

NOTE ON ALDER'S POLYNOMIALS

L. CARLITZ

1. Alder's polynomial $G_{M,t}(x)$ may be defined by means of

$$(1) \quad 1 + \sum_{s=1}^{\infty} (-1)^s k^{Ms} x^{\frac{1}{2}s(2M+1)s-1} (1 - kx^{2s}) \frac{(kx)_{s-1}}{(x)_s} \\ = \prod_{n=1}^{\infty} (1 - kx^n) \sum_{t=0}^{\infty} \frac{k^t G_{M,t}(x)}{(x)_t},$$

where M is a fixed integer ≥ 2 and

$$(a)_t = (1 - a)(1 - ax) \cdots (1 - ax^{t-1}), \quad (a)_0 = 1.$$

Alder [1] obtained the identities

$$(2) \quad \prod_{n=1}^{\infty} \frac{(1 - x^{(2M+1)n-M})(1 - x^{(2M+1)n-M-1})(1 - x^{(2M+1)n})}{1 - x^n} = \sum_{t=1}^{\infty} \frac{G_{M,t}(x)}{(x)_t},$$

$$(3) \quad \prod_{n=1}^{\infty} \frac{(1 - x^{(2M+1)n-1})(1 - x^{(2M+1)n-2M})(1 - x^{(2M+1)n})}{1 - x^n} = \sum_{t=0}^{\infty} \frac{x^t G_{M,t}(x)}{(x)_t}$$

thus generalizing the well-known Rogers-Ramanujan identities. Singh [2, 3] has further generalized (2), (3); he showed that

$$\prod_{n=1}^{\infty} \frac{(1 - x^{(2M+1)n-s})(1 - x^{(2M+1)n-2M-1+s})(1 - x^{(2M+1)n})}{1 - x^n} = \sum_{t=0}^{\infty} \frac{A_s(x, t) G_{M,t}(x)}{(x)_t},$$

where the $A_s(x, t)$ are polynomials in x .

In a recent paper [4] Singh has proved that

$$(4) \quad G_{M,t}(x) = x^t \quad (t \leq M - 1).$$

In the present note we give another proof of (4) and indeed obtain the explicit formula

$$(5) \quad G_{M,t}(x) = \sum_{\substack{Ms \leq t \\ s \geq 0}} (-1)^s \frac{(x)_t}{(x)_s (x)_{t-Ms}} x^{\frac{1}{2}s(s-1)+st} (1 - x^s + x^{t-Ms+s})$$

valid for all t .

2. Since

$$(1 - kx^{2s})(kx)_{s-1} = (kx)_s + kx^s(1 - x^s)(kx)_{s-1},$$

the left member of (1) is equal to

Received June 26, 1959.

$$\begin{aligned}
 & 1 + \sum_{s=1}^{\infty} (-1)^s k^M s x^{\frac{1}{2}s\{(2M+1)s-1\}} \left\{ \frac{(kx)_s}{(x)_s} + kx^s \frac{(kx)_{s-1}}{(x)_{s-1}} \right\} \\
 &= \sum_{s=0}^{\infty} (-1)^s k^M s x^{\frac{1}{2}s\{(2M+1)s-1\}} \frac{(kx)_s}{(x)_s} \\
 &\quad - \sum_{s=0}^{\infty} (-1)^s k^{M(s+1)+1} x^{\frac{1}{2}(s+1)\{(2M+1)(s+1)-1\}+(s+1)} \frac{(kx)_s}{(x)_s} \\
 &= \sum_{s=0}^{\infty} (-1)^s k^M s x^{\frac{1}{2}s\{(2M+1)s-1\}} \frac{(kx)_s}{(x)_s} \{1 - k^{M+1} x^{(M+1)(2s+1)}\} .
 \end{aligned}$$

Thus (1) becomes

$$\begin{aligned}
 \sum_{t=0}^{\infty} \frac{k^t G_{M,t}(x)}{(x)_t} &= \sum_{s=0}^{\infty} (-1)^s k^M s x^{\frac{1}{2}s\{(2M+1)s-1\}} \cdot \frac{1 - k^{M+1} x^{(M+1)(2s+1)}}{(x)_s} \prod_{j=1}^{\infty} (1 - kx^{s+j})^{-1} \\
 (6) \qquad &= \sum_{s=0}^{\infty} (-1)^s k^M s x^{\frac{1}{2}s\{(2M+1)s-1\}} \cdot \frac{1 - k^{M+1} x^{(M+1)(2s+1)}}{(x)_s} \sum_{j=0}^{\infty} \frac{k^j x^{s+j}}{(x)_j} .
 \end{aligned}$$

For $t < M$, it is clear that the coefficient of k^t on the right is simply $x^t/(x)_t$. This proves Singh's result (4).

For $t = M$ we get

$$\frac{G_{M,M}(x)}{(x)_M} = - \frac{x^M}{1-x} + \frac{x^M}{(x)_M} ,$$

so that

$$G_{M,M}(x) = x^M - x^M \frac{(x)_M}{1-x} ,$$

which also was found by Singh.

For $t = M + 1$, similarly, we have

$$\frac{G_{M,M+1}(x)}{(x)_{M+1}} = \frac{x^{M+1}}{(x)_{M+1}} - x^{M+1} - \frac{x^{M+2}}{(1-x)^2} ,$$

so that

$$\begin{aligned}
 (7) \qquad G_{M,M+1}(x) &= x^{M+1} \left\{ 1 - (x)_{M+1} - x \frac{(x)_{M+1}}{(1-x)^2} \right\} \\
 &= x^{M+1} \{1 - (1+x^3)(x^3)_{M-1}\} .
 \end{aligned}$$

also due to Singh.

3. For arbitrary $t \geq M + 1$, it follows from (6) that

$$\begin{aligned}
 G_{M,t}(x) &= \sum_{Ms \leq t} (-1)^s \frac{(x)_t}{(x)_s (x)_{t-Ms}} x^{\frac{1}{2}s\{(2M+1)s-1\}+(s+1)(t-Ms)} \\
 &\quad - \sum_{M(s+1) \leq t} (-1)^s \frac{(x)_t}{(x)_s (x)_{t-M(s+1)-1}} x^{e_s} ,
 \end{aligned}$$

where

$$e_s = \frac{1}{2}s\{(2M + 1)s - 1\} + (s + 1)\{t - M(s + 1) - 1\}(M + 1)(2s + 1).$$

This simplifies to

$$(8) \quad G_{M,t}(x) = x^t \sum_{Ms \leq t} (-1)^s \frac{(x)_t}{(x)_s(x)_{t-Ms}} x^{\frac{1}{2}s(s-1) + s(t-M)} + \sum_{0 < Ms < t} (-1)^s \frac{(x)_t}{(x)_{s-1}(x)_{t-Ms-1}} x^{\frac{1}{2}s(s-1) + st},$$

or if we prefer

$$(9) \quad G_{M,t}(x) = \sum_{\substack{Ms \leq t \\ s \geq 0}} (-1)^s \frac{(x)_t}{(x)_s(x)_{t-Ms}} x^{\frac{1}{2}s(s-1) + st} (1 - x^s + x^{t-Ms+s}).$$

For example (9) reduces to

$$(10) \quad G_{M,t}(x) = x^t \left\{ 1 - \frac{(x)_t}{(x)_1(x)_{t-M}} (1 - x + x^{t-M+1}) \right\}$$

for $M + 1 \leq t \leq 2M - 1$. When $t = M + 1$, it is easily verified that (9) reduces to (7). Singh [4] conjectured the truth of (10) for $t \leq 2(M - 1)$.

REFERENCES

1. H. L. Alder, *Generalizations of the Rogers-Ramanujan identities*, Pacific J. Math. **4** (1954), 161-168.
2. V. N. Singh, *Certain generalized hypergeometric identities of the Rogers-Ramanujan type*, Pacific J. Math. **7** (1957), 1011-1014.
3. ———, *Certain generalized hypergeometric identities of the Rogers-Ramanujan type (II)*, Pacific J. Math. **7** (1957), 1691-1699.
4. ———, *A note on the computation of Alder's polynomials*, Pacific J. Math. **9** (1959), 271-275.

DUKE UNIVERSITY

