

RECIPROCITY AND JACOBI SUMS

JOSEPH B. MUSKAT

Recently N. C. Ankeny derived a law of r th power reciprocity, where r is an odd prime:

q is an r th power residue, modulo $p \equiv 1 \pmod{r}$, if and only if the r th power of the Gaussian sum (or Lagrange resolvent) $\tau(\chi)$, which depends upon p and r , is an r th power in $GF(q^f)$, where q belongs to the exponent $f \pmod{r}$.

$\tau(\chi)^r$ can be written as the product of algebraic integers known as Jacobi sums. Conditions in which the reciprocity criterion can be expressed in terms of a single Jacobi sum are presented in this paper.

That the law of prime power reciprocity is a generalization of the law of quadratic reciprocity is suggested by the following formulation of the latter:

If p and q are distinct odd primes, then q is a quadratic residue \pmod{p} if and only if $(-1)^{(p-1)/2}p = \tau(\psi)^2$ is a quadratic residue \pmod{q} . Here ψ denotes the nonprincipal quadratic character modulo p (the Legendre symbol) and

$$\tau(\psi) = \sum_{n=1}^{p-1} \psi(n)e^{2\pi in/p}$$

is a Gaussian sum.

A complete statement of Ankeny's result is the following:

Let r be an odd prime. $Q(\zeta_r)$ will denote the cyclotomic field obtained by adjoining $\zeta_r = e^{2\pi i/r}$ to the field of rationals Q .

Let p be a prime $\equiv 1 \pmod{r}$. Let χ denote a fixed primitive r th power multiplicative character \pmod{p} . Define the Gaussian sum

$$\tau(\chi^k) = \sum_{n=1}^{p-1} \chi^k(n)e^{2\pi in/p}, \quad r \nmid k.$$

Let q be a prime distinct from r , belonging to the exponent $f \pmod{r}$. Then

$$\tau(\chi)^{q^f-1} = [\tau(\chi)^r]^{(q^f-1)/r} \equiv \chi(q)^{-f} \pmod{q}.$$

Consequently, if \mathfrak{q} is any one of the prime ideal divisors of the ideal (q) in $Q(\zeta_r)$, q is an r th power \pmod{p} if and only if $\tau(\chi)^r$ is an r th power in $Q(\zeta_r)/\mathfrak{q}$, a field of q^f elements; i.e.,

$$(1) \quad \chi(q) = 1 \text{ if and only if } \tau(\chi)^r \equiv \beta^r \pmod{\mathfrak{q}}$$

for some $\beta \in \mathbb{Q}(\zeta_r)$ [1, Th. 2] .

The following properties of the Gaussian sums are well known:

Assume $k \not\equiv 0 \pmod{r}$.

$$(2) \quad \tau(\chi^k)\tau(\chi^{-k}) = p$$

$$\tau(\chi^k) \notin \mathbb{Q}(\zeta_r), \text{ but } \tau(\chi^k)^t/\tau(\chi^{kt}) \in \mathbb{Q}(\zeta_r) .$$

In particular,

$$\tau(\chi^k)^r \in \mathbb{Q}(\zeta_r) .$$

During the nineteenth century several people worked on special cases of the problem solved by Ankeny. C. G. J. Jacobi treated $r = 3$ in [3]. Using Cauchy's result that

$$\tau(\chi)^q/\tau(\chi^q) \equiv \chi(q)^{-q} \pmod{q}, \quad [6, p. 108]$$

T. Pepin showed that if $q \equiv \pm 1 \pmod{r}$, then $\chi(q) = 1$ if and only if $\tau(\chi)^r/\tau(\chi^2)^r$ is an r th power residue \pmod{q} , ([6, pp. 117, 120]).

Define the Jacobi sums

$$\pi(\chi^a, \chi^b) = \sum_{n=2}^{p-1} \chi^a(n)\chi^b(1-n) = \sum_{j=0}^{r-1} c_j \zeta_r^j .$$

If r does not divide a, b , or $a + b$,

$$\pi(\chi^a, \chi^b) = \tau(\chi^a)\tau(\chi^b)/\tau(\chi^{a+b}) ,$$

so by (2)

$$(3) \quad \pi(\chi^a, \chi^b)\pi(\chi^{-a}, \chi^{-b}) = p .$$

(For information on Jacobi sums see [2, Ch. 20])

$\tau(\chi)^r$ can be expressed as a product of Jacobi sums, as follows:

$$\tau(\chi)^r = \tau(\chi)\tau(\chi^{r-1}) \prod_{j=1}^{r-2} \tau(\chi)\tau(\chi^j)/\tau(\chi^{j+1}) = p \prod_{j=1}^{r-2} \pi(\chi, \chi^j), \text{ by (2) .}$$

For $r = 3$, $\tau(\chi)^r = p\pi(\chi, \chi)$, so that knowing $\pi(\chi, \chi)$ gives complete information about reciprocity. For $r > 3$, however, it is often necessary to consider products of Jacobi sums. Some cases where $\pi(\chi, \chi)$ itself gives complete information about reciprocity are described in the following two theorems:

Notation. For brevity, let $\pi[t] = \pi(\chi^t, \chi^t)$. Let $\pi[1] = \sum_{j=0}^{r-1} c_j \zeta_r^j$. Then

$$\pi[t] = \sum_{j=0}^{r-1} c_j \zeta_r^{jt} .$$

Let 2 belong to the exponent $s(\text{mod } r)$.

LEMMA. $\pi[t]^{q^h} \equiv \pi[tq^h](\text{mod } q)$.

Proof.

$$\pi[t]^{q^h} = \left[\sum_{j=0}^{r-1} c_j \zeta_r^{jt} \right]^{q^h} \equiv \sum_{j=0}^{r-1} c_j^{q^h} \zeta_r^{jtq^h} \equiv \sum_{j=0}^{r-1} c_j \zeta_r^{jtq^h} \equiv \pi[tq^h](\text{mod } q).$$

THEOREM 1. Assume $2^{r-1} \not\equiv 1(\text{mod } r^2)$. If there exists an integer u such that $q^u \equiv 2(\text{mod } r)$, then $\tau(\chi)^r$ is an r th power in $Q(\zeta_r)/q$ if and only if $\pi(\chi, \chi)$ is.

Proof. By an identity attributed to Cauchy, [6, p. 112]

$$\begin{aligned} \tau(\chi)^{s^{s-1}} &= \pi[1]^{s^{s-1}} \pi[2]^{s^{s-2}} \pi[4]^{s^{s-3}} \dots \pi[2^{s-2}]^2 \pi[2^{s-1}] \\ &= \prod_{j=0}^{s-1} \pi[2^j]^{s^{s-j-1}} = \prod_{j=0}^{s-1} \pi[q^{uj}]^{s^{s-j-1}} \\ (4) \qquad &= \beta^r \prod_{j=0}^{s-1} \pi[q^{uj}]^{q^{u(s-j-1)}}, \quad \text{for some } \beta \in Q(\zeta_r). \end{aligned}$$

To the j th factor of the product in (4) apply the lemma with $t = 1$ and $h = uj$:

$$\begin{aligned} \tau(\chi)^{s^{s-1}} &\equiv \beta^r \prod_{j=0}^{s-1} \pi[q^0]^{q^{u(s-1)}} \equiv \beta^r \pi[1]^{sq^{u(s-1)}} \\ &\equiv \gamma^r \pi[1]^{2^{s-1}s}(\text{mod } q), \quad \text{for some } \gamma \in Q(\zeta_r). \end{aligned}$$

Since $r^2 \nmid 2^{r-1} - 1$, $r \nmid (2^s - 1)/r$. Also, $r \nmid 2^{s-1}s$. It follows that $\tau(\chi)^r$ is an r th power in $Q(\zeta_r)/q$ if and only if $\pi(\chi, \chi)$ is.

EXAMPLE. $r = 7, q = 3, s = 3, u = 2$.

$$\begin{aligned} \tau(\chi)^7 &= \pi[1]^4 \pi[2]^2 \pi[4] = \beta^7 \pi[1]^{s^4} \pi[3^2]^{s^2} \pi[3^4]^{s^0} \\ &\equiv \beta^7 [\pi[1]^{s^4}]^3 \equiv \beta^7 \pi[1]^{s^4 \cdot 3}(\text{mod } 3). \end{aligned}$$

(A different treatment of the example was given in [5, p. 351].)

THEOREM 2. Assume $2^{r-1} \not\equiv 1(\text{mod } r^2)$, $r > 3$, and $s \equiv 2(\text{mod } 4)$. If there exists an integer v such that $q^v \equiv 4(\text{mod } r)$, then $\tau(\chi)^r$ is an r th power in $Q(\zeta_r)/q$ if and only if $\pi(\chi, \chi)$ is.

Proof.

$$\tau(\chi)^{2^{s-1}} = \prod_{j=0}^{s/2-1} \pi[2^{2j}]^{2^{s-1-2j}} \pi[2^{2j+1}]^{2^{s-2-2j}}$$

$$\begin{aligned}
 &= \prod_{j=0}^{s/2-1} \pi[q^{vj}]^{2s-1-2j} \pi[2q^{vj}]^{2s-2-2j} \\
 (5) \quad &= \beta^r \prod_{j=0}^{s/2-1} \pi[q^{vj}]^{2q^{v(s/2-1-j)}} \pi[2q^{vj}]^{q^{v(s/2-1-j)}},
 \end{aligned}$$

for some $\beta \in Q(\zeta_r)$,

$$(6) \quad \equiv \beta^r [\pi[q^0]^{2q^{v(s/2-1)}} \pi[2q^0]^{q^{v(s/2-1)}}]^{s/2} \pmod{q},$$

by applying the Lemma with $h = vj$ and $t = 1$, then 2, to the j th factor of (5). Now apply the Lemma to the second factor of (6) with $t = 2$, $h = v(s - 2)/4$:

$$\begin{aligned}
 \tau(\chi)^{2s-1} &\equiv \beta^r [\pi[1]^{2q^{v(s/2-1)}} \pi[2q^{v(s-2)/4}]^{q^{v(s-2)/4}}]^{s/2} \\
 &\equiv \beta^r [\pi[1]^{2q^{v(s/2-1)}} \pi[2 \cdot 4^{(s-2)/4}]^{q^{v(s-2)/4}}]^{s/2} \\
 &\equiv \gamma^r [\pi[1]^{2s-1} \pi[2^{s/2}]^{2s/2-1}]^{s/2},
 \end{aligned}$$

for some $\gamma \in Q(\zeta_r)$,

$$\equiv \gamma^r [\pi[1]^{2s-1} \pi[-1]^{2s/2-1}]^{s/2} \pmod{q}.$$

By (3)

$$\tau(\chi)^{2s-1} \equiv \gamma^r [p^{2s/2-1} \pi[1]^{2s-1 - 2s/2-1}]^{s/2} \pmod{q}.$$

Since $r > 3$, $q \not\equiv 1 \pmod{r}$, so p is an r th power in $Q(\zeta_r)/q$.

$$\begin{aligned}
 2^{s-1} - 2^{s/2-1} &\equiv 1 \pmod{r}, \text{ so} \\
 \tau(\chi)^{2s-1} &\equiv \delta^r \pi[1]^{s/2} \pmod{q},
 \end{aligned}$$

for some $\delta \in Q(\zeta_r)$. $r \nmid (2^s - 1)/r$, $r \nmid s/2$, and the theorem follows.

In Theorem 3 of [5] the above results were proved for the following values of q , under the restriction $2^{r-1} \not\equiv 1 \pmod{r^2}$:

- (a) $q \equiv 2 \pmod{r}$.
- (b) $r > 3$, $q \equiv -2 \pmod{r}$.

Part (a) is included in Theorem 1. Part (b) has three cases:

If s is odd, $(-2)^{s+1} = 2^s \cdot 2 \equiv 2 \pmod{r}$. Theorem 1 applies, with $u = s + 1$.

If $s \equiv 2 \pmod{4}$, $(-2)^2 = 4$. Theorem 2 applies, with $v = 2$.

If $s \equiv 0 \pmod{4}$, $(-2)^{s/2+1} = -(2)^{s/2} (2) \equiv 2 \pmod{r}$. Theorem 1 applies, with $u = s/2 + 1$.

For certain small values of q and r it is possible to characterize when $\chi(q) = 1$ in terms of the coefficients of $\pi[1] \pmod{p}$. Pepin gave the following three (the first not quite correctly).

Let $r = 5$. $\chi(3) = 1$ if and only if $c_1 \equiv c_i \pmod{3}$ and

$$c_2 \equiv c_3 \pmod{3} \text{ [6, p. 132]}.$$

Let $r = 7$. $\chi(3) = 1$ if and only if $c_1 \equiv c_2 \equiv c_i \pmod{3}$ and

$$c_3 \equiv c_5 \equiv c_6 \pmod{3} \text{ [6, pp.145-146] .}$$

$\chi(2) = 1$ if and only if c_0 is odd [6, p.122] .

Analogous criteria for $r = 5, q = 7$ and $r = 7, q = 5$ can be found in [5, p.349].

A more general result, which yields only a sufficient condition, however, was suggested by Emma Lehmer [4], who proved it for $r = 5$.

THEOREM 3: *Assume $2^{r-1} \not\equiv 1 \pmod{r^2}$, and $r > 3$. Let g be a primitive root, modulo r . If $c_g \equiv c_{g^3} \equiv c_{g^5} \equiv \dots \equiv c_{g^{r-2}} \pmod{q}$ and $c_{g^2} \equiv c_{g^4} \equiv c_{g^6} \equiv \dots \equiv c_1 \pmod{q}$, then q is an r th power residue \pmod{p} .*

Proof. Let $\lambda = \sum_{j=0}^{\frac{r-3}{2}} \zeta_r^{g^{2j}}$, $\mu = \sum_{j=0}^{\frac{r-3}{2}} \zeta_r^{g^{2j+1}}$

$$\pi[1] = \sum_{j=0}^{r-1} c_j \zeta_r^j = \sum_{j=1}^{r-1} (c_j - c_0) \zeta_r^j \equiv (c_1 - c_0)\lambda + (c_g - c_0)\mu \pmod{q}.$$

Similarly,

$$\pi[g] \equiv (c_1 - c_0)\mu + (c_g - c_0)\lambda \pmod{q} .$$

If 2 is a quadratic residue, modulo r ,

$$\begin{aligned} \tau(\chi)^{2^{s-1}} &= \prod_{j=0}^{s-1} \pi[2^j]^{2^{s-j-1}} \equiv \prod_{j=0}^{s-1} [(c_1 - c_0)\lambda + (c_g - c_0)\mu]^{2^{s-j-1}} \\ &\equiv [(c_1 - c_0)\lambda + (c_g - c_0)\mu]^{2^{s-1}} \pmod{q} . \end{aligned}$$

If 2 is a quadratic nonresidue, modulo r ,

$$\begin{aligned} \tau(\chi)^{2^{s-1}} &= \prod_{j=0}^{s/2-1} \pi[2^{2j}]^{2^{s-1-2j}} \pi[2^{2j+1}]^{2^{s-2-2j}} \\ &\equiv [(c_1 - c_0)\lambda + (c_g - c_0)\mu]^{2(2^{s-1})/3} [(c_1 - c_0)\mu + (c_g - c_0)\lambda]^{(2^{s-1})/3} \\ &\pmod{q} . \end{aligned}$$

In both cases $\tau(\chi)^{2^{s-1}}$ has been shown to be an r th power in $Q(\zeta_r)/q$. Since $r \nmid (2^s - 1)/r$, $\tau(\chi)^r$ is an r th power in $Q(\zeta_r)/q$, and applying (1) yields the theorem.

COROLLARY. *Assume $2^{r-1} \not\equiv 1 \pmod{r^2}$. If $c_1 \equiv c_2 \equiv \dots \equiv c_{r-1} \pmod{q}$, then q is an r th power residue \pmod{p} .*

Proof. If $r > 3$, apply Theorem 3. If $r = 3$, $\tau(\chi)^3 \equiv (c_0 - c_1)^3 \pmod{q}$.

A computation by John Brillhart shows that 1093 and 3511 are the only primes r less than 2^{24} for which $2^{r-1} \equiv 1 \pmod{r^2}$.

BIBLIOGRAPHY

1. Nesmith C. Ankeny, *Criterion for rth power residuacity*, Pacific J. Math. **10** (1960), 1115-1124.
2. H. Hasse, *Vorlesungen über Zahlentheorie*, 2nd ed., Springer Verlag, Berlin 1964.
3. C. G. J. Jacobi, *De Residuis Cubicis Commentatio Numerosa*, Journal für die reine und angewandte Mathematik **2** (1827), 66-69.
4. Emma Lehmer, *Artiads characterized*, Journal of Mathematical Analysis and Applications **15** (1966), 118-131.
5. Joseph B. Muskat, *Criteria for solvability of certain congruences*, Canad. J. Math. **16** (1964), 343-352.
6. T. Pepin *Memoire sur les lois de réciprocité relatives aux résidues de puissances*, Pontificia accademia delle scienze, Atti **31** (1877), 40-148.

Received January 19, 1966. This research was sponsored in part by the National Science Foundation under Research Grant GP-2091.