

## TWO BRIDGE KNOTS ARE ALTERNATING KNOTS

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H. Schubert introduced a numerical knot invariant called the bridge number of a knot. In particular, he classified the two-bridge knots and proved that they were prime knots. Later, Murasugi showed that if  $K$  is an alternating knot then the matrix of  $K$  is alternating. The latter is true of two-bridge knots. The purpose of the following is to give a somewhat unusual geometric presentation of two-bridge knots from which it will be seen that they are alternating knots.

By a knot we will mean a polygonal simple closed curve in  $E^3$ . Let  $C$  denote the unit circle in the  $xy$  plane and  $f$  a homeomorphism from  $C$  to a knot  $K$ . We will assume that  $K$  is in a regular position with respect to a projection into the  $y = 0$  plane [1] and that those points of  $K$  which do not have unique images will be the crossing points of  $K$ . Let  $f^{-1}(a_1), f^{-1}(a_2), \dots, f^{-1}(a_{2n})$  be the points of  $C$  ordered clockwise where  $a_i$  are the crossing points of  $K$ . If  $K$  has a presentation with an associated  $f$  such that  $a_i$  is an overcrossing point if and only if  $i$  is odd, then  $K$  is said to be an alternating knot. By a two-bridge knot we mean a nontrivial knot in  $E^3$  which can be represented by two linear segments through a convex cell and two arcs on the boundary of the cell.

**THEOREM 1.** *If  $K$  is a two-bridge knot, then  $K$  is an alternating knot.*

*Proof.* We will start with  $K$  in a two-bridge representation (Fig. 1a) and apply several space homeomorphisms to  $E^3$ , so that the resulting representation of  $K$  is described by an arc 'monotonely' approaching the center of the cube and four linear segments (Fig. 1b). The proof

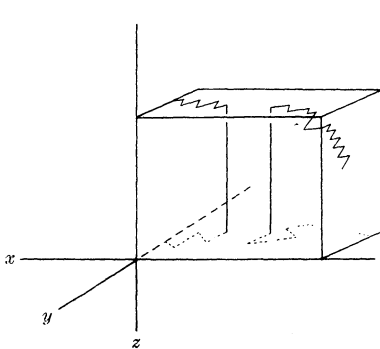


Figure 1a.

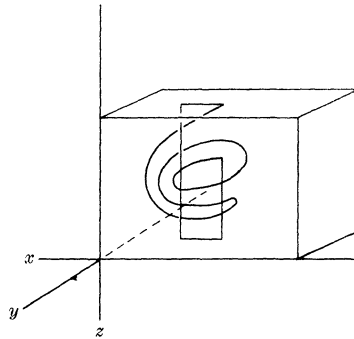


Figure 1b

will be completed by proving a lemma that shows that this representation is an alternating representation.

First assume that the knot  $K$  is represented by two arcs  $A_i = \{(x, y, z) \mid x = i/3, y = 1/2, 0 \leq z \leq 1\}$ ,  $i = 1, 2$ , through the cube  $I = \{(x, y, z) \mid 0 \leq x \leq 1, 0 \leq y \leq 1, 0 \leq z \leq 1\}$  and two connecting arcs on the boundary of  $I$ , i.e.  $B_1$  and  $B_2$ . Furthermore, we can assume that  $B_1 \cup B_2$  does not intersect the planes  $y = 0$  and  $y = 1$  (Fig. 2).

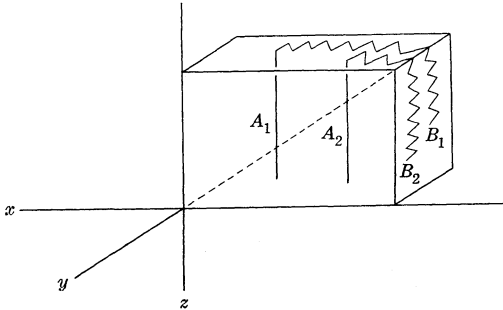


Figure 2.

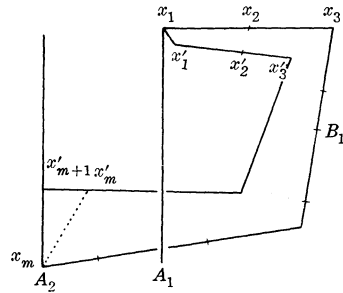


Figure 3.

The first homeomorphism  $h_1$  will move the arc  $B_1$  to an arc starting at the boundary and monotonely approaching the center of  $I$  so that it will not cross itself (in the  $y$  direction).  $h_1$  will be constructed by the following five steps:

(1) Move  $B_1$  on the boundary of  $I$ , leaving the  $A_i$  fixed, so that no segment of  $B_1$  lies on the simple closed curve defined by  $(\text{boundary of } I) \cap (\text{the plane } y = 1/2)$ .

(2) Define  $L$  to be the cone from the center of  $I$  to  $B_1$  and define  $O_t$  to be the annulus  $\{(x, y, z) \mid \max(x - 1/2, z - 1/2) = 1/2 - t, 0 \leq y \leq 1, 0 \leq t \leq 1/2\}$ .

(3) From (1) we have  $L \cap (A_1 \cup A_2)$  equal to a finite set of points. Hence define  $\epsilon$  so that the interior of  $\bigcup_0^\epsilon O_t \cap L$  contains no point of  $A_1 \cup A_2$ .

(4) Let  $x_1, \dots, x_m$  be the vertices of  $B_1$  ordered from  $A_1$  to  $A_2$ . If  $1 \leq k \leq m$ , let  $x'_k$  be the point common to  $O_{\epsilon/m+1}$  and the linear segment joining  $x_k$  to the center of  $I$  and let  $x'_{m+1} = O_\epsilon \cap A_2$ .

(5)  $L \cap \bigcup_0^\epsilon O_t$  is a disk whose intersection with  $K$  is  $B_1$ . Hence the vertices  $x'_1, x'_2, \dots, x'_m, x'_m, \dots, x_1$  determine a simple closed curve which bounds a disk in  $\bigcup_0^\epsilon O_t$  whose intersection with  $K$  is  $B_1$ . Move  $B_1$  to  $x_1, x'_1, \dots, x'_m, x_m$  without moving  $A_1 \cup A_2 \cup B_2$ . Then move  $x'_{m+1}x_mx'_m$  to the segment  $x'_{m+1}x'_m$  without moving the rest of  $K$  (Fig. 3).

The points of  $h_1(B_1)$  approach the center of  $I$  in the sense that if  $x'_i, x'_j$  are vertices of  $h_1(B_1)$  such that  $i < j$  and  $x'_i \in O_{t_i}, x'_j \in O_{t_j}$ , then  $t_i < t_j$ . Hence if  $h_1(K)$  is projected in the  $y$  direction,  $h_1(B_1)$  will not cross itself.

As  $h_1(K) \cap (\text{boundary of } I) = B_2 \cup |x_1|$ , we can find a homeomorphism  $h_2$  such that  $h_2$  is fixed on  $A_1 \cup \{A_2 - |x'_{m+1}, x_m|\} \cup h_1(B_1)$  and  $h_2$  takes  $B_2$  to an arc on the simple closed curve formed by (boundary of  $I$ )  $\cap$  (plane  $y = 1/2$ ).

Next, we will define a homeomorphism  $h_3$  which will move  $h_1(B_1)$  so that the crossings of  $h_3(h_1(B_1))$  will alternate with respect to a projection in the  $y = 0$  plane and  $h_3(h_1(B_1))$  will still approach the center of  $I$  monotonely. Let  $b_1, b_2, \dots, b_r$ , be the crossing points of  $h_1(B_1)$  ordered from  $A_1$  and let  $E_1 = A_1 \cap \{(x, y, z) | z \geq 1/2\}$ ,  $E_2 = A_1 \cap \{(x, y, z) | z \leq 1/2\}$ , and  $E_3 = A_2 - [x_m, x_{m+1}]$ . A two valued function  $g$  may be defined on  $\{b_i\}$  so that  $g(b_i) = 0$  if  $b_i$  is an over-crossing and  $g(b_i) = u$  if  $b_i$  is an undercrossing (in the  $y$ -direction). Assume that two successive values of  $g$  are equal and then there are essentially two cases; i.e., case  $a$ ,  $b_i$  and  $b_{i+1}$  both lie above (or below)  $E_1, E_2$ , or  $E_3$ , and case  $b$ ,  $b_i$  lies above (or below)  $E_i$  and  $b_{i+1}$  lies above (or below)  $E_k$  with  $l \neq k$ .

If case  $a$  holds, then there exists  $t'$  and  $t''$  such that  $\bigcup_{t' \leq t \leq t''} O_t$  contains only  $b_i$  and  $b_{i+1}$  as crossings of  $h_2 h_1(K)$ . There is an arc  $\alpha$ , such that (1)  $\alpha \subset \bigcup_{t' \leq t \leq t''} O_t$  (2)  $\alpha$  has endpoints  $h_1(B_1) \cap O_{t'}$  and  $h_1(B_1) \cap O_{t''}$ , (3)  $\alpha$  does not cross  $E_1, E_2$  or  $E_3$  and (4)  $\alpha$  monotonely approaches the center of  $I$ . Let  $f_i$  be a space homeomorphism moving  $h_1(B_1) \cap \bigcup_{t' \leq t \leq t''} O_t$  to  $\alpha$  and leaving  $E_1 \cup E_2 \cup E_3$  and  $E^3 - [\bigcup_{t \leq t \leq t''} O_t]$  fixed (Fig. 4).

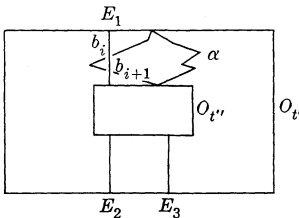


Figure 4.

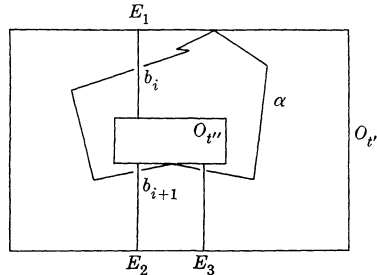


Figure 5.

If case  $b$  holds, define  $t', t''$ , and  $\alpha$  as above, except  $\alpha$  will cross the third  $E$  segment once in the same way that  $h_1(B_1)$  crosses the other two. Define  $f_i$  as a space homeomorphism taking  $h_1(B_1) \cap \bigcup_{t' \leq t \leq t''} O_t$  to  $\alpha$  and leaving  $E_1 \cup E_2 \cup E_3$  and  $E^3 - [\bigcup_{t' \leq t \leq t''} O_t]$  fixed (Fig. 4).

Hence if  $h_2 h_1(B_1)$  is not alternating then there exists a sequence of  $\{f_i\}$  such that  $f_{i_1} f_{i_2} \dots f_{i_k} h_2 h_1(B_1)$  is alternating. Let  $h_3 = f_{i_1} f_{i_2} \dots f_{i_k}$ . Then  $h_3 h_2 h_1(K)$  is alternating by the following lemma.

LEMMA 1. Let  $K$  be a knot in regular position with respect to

the  $y = 0$  plane, and  $B$  a subarc of  $K$  such that (1)  $B$  does not cross itself, (2) every crossing of  $K$  has exactly one crossing point in  $B$ , and (3) the crossings of  $B$  alternate, then  $K$  is an alternating knot.

*Proof.* It can be assumed that  $B = \{(x, y, z) | 0 \leq x \leq 1, y = 0, z = 0\}$  and  $B$  satisfies conditions (1) through (3). If  $K$  is not an alternating knot, then there are two successive crossings of  $K$ ,  $b_1, b_2$ , such that both  $b_1$  and  $b_2$  are overcrossings (or undercrossings). Let  $A$  be the arc joining  $b_1$  and  $b_2$  which has no crossings in its interior (Fig. 6). As the crossings of  $B$  alternate,  $A$  cannot lie in  $B$ .

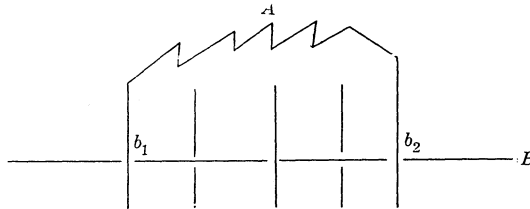


Figure 6.

$A$  cannot contain both endpoints of  $B$ . If  $A$  contains neither endpoint of  $B$ , define  $C$  to be the simple closed curve containing  $A$ , the subarc  $B'$  of  $B$  with endpoints below (above)  $b_1$  and  $b_2$ , and the two vertical segments joining  $b_1$  and  $b_2$  to their respective undercrossing (overcrossing) points. If  $K$  contains a single endpoint of  $B$ , define  $C$  to be the simple closed curve containing  $A$ , the subarc  $B'$  of  $B$  containing one of  $b_1$  or  $b_2$  in its interior and having as endpoints the other  $b_i$  and the endpoint of  $B$  in  $A$ , and the vertical segment joining the  $b_i$  endpoint of  $B'$  to  $A$ .

As the crossings of  $B$  alternate and  $b_1$  and  $b_2$  are both overcrossing points, there is an odd number of crossings on  $B'$  between  $b_1$  and  $b_2$ , and hence an odd number of crossings on  $C$ .  $C \cup K$  is the union of three simple closed curves,  $C$ ,  $C_1$ , and  $C_2$  ( $C_2$  is possibly degenerate). But  $C_1 \cup C_2$  must cross  $C$  an even number of times, contradicting the fact that  $C$  is crossed an odd number of times.

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Received August 24, 1970.

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