

## INVERSES, POWERS AND CARTESIAN PRODUCTS OF TOPOLOGICALLY DETERMINISTIC MAPS

MICHAEL HOCHMAN — ARTUR SIEMASZKO

---

ABSTRACT. We show that if  $(X, T)$  is a topological dynamical system which is deterministic in the sense of Kamiński, Siemaszko and Szymański then  $(X, T^{-1})$  and  $(X \times X, T \times T)$  need not be deterministic in this sense. However if  $(X \times X, T \times T)$  is deterministic then  $(X, T^n)$  is deterministic for all  $n \in \mathbb{N} \setminus \{0\}$ .

### 1. Introduction

By a topological dynamical system we mean a pair  $(X, T)$ , where  $X$  is a compact metric space, and  $T: X \rightarrow X$  an onto continuous map. A factor map between systems  $(X, T)$  and  $(Y, S)$  is a continuous onto map  $\pi: X \rightarrow Y$  satisfying  $S\pi = \pi T$ .

This note concerns systems  $(X, T)$  which are topologically deterministic (TD), i.e. whenever  $(Y, S)$  is a factor of  $(X, T)$ , the map  $S$  is invertable. This notion was introduced by Kamiński, Siemaszko and Szymański in [3] as a natural topological analogue of determinism in ergodic theory, which can be defined similarly. Most work to date has focused on the relation of TD and topological entropy, see [3] and [2]. A relative version, analogous to the relative entropy theory, was introduced in [4]. Our purpose here is to study some other basic properties of TD

---

2010 *Mathematics Subject Classification.* 37B40, 54H20.

*Key words and phrases.* Topological dynamics, topological determinism, recurrence.

The first named author supported by NSF grant 0901534.

The secondnamed author supported by MNiSzW grant N201384834.

systems, namely, the relation between determinism of  $(X, T)$  and determinism of the systems  $(X, T^n)$  and  $(X \times X, T \times T)$ .

In the ergodic category, i.e. for measurable transformations  $T$  preserving a probability measure  $\mu$ , the analogous notion of determinism is that every measurable factor is invertible, and this is well-known to be equivalent to the vanishing of the Kolmogorov–Sinai entropy. Since  $h(T^n, \mu) = |n|h(T, \mu)$ ,  $n \in \mathbb{Z} \setminus \{0\}$ , and  $h(T \times T, \mu \times \mu) = 2h(T, \mu)$ , the vanishing of any one of these implies the same for the others, and hence determinism of  $T$ ,  $T^n$  and  $T \times T$  are equivalent. In the topological category, determinism is not equivalent to zero topological entropy, and, as it turns out, the relation between determinism of powers and products is more tenuous.

**THEOREM 1.1.** *There exist TD systems  $(X, T)$  such that  $(X, T^{-1})$  is not TD.*

**THEOREM 1.2.** *There exists TD systems  $(X, T)$  such that  $(X \times X, T \times T)$  is not TD.*

On the other hand,

**PROPOSITION 1.3.** *If  $(X \times X, T \times T)$  is TD then  $(X, T^n)$  is TD for all  $n \geq 1$ .*

It is not clear as yet whether determinism of  $(X, T)$  implies the same for  $(X, T^n)$ ,  $n \geq 1$ , although the converse is trivially true, i.e. determinism of  $(X, T^n)$  for any  $n > 1$  implies it for  $(X, T)$ .

In the next section we prove the proposition. In Sections 3 and 4 we give the constructions which prove Theorems 1.1, 1.2, respectively.

## 2. Basic properties of TD systems

For general background on topological dynamics see e.g. [5]. Given a system  $(X, T)$  and  $x \in X$  we write

$$\omega_T(x) = \bigcap_{n=1}^{\infty} \overline{\bigcup_{k \geq n} T^k x}.$$

Let  $T \times T$  denote the diagonal map on  $X \times X$ : i.e.  $T \times T(x', x'') = (Tx', Tx'')$ . Let  $\text{CER}(X)$  denote the space of closed equivalence relations on  $X$ , and  $\text{ICER}(X)$  for the invariant ones, i.e.

$$\text{ICER}(X) = \{R \in \text{CER}(X) : T \times T(R) = R\}.$$

Also write  $\text{ICER}^+(X)$  for the forward invariance equivalence relations:

$$\text{ICER}^+(X) = \{R \in \text{CER}(X) : T \times T(R) \subseteq R\}.$$

There is a bijection between factors of  $(X, T)$  and members of  $\text{ICER}^+(X)$ , given by the partition induced by the factor map. The image system is invertible if and only if the corresponding relation is in  $\text{ICER}(X)$ . It follows that (see in [3]):

PROPOSITION 2.1.  $(X, T)$  is TD if and only if  $\text{ICER}^+(X) = \text{ICER}(X)$ .

A point  $x \in X$  is forward recurrent if there is a sequence  $n_k \rightarrow \infty$  such that  $T^{n_k}x \rightarrow x$ . Clearly if every point in  $X \times X$  is  $T \times T$  forward-recurrent then every forward invariant subset of  $X \times X$  is invariant, and in particular  $\text{ICER}^+(X) = \text{ICER}(X)$ . This implies:

LEMMA 2.2. *Let  $(X, T)$  be a topological dynamical system. If every point of  $X \times X$  is forward-recurrent for  $T \times T$  then  $(X, T)$  is TD.*

This is the main condition used to establish that a system is TD. We shall see that it is not in fact equivalent to TD, see Section 4. However, there is a partial converse:

LEMMA 2.3. *If  $(X, T)$  is deterministic then every point in  $X$  is forward recurrent for  $T$ .*

PROOF. Suppose  $x \in X$  is not forward recurrent. Set

$$X_0 = \{T^n x : n \geq 0\} \cup \omega_T(x).$$

It is easily checked that  $X_0$  is a closed and forward-invariant but not invariant subset of  $X$ . Let

$$R = \{(x', x'') : x', x'' \in X_0\} \cup \{(x, x) : x \in X\}.$$

Then  $R \in \text{ICER}^+$  but  $R \notin \text{ICER}$ . Hence  $(X, T)$  is not TD.

LEMMA 2.4. *If  $x$  is forward recurrent for  $T$  then  $x$  is forward recurrent for  $T^n$  for every  $n \geq 0$ .*

PROOF. Denote by  $\omega_f(y)$  the  $\omega$ -limit set of a point  $y$  under a map  $f$ . Assuming the contrary, let  $N$  be the least natural number such that  $x$  is not forward recurrent for  $(X, T^N)$ , i.e.  $x \notin \omega_{T^N}(x)$  but  $x \in \omega_{T^n}(x)$  for all  $1 \leq n < N$ . Since

$$\omega_T(x) = \bigcup_{k=0}^{N-1} \omega_{T^N}(T^k x)$$

there is some  $0 < r < N$  for which  $x \in \omega_{T^N}(T^r x)$ , or equivalently  $T^M x \in \omega_{T^N}(x)$ , where  $M = N - r$ . Hence  $\omega_{T^N}(T^M x) \subseteq \omega_{T^N}(x)$ . Since  $T^M$  is an endomorphism of  $(X, T)$ , it follows from  $T^M x \in \omega_{T^N}(x)$  that

$$T^{2M} x = T^M(T^M x) \in \omega_{T^N}(T^M x) \subseteq \omega_{T^N}(x)$$

and by induction  $T^{kM} x \in \omega_{T^N}(x)$  for every  $k \geq 0$ , so  $\omega_{T^M}(x) \subseteq \omega_{T^N}(x)$ . Hence  $x \notin \omega_{T^M}(x)$ . But  $0 < M < N$ , contradicting the definition of  $N$ .  $\square$

PROOF OF PROPOSITION 1.3. Suppose  $(X \times X, T \times T)$  is TD; we wish to show that  $(X, T^n)$  is TD for all  $n \geq 1$ .

If  $(X \times X, T \times T)$  is TD then, by Lemma 2.3, every point in  $X \times X$  is forward recurrent for  $T \times T$ . Hence, by the last lemma, for every  $n \geq 1$ , every point in  $X \times X$  is forward recurrent for  $(T \times T)^n$ . Thus by Lemma 2.2,  $(X, T^n)$  is deterministic.  $\square$

### 3. Proof of Theorem 1.1

We construct a deterministic system  $(X, T)$  such that  $(X, T^{-1})$  is not deterministic.

A system  $(X, T)$  is pointwise rigid if there exists a sequence  $(n_k)_{k=1}^\infty \subseteq \mathbb{N}$  such that  $T^{n_k}x \rightarrow x$  for every  $x \in X$ . Clearly this implies that  $(X \times X, T \times T)$  is also pointwise rigid and that every point in  $X \times X$  is forward recurrent, so by Lemma 2.2  $(X, T)$  is TD. We shall construct a pointwise rigid system such that  $(X, T^{-1})$  contains a fixed point  $x_0$  and a point  $x_0 \neq x \in X$  such that  $T^{-n}x \rightarrow x_0$ ; thus  $x$  is not forward recurrent for  $T^{-1}$  so  $(X, T^{-1})$  is not deterministic. Note that this also shows that  $(X, T^{-1})$  is not pointwise rigid, even though  $(X, T)$  is. A similar construction appears in [1].

Write  $I = [0, 1]$ . Let  $\mathbb{N} = \{1, 2, \dots\}$  and endow  $I^\mathbb{N}$  with the product topology. Write  $x(i)$  for the  $i$ -th coordinate of  $x \in I^\mathbb{N}$  and let  $T$  denote the shift map on  $I^\mathbb{N}$ , i.e.  $(Tx)(i) = x(i+1)$ .

We aim to construct a point  $x \in I^\mathbb{N}$  and a sequence  $(n_k)_{k=1}^\infty$ ,  $n_k \rightarrow \infty$ , such that

- (1)  $0^k 1$  appears in  $x$  for arbitrarily large  $k$ ,
- (2) If  $ab_1 \dots b_k$  appears in  $x$  for some symbols  $a, b_i \in [0, 1]$ , and  $b_i \leq \varepsilon$  for  $i = 1, \dots, k$  then  $a \leq \varepsilon + 1/u(k)$ , where  $u(k)$  is a sequence tending to  $\infty$  as  $k \rightarrow \infty$ .
- (3) If  $y = T^m x$  and  $y(1) \dots y(k) \neq 0 \dots 0$  then  $|T^{n_k} y(i) - y(i)| < 1/k$  for  $i = 1, \dots, k$ .

Assuming we have constructed such a point  $x$ , take  $X \subseteq [0, 1]^\mathbb{Z}$  to be the bilateral extension of the orbit closure of  $x$ , that is, the set of  $y \in I^\mathbb{Z}$  such that every finite subword of  $y$  appears in some accumulation point of  $\{T^k x\}_{k=1}^\infty$ . Condition (1) implies that the fixed point  $\bar{0} = \dots 000 \dots$  is in  $X$  and that there is a point  $y = \dots 0001y'$  in  $X$  for some  $y' \in I^\mathbb{N}$ . Clearly the backward orbit of  $y$  under the shift converges to  $\bar{0}$ . Condition (3) implies that if  $z \in X$  is not forward-asymptotic to  $\bar{0}$  then  $T^{n(k)}z \rightarrow z$ . Finally, (2) guarantees that the only point which is forward asymptotic to  $\bar{0}$  is  $\bar{0}$  itself: indeed, if  $z$  is asymptotic to  $\bar{0}$  then, for every  $\varepsilon > 0$ , there is an  $i_0$  such that  $z(i) < \varepsilon$  for every  $i > i_0$ , and it follows from this that  $z(i) \leq \varepsilon$  for every  $i \leq i_0$  as well, and consequently  $z = \bar{0}$ . Since  $\bar{0}$  is a fixed point, (1)–(3) imply that  $(X, T)$  is pointwise rigid.

The definition of  $x$  is by induction. Start the induction with  $n_1 = 3$  and  $x^1 = 100$ .

At the  $m$ -th stage of the construction we will have defined  $n_1, \dots, n_m \in \mathbb{N}$  and  $x^m = x(1) \dots x(n_m)$  and the final  $m + 1$  letters of  $x^m$  will be 0.

Suppose this is the case; we must define  $n_{m+1}$  and  $x^{m+1}$ . For  $t \in [0, 1]$  let  $t \cdot x^m$  for the pointwise product, i.e.  $(t \cdot x^m)(i) = t \cdot x^m(i)$ . Note that  $0 \cdot x^m = 00 \dots 0$ . Also write  $ab$  for the concatenation of  $a$  and  $b$ . Define

$$x^{m+1} = x^m x^m \left( \frac{m}{m+1} \cdot x^m \right) \left( \frac{m-1}{m+1} \cdot x^m \right) \dots \left( \frac{1}{m+1} \cdot x^m \right) \left( 0 \cdot x^m \right)$$

and let  $n_{m+1}$  be the length of  $x^{m+1}$  (so by induction  $n_{m+1} = (m+3)n_m$  and, in particular,  $n_m \geq m$ ).

Each  $x^m$  thus begins with a 1 and ends with  $0^{n_m}$ , and since  $x^{m+1}$  begins with  $x^m x^m$  condition (1) of the construction holds.

To verify (2), define  $u = u(k)$  to be the least integer  $u$  such that the length of  $x^u$  is at least  $k$ . Note that all  $x^n$  for  $n \geq u$ , and therefore all  $x \in X$ , are concatenations of blocks of the form  $t \cdot x^{u-1}$ . Therefore our given block  $c = ab_1 \dots b_k$  is contained in a concatenation  $c_0 c_1 \dots c_{p+1}$ , where  $p \geq 2$  is some integer,  $c_i$  are of the form  $t_i \cdot x^{u-1}$  for  $1 \leq i \leq p$ ,  $c_0$  is  $t_0 \cdot x^{u-1}$  or a non-empty terminal sub-block of it, and  $c_{p+1}$  is  $t_{p+1} \cdot x^{u-1}$  or an initial sub-block of it. Notice that, by choice of  $u$ , the sequence  $t_i$  is either decreasing (if  $c$  is actually contained in a block of the form  $t \cdot x^u$ ), or is the concatenation of two decreasing sequences. In the latter case, the second decreasing sequence must begin with a 1, so one of the  $b_j$ 's is the first symbol of  $x^{u-1}$ , which is a 1, and so  $\varepsilon \geq 1$  and the conclusion  $a \leq \varepsilon$  is trivial. In the former case, there exists an  $r \geq i$  such that  $t_i = (r-i)/u$  for all  $i$ . Then one of the  $b_j$ 's is the first symbol of  $(r-1)/u \cdot x^u$ , so  $\varepsilon \geq (r-1)/u$ . But  $a$  belongs to  $r/u \cdot x^u$  so  $a \leq r/u \leq \varepsilon + 1/u$ , which is the desired inequality.

For (3), we claim that for each  $m$  and  $k < m$  if  $0 \leq i < n_m - n_k$  and  $x^m(i), \dots, x^m(i+k-1) \neq 0$  then  $|x^m(i) - x^m(i+n_k-1)| < 1/k$ . The proof is by induction on  $m$ , using the fact that if  $y$  satisfies this condition then so does  $t \cdot y$  for  $t \in [0, 1]$ . Specifically, let  $m, k, i$  as above. If  $k = m - 1$  the proof is immediate from the construction. Otherwise write  $x^m = y_1 \dots y_{m+2}$  with  $y_j = t_j x^{m-1}$  as in the definition. Let  $i = s \cdot n_{m-1} + i'$  for  $s \in \{0, \dots, m+1\}$ ,  $i' \in \{0, \dots, n_{m-1} - 1\}$ . If  $0 \leq i' \leq n_{m-1} - n_k$  we can apply the induction hypothesis. Otherwise,  $i'$  is in the final  $0^{n_{m-2}}$ -block of  $y_s$  so the assumption that  $x^m(i), \dots, x^m(i+k-1) \neq 0$  implies that  $i' > n_{m-1} - k$ . But now note that  $y_{s+1} = x^k z$  for some  $z$ , so  $y_{s+1}(n_k - i') = 0$  because the final  $k$  letters of  $x^k$  are 0. So  $x^m(i) = x^m(i+n_k) = 0$  and we are done.  $\square$

#### 4. Proof of Theorem 1.2

We shall construct a system  $(X, T)$  which is TD, but  $(X \times X, T \times T)$  is not TD. To establish the first property, we rely on the following result:

LEMMA 4.1. *Suppose  $(X, T)$  has the property that for every  $(x', x'') \in X \times X$ , either  $(x', x'')$  is forward recurrent for  $T \times T$  or else there is a  $p \in X$  such that  $(x', p), (p, x'') \in \omega_{T \times T}(x', x'')$ . Then  $(X, T)$  is deterministic.*

PROOF. It suffices to show that  $\text{ICER}^+ = \text{ICER}$ . Let  $R \in \text{ICER}^+$  and let  $(x', x'') \in R$ . Since  $\omega_{T \times T}(x', x'') \subseteq \text{TR}$ , if the first condition holds (i.e. if  $(x', x'') \in \omega_{T \times T}(x', x'')$ ) then  $(x', x'') \in \text{TR}$ . Otherwise there is a  $p \in X$  so that  $(x', p), (p, x'') \in \omega_{T \times T}(x', x'') \subseteq \text{TR}$ , and since  $\text{TR}$  is an equivalence relation, this means  $(x', x'') \in \text{TR}$ . We have shown that  $(x', x'') \in \text{TR}$  whenever  $(x', x'') \in R$ , so  $R \subseteq \text{TR}$ . The reverse containment holds by assumption so  $R \in \text{ICER}$ , and the lemma follows.  $\square$

We shall construct a system containing a fixed point which will play the role of the point  $p$  in the lemma, i.e. every pair  $(x', x'')$  in the system which is not forward recurrent will have  $(x', p), (p, x'') \in \omega_{T \times T}(x', x'')$ . For simplicity we describe a non-transitive example, and then explain how to modify it to get a transitive one.

Let  $T$  be the shift on  $[0, 1]^{\mathbb{Z}}$ . A block is a finite subsequence  $x \in [0, 1]^{\{1, \dots, n\}}$ ; here  $n$  is the length of the block. If  $x, y$  are blocks of length  $m, n$  respectively their concatenation is written  $xy$  and is the block  $x(1) \dots x(m)y(1) \dots y(n)$  of length  $m + n$ . For  $x \in [0, 1]^{\mathbb{Z}}$  a sub-block is a block of the form  $x(i), x(i+1), \dots, x(j)$ ; this is the block of length  $j - i + 1$  appearing in  $x$  at  $i$ . We denote this sub-block by  $x(i; j)$ . We say that blocks  $x_1, x_2$  occur consecutively in  $x$  if  $x_1 = x(i, j)$  and  $x_2 = x(j+1, k)$  for some  $i \leq j < k$ .

To construct the example we define two points  $x^*, y^* \in [0, 1]^{\mathbb{Z}}$  with  $x^*(0) = y^*(0) = 1$ , and take  $X, Y$  to be their orbit closure, respectively. We also define sequences  $m_k \rightarrow \infty$  and  $n_k \rightarrow \infty$  so that the following conditions are satisfied:

- (i)  $\|x^* - T^{m_k}x^*\|_{\infty} \leq 1/k$  for  $k \geq 1$ .
- (ii)  $\|y^* - T^{n_k}y^*\|_{\infty} \leq 1/k$  for  $k \geq 1$ .
- (iii) For  $k \geq 1$ , out of every three consecutive blocks in  $x^*$  of length  $n_k$  at least two are identically 0.
- (iv) For  $k \geq 1$ , out of every three consecutive blocks in  $y^*$  of length  $m_k$  at least two are identically 0.
- (v) For every  $k \neq 0$ , at least one of the symbols  $x^*(k)$  or  $y^*(k)$  is equal to 0.

Let  $X$  be the orbit closure of  $x^*$  and  $Y$  the orbit closure of  $y^*$ . We claim that given such points  $x^*, y^*$  the system  $Z = X \cup Y$  is deterministic, but  $Z \times Z$  is not. Indeed, the latter statement follows from the observation that by condition (v) and the fact that  $x^*(0) = y^*(0) = 1$ , the pair  $(x^*, y^*) \in Z \times Z$  is not forward recurrent for  $T \times T$ , so  $Z \times Z$  is not deterministic.

To see that  $Z$  is deterministic, note that the properties (i)–(iv) above hold when  $x^*, y^*$  is replaced by any pair  $x \in X, y \in Y$ . Condition (i) now implies that

$T^{m_k}|_X \rightarrow \text{id}_X$  uniformly, and similarly (ii) implies that  $T^{n_k}|_Y \rightarrow \text{id}_Y$  uniformly, and in particular every pair in  $X$  is forward recurrent for  $T \times T$  and so is every pair from  $Y$ . For  $x \in X, y \in Y$ , conditions (i) and (iv) imply that there is a choice of  $r(k) \in \{1, 2, 3\}$  so that  $T^{r(k)m_k}x \rightarrow x$  but  $T^{r(k)m_k}y \rightarrow \bar{0}$ , and hence  $(x, \bar{0}) \in \omega_{T \times T}(x, y)$ . Similarly (ii) and (iii) imply that there is a choice  $s(k) \in \{1, 2, 3\}$  so that  $T^{s(k)n_k}x \rightarrow \bar{0}$  but  $T^{s(k)n_k}y \rightarrow y$ , so also  $(\bar{0}, y) \in \omega_{T \times T}(x, y)$ . From the lemma it now follows that  $Z = X \cup Y$  is deterministic.

Here are the details of the construction. We proceed by induction on  $r$ . At the  $r$ -th stage we will be given an integer  $L(r) \geq r - 1$  and finite sequences  $x_r, y_r \in [0, 1]^{\{-L(r), -L(r)+1, \dots, L(r)\}}$ , and if  $r \geq 2$  we are also given integers  $m_{r-1}, n_{r-1}$ . We extend  $x_r$  to  $x_{r+1}$  and  $y_r$  to  $y_{r+1}$  without changing the symbols already defined. The blocks  $x_r, y_r$  will satisfy the following versions of the conditions above, and an additional condition which is required for the induction:

- (I)  $\|x_r(i; i+k) - x_r(i+m_k; i+m_k+k)\|_\infty \leq 1/k$  for  $1 \leq k \leq r-1$  and  $-L(r) \leq i \leq L(r) - m_k - k$ .
- (II)  $\|y_r(i; i+k) - y_r(i+n_k; i+n_k+k)\|_\infty \leq 1/k$  for  $1 \leq k \leq r-1$  and  $-L(r) \leq i \leq L(r) - n_k - k$ .
- (III) For  $1 \leq k \leq r-1$ , out of every three consecutive blocks in  $x_r$  of length  $n_k$  at least two are identically 0.
- (IV) For  $1 \leq k \leq r-1$ , out of every three consecutive blocks in  $y_r$  of length  $m_k$  at least two are identically 0.
- (V) For every  $k \neq 0$  between  $-L(r)$  and  $L(r)$ , at least one of the symbols  $x_r(k)$  or  $y_r(k)$  are equal to 0.
- (VI)  $m_k, n_k \leq L(r-1)$  for each  $1 \leq k \leq r-1$ , and the first and last  $2L(r-1)$  symbols of  $x_r$  and  $y_r$  are 0.

Assuming that such a sequence  $x_r, y_r$  exists, define  $x^*, y^* \in [0, 1]^{\mathbb{Z}}$  by  $x^*(i) = x_{i+1}(i)$  and  $y^*(i) = y_{i+1}(i)$ . It is straightforward to verify that these conditions guarantee that  $x^*, y^*$  have the desired properties.

We start the induction by  $L(1) = 0$  and  $x_1(0) = y_1(0) = 1$ ; all conditions are satisfied trivially.

For some  $r \geq 2$  suppose we are given  $x_r, y_r, L(r)$  and also  $m_k, n_k$  for  $1 \leq k < r$ , such that (I)–(VI) are satisfied. For a block  $z$  and  $\alpha \in [0, 1]$ , denote by  $\alpha \cdot z$  the block with  $(\alpha z)(i) = \alpha \cdot z(i)$ .

Let  $s, t, s', t'$  be integers which we shall specify later. Let  $u$  and  $v$  be blocks of 0's of length  $s, t$ , respectively, and set

$$x_{r+1} = v \left( \frac{1}{r+1} \cdot x_r \right) u \dots u \left( \frac{r}{r+1} \cdot x_r \right) u x_r u \left( \frac{r}{r+1} \cdot x_r \right) u \left( \frac{r-1}{r+1} \cdot x_r \right) u \dots u \left( \frac{1}{r+1} \cdot x_r \right) v.$$

Let  $u', v'$  to be blocks of 0's of length  $s', t'$ , respectively, and set

$$y_{r+1} = v' \left( \frac{1}{r+1} \cdot y_r \right) u' \dots u' \left( \frac{r}{r+1} \cdot y_r \right) u' y_r u' \left( \frac{r}{r+1} \cdot y_r \right) u' \left( \frac{r-1}{r+1} \cdot y_r \right) u' \dots u' \left( \frac{1}{r+1} \cdot y_r \right) v'.$$

Note that in defining  $x_{r+1}, y_{r+1}$  we have added blocks to the left and right of the central copy of  $x_r, y_r$ , respectively, without changing the central blocks. We will assume that  $s, t, s', t'$  are chosen so that the lengths of  $x_{r+1}, y_{r+1}$  are equal. We define  $L(r+1)$  to be their common length (see Figure 1).

By condition (VI),  $x_{r+1}$  and  $y_{r+1}$  satisfy (I) and (II) for  $r+1$  and  $1 \leq k < r$ . More precisely, suppose that  $1 \leq k < r$  and  $-L(r+1) \leq i \leq L(r+1) - m_k + 1 - k$ , and consider the blocks of length  $k$  in  $x_{r+1}$  at positions  $i$  and  $i + m_k$ . There are two possibilities. Either both blocks are located inside the same copy of  $t \cdot x_r$  for some  $t$ , in which case  $\|x_r(i; i+k) - x_r(i+m_k; i+m_k+k)\|_\infty \leq 1/k$  by the induction hypothesis, or else at least one is located in an  $u$  and the other either in the first or last  $m_r$  symbols of a block of the form  $t \cdot x_r$ . In both of the last possibilities, the blocks are blocks of 0's (because  $u$  is all 0's and because of condition (VI) of the induction hypothesis) so  $\|x_r(i; i+k) - x_r(i+m_k; i+m_k+k)\|_\infty \leq 1/k$  is satisfied trivially. The analysis for  $y_{r+1}$  is similar.

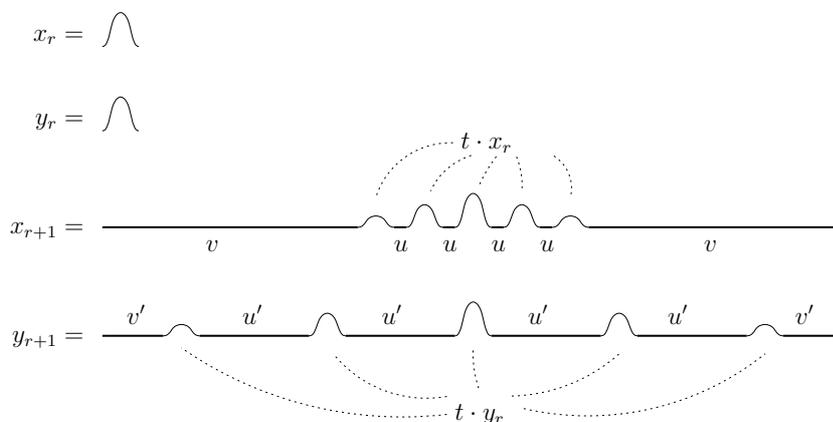


FIGURE 1. The construction of  $x_{r+1}, y_{r+1}$  form  $x_r, y_r$  (schematic)

Define  $m_r = L(r) + s$ . Then  $x_{r+1}$  also satisfies condition (I) for  $k = r$ , because every two symbols in  $x_{r+1}$  whose distance is  $L(r) + s$  belong to blocks of the form  $(i/r+1) \cdot x_r$  and  $((i \pm 1)/(r+1)) \cdot x_r$ , and so differ in value by at most  $1/(r+1)$ . Similarly, if we define  $n_r = L(r) + s'$  then  $y_{r+1}$  satisfies (II) for  $k = r$ .

If we choose  $s, t, s', t'$  large enough, conditions (III), (IV) hold for  $x_{r+1}, y_{r+1}$ . The same is true also for (VI).

It remains to obtain (V). We still have freedom to choose  $s, s', t, t'$  subject to the restriction that  $x_{r+1}, y_{r+1}$  have the same length, and as long as they are large enough. We first fix  $s$  some arbitrarily sufficiently large number (this determines the value of  $m_k$ ). Next, we select  $s'$  large enough so that each non-zero component of  $x_{r+1}$  is opposite the central block  $0^{s'} y_r 0^{s'}$  in  $y_{r+1}$  (here  $0^m$  is the word consisting of  $m$  zeros); this implies also that each non-zero symbol in  $y_{r+1}$  outside of the central block  $y_r$  is opposite a 0 in  $x_{r+1}$ . See Figure 1. This and the induction hypothesis guarantees that (V) holds. It remains only to note that although  $t$  determines  $t'$ , we can still make each as large as we want. This completes the construction.

Note that not every point in  $X \times X$  is forward recurrent but  $X$  is TD. This shows that Lemma 2.2 is only a sufficient condition for TD, not a necessary condition.

Finally, in order to give a transitive example, one adds an intermediate step between each step of the construction above. Given  $x_r, y_r$  one forms the blocks

$$x'_r = by_r a x_r a y_r b, \quad y'_r = dx_r c y_r c x_r d,$$

where  $a, b, c, d$  are sufficiently long blocks of 0's chosen (in a manner depending on  $r$ ) so that  $x'_r, y'_r$  have the same length  $L'(r)$ , and condition (V) holds as before. The resulting limiting points  $x^*, y^*$  will have the same orbit closure  $Z$ . The previous analysis no longer holds, but instead one can show that locally something similar is true. For each  $z \in Z$ , if one looks at a sub-block of length of order  $L(k)$  in the sequences  $x_r$  or  $y_r$  for some  $r > k$ , then one will see a block which, for  $m_k, n_k$ , satisfies conditions similar to the ones we had previously. Thus the same holds for any block of this length in  $Z$ . Therefore for any  $z, z' \in Z$ , we will either have that  $T^{u_k} z \rightarrow z$  and  $T^{u_k} z' \rightarrow z'$  for some sequence  $u_k \in \{m_k, n_k\}$ , or else  $(z', \bar{0})$  is in the forward orbit closure of the pair  $(z, z)$  and  $(\bar{0}, z')$  is in the forward orbit closure of  $(z', z')$ , and as before we conclude that  $Z$  is TD but  $Z \times Z$  is not.

REFERENCES

[1] J. AUSLANDER, E. GLASNER AND B. WEISS, *On recurrence in zero dimensional flows*, Forum Math. **19** (1) (2007), 107-114.  
 [2] M. HOCHMAN, *On notion of determinism in topological dynamics* (2010), preprint.  
 [3] B. KAMINSKI, A. SIEMASZKO AND J. SZYMANSKI, *The determinism and the Kolmogorov property in topological dynamics*, Bull. Polish Acad. Sci. Math. **51** (4) (2003), 401-417.  
 [4] ———, *Extreme relation for topological flows*, Bull. Polish Acad. Sci. Math. **53** (1) (2005), 17-24.

- [5] P. WALTERS, *An introduction to ergodic theory*, Graduate Texts in Mathematics, vol. 79, Springer-Verlag, New York, 1982.

*Manuscript received December 17, 2010*

MICHAEL HOCHMAN  
Princeton University  
Fine Hall, Washington Road  
Princeton, NJ 08544, USA

*E-mail address:* hochman@math.princeton.edu

Artur Siemaszko  
Faculty of Mathematics and Computer Science  
University of Warmia and Mazury in Olsztyn  
ul. Żołnierska 14A  
10-561 Olsztyn, POLAND

*E-mail address:* artur@uwm.edu.pl