (S_k^2 - F S_k N_k + F S_k^2 (0.361 + \log z))$$

where

$$F = \max_{5 \cong q \cong w} \frac{f(q)}{0.361 + \log \frac{z}{q}}.$$

The lemma will now follow from (8.6) if we show that the maximum occurs when  $q=5$ . Consider the function of  $\alpha$

$$\alpha \left( 0.361 + \log \frac{z}{\alpha} \right) \quad (1 \cong \alpha \cong z).$$

This has its maximum when  $\alpha = z \exp(-0.639)$ , i.e., by (8.6), when  $\alpha > w$ . Hence it is strictly increasing when  $5 \cong \alpha \cong w$ . Therefore, when  $7 \cong q \cong w$  and  $q$  is odd and squarefree we have

$$\frac{f(q)}{0.361 + \log \frac{z}{q}} = \left( \prod_{p|q} \frac{1}{p-2} \right) \frac{1}{q \left( 0.361 + \log \frac{z}{q} \right)} \cong \frac{1}{3} \frac{1}{7 \left( 0.361 + \log \frac{z}{7} \right)}.$$

By (8.6) and the hypothesis  $\log y > 350$  this is

$$< \frac{1}{15 \left( 0.361 + \log \frac{z}{5} \right)}.$$

This establishes that the maximum occurs when  $q=5$  and completes the proof of the lemma.

**Lemma 14.** *Suppose that  $\log y > 350$ . Then*

$$\Psi_k \cong \frac{C}{15} \left[ \left( 8 + \frac{\log 5}{\log y} \right) S_k^2 - \frac{y}{\log y} \left( 1 + \frac{2.9267}{\log y} \right) S_k \right].$$

*Proof.* By (2.25),

$$6.31A_{10} < e^{-300} \frac{y}{(\log y)^2}.$$

Hence, by Lemma 10 and (2.4),

$$(8.19) \quad |\mathcal{E}_k| \cong \frac{C}{15} 10^{-50} \frac{y}{(\log y)^2} S_k.$$

By (8.6),  $y-1 < N_k < y+1$ ,  $z = \left( \frac{2}{3} N_k \right)^{1/2}$ ,  $w = \frac{z}{100}$ .

Therefore  $w > \frac{1}{200} y^{1/2} > e^{125} (\log y)^2$ . Therefore, by (2.25), Lemma 11 and (2.4),

$$(8.20) \quad |\theta_k| \cong \frac{C}{15} 10^{-50} \frac{y}{(\log y)^2} S_k.$$

Similarly  $w < \left( \frac{2}{3} N_k \right)^{1/2} < y^{1/2} < e^{-125} y (\log y)^{-2}$ . Hence, by (2.26), Lemma 12 and (2.4),

$$(8.21) \quad |A_k| \cong \frac{C}{15} 10^{-50} \frac{y}{(\log y)^2} S_k.$$

We have

$$\begin{aligned} \frac{N_k}{-2.9024 + \log N_k} &< \frac{y}{\log y} \left( 1 + \frac{1}{y} \right) \left( 1 - \frac{2.9024}{\log y} \right)^{-1} = \frac{y}{\log y} \\ &+ \frac{y}{(\log y)^2} \left( \frac{\log y}{y} + (2.9024) \frac{\log y}{-2.9024 + \log y} + \frac{(2.9024) \log y}{y(-2.9024 + \log y)} \right). \end{aligned}$$

Since  $\log y \cong 350$ , this does not exceed

$$\frac{y}{\log y} + (2.92667) \frac{y}{(\log y)^2}.$$

We also have

$$-2.9024 + \log N_k < -2.9024 + \log(y+1) < \log y.$$

Hence, by Lemma 13,

$$\Phi_k \cong \frac{C}{15} \left[ \left( 8 + \frac{\log 5}{\log y} \right) S_k^2 - \frac{y}{\log y} \left( 1 + \frac{2.92667}{\log y} \right) S_k \right].$$

The lemma now follows from this and (8.9), (8.19) (8.20) and (8.21).

We now have to estimate  $S_k^2$  from below, and the following lemma gives a suitable bound.

**Lemma 15.** *Suppose that  $\log y > 350$ . Then*

$$\sum_{k=1}^K S_k > \frac{Ky}{\log y} \left( 1 - \frac{3.6581}{\log y} \right).$$

*Proof.* By (7.2) and (8.13),

$$(8.22) \quad \sum_{k=1}^K S_k = \pi\left(\frac{1}{2}Ky + \frac{1}{2}y\right) + \pi\left(\frac{1}{2}Ky + y\right) - \pi\left(\frac{1}{2}y\right) - \pi(y).$$

By (4.1), when  $\lambda \geq 1$  we have

$$\begin{aligned} \pi(\lambda y) &> \frac{\lambda y}{\log \lambda y} + (0.9911) \frac{\lambda y}{(\log \lambda y)^2} \\ &= \frac{\lambda y}{\log y} \left( 1 - \frac{1}{\log y} \left( (\log \lambda) \frac{\log y}{\log \lambda y} - (0.9911) \left( \frac{\log y}{\log \lambda y} \right)^2 \right) \right). \end{aligned}$$

Moreover, when  $\lambda \geq \exp(1.9822)$

$$(\log \lambda)z - (0.9911)z^2$$

is an increasing function of  $z$  for  $z \leq 1$  and  $(\log y)/\log \lambda y$  is an increasing function of  $y$  bounded above by 1. Thus

$$(8.23) \quad \pi(\lambda y) > \frac{\lambda y}{\log y} \left( 1 - \frac{(\log \lambda) - 0.9911}{\log y} \right).$$

By (4.2),

$$\pi(y) < \frac{y}{\log y} \left( 1 + \frac{1.0151}{\log y} \right)$$

and

$$\begin{aligned} \pi\left(\frac{1}{2}y\right) &< \frac{y}{2\log y} \left( 1 + \frac{1}{\log y} \left( (\log 2) \frac{\log y}{\log y/2} + (1.0151) \left( \frac{\log y}{\log y/2} \right)^2 \right) \right) \\ &< \frac{y}{\log y} \left( \frac{1}{2} + \frac{0.85683}{\log y} \right). \end{aligned}$$

Therefore, by (8.22) and (8.23),

$$\sum_{k=1}^K S_k > \frac{Ky}{\log y} \left( 1 - \frac{A_{12}}{\log y} \right)$$



where

$$A_{12} = \frac{1}{K} \left( \frac{K+1}{2} \left( \log \frac{K+1}{2} - 0.9911 \right) \right. \\ \left. + \frac{K+2}{2} \left( \log \frac{K+2}{2} - 0.9911 \right) + 1.0151 + 0.85683 \right).$$

The lemma now follows from (7.1).

### 9. Completion of the proof of (1.1)

In view of Lemmas 7 and 8 it suffices now to show that

$$(9.1) \quad N(x) - N(y) > \frac{x-y}{18}$$

where  $\log x \geq 375$  and  $y$  is given by (7.1) (so that  $\log y > 350$ ).

By Cauchy's inequality and Lemma 15,

$$\sum_{k=1}^K S_k^2 \cong \frac{1}{K} (\sum_{k=1}^K S_k)^2 > \frac{y}{\log y} \left( 1 - \frac{3.6581}{\log y} \right) \sum_{k=1}^K S_k.$$

Therefore, by Lemma 14 and (8.1),

$$\Psi > \frac{C}{15} \left( \left( 8 + \frac{\log 5}{\log y} \right) \left( 1 - \frac{3.6581}{\log y} \right) - \left( 1 + \frac{2.9267}{\log y} \right) \right) \frac{y}{\log y} \sum_{k=1}^K S_k \\ > \frac{C}{15} \left( 7 - \frac{30.5989}{\log y} \right) \frac{y}{\log y} \sum_{k=1}^K S_k.$$

Thus, by Lemma 15 again,

$$(9.2) \quad \Psi > \frac{C}{15} \left( 7 - \frac{56.2056}{\log y} \right) \frac{Ky^2}{(\log y)^2}.$$

Since  $\log y \geq 350$  we have

$$\frac{\left( \log \frac{y}{2} \right) \left( 8.3 + \log \frac{y}{2} \right)}{(\log y)^2} = 1 + \frac{1}{\log y} \left( 8.3 - 2 \log 2 - \frac{(8.3 - \log 2) \log 2}{\log y} \right) \\ > 1 + \frac{6.8986}{\log y}.$$

Therefore, by (9.2) and Lemma 9,

$$\begin{aligned} N(x) - N(y) &> \frac{Ky}{120} \left( 7 - \frac{56.2056}{\log y} \right) \left( 1 + \frac{6.8986}{\log y} \right) \\ &> \frac{Ky}{120} \left( 7 - \frac{9.0233}{\log y} \right) > (6.974) \frac{Ky}{120}. \end{aligned}$$

Hence, by (7.1),

$$N(x) - N(y) > (0.0578)(x - y),$$

which gives (9.1) and so completes the proof of (1.1).

### 10. The computations

The different products taken over all primes  $p$  with  $p \equiv q$  were computed in the following manner. Consider

$$Q = \prod_{p \equiv q} f(p)$$

or equivalently

$$R = \log Q = \sum_{p \equiv q} \log f(p).$$

In each case it is possible to expand  $\log f(p)$  in the form

$$\sum_{j=r+1}^{\infty} a_j p^{-j/r}.$$

Usually  $r=1$ , but in the case of (2.8) it is necessary to take  $r=3$ . Thus

$$R = \sum_{j=r+1}^{\infty} a_j P_q(j/r)$$

where

$$P_q(s) = \sum_{p \equiv q} p^{-s}.$$

The value of  $P_q(s)$  can be easily deduced from the corresponding value of the prime zeta function

$$P(s) = P_2(s).$$

For some values of  $s$  this has been computed by Fröberg [3]. In general the value of  $P(s)$  can be obtained from the relation

$$P(s) = \sum_{k=1}^{\infty} \mu(k) k^{-1} \log \zeta(ks).$$

Since  $\log \zeta(ks) \sim \log(1 + 2^{-ks}) \sim 2^{-ks}$  this converges more rapidly than the geometric series

$$\sum_{k=1}^{\infty} (2^{-s})^k.$$

However the convergence is still quite slow when  $s$  is close to 1. For instance, to find  $P\left(\frac{4}{3}\right)$  correct to 10 decimal places would already require about 25 terms. This dif-

difficulty was surmounted by using instead the relation

$$P_q(s) = \sum_{k=1}^{\infty} \mu(k)k^{-1} \log \zeta_q(ks)$$

where

$$\zeta_q(ks) = \prod_{p \equiv q} (1 - p^{-s})^{-1} = \zeta(s) \prod_{2 \leq p < q} (1 - p^{-s}).$$

We chose  $q=19$  and terminated the summation at  $k=15$ . Then the relative error is

$$P_{19}(s)^{-1} \sum_{k=17}^{\infty} \mu(k)k^{-1} \log \zeta_{19}(ks)$$

and since  $n^{-s} \leq \frac{1}{2} \int_{n-1}^{n+1} u^{-s} du$ , so that  $S_{19}(s) \leq 1 + 19^{-s} + \frac{1}{2} \int_{22}^{\infty} u^{-s} du$ , the relative error is majorized by

$$19^s \sum_{k=17}^{\infty} \mu(k)^2 k^{-1} 19^{-ks} \left( 1 + \frac{11}{16} \left( \frac{19}{22} \right)^{17} \right) < 19^{-16s} 15^{-1} < (2.4)10^{-22}.$$

Thus we have only a small error in  $P_{19}(s)$  provided that  $\log \zeta_{19}(ks)$  can be computed with a small error. Since  $\zeta_{19}(ks)$  is close to 1 when  $ks$  is large it is necessary, in order to avoid loss of accuracy in this case, to write  $x = \zeta_{19}(ks) - 1$  and to calculate  $\log(1+x)$  as

$$x - \frac{1}{2} x^2 + \frac{1}{3} x^3 - \dots$$

This in turn requires an accurate estimate for  $\zeta_{19}(s) - 1$  when  $s > 1$ . This was obtained, without much cancellation, from the relation

$$\zeta_{19}(s) = \zeta_3(s) \prod_{3 \leq p \leq 17} (1 - p^{-s})$$

rewritten as

$$\zeta_{19}(s) - 1 = T \prod_{3 \leq p \leq 17} (1 - p^{-s}) + U$$

where

$$T = \sum_{\substack{n \geq 19 \\ n \text{ odd}}} n^{-s}$$

and  $U$  is a finite sum consisting of powers of primes not exceeding 17. The sum  $T$  was computed, as usual, *via* the Euler—Maclaurin summation formula and the rest of the calculation introduced only rounding errors.

As a check on the programme we also computed  $\log \zeta_{19}(s)$  as

$$\sum_{k=1}^{20} P_{19}(ks) k^{-1}$$

for different values of  $s$ . In no case was the difference larger than  $10^{-17} \log \zeta_{19}(s)$ .

All the sums and products needed were computed by using the calculated values of  $P_{19}(s)$ . For example, in the case of (2.8), the general factor in  $H\left(-\frac{1}{3}\right)$  when

$p \geq 3$  is given by

$$1 + \frac{4}{p^{2/3}(p-2)} + \frac{3p+2}{p^{1/3}(p-2)} + \frac{2}{p(p-2)}$$

and on writing  $z = p^{-1/3}$  this becomes

$$1 + \sum_{k=4}^{\infty} c_k z^k$$

with

$$c_4 = 3, \quad c_5 = 4, \quad c_k = 2^{l-1} \quad (k = 3l), \quad c_k = 2^{1+l} \quad (k = 3l+1, k = 3l+2)$$

when  $l \geq 2$ . The logarithm of this has an expansion of the form

$$\sum_{k=4}^{\infty} b_k z^k,$$

where

$$b_k = \sum_{1 \leq j \leq 4k} (-1)^{j-1} j^{-1} \sum_{\substack{l_1, \dots, l_j = k \\ l_1 + \dots + l_j = k}} c_{l_1} \dots c_{l_j}.$$

We calculated  $H\left(-\frac{1}{3}\right)$  by truncating at  $k=62$ . This probably gives rise to a truncation error  $< 10^{-19}$ . However this is quite difficult to prove. Instead the following crude argument suffices for our purposes.

Clearly, when  $0 \leq z \leq 9/16$ ,

$$\sum_{k=4}^{\infty} |b_k| z^k \leq -\log(1 - \sum_{k=4}^{\infty} c_k z^k).$$

Hence

$$|\sum_{k=63}^{\infty} b_k z^k| \leq \left(\frac{16}{9} z\right)^{63} F\left(\frac{9}{16}\right) \quad \left(0 \leq z \leq \frac{9}{16}\right)$$

where

$$F(z) = -\log\left(1 - \frac{4z^5}{1-2z^3} - \frac{3z^4+2z^7}{1-2z^3} - \frac{2z^6}{1-2z^3}\right).$$

Thus

$$F\left(\frac{9}{16}\right) < 3.5$$

and

$$|\sum_{k=63}^{\infty} b_k z^k| < 3.5 \left(\frac{16}{9} z\right)^{63}.$$

It follows that

$$\log \prod_{p \geq 19} \left(1 + \frac{4}{p^{2/3}(p-2)} + \frac{3p+2}{p^{4/3}(p-2)} + \frac{2}{p(p-2)}\right) = \sum_{k=4}^{62} b_k P_{19}(k/3) + E$$

where

$$|E| < (3.5) \left(\frac{16}{9}\right)^{63} P_{19}(21) < 10^{-10}.$$

The coefficients  $b_k$  were evaluated exactly by a computer programme when  $k \leq 62$ . Then the prior estimates for  $P_{19}(s)$  give

$$\sum_{k=4}^{62} b_k P_{19}(k/3) = 0.8850635511946 \dots$$

The estimate (2.8) now follows.

The series containing  $\log p$  and  $(\log p)^2$  were computed as derivatives, *via* the relation

$$\frac{d^k}{ds^k} p^{-s} f(p) = (-\log p)^k p^{-s} f(p),$$

by Richardson extrapolation with successive differences  $h=0.08, 0.04, 0.02, 0.01$ . An analysis of the errors arising shows that, using floating point, double precision arithmetic (61 bits=18 decimals) throughout we obtained about 16 decimal places in function values, 14 in first derivatives, and 12 in second derivatives.

The very laborious computation of  $T(u)$ , given by (2.27), for all primes  $u < 10^5$ , was speeded up in the following manner. First of all the value of

$$\prod_{\substack{p|d \\ p > 2}} \frac{p-1}{p-2}$$

was calculated for each even  $d < 10^5$  and stored. Then for each prime  $u$  the value of  $T(u)$  was updated from the value of  $T$  for the previous prime by adding on the contributions arising from each  $d$  with  $d=u-p$  and  $p < u$ . This required about  $\frac{1}{2} \pi(10^5)^2 \cong 46 \cdot 10^6$  accesses to the values stored at the beginning. Using double precision arithmetic we finally found

$$T(99989) = 80096030.30 \dots$$

correct to at least 10 significant figures.

## 11. Acknowledgement

The second named author is indebted to the Mittag—Leffler Institute for support and hospitality while the work culminating in this paper was in progress.

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*Received October 8, 1981*

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