

LINEAR OPERATORS FOR WHICH T^*T AND $T + T^*$ COMMUTE III

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Let θ denote the set of all linear operators T acting on a separable Hilbert space \mathcal{H} for which T^*T and $T + T^*$ commute. It will be shown that if $T \in \theta$ and T^* is hyponormal, then T is normal. Also if $T \in \theta$ and T is hyponormal, then T is subnormal.

I. Introduction. Operators in θ need not be hyponormal [4], but have many hyponormal-like properties [1]-[4], [7], [8]. Therefore our first result is not surprising.

THEOREM 1. *If $T \in \theta$ and T^* is hyponormal, then T is normal.*

Let $(QA) = \{T \mid T = Q + A, [Q, Q^*Q] = 0, A = A^*, [A; Q] = 0\}$ where $[X, Y] = XY - YX$. Then $(QA) \subset \theta$ [2] and all operators in (QA) are subnormal. In [4] an example of a hyponormal operator in θ , that is not in (QA) , is given. That operator is a block weighted shift. Given that it is much "easier" for a shift to be hyponormal instead of subnormal, our second result is, at least to us, surprising.

THEOREM 2. *If $T \in \theta$ and T is hyponormal, then T is subnormal.*

2. Proof. The proofs of Theorems 1 and 2 are closely related. If A is a positive linear operator with spectral resolution $A = \int \lambda dE(\lambda)$, then A^+ is defined by $A^+ = \int \lambda^+ dE(\lambda)$, where $\lambda^+ = 1/\lambda$ if $\lambda \neq 0$ and $0^+ = 0$. Note that A^+ , while possibly unbounded, is self-adjoint, and $\mathcal{D}(A^+) = R(A)$. Here \mathcal{D}, R denote domain and range. The null space is denoted N .

Proof of Theorem 2. Suppose $T \in \theta$ and $[T^*T - TT^*] \geq 0$. Without loss of generality assume $\|T\| < 1$. Let $A = [T^*T - TT^*]^{1/2}$ be the positive square root of $[T^*T - TT^*]$. Then $T^*A^2 = A^2T$ since $T \in \theta$ [1]. Thus $A^+T^*A^2 = AT$. Hence, $A^+T^*Ax = ATA^+x$ for all $x \in \mathcal{D}(A^+)$. Let $B = ATA^+$. Since AT is bounded, $B^* = A^+T^*A$, and $B \subseteq B^*$. But $\lambda - A^+T^*A = A^+(\lambda - T^*)A + \lambda(I - A^+A)$. Since $(i + T^*)$, $(i - T^*)$ are both invertible, both deficiency indices of B are zero. Thus $\bar{B} = B^*$ where \bar{B} is the closure of B [5, p. 1230]. Now on $\hat{\mathcal{H}} = \mathcal{H} \oplus \mathcal{H} \oplus \mathcal{H}$, define

$$N = \begin{bmatrix} T & A & 0 \\ 0 & \bar{B} & A \\ 0 & 0 & T^* \end{bmatrix}.$$

But for all $x \in \mathcal{D}(B) = \mathcal{D}(A^+)$, $AB = T^*A$. Hence $A\bar{B} = T^*A$ for all $x \in (\bar{B})$. Since A, T^* are bounded, we also have $\bar{B}^*A = \bar{B}A = AT$. But then N is closed and $N^*N = NN^*$. Hence N is normal [5, 1258-1259] and

$$(1) \quad Nx = \lim_{n \rightarrow \infty} \int_{|\lambda| \leq n} \lambda F(d\lambda)x, \quad x \in \mathcal{D}(N)$$

for a resolution of the identity $F(\cdot)$ defined on the complex plane. $\mathcal{D}(N)$ is just those x for which the limit in (1) exists. Note that $N - N^*$ is bounded and hence the support of $F(\cdot)$ lies in a horizontal strip. Let $\Delta = \{\lambda \mid |\lambda| \leq \|T\|\}$. We now wish to show that $F(\Delta)\mathcal{H} = \mathcal{H}$ when \mathcal{H} is imbedded into \mathcal{H} by $\mathcal{H} \rightarrow \mathcal{H} \oplus 0 \oplus 0$. But $x \in R(F(\Delta))$ if and only if both

$$(i) \quad x \in \mathcal{D}(N^m) \text{ for all } m \geq 0$$

and

$$(ii) \quad \|N^m x\| / \|T\|^m \leq \|x\| \text{ for all } m \geq 0.$$

Since \mathcal{H} clearly satisfies both (i) and (ii), we have $F(\Delta)\mathcal{H} = \mathcal{H}$. But then $NF(\Delta)$ is a bounded normal extension of T and T is subnormal as desired.

Proof of Theorem 1. Suppose that $T \in \Theta$ and T^* is hyponormal. We shall first show that T^* is subnormal. Let $A = [TT^* - T^*T]^{1/2}$ be the positive square root of $[TT^* - T^*T]$. Again,

$T^*A^2 = A^2T$. Define B, \bar{B} as in the proof of Theorem 2. This time let

$$N = \begin{bmatrix} T & 0 & 0 \\ A & \bar{B} & 0 \\ 0 & A & T^* \end{bmatrix}.$$

Again N is a possibly unbounded normal operator, and one can argue that $N^*F(\Delta)$ is a normal extension of T^* . Hence T^* is subnormal. The remainder of the proof is a modification of the proof of Lemma 2 in [9].

Let $M = \begin{bmatrix} T^* & C \\ 0 & B \end{bmatrix}$ be the normal extension of T^* . Let $L = \begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix}$, where $D = [TT^* - T^*T] \geq 0$. Then $ML = LM^*$ since $T \in \Theta$. Hence by the Fuglede-Putnam theorem $M^*L = LM$ and $LM = M^*L$. Thus

$$\begin{aligned} DT^* &= TD, \\ DC &= 0. \end{aligned}$$

But $T^*D = DT$ since $T \in \Theta$. Hence

$$DTT^* = T^*TD,$$

or equivalently,

$$(TT^* - T^*T)(TT^*) = T^*T(TT^* - T^*T).$$

Simplifying gives

$$(TT^*)^2 + (T^*T)^2 = 2(T^*T)(TT^*).$$

Hence $[T^*T, TT^*] = 0$. But $T \in \Theta$ and $[T^*T, TT^*] = 0$ implies T is quasinormal [6]. Hence T is subnormal. But then T is normal since T and T^* are both subnormal.

It should be noted that one has to consider the extensions of B in the proofs since A^+ may be unbounded. Examples can easily be constructed by taking direct sums of multiples of the block shift in [4].

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