

## A REMARK ABOUT GROUPS OF CHARACTERISTIC 2-TYPE AND $p$ -TYPE

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**In the work of Klinger and Mason on groups of characteristic 2-type and  $p$ -type, a configuration for  $p = 5$  emerges as a possibility in the conclusion to one of their theorems. In this note, we eliminate this possibility. Thus, the evidence that the only simple groups of characteristic 2-type and  $p$ -type are  $G_2(3)$ ,  $P\text{Sp}(4, 3)$  and  $U_4(3)$  is strengthened.**

**Introduction.** We consider the following recent theorem of Klinger and Mason [2]:

**THEOREM D.** *Let  $G$  be a finite group,  $p$  an odd prime,  $P \in \text{Syl}_p(G)$ . Assume  $\mathcal{N}(P; 2) = \{1\}$ , all 2-locals are 2-constrained with trivial core and all  $p$ -locals are  $p$ -constrained. Let  $A$  be an elementary abelian  $p$ -subgroup of  $G$  of maximal rank subject to  $\mathcal{N}(A; 2) \neq \{1\}$ . Assume  $m(A) \geq 2$ . Then  $m(A) = 2$  and one of the following holds.*

- (a)  *$A$  contains every element of order  $p$  in  $C_G(A)$ .*
- (b)  *$p = 3$  and we can choose  $A$  and  $T \in \mathcal{N}^*(A; 2)$  so that  $T$  is the central product of  $A$ -invariant quaternion subgroups  $Q_1, \dots, Q_w$ ,  $w = 2, 3, 4$ .*
- (c)  *$p = 5$  and for every  $T \in \mathcal{N}^*(A; 2)$ ,  $T$  is the central product of five copies of  $Q_8$  and five copies of  $D_8$ .*

It has been suspected that (c) never occurs. In fact, a conjecture of Gorenstein asserts that if  $G$  is a simple group, simultaneously of characteristic 2-type and  $p$ -type for some odd  $p$  (see [2] for definitions of these terms), then  $p = 3$  and  $G \cong G_2(3)$ ,  $P\text{Sp}(4, 3)$  or  $U_4(3)$ . It is the purpose of this paper to eliminate (c).

For terminology and notation used in this paper, see [2]. The reader is referred to [1] or [5] for basic material about groups or Lie type. See [3] for further results on groups of characteristic 2-type and 3-type.

In this paper, we consider the following hypothesis.

*Hypothesis (KM).* (1)  $G$  is a finite simple group, all of whose 2-local subgroups are 2-constrained.

(2)  $A$  is a noncyclic elementary abelian 5-group of maximal rank lying in a 2-local subgroup.

(3)  $A \cong Z_5 \times Z_5$ .

(4)  $T \in \mathcal{N}^*(A; 2)$  and  $T$  is an extraspecial 2-group of order  $2^{21}$ ,

type -, i.e.,  $T$  is the central product of 5 quaternion groups and 5 dihedral groups. Also,  $T = O_2(C_G(Z(T)))$  and  $N_G(T)$  is corefree and 2-constrained.

(5) Let  $A_i$ ,  $0 \leq i \leq 5$ , be all the subgroups of order 5 in  $A$ . Set  $T_i = C_T(A_i)$  for  $0 \leq i \leq 5$ . Then  $T_0 = \langle z \rangle = Z(T)$  and  $T_i \cong D_8 \circ Q_8$ , for  $1 \leq i \leq 5$ . Also,  $C_G(A_i)$  is 5-constrained,  $0 \leq i \leq 5$ .

(6)  $\mathcal{M}(R; 2) = \{1\}$  for  $R \in \text{Syl}_5(G)$ .

(7)  $A$  is contained in an elementary abelian subgroup of order  $5^3$  in  $G$ .

(8)  $|O_{5'}(C_G(A_i))|$  is odd for  $0 \leq i \leq 5$ .

We observe that this hypothesis holds whenever the hypotheses and conclusion (c) but not conclusion (a) of Theorem D holds. This is clear, except possibly for (5), for which we refer to the proof of Lemma 3.8 of [2].

We now state our main result, which eliminates conclusion (c) of Theorem D.

**THEOREM.** *No finite group exists satisfying hypothesis (KM).*

The proof proceeds by contradiction. Let  $G$  be a counterexample to our theorem. First some notation.

*Notation.*  $C = C_G(A_1)$ ,  $N = N_G(A_1)$ ,  $\bar{N} = N/O_{5'}(N)$ ,  $P$  a Sylow 5-group of  $O_{5',5}(N)$  normalized by  $T_1$ .

For simplicity, we have singled out  $A_1$  and  $T_1$ . It will be obvious that the following results apply to  $A_i$ ,  $T_i$ ,  $C_G(A_i)$ , etc. for  $1 \leq i \leq 5$ .

(1) For every  $x \in T_1^*$ ,  $C_{\bar{P}}(x)$  has rank at most 2.

*Proof.* (KM.2)

(2)  $z$  inverts  $\bar{P}/\bar{A}_1$  and  $\bar{P}/\bar{A}_1 \neq 1$ .

*Proof.*  $C_G(z)$  contains  $A$ . Let  $R = C_{\bar{P}}(z)$  and assume  $R > \bar{A}_1$ . Then there is  $U \leq PA_0$   $|U| = p^3$ ,  $A \leq U$ ,  $A_1 \leq Z(U)$  and  $[U, z] = 1$ . By (KM.4),  $T = O_2(C_G(z))$  and  $U$  acts faithfully on  $T$ . Since  $A \triangleleft U$  and  $A_0$  is the unique  $A_i$  with  $C_T(A_i) = \langle z \rangle$ , we get  $A \leq Z(U)$  and so  $U$  is abelian. Since  $U$  acts faithfully on  $T$  and leaves invariant each  $T_i$ ,  $U$  is elementary of rank 3, against (KM.2). Therefore  $R = \bar{A}_1$ , which proves that  $z$  inverts  $\bar{P}/\bar{A}_1$ . If  $\bar{P} = \bar{A}_1$ , then  $T_1 \leq O_{5'}(C)$ . A Frattini argument and (KM.7) then contradicts (KM.2).

(3)  $\bar{P}$  is extraspecial of order  $5^{1+4m}$ , some  $m \geq 1$ .

*Proof.* Let  $R$  be a characteristic elementary abelian subgroup of  $P$  and suppose  $|R| > 5$ . Since  $z$  inverts  $R/A_1$ ,  $T_1$  acts faithfully on  $R/A_1$ , whence  $|R/A_1| = 5^m$ ,  $m \equiv 0 \pmod{4}$ . But then, if  $x \in T_1$ ,  $|x| = 2$ ,  $|C_R(x)| \geq 5^3$ , against (KM.2). So,  $|R| = 5$ . Thus  $\bar{P}$  is of symplectic type,  $P = P_0P_1$ ,  $P_0$  cyclic,  $P_1$  trivial or extraspecial. Since  $P_0 = Z(P)$ ,  $z$  normalizes  $P_0$ . Since  $z$  centralizes  $A_1$ , (2) implies that  $P_0 = A_1$ . Since  $P > A_1$ ,  $P = P_1$  is extraspecial as required. Since  $T_1$  operates faithfully on  $\bar{P}$ ,  $|\bar{P}| = 5^{1+2k}$  where  $k$  is even, because  $T_1 \cong D_8 \circ Q_8$ .

$$(4) \quad |\bar{P}| = 5^5, \exp(P) = 5.$$

*Proof.* By (3) and the fact that  $z$  inverts  $\bar{P}/\bar{A}_1$ ,  $\exp(P) = 5$ . Let  $|\bar{P}| = 5^{1+4m}$ ,  $m$  an integer. By (KM.5),  $m \geq 1$ . Suppose  $m \geq 2$ . Let  $x \in T_1 - \langle z \rangle$ ,  $|x| = 2$ . Then  $|C_{\bar{P}}(x)| = 5^{1+2m}$  and  $C_{\bar{P}}(x)$  is extraspecial.

Since  $C_{\bar{P}}(x)$  has exponent 5,  $C_{\bar{P}}(x)$  contains an elementary group of rank  $m + 1 \geq 3$ , against (KM.2). Thus  $m = 1$ .

(5)  $\bar{P}$  is an indecomposable module for  $A_0$ . Thus  $P$  is the unique group of its isomorphism type in  $PA_0$ . Also,  $\text{Scn}_3(PA_0)$  has one member.

*Proof.* The first statement follows from the fact that  $T_1A_0$  acts faithfully on  $\bar{P}$ . The second follows from  $A_1 = Z(PA_0)$  and the fact that  $P/A_1$  is the unique maximal abelian subgroup of  $PA_0/A_1$ . The third statement is obvious.

(6) Let  $B > A_1$  satisfy  $B/A = C_{P/A_1}(A_0)$ . Then  $BA_0$  is abelian of rank 3 and  $|[BA_0, z]| = 5$ .

*Proof.* Suppose  $BA_0$  were nonabelian. A Lie ring analysis of the action of  $z$  on  $BA_0$  gives a contradiction. Thus,  $BA_0$  is abelian and, furthermore, must be elementary because of the way  $z$  acts.

(7) Let  $p$  be an odd prime and let  $S = \text{Sp}(4, p)$ . Let  $L \leq S$  and  $R \in \text{Syl}_p(L)$ . Assume  $|R| \geq p^2$ . Let  $M$  be the standard 4-dimensional module for  $F_p S$ . Then one of the following holds: (i)  $L$  contains a transvection on  $M$ ; (ii)  $|R| = p^2$  and every element of  $R^\#$  has quadratic minimal polynomial on  $M$ .

*Proof.* Let  $\{a, b\}$  be a set of fundamental roots for a root system  $\Sigma$  of type  $C_2$  with  $a$  short,  $b$  long (see [1] or [5] for the basic machinery about groups of Lie type). For  $r \in \Sigma$ , let  $X_r$  be the usual

one-parameter subgroup. On the standard 4-dimensional module  $M$ , elements of  $X_r$ , for  $r$  long, act as transvections.

We assume that  $L$  contains no transvection, then obtain (ii). Let  $R \in \text{Syl}_p(L)$  and embed  $R$  in  $U$ , the standard Sylow  $p$ -group,  $U = \langle X_r | r \in \Sigma, r \text{ positive} \rangle$ . Let  $s = 2a + b$  be the root of maximal height and let  $K = N_G(X_s)$  be the parabolic subgroup associated with the set  $\{a\}$  of fundamental roots. Then  $O_p(K)$  is extraspecial of order  $p^3$ , exponent  $p$ , and  $K/O_p(K) \cong \text{SL}(2, p)$ . Now,  $R_1 = R \cap O_p(K) \neq 1$ , as  $|R| \geq p^2$ , so that  $R_1 \cap X_s = 1$ ,  $|R_1| = p$  and  $|R| = p^2$ . Since  $K$  is transitive on the nonidentity elements of  $O_p(K)/X_s \cong \mathbb{Z}_p \times \mathbb{Z}_p$ , we may assume  $R_1 = X_{a+b}$ , ( $a + b$  is a short root). Then  $N_S(R_1) = (X_b X_{a+b} X_{2a+b})H$ , where  $H$  is the standard Cartan subgroup, so that  $R \leq U_1 = X_b X_{a+b} X_{2a+b}$ . But,  $U_1 = O_p(K_1)$ , where  $K_1$  is the parabolic subgroup of  $S$  which stabilizes a maximal totally isotropic subspace, say  $N$  (i.e.,  $K_1$  is associated with the set  $\{b\}$  of fundamental roots). Since  $K_1/U_1 \cong \text{SL}(2, p)$  is faithful on  $N$  and on  $M/N$ ,  $U_1$  stabilizes the chain:  $M > N > 0$ . Thus, (ii) holds, as required.

(8)  $|G|_5 = 5^6$ ,  $PA_0 \in \text{Syl}_5(G)$ ,  $A_i$  is  $G$ -conjugate to  $A_1$  and lies in the center of a Sylow 5-group,  $1 \leq i \leq 5$ .

*Proof.* By (KM.5) and (3),  $A_1$  is a Sylow 5-center. Since all of the preceding analysis could be applied to  $N_G(A_i)$ ,  $1 \leq i \leq 5$ , we are done once we show  $|N|_5 = 5^6$ , i.e.,  $|\bar{N}/\bar{P}|_5 = 5$ . Firstly,  $|\bar{N}/\bar{C}|$  divides 4 and  $\bar{C}/\bar{P}$  is isomorphic to a subgroup of  $\text{Sp}(4, 5)$ . If  $|\bar{C}/\bar{P}|_5 = 5$ , we have  $|N|_5 = 5^6$ , as required. So, we may assume  $|\bar{C}/\bar{P}|_5 \geq 5^2$ . Let  $C^* = C/O_{5',5}(C)$ . Suppose first that  $C^*$  contains a transvection in its action on  $M = \bar{P}/\bar{A}_1$ . Let  $L$  be the subgroup of  $C^*$  generated by elements inducing transvections on  $M$ . Then  $T_1^*$  normalizes  $L$  and the structure of  $S = \text{Sp}(4, 5)$  implies that  $L$  is not a 5-group. Therefore,  $L \cong \text{SL}(2, 5)$  or  $\text{Sp}(4, 5)$ , by [4] and the structure of  $S$ . If  $L \cong \text{SL}(2, 5)$ , the fact that  $(T_1 A_0)^*$  normalizes  $L$  forces  $[T_1^*, L] = 1$ , which is absurd since  $T_1^*$  is irreducible on  $M$ . So,  $L \cong \text{Sp}(4, 5)$ . Therefore,  $C_C(Z)$  contains  $T_1$  as a nonnormal subgroup, whereas  $T_1 \leq O_2(C_C(Z)) = T$ , by (KM.4) and (KM.5), contradiction.

We have that  $C^*$  contains no transvections. Therefore, (7) tells us that a Sylow 5-group of  $C^*$  consists of elements operating trivially or quadratically on  $M$ . This contradicts (5), since  $A_0$  has minimal polynomial of degree 4. Therefore, we have  $|N|_5 = 5^6$ , as required.

(9) Let  $W = BA_0$ , as in (6). Then  $W \in \text{Syl}_5(C_G(W))$ . For each  $i = 1, \dots, 5$ ,  $A_G(W)$  contains a transvection  $t_i$  centralizing  $A_i$ . Also,

$1 \neq [A, t_1] \leq B$  but  $A_1 \not\leq [A, t_1]$ . If  $V$  is a conjugate of  $W$  for which  $|N_{PA_0}(V)| \geq 5^5$ , then  $V \leq P$ . Moreover, if  $V \triangleleft P$ , then  $\{V\} = \text{Scn}_3(PA_0)$ .

*Proof.* Choose  $g \in G$  so that  $V = W^g \leq PA_0$ . If  $V \leq P$ , then  $[V, P] = A_1$  and  $V = C_{PA_0}(V)$  by (5). Say  $|V \cap P| = 5^2$ . Then  $V_0 = V \cap P$  is centralized by  $b \in PA_0 - P$ . By (5),  $V_1 = C_P(V_0)$  has index 5 in  $P$  and  $V = C_{PA_0}(V)$ .

Recall that an extremal conjugate of a subgroup  $S_1$  of a  $p$ -Sylow group  $S$  of  $G$  is a  $G$ -conjugate  $S_2$  of  $S_1$  for which  $N_S(S_2) \in \text{Syl}_p(N_G(S_2))$ . If we now take  $V$  to be an extremal conjugate of  $W$  in  $PA_0$ , we see from the previous paragraph that  $V = C_{PA_0}(V)$ , whence  $W \in \text{Syl}_5(C_G(W))$ . The existence of  $t_i$  follows from embedding  $W$  in a Sylow 5-group of  $C_G(A_i)$ . The further properties  $1 \neq [A_i, t_i] \leq B$  and  $A_i \not\leq [A, t_i]$  are forced if we take  $t_i \in N_{PA_0}(W)$ . The final statement follows from  $V = C_{PA_0}(V)$  and (5).

(10) Let  $X$  be the subgroup of  $A_G(W)$  generated by transvections. Then one of the following holds

- (i)  $X$  is a 5-group and  $|X| \geq 5^2$ .
- (ii)  $X/O_5(X) \cong \text{SL}(2, 5)$ .
- (iii)  $X \cong \text{SL}(3, 5)$ .

*Proof.* This follows from the classification of groups in odd characteristic generated by transvections [4]. To get  $|X| \geq 5^2$  in Case (i), use (9).

(11)  $X$  is not isomorphic to  $\text{SL}(2, 5)$ .

*Proof.* Suppose false. Then  $X \triangleleft A_G(W)$  implies that  $A_G(W)$  is isomorphic to a subgroup of  $Z_4 \times \text{GL}(2, 5)$  and that  $W$  is extremal in  $PA_0$ . Write  $W = W_0 \oplus W_1$  where  $W_0 = C_W(X)$ ,  $W_1 = [W, X]$ . Now,  $A \cap W_1 \neq 1$ . Suppose  $A_i \leq W_i$  for some  $i$ . Then, for a Sylow 5-group  $R$  of  $N_G(W)$ ,  $A_i = [W, R]$ . If  $i \neq 0$ , this contradicts (9) and the action of  $N_P(W)$  on  $W$ . Therefore,  $[W, R] = A \cap W_1 = A_0$  and every group of order 5 in  $W_1$  is fused in  $N_G(W)$  to  $A_0$ . The orbits of  $X$  on the 25 subgroups of order 5 in  $W$  which meet  $W_1$  trivially have lengths 1 and 24. By (8), they lie in the  $G$ -conjugacy class of  $A_1$ . On the other hand, the action of  $P$  on  $B$  implies that every group of order 5 in  $B$  distinct from  $A_1$  is fused to the one in  $B \cap W_1 \cong Z_5$ . Therefore all subgroups of order 5 in  $W$  are fused in  $G$  to  $A_1$ . Now embed  $R$  in a Sylow 5-group of  $C_G(A_0)$ . Since  $R' = A_0$ , the proof of (9) shows that  $W \leq O_{5',5}(C_G(A_0))$ . Since  $P$  is extraspecial, we get  $|N_G(W)|_5 \geq 5^5$ , a contradiction to our first remark.

(12)  $|X|_5 = 5^3$  and the unique extremal conjugate  $V$  of  $W$  in  $PA_0$  lies in  $P$ .

*Proof.* By (11),  $|X|_5 \geq 5^2$ , so by (9) any extremal conjugate  $V$  of  $W$  in  $PA_0$  lies in  $P$ . Suppose  $|X|_5 \leq 5^2$ . Then (10.i) holds and we have  $|X|_5 = 5^2$ . Thus  $W$  is not extremal in  $PA_0$ . The action of  $P$  on  $V$  and  $t_1$  on  $W$  show that  $|X|_5 = 5^3$ , a contradiction.

(13)  $X$  is not a 5-group and  $C_W(X) = 1$ . Thus  $X \cong \text{SL}(3, 5)$  or  $X$  stabilizes a unique hyperplane  $W_1$  of  $W$ , in which case  $X/O_5(X) \cong \text{SL}(2, 5)$  acts faithfully on  $W_1$ .

*Proof.* By embedding  $W$  in a Sylow 5-group of  $N_G(D)$ , where  $D \leq W$ ,  $D \sim_G A_1$ , we see that some Sylow 5-group of  $X$  centralizes  $D$ . Since  $|C_W(R)| = 5$  for  $R \in \text{Syl}_5(N_G(W))$ , we take  $D = A_i$ ,  $i = 1, 2, 3, 4, 5$  to get the first statement. The second statement now follows, using (10) and (12).

(14)  $A = W_1$  in case  $X \not\cong \text{SL}(3, 5)$ . Thus each group of order 5 in  $A$  is central in some Sylow 5-group of  $N_G(W)$ .

*Proof.* Suppose  $X$  normalizes the hyperplane  $W_1$ . Then  $W_1$  contains the center of every Sylow 5-group of  $N_G(W)$ . Therefore, using (9),  $W_1 \cong A_1 A_2 = A$ , as required.

(15)  $X \cong \text{SL}(3, 5)$ .

*Proof.* Suppose not. By (14),  $W$  is normal in a Sylow 5-group of  $C$ , so we may assume  $W \leq P$ . However, again by (14),  $W \geq A_0 \not\leq P$ , contradiction.

(16)  $X \not\cong \text{SL}(3, 5)$ .

*Proof.* Suppose  $X \cong \text{SL}(3, 5)$ . The action of  $z$  on  $W$  has determinant  $-1$  (see (6)). So, there is  $u \in N_G(W)$  inducing  $-1$  on  $W$ . In fact  $|u| = 2$ , by (KM.6). Thus,  $N = O_{5',5}(N) \cdot C_N(u)$ , by the Frattini argument. It follows that  $V$  is complemented in  $PA_0$  by  $C_{PA_0}(u')$ , for an appropriate involution  $u'$ . But then there are two Jordan blocks of degree 2 for the action of an element of order 5 in  $C_{PA_0}(u) - P$  on  $P/A_1$ . This contradicts (5).

Since evidently (15) and (16) are in conflict, the proof of our theorem is complete.

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