

HOMOMORPHISM SPACES OF ALGEBRAS OF HOLOMORPHIC FUNCTIONS

P. J. DE PAEPE

In this paper rationally convex sets, holomorphically convex sets and holomorphic sets in \mathbb{C}^n are under consideration. Topological properties of these sets are given and attention is paid to examples showing that these three concepts are different. In the second section of the paper an example is given of a fat, connected, holomorphically convex set, which is not a holomorphic set.

A compact subset K of \mathbb{C}^n is called rationally convex if K can be identified with the set of continuous complex-valued nontrivial homomorphisms of the function algebra $R(K)$, i.e. the algebra of uniform limits on K of restrictions to K of rational functions whose pole sets miss K . So K is rationally convex if and only if the rationally convex hull $r(K)$ of K defined by

$$\begin{aligned} r(K) &= \{x \in \mathbb{C}^n : |r(x)| \leq \|r\|_K \text{ for all rational functions } r \\ &\quad \text{not having poles on } K\} \\ &= \{x \in \mathbb{C}^n : p(x) \in p(K) \text{ for every polynomial } p\} \end{aligned}$$

equals K . As usual $\|\cdot\|_K$ denotes the supremum norm on K . A compact set K in \mathbb{C}^n is holomorphically convex if it is the space of continuous complex-valued nontrivial homomorphisms of $H(K)$, the function algebra on K consisting of uniform limits on K of restrictions to K of functions holomorphic in a neighborhood of K . K is said to be a holomorphic set if K is a countable intersection of Stein manifolds in \mathbb{C}^n . We recall that a Stein manifold of dimension n is a complex analytic manifold such that the following three conditions are satisfied: $\text{Hol}(M)$, the collection of holomorphic functions on M , separates the points of M ; for every $x \in M$ there exist $f_1, \dots, f_n \in \text{Hol}(M)$ such that f_1, \dots, f_n provide local coordinates at x ; $\text{Hol}(M) - \text{hull}(K) = \{x \in M : |f(x)| \leq \|f\|_K \text{ for all } f \in \text{Hol}(M)\}$ is a compact subset of M for every compact subset K of M . Note that an open subset of \mathbb{C}^n is Stein if the last condition is satisfied. Of primary importance is the property of Stein sets $M \subset \mathbb{C}^n$, that the continuous homomorphism space of $\text{Hol}(M)$ equals M . Using this fact and a characterization of holomorphically convex subsets of \mathbb{C}^n of Birtel, [2], it is evident that holomorphic sets are holomorphically convex.

If K is a compact subset of \mathbf{C}^n , $r(K)$, $hc(K)$, $hs(K)$ respectively denote the rationally convex hull of K , the smallest holomorphically convex set in \mathbf{C}^n containing K , and the smallest holomorphic set in \mathbf{C}^n containing K , the existence of which is shown in [11], chapter III.

Let M be a complex analytic manifold. $\text{Mer}(M)$ will be the collection of meromorphic functions on M , i.e. "functions" which locally are the quotient of two holomorphic functions, the denominator not identically zero on a component of its domain of definition. If M is Stein it can be shown that every meromorphic function on M is the quotient of two elements of $\text{Hol}(M)$. If K is a compact subset of M , $\text{Mer}(M) - \text{hull}(K)$ will denote the set of points in M at which $|m(x)| \leq \|m\|_K$ for all $m \in \text{Mer}(M)$, m holomorphic near K .

We also need Birtel's characterization of holomorphically convex sets in \mathbf{C}^n , [2]. Let K be a compact subset of \mathbf{C}^n . Let U be an open neighborhood of K and let $E(U)$ be the continuous homomorphism space of $\text{Hol}(U)$. By a famous theorem of Bishop $E(U)$ can be given the structure of a Stein manifold in such a way that $\text{Hol}(U)$ is isomorphic to $\text{Hol}(E(U))$, the isomorphism given by $f \rightarrow \hat{f}$ where $\hat{f}(\phi) = \phi(f)$ for all $\phi \in E(U)$. Note that $E(U)$ coincides with U , if U is Stein. Let $\Pi: E(U) \rightarrow \mathbf{C}^n$ be the map

$$\Pi(\phi) = (\phi(Z_1), \dots, \phi(Z_n)) = (\hat{Z}_1(\phi), \dots, \hat{Z}_n(\phi)),$$

Z_i being the i 'th coordinate function on \mathbf{C}^n . Then K is holomorphically convex if and only if $K = \bigcap \{\Pi E(U): U \supset K\}$.

For general background information about function algebras, complex analytic manifolds and complex analytic varieties we refer to [4] and [5].

1. Topological properties of homomorphism spaces.

Let K be a compact subset of \mathbf{C}^n and let Y be a compact holomorphically convex set in \mathbf{C}^n , containing K . We define $M(Y, K)$ as the set of all points $x \in Y$ with the property that for all compact subsets S of Y , containing x , for all open neighborhoods U (in \mathbf{C}^n) of S and for all $f \in \text{Hol}(U)$ with $f(x) = 0$, f attains the value zero on $\text{bdr } S \cup (S \cap K)$. Here $\text{bdr } S$ denotes the boundary of S relative to Y . Note that $K \subset M(Y, K) \subset Y$.

THEOREM 1. $M(Y, K)$ is holomorphically convex.

Proof. Suppose the theorem is false, then $A = \bigcap \{\Pi E(U), U \text{ open in } \mathbf{C}^n, U \supset M(Y, K)\}$ is a subset of Y and A properly contains $M(Y, K)$. Let $x \in A$, $x \notin M(Y, K)$. There exist $S \subset Y$, with $x \in S$, a neighborhood U of S and a function $f \in \text{Hol}(U)$ such that $f(x) =$

$0 \notin f(\text{bdr } S \cup (S \cap K))$. By definition of $M(Y, K)$, f has no zeroes on $M(Y, K) \cap S$. Let Z be the zero set of f . By shrinking U , if necessary, we may suppose Z has a positive distance to $Y \setminus S$, in particular $Z \cap Y = Z \cap S$. Let U' be a neighborhood of S , relatively compact in U . Let W be a neighborhood of $M(Y, K)$ such that $\Pi E(W) \cap Z$ is contained in $U' \cap \Pi E(W)$. Clearly $\Pi E(W \setminus Z) \subset \Pi E(W)$. We show $\Pi E(W \setminus Z) \subset (\Pi E(W)) \setminus Z$. Suppose this is false, let

$$\begin{aligned} E_1 &= \Pi^{-1}(U \cap \Pi E(W \setminus Z)) \cap E(W \setminus Z), & h_1 &= 1/f \circ \Pi, \\ E_2 &= E(W \setminus Z) \setminus \text{cl}(\Pi^{-1}(\Pi E(W \setminus Z) \cap U')), & h_2 &= 0. \end{aligned}$$

Here cl stands for closure. Then, because Cousin I problems are solvable on the Stein manifold $E(W \setminus Z)$ ([5], p. 248), there is a meromorphic function m on $E(W \setminus Z)$ such that $m - 1/f \circ \Pi$ is holomorphic on E_1 and m is holomorphic on E_2 , so m is holomorphic on $W \setminus Z$. Therefore m is in $\text{Hol}(E(W \setminus Z))$, contradicting the definition of m . So we have shown $\{y \in E(W \setminus Z) : \Pi(y) \in Z\}$ is empty.

It follows that $W \setminus Z \supset M(Y, K)$, $x \notin \Pi E(W \setminus Z)$, hence $x \notin A$, in contradiction with our initial assumption. Therefore $M(Y, K)$ is holomorphically convex.

THEOREM 2. *If Y is a holomorphic set, then $M(Y, K)$ is a holomorphic set.*

Proof. Let $x \in Y \setminus M(Y, K)$. As in the proof of the previous theorem, let S, U, f, Z and U' be given. Choose a Stein manifold W containing Y such that $Z \cap W$ is contained in $U' \cap W$. Solving a Cousin I problem on W we find a meromorphic function m on W such that $m - 1/f$ is holomorphic on $U \cap W$ and m is holomorphic on $W \setminus \text{cl}(U')$. Then the Stein manifold $\{y \in W : |m(y)| < C\}$ for C sufficiently large does not contain x and contains $M(Y, K)$. Thus $M(Y, K)$ is the intersection of all Stein manifolds containing $M(Y, K)$, so $M(Y, K)$ is a holomorphic set.

In the case Y is a holomorphic set which has a trivial second cohomology group with coefficients in the integers, we have the following characterization of $M(Y, K)$:

THEOREM 3. *Let Y be a holomorphic set and suppose $H^2(Y; \mathbf{Z}) = 0$. Then $M(Y, K) = \bigcap \{\text{Mer}(U) - \text{hull}(K) : U \text{ open in } \mathbf{C}^n, U \supset Y\}$.*

Proof. Let $x \in Y, x \notin \bigcap \{\text{Mer}(U) - \text{hull}(K) : U \supset Y\}$. Then there are a Stein manifold U containing Y and $m \in \text{Mer}(U)$ such that m is holomorphic near K and $m(x) = 1 > \|m\|_K$. By shrinking U , if necessary, we may assume that there are $f, g \in \text{Hol}(U)$, relatively prime such

that $m = f/g$ (see [5], p. 251). Now the analytic variety $W = \{y \in U: f(y) = g(y)\}$ does not meet K because at every point in $K \cap W$ the irreducible branches of W through this point are contained in $\{y \in U: f(y) = g(y) = 0\}$ since f/g is holomorphic near K . This would contradict the fact that f and g are relatively prime. So $h = f - g$ has a zero at x and does not attain the value zero on K . Let $\varepsilon > 0$ be such that $|h(y)| > \varepsilon$ for all $y \in K$, then $S = \{y \in Y: |h(y)| \leq \varepsilon\}$ is a compact subset of Y , $x \in S$, $K \cap S = \emptyset$ and $\text{bdr } S \subset \{y \in Y: |h(y)| = \varepsilon\}$. Hence $x \notin M(Y, K)$.

Conversely, suppose $x \notin M(Y, K)$, so there are a subset S of Y , $x \in S$, a neighborhood U of S and $f \in \text{Hol}(U)$ such that $f(x) = 0$ and f has no zeroes on $\text{bdr } S \cup (S \cap K)$. Again we may assume that the only zeroes of f in Y are in S . Let W be a Stein manifold containing Y such that the zero set Z of f in U is an analytic hypersurface in W . Without loss of generality we may assume there exists $h \in \text{Hol}(W)$ such that $Z = \{y \in W: h(y) = 0\}$ (see [9], p. 286). Hence $h(x) = 0$ and h does not attain the value zero on K (even not on $M(Y, K)$), so $|h(y)| > 2\varepsilon$ for all $y \in K$ and some $\varepsilon > 0$. Hence $|(1/h + \varepsilon)(x)| > \|1/h + \varepsilon\|_K$, so $x \notin \text{Mer}(W) - \text{hull}(K)$.

If we restrict ourselves to rationally convex sets Y , we obtain the following result:

THEOREM 4. *Let Y be rationally convex and $H^2(Y; \mathbf{Z}) = 0$. Then $M(Y, K)$ is rationally convex.*

Proof. As in the proof of Theorem 3, if $x \in Y \setminus M(Y, K)$, then there exists a Stein manifold W , $W \supset Y$, and $h \in \text{Hol}(W)$ such that $h(x) = 0 \notin h(M(Y, K))$. Because Y is rationally convex, h can be approximated on Y by rational functions with pole sets which miss Y . So there is a rational function r , holomorphic near Y with $0 < |r(x)| < |r(y)|$ for all $y \in M(Y, K)$. So $|1/r(x)| > \|1/r\|_{M(Y, K)}$, hence

$$x \notin r(M(Y, K)).$$

Hence $M(Y, K)$ is rationally convex.

The next lemma has important consequences.

LEMMA 1. *Let $x \in Y \setminus K$. If there exists a neighbourhood U of x and a one-dimensional analytic subvariety V of U such that $Y \cap U \subset V$ then $x \notin M(Y, K)$.*

Proof. Suppose such U and V do exist. We may assume $U \cap K = \emptyset$. Let f be a holomorphic function in a neighborhood $W \subset U$ of x such that $f(x) = 0$ and $f(y) \neq 0$ if $y \in V \cap W$, $y \neq x$. Let S be a compact subset

of Y containing x in its interior and such that $S \subset W$. Then $S \cap K = \emptyset$ and $0 \notin f(\text{bdr } S)$. Hence $x \notin M(Y, K)$.

THEOREM 5. *The sets $hc(K)$, $hs(K)$ do not contain points $x \notin K$ such that there are a neighborhood U of x and a onedimensional analytic subvariety V of U with the property $hc(K) \cap U \subset V$, respectively $hs(K) \cap U \subset V$. The same statement holds for $r(K)$ if $H^2(r(K); \mathbf{Z}) = 0$.*

Proof. Note that $hc(K) = M(hc(K), K)$, $hs(K) = M(hs(K), K)$ and $r(K) = M(r(K), K)$ if $H^2(r(K); \mathbf{Z}) = 0$. Now apply Lemma 1.

In the literature appear at least three examples of holomorphic sets which are not rationally convex. First, Wermer in [10] gives an example of a set K which is the biholomorphic image of a polydisc in \mathbf{C}^2 which is not polynomially convex:

$K = \{(z_1, z_2, z_3) \in \mathbf{C}^3: z_1 = z, z_2 = zw, z_3 = w(zw - 1), |z| \leq 1, |w| \leq 1\}$. Stolzenberg later in [8] shows that K is even not rationally convex and $r(K) = K \cup D$, where

$$D = \{(z_1, z_2, z_3): |z_1| < 1, z_2 = 1, z_3 = 0\}.$$

Lemma 1 shows that K is a holomorphic set and $M(r(K), K) = K$; from Theorem 4 it follows that $H^2(r(K); \mathbf{Z})$ is nontrivial. Stolzenberg in [9], p. 272, gives an example of two disjoint polynomially convex sets K_1 and K_2 whose union K is not polynomially convex.

$$K_1 = \{(z_1, z_2, z_3) \in \mathbf{C}^3: (z_3 - 1)(z_1 z_2 - 1) = 0, |z_3| \leq 1, |z_1| \leq 2, |z_2| \leq \frac{1}{2}\}$$

$$K_2 = \{(z_1, z_2, z_3) \in \mathbf{C}^3: |z_1| \leq 1, |z_2| \leq 1, z_3 = 0\}$$

$$K = K_1 \cup K_2.$$

Stolzenberg shows that $r(K) \supset S = \{(z_1, z_2, z_3): z_1 z_2 = 1, z_3 = 0, 1 \leq |z_1| \leq 2\}$. Now it is easily seen that $r(K) = K \cup S$. Now $\text{bdr } S \cup (S \cap K) = \text{bdr } S = S \cap K$. Therefore, for $x = (x_1, x_2, x_3) \in S$, $x \notin \text{bdr } S$, $z_1 - x_1$ attains the value zero at x and $0 \notin (z_1 - x_1)(\text{bdr } S)$, hence $x \notin M(r(K), K)$. So $M(r(K), K) = K$, K is a holomorphic set, and by Theorem 4, $H^2(r(K); \mathbf{Z}) \neq 0$.

Much earlier Oka, [6], constructs a Stein manifold M in \mathbf{C}^2 with the property that not every holomorphic function on M can be approximated by rational functions on compact subsets of M . His domain M is of the form

$$R \cap \{|f| < A\}, \text{ where}$$

$R = \{(z_1, z_2) \in \mathbf{C}^2: |r_i(z_1, z_2)| < 1, i = 1, \dots, N\}$, r_i rational functions for $i = 1, \dots, N$, and where f is a meromorphic function on R , gotten in the following way. There is a polynomial h whose zero set in R consists of two components V_1 and V_2 ; now f is the solution of a Cousin I problem on R , holomorphic near V_1 and such that $f - 1/h$ is holomorphic near V_2 (so $M \cap V_2 = \emptyset$).

Oka constructs a compact set F in M such that $r(F) \neq F$, in fact $r(F) \cap V_2 \neq \emptyset$. Now $K = \{x \in r(F): |f(x)| \leq B\}$ is a holomorphic set containing F if B is sufficiently large and is not rationally convex. Let S be the closure of $r(K) \setminus K$, then $\text{bdr } S \cup (S \cap K) \subset \{|f| = B\}$. By blowing up the functions r_i a little and enlarging A and B if necessary, we may assume $\{|f| = B\} \cap V_1 = \emptyset$. So the polynomial h attains the value zero on S and has no zeroes on $\{|f| = B\}$. Hence $M(r(K), K) \neq r(K)$, so by Theorem 4, $H^2(r(K); \mathbf{Z}) \neq 0$.

It is apparent from the preceding discussion that all three examples have a topological property in common: the second cohomology group of the rationally convex hull of the set with coefficients in the integers does not vanish. One might wonder whether it is possible to put purely topological conditions on a holomorphic set or its rationally convex hull to ensure that the set is rationally convex. Problem:

If K is a holomorphic set in \mathbf{C}^n and if $H^2(r(K); \mathbf{Z}) = 0$, is K then rationally convex?

2. A fat connected holomorphically convex set, not a holomorphic set. We will now give an example of a compact set X in \mathbf{C}^3 which is holomorphically convex, but is not a holomorphic set. Moreover X will be connected and fat, i.e. X is the closure of its interior.

In [3] Björk gives the following example of a holomorphically convex set in \mathbf{C}^2 , not a holomorphic set:

$$K = \{(z, w): zw = 0, |z| \leq 1, |w| \leq 1\} \cup \bigcup_{n=1}^{\infty} \{(z, w): 2^{-n-1} \leq |z| \leq 2^{-n}, |w| = 1 - 1/n, n \in \mathbf{N}\}.$$

He then imbeds this set in \mathbf{C}^3 and connects up the components by thin spiral-like sets in order to get a holomorphically convex set, not a holomorphic set, which is connected but has no interior ([3]).

Consider the following subsets of the complex t -plane:

$$T_n = \{2^{-2n-1} \leq \arg t \leq 2^{-2n}, |t| \leq a_n\},$$

where $n \in \mathbf{N}$ and $a_n, a_n \rightarrow 0$, are small positive numbers to be determined later.

$$T_0 = \{|t - 1| \leq 1, \operatorname{Im} t \leq 0 = \{|t - 1| \leq 1, |e^{-u}| \leq 1\}$$

$$S_n = \{|t + 2^{-4n-1}| \geq 2^{-4n-1}, |t + 2^{-4n}| \leq 2^{-4n}\}, \quad n \in \mathbf{N}$$

$$T = \bigcup_{n=0}^{\infty} T_n \cup \bigcup_{n=1}^{\infty} S_n.$$

We need two properties of the algebra $R(T)$: $t = 0$ is a peak point for $R(T)$, i.e. there exists $f \in R(T)$ with $f(0) = 1$ and $|f(t)| < 1$ for all $t \in T, t \neq 0$. Furthermore for $t \in Q \setminus \{0\}$, where Q is one of the sets T_n or S_n , there exists $f \in R(T)$ with $f = 0$ on $T \setminus Q$ and $f(t) = 1$. This follows from the fact that every continuous function on T which is analytic in the interior of T can be approximated on T by rational functions with poles not meeting T .

Now define subsets of \mathbf{C}^3 by

$$B_n = \{(z, w, t): 2^{-n-1} \leq |z| \leq 2^{-n}, 1 - 2^{-2n} \leq |w| \leq 1 - 2^{-2n-1}, t \in T_n\}, \quad n \in \mathbf{N}$$

$$B_0 = \{(z, w, t): |zw \pm (1 - t)| \leq 1, |e^{-u}| \leq 1, |z| \leq 1, |w| \leq 1\}.$$

It is easily seen that $B_n, n \geq 0$, is connected and is the closure of its interior. Our desired set X will be the union of the sets $B_n, n \geq 0$, and sets $A_n, n \geq 1$, where A_n connects B_n and B_{n+1} , and such that $A_n \cap \{t = 0\} \subset (B_n \cup B_{n+1}) \cap \{t = 0\}$ and

$$|z| \leq 2, |w| \leq 2, t \in S_n \quad \text{for } (z, w, t) \in A_n.$$

Define the function f_n , analytic in a neighborhood of X as the branch of $1/2\pi i \log 2^{4n+1}(t + 2^{-4n-1})$ which is $-(n - 1)$ on $B_1 \cap \{t = 0\}, \dots, -1$ on $B_{n-1} \cap \{t = 0\}, 0$ on $B_n \cap \{t = 0\}$ and 1 on $(X \setminus (B_1 \cup \dots \cup B_n)) \cap \{t = 0\}$. So, putting $\beta_n = 2^{4n+1}(t + 2^{-4n-1}), f_n(t) = 1/2\pi i \log |\beta_n| + 1/2\pi \arg \beta_n$, where $\arg \beta_n$ ranges on X from approximately $-(n - 1)2\pi$ to approximately 2π .

Now on $B_1 \cup A_1 \cup \dots \cup A_{n-2} \cup B_{n-1}$, $\arg \beta_n < -3\pi/2$, hence $\operatorname{Re} 3f_n < -9/4$. Similarly on $X \setminus (B_1 \cup A_1 \cup \dots \cup B_n \cup A_n)$, $\operatorname{Re} 3f_n > 9/4$. Since $|z| \leq 2, |w| \leq 2$ for $(z, w, t) \in X$, the zero sets of $iz - 3f_n$ and $iw - 3f_n$ do not meet $X \setminus (A_{n-1} \cup B_n \cup A_n)$.

Since $f_n = 0$ on $B_n \cap \{t = 0\}$ and z and w have no zeroes on B_n , we can make a_n (see the definition of T_n) sufficiently small to assure that the zero sets of $iz - 3f_n$ and $iw - 3f_n$ do not meet B_n . We will construct the sets A_n in such a way that the zero sets of $iz - 3f_n, iz - 3f_{n+1}, iw - 3f_n,$

$iw - 3f_{n+1}$ do not meet A_n . This means that $g_n = 1/(iz - 3f_n)$ and $h_n = 1/(iw - 3f_n)$ are holomorphic in a neighborhood of X , i.e. $h_n, g_n \in H(X)$.

We proceed with the construction of the sets A_n . Consider the following inequalities defining A_n .

- (1) $|t + 2^{-4n-1}| \geq 2^{-4n-1}$
- (2) $|t + 2^{-4n}| \leq 2^{-4n}$
- (3) $|2^{n+2}(z - 2^{-n-1}) \pm (1 - f_n)| \leq 1$
- (4) $|2^{n+2}(z - 2^{-n-1}) \pm f_n| \leq 1$
- (5) $|2n(w - (1 - 2^{-2n-1})) \pm (1 - f_n)| \leq 1$
- (6) $|2n(w - (1 - 2^{-2n-2})) \pm f_n| \leq 1$

From (3) and (5) it follows that $\operatorname{Re} z > 0$ on A_n since $|2^{n+2}(z - 2^{-n-1})| \leq 1$ and $|2n(w - (1 - 2^{-2n-1}))| \leq 1$ on A_n . Also from the definition of f_n , $\operatorname{Im} f_n \leq 0$ on $A_{n-1} \cup A_n$, $n \geq 1$, $A_0 = \emptyset$, since $t \in S_n$ if $(z, w, t) \in A_n$. So $iz - 3f_n$ and $iw - 3f_n$ cannot have zeroes on $A_{n-1} \cup A_n$, so g_n and h_n belong to $H(X)$. Now it is easily seen that

- (i) A_n is connected
- (ii) A_n connects up B_n and B_{n+1}
and $A_n \cap \{t = 0\} \subset (B_n \cup B_{n+1}) \cap \{t = 0\}$
- (iii) A_n is the closure of its interior
- (iv) A_n as a closed analytic polyhedron is holomorphically convex.

Define $X = \cup B_n \cup \cup A_n$. First we show that the space $\Delta H(X)$ of continuous complex-valued nontrivial homomorphisms of $H(X)$ can be identified with X , i.e. X is holomorphically convex.

Let $\phi \in \Delta H(X)$, so $\phi(t) \in T$.

Suppose $\phi(t) = 0$. Since $t = 0$ is a peak point of $R(T)$, there is a function $g \in R(T)$ peaking at $t = 0$. Now $\phi \in \Delta[H(X)|X \cap \{t = 0\}]$ where $[H(X)|X \cap \{t = 0\}]$ is the function algebra on $X \cap \{t = 0\}$ generated by restrictions of elements of $H(X)$. Suppose this is not the case, then there is $f \in H(X)$ with $\phi(f) = 1$ and $1 > \|f\|_{X \cap \{t=0\}}$. Consider g in the natural way as an element of $H(X)$, then

$$\phi(fg^m) = 1 > \|fg^m\|_X$$

if m is sufficiently large, in contradiction with $\phi \in \Delta H(X)$.

Let m be a positive Jensen representing measure for ϕ on $X \cap \{t = 0\}$, representing $[H(X)|X \cap \{t = 0\}]$. So

$$\log |\phi(f)| \leq \int \log |f| dm$$

and

$$\phi(f) = \int f dm$$

for $f \in [H(X)|X \cap \{t = 0\}]$.

Suppose $m(B_n \cap \{t = 0\}) > 0$ for some $n \in \mathbf{N}$. There is a linear combination F_n of the functions f_m which is 1 on $B_n \cap \{t = 0\}$, 0 on $(X \setminus B_n) \cap \{t = 0\}$. Now

$$\log |\phi(F_n - 1)| \leq \int \log |F_n - 1| dm = -\infty.$$

Hence $\phi(F_n) = 1$ and it follows that $m(B_n \cap \{t = 0\}) = 1$, so $\phi \in \Delta[H(X)|B_n \cap \{t = 0\}]$. Now ig_n and ih_n are in $H(X)$ and restrict to $1/z$ and $1/w$ on $B_n \cap \{t = 0\}$. So

$$[H(X)|B_n \cap \{t = 0\}] = R(B_n \cap \{t = 0\}).$$

Since $\Delta R(B_n \cap \{t = 0\}) = B_n \cap \{t = 0\}$, $\phi \in B_n \cap \{t = 0\}$.

If $m(B_n \cap \{t = 0\}) = 0$ for all $n \in \mathbf{N}$, $m(B_0 \cap \{t = 0\}) = 1$, so $\phi \in \Delta[H(X)|B_0 \cap \{t = 0\}]$. Since $B_0 \cap \{t = 0\}$ is polynomially convex, $\phi \in B_0 \cap \{t = 0\}$. So if $\phi \in \Delta H(X)$ and $\phi(t) = 0$, $\phi \in X \cap \{t = 0\}$. If $\phi(t) \in S_n \setminus \{0\}$, $\phi \in \Delta[H(X)|A_n]$. If not, there exists $g \in H(X)$ with $\phi(g) = 1 > \|g\|_{A_n}$. But there is $f \in R(T)$ with $f(\phi(t)) = 1$, $f = 0$ on $T \setminus S_n$. So $\phi(g^m f) = 1 > \|g^m f\|_X$ if m is sufficiently large, a contradiction. Now $\Delta[H(X)|A_n] = A_n$ since the defining functions for A_n are in $H(X)$. So $\phi \in A_n$.

If $\phi(t) \in T_0 \setminus \{0\}$, $\phi \in \Delta[H(X)|B_0]$ and since B_0 is a compact closed analytic polyhedron in \mathbf{C}^3 , defined by functions in $\text{Hol}(\mathbf{C}^3)$

$$\Delta[H(X)|B_0] = \Delta[\text{Hol}(\mathbf{C}^3)|B_0] = B_0,$$

so $\phi \in B_0$. If $\phi(t) \in T_n \setminus \{0\}$, $\phi \in \Delta[H(X)|B_n]$. Again we will show $[H(X)|B_n] = R(B_n)$. Now g_n and h_n are in $H(X)$. Making a_n , one of the determining constants of B_n small enough to get

$$|3f_n| < |iz - 3f_n|, \quad |3f_n| < |iw - 3f_n|$$

on B_n , we have $1/iz = \sum (-3f_n)^m \cdot (g_n)^{m+1}$ where the series converges uniformly on B_n to a function in $[H(X)|B_n]$. So $1/z \in [H(X)|B_n]$ and similarly $1/w \in [H(X)|B_n]$. So $[H(X)|B_n] = R(B_n)$ and hence $\phi \in B_n$.

This shows $\Delta H(X) = X$.

Now it is clear that X is not a holomorphic set since $X \cap \{t = 0\}$ is not a holomorphic set by Björk's example.

REFERENCES

1. F. T. Birtel, *Function algebras and several complex variables*, unpublished notes.
2. ———, *Some holomorphic function algebras*, Papers from the Summer Gathering on Function Algebras at Aarhus, July 1969, 11–18.
3. J. E. Björk, *Holomorphic convexity and analytic structures in Banach algebras*, Arkiv för Matematik, **9** (1971), 39–54.
4. T. Gamelin, *Uniform Algebras*, Prentice Hall Inc. 1969.
5. R. C. Gunning and H. Rossi, *Analytic Functions of Several Complex Variables*, Prentice-Hall Inc. 1965.
6. K. Oka, *Sur les fonctions analytiques de plusieurs variables. IV—Domaines d'holomorphic et domaines rationnellement convexes*, Japan J. Math., **17** (1940), 517–521.
7. P. J. de Paepe, *Analytic polyhedra*, Tulane Dissertation, 1971.
8. G. Stolzenberg, *An example concerning rational convexity*, Math. Ann., **147** (1962), 275–276.
9. G. Stolzenberg, *Polynomially and rationally convex sets*, Acta Math., **109** (1963), 259–289.
10. J. Wermer, *An example concerning polynomial convexity*, Math. Ann., **139** (1959), 147–150.
11. W. Zame, *Stable algebras of holomorphic germs*, Tulane Dissertation, 1970.

Received January 24, 1974. The author wishes to thank Professor Frank T. Birtel for his guidance and encouragement during the preparation of his dissertation, at Tulane University, New Orleans. Part of the material in this dissertation forms the basis for this paper. The author held a fellowship of the Niels Stensen Stichting in Amsterdam, The Netherlands, from September, 1970, until August, 1971.

NEW ORLEANS, TULANE UNIVERSITY
AND
AMSTERDAM, UNIVERSITEIT VAN AMSTERDAM