

GENERALIZED FRATTINI SUBGROUPS OF FINITE GROUPS

J. C. BEIDLEMAN AND T. K. SEO

The purpose of this paper is to generalize some of the fundamental properties of the Frattini subgroup of a finite group. For this purpose we call a proper normal subgroup H of G a generalized Frattini subgroup if and only if $G = N_G(P)$ for each normal subgroup L of G and each Sylow p -subgroup P , p is a prime, of L such that $G = HN_G(P)$. Here $N_G(P)$ is the normalizer of P in G . Among the generalized Frattini subgroups of a finite nonnilpotent group G are the center, the Frattini subgroup, and the intersection $L(G)$ of all self-normalizing maximal subgroups of G . The product of two generalized Frattini subgroups of a group G need not be a generalized Frattini subgroup, hence G may not have a unique maximal generalized Frattini subgroup.

Let H be a generalized Frattini subgroup of G and let K be normal in G . If K/H is nilpotent, then K is nilpotent. Similarly, if the hypercommutator of K is contained in H , then K is nilpotent. We consider the Fitting subgroup $F(G)$ of a nonnilpotent group G , and prove $F(G)$ is a generalized Frattini subgroup of G if and only if every solvable normal subgroup of G is nilpotent.

Now let H be a maximal generalized Frattini subgroup of a finite nonnilpotent group G . Following Bechtell we introduce the concept of an H -series for G and prove that if G possesses an H -series, then $H = L(G)$.

2. Notation The only groups considered here are finite.

If H is a subgroup of a group G , then H' is the commutator (derived) subgroup of H ,

$H^{(k)}$ ($k > 1$) is the k -th commutator subgroup of H ,

$H^x = x^{-1}Hx$ for each $x \in G$,

$Z(H)$ is the center of H ,

$Z^*(H)$ is the hypercenter of H (i.e. the terminal member of the upper central series of H), $D(H)$ is the hypercommutator of H (i.e. the terminal member of the lower central series of H),

$\phi(H)$ is the Frattini subgroup of H ,

$F(H)$ is the Fitting subgroup of H (i.e. the largest nilpotent normal subgroup of H),

$N_G(H)$ is the normalizer of H in G .

If H is a subset of a group G , then denote by $\langle H \rangle$ the subgroup of G generated by H .

In a group G , $L(G)$ is the intersection of the self-normalizing maximal subgroups of G and $R(G)$ is the intersection of the normal maximal subgroups of G ; in each case one sets $L(G)$ or $R(G) = G$ if the respective maximal subgroups do not exist properly (see [1]).

3. Generalized Frattini subgroups. This section will be given to defining a generalized Frattini subgroup of a group and to the development of some properties of this type of subgroup.

DEFINITION 3.1. A proper normal subgroup H of a group G is called a *generalized Frattini subgroup* of G if and only if $G = N_G(P)$ for each normal subgroup L of G and each Sylow p -subgroup P , p is a prime, of L such that $G = HN_G(P)$.

We note that every proper normal subgroup of a nilpotent group G is a generalized Frattini subgroup of G . This is not the case if G is only supersolvable. For example, if S_3 is the symmetric group of three symbols, then the alternating subgroup A_3 is not a generalized Frattini subgroup.

THEOREM 3.1. *Let H be a generalized Frattini subgroup of a group G .*

Then

- (a) H is nilpotent,
- (b) A normal subgroup of G contained in H is a generalized Frattini subgroup of G ,
- (c) $H\phi(G)$ is a generalized Frattini subgroup of G ,
- (d) $HZ(G)$ is a generalized Frattini subgroup of G , whenever it is a proper subgroup.

Proof. (a) Let P be a Sylow p -subgroup of H where p is a fixed prime. Because of Theorem 6.2.4 of [4], $G = HN_G(P)$, hence $G = N_G(P)$. Since all the Sylow subgroups of H are normal, H is nilpotent.

(b) Let K be a normal subgroup of G contained in H , L a normal subgroup of G , and P a Sylow p -subgroup, p is a prime, of L such that $G = KN_G(P)$. Then $G = HN_G(P)$, hence $G = N_G(P)$.

(c) This is an immediate consequence of Theorem 7.3.8 of [4].

(d) Since $Z(G)$ is contained in the normalizer of every subgroup of G , $HZ(G)$ is a generalized Frattini subgroup of G .

We now note that the intersection of generalized Frattini subgroups of a group G is a generalized Frattini subgroup of G . However, this is not true in general when we consider products of subgroups (see Example 3.3).

As a consequence of Theorem 3.1 we have the following.

COROLLARY 3.1.1 *The Frattini subgroup of G is a generalized*

Frattini subgroup of G . Moreover, if G is nonabelian, then $Z(G)$ is a generalized Frattini subgroup of G .

The following result is a generalization of Theorem 7.4.8 of [4].

THEOREM 3.2. *Let H be a generalized Frattini subgroup of G . If K is a normal subgroup of G and K/H is nilpotent, then K is nilpotent.*

Proof. Let K be a normal subgroup of G such that K/H is nilpotent. Let P be a Sylow p -subgroup of K for a fixed prime p . Then HP/H is a Sylow p -subgroup of K/H , hence HP/H is a characteristic subgroup of K/H . Therefore, HP/H is normal in G/H , and so HP is normal in G . Since P is a Sylow p -subgroup of HP , $G = (HP)N_G(P)$ because of Theorem 6.2.4 of [4]. Hence $G = HN_G(P)$, which implies $G = N_G(P)$. Since all the Sylow subgroups of K are normal, K is nilpotent.

Let H be a generalized Frattini subgroup of G . Then by Theorem 3.1 $F(G)$ contains H . From Theorem 3.2 $F(G/H) = F(G)/H$, hence we obtain the following corollaries.

COROLLARY 3.2.1. *If H is a generalized Frattini subgroup of G , then $F(G/H) = F(G)/H$.*

COROLLARY 3.2.2. *Let H be a generalized Frattini subgroup of G . Then G is nilpotent if and only if G/H is nilpotent*

COROLLARY 3.2.3. *A group G is nilpotent if and only if its commutator subgroup G' is a generalized Frattini subgroup of G .*

The next result is similar to Theorem 2.3 of [1], however it generalizes Bechtel's result.

THEOREM 3.3. *Let H be a generalized Frattini subgroup of G . If K is a normal subgroup of G whose hypercommutator $D(K)$ is contained in H , then $D(K) = 1$ and K is nilpotent.*

Proof. From Theorem 3.1 it follows that $D(K)$ is a generalized Frattini subgroup of G . Since $K/D(K)$ is nilpotent, K is nilpotent by Theorem 3.2, hence $D(K) = 1$.

COROLLARY 3.3.1. *A proper normal subgroup K of a group G is nilpotent if and only if its commutator subgroup K' is a generalized Frattini subgroup of G .*

Proof. By Theorem 7.3.17 of [4], $\phi(K) \subseteq \phi(G)$. Hence the corollary follows from Theorem 7.3.5 of [4], Corollary 3.1.1 and Theorem 3.3.

Our next objective of this section is to show that $L(G)$ is a generalized Frattini subgroup of G whenever G is nonnilpotent. We begin with the following theorem.

THEOREM 3.4. *Let H be a generalized Frattini subgroup of G and let K be a proper normal subgroup of G containing H . Then K/H is a generalized Frattini subgroup of G/H if and only if K is a generalized Frattini subgroup of G .*

Proof. Assume that K is a generalized Frattini subgroup of G . Let L/H be a normal subgroup of G/H and let P be a Sylow p -subgroup, p is a prime, of L such that $G/H = (K/H)N_{G/H}(HP/H)$. Then $G = KN_G(HP)$. Let $g = kv$, where $k \in K$ and $v \in N_G(HP)$. Then $P^g \subseteq HP$. Since L is a normal subgroup of G , P^g and P are Sylow p -subgroups of $L \cap HP$. Therefore, there is an element y of $L \cap HP$ such that $P^{yv} = P$, hence xy is an element of $N_G(P)$. Therefore $gy = k(xy)$ is contained in $KN_G(P)$. Since $KN_G(P)$ contains HP , it follows that y is an element of $KN_G(P)$, and therefore $g \in KN_G(P)$. This shows that $G = KN_G(P)$, and hence $G = N_G(P)$ since K is a generalized Frattini subgroup of G . From this we conclude that HP/H is normal in G/H , and so K/H is a generalized Frattini subgroup of G/H .

Conversely, assume that K/H is a generalized Frattini subgroup of G/H . Let L be a normal subgroup of G and let P be a Sylow p -subgroup, p is a prime, of L such that $G = KN_G(P)$. Then $G/H = (K/H)N_{G/H}(HP/H)$, hence $N_{G/H}(HP/H) = G/H$ since HP/H is a Sylow p -subgroup of HL/H and HL/H is normal in G/H . Therefore, HP is a normal subgroup of G . Let P_1 be a Sylow p -subgroup of HP which contains P . By Theorem 6.2.4 of [4], $G = (HP)N_G(P_1) = HN_G(P_1)$, hence $G = N_G(P_1)$ since H is a generalized Frattini subgroup of G . From this it follows that the Fitting subgroup $F(G)$ of G contains P , hence KP is a nilpotent subgroup because of Theorems 3.1 and 3.2. Since $G = KN_G(P)$, it follows that KP is a normal nilpotent subgroup of G .

We now show $N_G(P)$ contains KP . For let P_2 be a Sylow p -subgroup of KP . Then P_2 is normal in G , hence $P \subseteq P_2$. Let P_3 be a Sylow p -subgroup of G containing P_2 . Since L is normal in G , $L \cap P_3 = P$ and $N_G(P_3) \subseteq N_G(P)$. From this it follows that $P_2 \subseteq N_G(P)$. Hence $KP \subseteq N_G(P)$, since KP is nilpotent. This shows $G = N_G(P)$, and therefore K is a generalized Frattini subgroup of G .

Because of Corollary 3.1.1, Theorem 2.2 of [1] and Theorem 3.4,

we obtain the following theorem.

THEOREM 3.5. *If $L(G)$ is a proper subgroup of G , then $L(G)$ is a generalized Frattini subgroup of G .*

We now give several examples that will help illustrate the theory of this section.

EXAMPLE 3.1. Let $Q = \langle a, b \mid a^4 = 1, a^2 = b^2, ba = a^{-1}b \rangle$ and let G be the direct product of Q and S_3 , the symmetric group on three symbols. Then $\phi(G) = Z(G) = \langle a^2 \rangle$, $L(G) = Q$ and $F(G) = Q \times A_3$. $F(G)$ is not a generalized Frattini subgroup of G . We note that $L(G)$ properly contains $\phi(G)$.

EXAMPLE 3.2. Let $H = \langle h \rangle$ be a cyclic group of order 49 and let G be the direct product of H and S_5 , where S_5 is the symmetric group on five symbols. Then $\phi(G) = \langle h^7 \rangle$ and $F(G) = L(G) = Z(G) = H$. Hence $F(G)$ is a generalized Frattini subgroup of G which properly contains $\phi(G)$.

Our examples indicate that the Fitting subgroup of a group G need not be a generalized Frattini subgroup of G . However, the next two theorems provide a necessary and sufficient condition for $F(G)$ to be a generalized Frattini subgroup of G .

THEOREM 3.6. *If the Fitting subgroup $F(G)$ of G is a generalized Frattini subgroup of G , then every solvable normal subgroup of G is nilpotent.*

Proof. Let H be a solvable normal subgroup of G and let k be the smallest positive integer such that $H^{(k+1)} = 1$. Then $F(G)$ contains $H^{(k)}$, hence by Theorem 3.1 $H^{(k)}$ is a generalized Frattini subgroup. Since $H^{(k-1)}/H^{(k)}$ is abelian, $H^{(k-1)}$ is nilpotent by Theorem 3.2. Hence $F(G)$ contains $H^{(k-1)}$. Proceeding in this way we can prove $H' \subseteq F(G)$, hence H' is a generalized Frattini subgroup of G by Theorem 3.1. By applying Theorem 3.2, we see that H is nilpotent.

As a consequence of Theorem 3.6 we have the following.

COROLLARY 3.6.1. *If $F(G)$ is a generalized Frattini subgroup of G , then G can not be solvable.*

DEFINITION 3.2. For a group G denote by $S(G)$ the *radical* of G (i.e. the unique maximal solvable normal subgroup of G).

THEOREM 3.7. *Let G be a nonnilpotent group. If $S(G) = F(G)$,*

then $F(G)$ is a generalized Frattini subgroup of G .

Proof. Let H be a normal subgroup of G and let P be a Sylow p -subgroup of H , p is a fixed prime, such that $G = F(G)N_c(P)$. Then $F(G)P/F(G)$ is a solvable normal subgroup of $G/F(G)$, hence $F(G)P$ is a solvable normal subgroup of G . Since $F(G)$ is the radical of G , $F(G)$ contains P . Hence P is a Sylow p -subgroup of $H \cap F(G)$. Since $H \cap F(G)$ is a nilpotent normal subgroup, P is normal in G . Therefore, $F(G)$ is a generalized Frattini subgroup of G .

From Theorems 3.6 and 3.7 we have the following.

THEOREM 3.8. *Let G be a nonnilpotent group. The Fitting subgroup of G is a generalized Frattini subgroup of G if and only if it is the radical of G .*

From Theorem 3.8 and the fact that a solvable subnormal subgroup of a group G is contained in the radical of G we obtain the following result.

THEOREM 3.9. *If the Fitting subgroup of a group G is a generalized Frattini subgroup of G , then every solvable subnormal subgroup of G is nilpotent.*

A generalized Frattini subgroup of a group G is called *maximal* if it is not properly contained in any other generalized Frattini subgroup of G . We now consider maximal generalized Frattini subgroups of a group G .

Let H be a maximal generalized Frattini subgroup of G . Then H contains $\phi(G)$ by Theorem 3.1. Now suppose that $L(G)$ is a proper subgroup (i.e. G is nonnilpotent). By Theorem 2.2 of [1] $L(G)/\phi(G) = Z(G/\phi(G))$, hence H contains $L(G)$ by Theorems 3.1 and 3.4. We have proved the following.

THEOREM 3.10. *A maximal generalized Frattini subgroup of a nonnilpotent group G contains $L(G)$.*

COROLLARY 3.10.1 *A maximal generalized Frattini subgroup of a nonnilpotent group G contains the hypercenter of G .*

Proof. It is sufficient to apply Theorem 2.2 of [1] and Theorem 3.10.

We conclude this section with an example which illustrates several properties of generalized Frattini subgroups.

EXAMPLE 3.3. Let G be a group of order 84 with the following properties:

- (a) G has 28 Sylow 3-subgroups,
- (b) G has a normal Sylow 7-subgroup H ,
- (c) G has a normal Sylow 2-subgroup K which is isomorphic to the Klein four-group.

We note that such a group exists (see 9.2.14 of [4]).

Then H and K are generalized Frattini subgroups of G , however $F(G) = HK$ is not a generalized Frattini subgroup. We also note that both H and K are maximal generalized Frattini subgroups of G . Hence a maximal generalized Frattini subgroup need not be unique. Finally, $L(G) = \phi(G) = Z(G) = 1$, and therefore a maximal generalized Frattini subgroup may contain the intersection of the self-normalizing maximal subgroups properly.

4. Small subgroups. This section is devoted to the study of generalized Frattini subgroups which are small in a group G .

DEFINITION 4.1 A proper normal subgroup H of a group G is said to be *small* in G if and only if $G = K$ for each other normal subgroup K of G such that $G = HK$ (see [2]).

Let H be a small subgroup of G which is contained in $L(G)$. Suppose $R(G)$ does not contain H . Then there exists a normal maximal subgroup B such that $G = HB$, which implies $G = B$. Hence $R(G)$ contains H , and therefore $\phi(G)$ must contain H . We have established the following two results.

THEOREM 4.1. *Let H be a proper normal subgroup of G which is contained in $L(G)$. If H is small in G , then $\phi(G)$ contains H .*

THEOREM 4.2. *If $L(G)$ is small in G , then $L(G) = \phi(G)$.*

We note that Example 3.1 shows that the assumption that $L(G)$ is small in Theorem 4.2 is needed.

Since the center of a group G is contained in $L(G)$, we obtain the following result from Theorem 4.1.

THEOREM 4.3. *If the center $Z(G)$ is small in G , then $Z(G)$ is contained in $\phi(G)$.*

Let H be a generalized Frattini subgroup of G . Suppose that H is small in G and every proper normal subgroup of G/H is nilpotent. Let K be a proper normal subgroup of G . Then HK is also a proper normal subgroup of G . Hence HK/H is nilpotent, and so HK is

nilpotent by Theorem 3.2. Therefore K is nilpotent. We have proved the theorem which follows.

THEOREM 4.4. *Let H be a generalized Frattini subgroup of G which is small in G . If every proper normal subgroup of G/H is nilpotent, then every proper normal subgroup of G is nilpotent.*

Since an extension of a solvable group by a solvable group is solvable, we obtain the following result from Theorem 4.4 and Corollary 3.6.1.

COROLLARY 4.4.1. *Let G' be a proper subgroup of G and let H be a generalized Frattini subgroup of G . If H is small in G and every proper normal subgroup of G/H is nilpotent, then G is solvable and $F(G)$ is not a generalized Frattini subgroup of G .*

We note that in Corollary 4.4.1 it is necessary to assume G' is a proper subgroup of G . For we need only to consider the alternating group on five symbols.

5. *H*-series. Let H be a (fixed) maximal generalized Frattini subgroup of G . In this section we define an *H*-series for G and develop some of its elementary properties. We note that part of this section is closely related to Bechtell's results on *L*-series in [1].

DEFINITION 5.1. Let H be a maximal generalized Frattini subgroup. Then

(a) an *H*-series for G is a series

$$H = B_0 \supseteq B_1 \supseteq B_2 \supseteq \dots \supseteq B_j \supseteq \dots$$

such that B_i is normal in G and $B_i/B_{i+1} \subseteq Z(G/B_{i+1})$ for $i = 0, 1, 2, \dots$,

(b) the *upper H-series* is a series

$$H = H_0 \supseteq H_1 \supseteq \dots \supseteq H_j \supseteq \dots$$

in which $[H_{i-1}, G] = H_i$, for $i = 1, 2, \dots$, and

(c) the *lower H-series* is a series

$$1 = Z_0 \subseteq Z_1 \subseteq \dots \subseteq Z_j \subseteq \dots$$

in which $Z_j/Z_{j-1} = Z(G/Z_{j-1})$, for $j = 1, 2, \dots$, (i.e. the lower *H*-series for G is the upper central series in the sense of Scott [4]).

REMARK 5.1. If one replaces H by $L(G)$ in the above definition, then we obtain the concepts of *L*-series, upper *L*-series and lower *L*-series given by Betchell [1]. However, we mention that $L(G)$ need not be a maximal generalized Frattini subgroup.

Let H be a maximal generalized Frattini subgroup of G . Then we say that G possesses an H -series if there exists an H -series for G which terminates with the identity subgroup.

REMARK 5.2. A group G is said to possess an L -series if it has an L -series which terminates with the identity subgroup (see [1]).

From Theorem 6.4.1 of [4] we have the following.

THEOREM 5.1. *Let H be a maximal generalized Frattini subgroup of G . If G possesses an H -series $H = B_0 \supseteq B_1 \supseteq \dots \supseteq B_k = 1$, then $B_j \supseteq H_j$, for $j = 0, 1, 2, \dots, k$, and $H_{k-j} \subseteq B_{k-j} \subseteq Z_j$, for $j = 0, 1, 2, \dots, k$.*

Now let H be a maximal generalized Frattini subgroup of non-nilpotent group G . By Theorem 3.10 and Theorem 2.2 of [1], it follows that $H \supseteq L(G) \supseteq Z^*(G)$. Hence, if G possesses an H -series, then $H = L(G) = Z^*(G)$ by Theorem 5.1. We have established the following.

THEOREM 5.2. *Let H be a maximal generalized Frattini subgroup of a nonnilpotent group G . If G possesses an H -series, then $H = L(G) = Z^*(G)$.*

The fact that G possesses an H -series in Theorem 5.2 cannot be omitted.

EXAMPLE 5.1. Let G be the group of order 84 presented in Example 3.3 and let N be a cyclic group of order 5. Let M be the direct product of G and N . Then $\phi(M) = 1$ and therefore $L(M) = Z(M) = N$. We also note that H and K generalized Frattini subgroups of M , however $HZ(M)$ and $KZ(M)$ are maximal generalized Frattini subgroups of M which properly contain $L(M) = Z(M)$. Now let $W = HZ(M)$. Then M does not possess a W -series and $W \neq L(M)$. However, since $L(M) = Z(M)$, G possesses an L -series by Corollary 3.1.1 of [1].

The converse of Theorem 5.2 is not true in general.

EXAMPLE 5.2. Let $G = \langle a, b \mid a^9 = b^3 = ba ba = 1 \rangle$. Then $F(G) = \langle a \rangle$, $L(G) = \phi(G) = \langle a^3 \rangle$, and $Z^*(G) = Z(G) = 1$. However, $L(G)$ is a maximal generalized Frattini subgroup of G .

We conclude this section with two corollaries to Theorem 5.2.

COROLLARY 5.2.1. *Let $F(G)$ be a generalized Frattini subgroup*

of G . If G possesses an $F(G)$ -series, then $F(G) = L(G) = Z^*(G)$.

Proof. In this case $F(G)$ is a maximal generalized Frattini subgroup, hence the corollary follows from Theorem 5.2.

From Corollary 5.2.1 and Theorem 4.2 we have the following.

COROLLARY 5.2.2. *Let $F(G)$ be a generalized Frattini subgroup of G . If $F(G)$ is small in G and G possesses an $F(G)$ -series, then $F(G) = \phi(G)$.*

6. Remarks. In [3] Huppert proved the following theorem: A finite group G is supersolvable if and only if $F/\phi(G)$ is supersolvable. Hence one might raise the following question: If H is a generalized Frattini subgroup of G and G/H is supersolvable, then is G supersolvable? The answer to this question is no in general. For let G be the group of order 84 given in Example 3.3 and let H be the Sylow 2-subgroup of G . Then H is a generalized Frattini subgroup and G/H is supersolvable. However, G is not supersolvable.

We mention that one can prove the following using results of Huppert [3]. If $L(G)$ is a proper subgroup of G and $G/L(G)$ is supersolvable, then G is supersolvable.

In a later paper the authors will study those groups for which Huppert's result is true whenever generalized Frattini subgroups are considered instead of the Frattini subgroup.

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UNIVERSITY OF KENTUCKY
LEXINGTON, KENTUCKY