

## DEFORMING VARIETIES OF $k$ -PLANES OF PROJECTIVE COMPLETE INTERSECTIONS

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**We consider the variety  $F$  of  $k$ -dimensional linear projective subspaces lying on a generic projective complete intersection  $S$ . Under general assumptions involving  $k$ , the multidegree and the dimension of  $S$ , we prove that  $F$  is connected, smooth, and its local deformations come from deformations of  $S$ .**

**Introduction.** Linear varieties lying on a projective variety have been considered in several contexts.

A classical instance, going back to Cayley [6], is that of a smooth cubic surface. There are twenty-seven lines on such a surface, and, as observed later, the incidence preserving permutations of this set of lines form a group isomorphic to the Weyl group of a root system of type  $E_6$ . It is also the monodromy group of the global family of smooth cubics and the Galois group of the corresponding enumerative problem (see [12]).

Similar results (involving the root system  $D_{2k+3}$ ) hold for the  $k$ -planes contained in a smooth  $2k$ -dimensional intersection of two quadrics ([14, 16]).

Beyond the enumerative level, and besides homogeneous-rational varieties such as Grassmannians or linear spaces lying on a smooth quadric, a first example should be the Fano surface of lines contained in a cubic threefold ([11]). The Abel-Jacobi map induces an isomorphism from the Albanese variety of the Fano surface to the intermediate Jacobian of the cubic threefold and one has a global Torelli theorem ([7, 19]).

With planes instead of lines, but generically this time, the analogous statements hold true for cubic fivefolds ([8, 10]).

Nor should cubic fourfolds be neglected here: their varieties of lines are irreducible symplectic projective fourfolds ([3]) which play an important role in the proof of the global Torelli theorem ([20]).

We also mention the variety of  $k$ -planes contained in a smooth  $(2k + 1)$ -dimensional intersection of two quadrics: it is an Abelian variety isomorphic with the intermediate Jacobian of the given intersection of quadrics ([9, 16]).

All these varieties may be realized as zero loci of sections of certain homogeneous vector bundles over Grassmannians ([1, 18]). This circumstance makes the Schubert calculus relevant, for instance, in computing Chern numbers; it also reduces questions about connectivity, regularity, etc., as well as deformations to questions about the cohomology of homogeneous vector bundles.

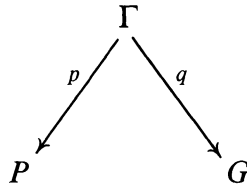
Our main concern will be to set up a general framework for a calculus with weights, such that the theorem of Bott [5] become expressive in this context—a perspective we initially used in [4].

Specific computations enabled Wehler to deal with small deformations of Fano surfaces: he showed, namely, that all of them are induced by deformations of the corresponding cubic threefolds ([21]). This result is here extended to a large class (Theorem 5.3). Similarly (Theorem 4.1), we extend (and give an alternative proof for) the connectedness result of Barth and Van de Ven concerning lines on hypersurfaces ([2]).

**1. Varieties of  $k$ -planes.** We shall consider projective  $k$ -planes contained in a complete intersection  $S = S_n(d)$  of dimension  $n$  and multidegree  $d = (d_1, \dots, d_r)$  in the projective space  $P = P_{n+r}$  over the complex field  $C$ .

Let  $\mathcal{O}_P(m)$  denote the  $m$ th tensor power of the hyperplane line bundle on  $P$  and let  $S$  be given as the variety of zeros  $Z(s) = S$  of a section  $s \in H^0(P, E)$ , where  $E = \bigoplus_{i=1}^r \mathcal{O}_P(d_i)$ .

Denote by  $G = G(k+1, n+r+1)$  the Grassmann variety of projective  $k$ -planes in  $P$ , i.e.  $(k+1)$ -planes in  $C^{n+r+1}$ , and let  $\Gamma \subset P \times G$  be the subvariety defined by the incidence relation  $\Gamma = \{(x, \pi) | x \in \pi\}$ , with canonical projections:



$p$  represents  $\Gamma$  as a  $G(k, n+r)$ -bundle over  $P$  and  $q$  represents  $\Gamma$  as a  $P_k$ -bundle over  $G$ . Accordingly, we have isomorphisms:  $H^0(P, E) \xrightarrow{\sim} H^0(\Gamma, p^*E) \xrightarrow{\sim} H^0(G, q_*p^*E)$ .

If  $0 \rightarrow \tau = \tau_{k+1} \rightarrow G \times C^{n+r+1} \rightarrow Q = Q_{n+r-k} \rightarrow 0$  denotes the canonical exact sequence of vector bundles over the Grassmannian  $G$ , we have a natural identification:  $q_*p^*\mathcal{O}_P(m) = S^m(\tau^*) =$  the  $m$ th symmetric tensor power of the dual tautological bundle.

Put  $\mathcal{E} = q_*p^*E$ .

Let  $\Phi$  be the isomorphism indicated above:

$$\Phi: H^0(P, E) \xrightarrow{\sim} H^0(G, \mathcal{E}) = \bigoplus_{t=1}^r H^0(G, S^{d_t}(\tau^*)).$$

To  $s \in H^0(P, E)$ , defining the variety  $Z(s) = S$ , we thus associate  $\Phi(s) \in H^0(G, \mathcal{E})$ , defining the variety of zeros  $Z(\Phi(s)) = F_k(S) = F$ , which consists of all  $k$ -planes contained in  $S \subset P$ .

REMARK 1.1. The rank of  $\mathcal{E}$  is  $\sum_{t=1}^r \binom{d_t+k}{k}$ , and we expect  $F$  to be non-empty for  $\dim G - \text{rk } \mathcal{E} \geq 0$ , i.e. for

$$(A_0) \quad (k+1)(n+r-k) - \sum_{t=1}^r \binom{d_t+k}{k} \geq 0.$$

This will presently be seen to be true, provided  $S$  is not a quadric, in which case the assumption  $n \geq 2k$  is needed. Note that, if  $S$  is neither a quadric, nor a linear space, condition  $(A_0)$  already implies  $n > 2k$ .

**2. Dimension and smoothness in the generic case.** Let  $V = H^0(P, E)$  and consider the subvariety  $I \subset G \times V$  defined by:  $I = \{(s, \pi) | s|_{\pi} = 0\}$ , with projections:

$$\begin{array}{ccc} & I & \\ \alpha \swarrow & & \searrow \beta \\ G & & V \end{array}$$

$\alpha$  represents  $I$  as a sub-vector-bundle of  $G \times V \rightarrow G$ , which shows that  $I$  is smooth, while  $\beta$  is proper and the fibre over  $s \in V$  is precisely  $Z(\Phi(s))$ .

Confirming our Remark 1.1, we have:

PROPOSITION 2.1. *If  $\dim G - \text{rk } \mathcal{E} \geq 0$ ,  $\beta$  is onto, provided  $n \geq 2k$  in the case of quadrics.*

*Proof.* If we find a  $k$ -plane  $\pi$  in  $S$ , with  $S$  smooth along  $\pi$ , and such that the normal bundle  $N_{\pi/S}$  has  $H^1(\pi, N_{\pi/S}) = 0$ , the proposition will follow from Kodaira's criterion for stability of compact submanifolds [15].

We consider the exact sequence:

$$(1) \quad 0 \rightarrow N_{\pi/S} \rightarrow N_{\pi/P} \rightarrow N_{S/P}|_{\pi} \rightarrow 0.$$

We have:

$$N_{\pi/P} = \bigoplus_{i=k+1}^{n+r-k} \mathcal{O}_{\pi}(1) \quad \text{and} \quad N_{S/P}|_{\pi} = \bigoplus_{t=1}^r \mathcal{O}_{\pi}(d_t).$$

Let  $\pi$  be given by  $x_{k+1} = \dots = x_{n+r} = 0$ , for homogeneous coordinates  $(x_0: \dots: x_{n+r})$ , so that  $s \in H^0(P, E)$ ,  $s|_{\pi} = 0$  will be given by  $r$  homogeneous polynomials  $(s_1, \dots, s_r)$  of the form

$$(2) \quad s_t = \sum_{i=k+1}^{n+r} x_i \cdot p_t^{(i)} + r_t$$

where

$$(3) \quad p_t^{(i)} = \sum_{\mu} c_{t\mu}^{(i)} \cdot x^{\mu},$$

$$\mu = (\mu_0, \dots, \mu_k), \quad x^{\mu} = x_0^{\mu_0} \cdots x_k^{\mu_k}, \quad |\mu| = \mu_0 + \dots + \mu_k = d_t - 1$$

and every monomial in  $r_t$  contains a product  $x_i x_j$  with  $i \geq j > k$ .

Since we may suppose  $n \geq 2k$ , the condition that  $S$  be smooth along  $\pi$  is satisfied for generic  $s$ . (For example, the following matrix of partial derivatives

$$\left( \frac{\partial s_t}{\partial x_i}(x) \right)_{i \geq k+1}, \quad x \in \pi$$

may be produced:

$$\left( \begin{array}{cccccc} x_0^{d_1-1} & \dots & x_k^{d_1-1} & 0 & 0 & \dots \\ 0 & x_0^{d_2-1} & \dots & x_k^{d_2-1} & 0 & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 \dots 0 & x_0^{d_r-1} & \dots & \dots & x_k^{d_r-1} \dots \end{array} \right).$$

We represent a global section of  $N_{\pi/P}$  by a matrix

$$a = (a_{ij})_{0 \leq j \leq k < i \leq n+r},$$

so that the map  $H^0(N_{\pi/P}) \xrightarrow{\sigma} H^0(N_{S/P}|_{\pi})$  induced from (1) is described by

$$(4) \quad A \rightarrow \left( \sum_{j \leq k < i} a_{ij} \cdot p_t^{(i)} \cdot x_j \right)_{1 \leq t \leq r} \in H^0 \left( \bigoplus_{t=1}^r \mathcal{O}_{\pi}(d_t) \right).$$

Looking at monomial coefficients in (4) and using (3), one obtains that  $\sigma$  is a surjection if and only if the linear system (with indeterminates  $a_{ij}$ )

$$(5) \quad \sum_{j \leq k < i} a_{ij} \cdot c_{t, \nu(j)}^{(i)} = 0, \\ t = 1, \dots, r, \quad \nu = (\nu_0, \dots, \nu_k), \quad |\nu| = d_t$$

where

$$\nu(j) = \nu - (0, \dots, 1, 0, \dots, 0) \quad \text{and} \quad c_{t, \nu(j)}^{(i)} = 0 \quad \text{for } \nu(j) \text{ improper}$$

has maximal rank, namely  $\sum_{t=1}^r \binom{d_i+k}{k} = \text{rk } \mathcal{E} = R$ .

For generic  $s$ , this is actually the case. To see it, consider the lexicographic order on the set of column-indices  $\{(i, j) \mid 0 \leq j \leq k < i \leq n+r\}$  and look at the  $R \times R$  matrix given by the first  $R$  columns. Its determinant is a polynomial in  $c_{t, \mu}^{(i)}$ , with  $|\mu| = d_t - 1$ . It is not difficult to check that this polynomial is different from zero. Consider, for example, the lexicographic order on the set of indices  $(i, t, \mu)$  affecting the coefficients  $c_{t, \mu}^{(i)}$ . Now order the monomials in the expression of the above determinant according to the rule:  $m_1 > m_2$  if the smallest index  $(i, t, \mu)$  for which  $c_{t, \mu}^{(i)}$  occurs in  $m_1$  with exponent  $p_1$  and in  $m_2$  with exponent  $p_2 \neq p_1$ , we have  $p_1 > p_2$ . The greatest monomial in this ordering will have perforce coefficient 1 or  $-1$ , since in each row, the choice of  $c_{t, \mu}^{(i)}$  entering this monomial is prescribed.

Thus, for generic  $s$ ,  $S$  is smooth along  $\pi$  and  $H^1(\pi, N_{\pi/S}) = 0$ .

**COROLLARY 2.2.** *The projective  $k$ -planes contained in a generic complete intersection  $S_n(d)$  of dimension  $n$  and multidegree  $d = (d_1, \dots, d_r)$  in  $P_{n+r}$  define a smooth subvariety  $F_k(S_n(d))$  of  $G(k+1, n+r+1)$  of codimension  $\sum_{t=1}^r \binom{d_i+k}{k}$ , provided that  $(k+1)(n+r-k) \geq \sum_{t=1}^r \binom{d_i+k}{k}$  and  $S_n(d)$  is not quadric, in which last case  $n \geq 2k$  is required.*

**REMARK 2.3.** The variety of lines  $F_1(S_n(3))$  of a cubic hypersurface  $S_n(3) \subset P_{n+1}$  is smooth if the cubic is smooth, but in general, the smoothness of  $S_n(d)$  does not imply that of  $F_k(S_n(d))$  (cf. [12], [18]).

**3. Weights.** In what follows, we take  $\dim G \geq \text{rk } \mathcal{E}$  (and  $n \geq 2k$  for quadrics), and assume the complete intersection  $S = S_n(d)$  to be

such that the codimension of  $F = F_k(S)$  in  $G = G(k+1, n+r+1)$  be precisely  $\text{rk } \mathcal{E}$ . Generically, this is the case (Corollary 2.2).

Let  $J_F$  denote the sheaf of ideals defining  $F$  on  $G$ .

The Koszul complex of (the section of  $\mathcal{E} = q_* p^* E$  defining)  $J_F$  gives, for any holomorphic vector bundle  $M$  on  $G$ , spectral sequences:

$$(6) \quad H^p \left( G, M \otimes \bigwedge^q \mathcal{E}^* \right) \Rightarrow H^{p-q}(F, M|_F),$$

$$H^p \left( G, M \otimes \bigwedge^{q+1} \mathcal{E}^* \right) \Rightarrow H^{p-q}(G, M \otimes J_F), \quad q \geq 0.$$

If  $M$  is a homogeneous vector bundle, we may use the theorem of Bott [5, Th. IV'] for dealing with the groups on the left. To this purpose, we use the following description of the Grassmann manifold  $G(k+1, n+r+1)$ :

$\text{SL}(n+r+1, C)$ , which is the universal cover of  $\text{Aut}(P_{n+r}) = \text{PGL}(n+r+1, C)$ , has Lie algebra  $\mathfrak{sl}(n+r+1, C) = \{A = (a_{ij}) \mid \text{tr } A = 0\}$ . Take as Cartan subalgebra  $\mathfrak{h} = \{A \mid a_{ij} = 0 \text{ for } i \neq j\}$ . This gives root spaces  $L_{ij} = C \cdot E_{ij}$  ( $i \neq j$ ) where  $E_{ij}$  has zeros everywhere except the  $(i, j)$  entry.

The Killing form identifies the corresponding roots  $\alpha_{ij}$  with  $E_{ii} - E_{jj}$  ( $i \neq j$ ) so that the root system  $A_{n+r}$  may be viewed as embedded in a euclidean space with orthonormal basis  $e_i = E_{ii}$ ,  $i = 1, \dots, n+r+1$ , the roots being represented by vectors  $\alpha$  orthogonal to  $e_1 + \dots + e_{n+r+1}$  and of square-norm  $(\alpha, \alpha) = 2$  (cf. [36, p. 64]).

Put  $\alpha_s = \alpha_{s+1, s} = e_{s+1} - e_s$ .  $\{\alpha_s \mid s = 1, \dots, n+r\}$  gives a basis of the root system  $A_{n+r}$ .

If  $U_{k+1}$  denotes the subgroup of  $\text{SL}(n+r+1, C)$  consisting of the transformations which preserve the linear space  $\{x_{k+2} = \dots = x_{n+r+1} = 0\} \subset C^{n+r+1}$  with coordinates  $(x_1, \dots, x_{n+r+1})$ , the Lie algebra  $\mathfrak{u}_{k+1}$  of  $U_{k+1}$  will contain  $\mathfrak{h}$ , all the negative roots  $(\alpha_{ij}, i < j)$  and all positive roots not involving  $\alpha_{k+1}$  when expressed in terms of the given basis.

We have  $G(k+1, n+r+1) = \text{SL}(n+r+1, C)/U_{k+1}$ , which is the description we shall use.

Let us now investigate the weights associated to various homogeneous vector bundles over  $G = G(k+1, n+r+1)$ .

Such a bundle is defined by a holomorphic representation  $\rho: U_{k+1} \rightarrow \text{GL}(N, C)$  and the weights are taken with respect to  $\mathfrak{h}$ .

(a) Consider first the tautological bundle  $\tau$  over  $G$ . It corresponds

to the natural representation of  $U_{k+1}$  on the invariant subspace  $\{x_{k+2} = \cdots = x_{n+r+1} = 0\}$ .

Let  $\beta_s$  denote the weight characterized by

$$(\beta_s, \alpha_t) = 0 \text{ for } t \neq s \quad \text{and} \quad (\beta_s, \alpha_s) = \frac{1}{2}(\alpha_s, \alpha_s) = 1.$$

An elementary computation then gives the weights of

$$\tau_{k+1}: t_1 = -\beta_1, t_2 = \beta_1 - \beta_2, \dots, t_{k+1} = \beta_k - \beta_{k+1}.$$

(b) The line bundle  $\det(\tau_{k+1}^*)$ , which gives the Plücker embedding of  $G(k+1, n+r+1)$ , has therefore associated weight:  $\beta_{k+1}$ .

(c) The tangent bundle of  $G: \theta_G$  is given by the adjoint representation of  $U_{k+1}$  on  $\mathfrak{sl}(n+r+1, C)/\mathfrak{u}_{k+1}$ . Consequently, its weights are precisely the positive roots involving  $\alpha_{k+1}$  in their expression, namely  $\alpha_{ij}$ ,  $i > k+1 \geq j$ .

(d)  $\mathcal{E}^* = \bigoplus_{m=1}^r S^{d_m}(\tau_{k+1}^*)^*$  and (a) immediately gives that its weights are of the form:

$$\sum_{i=1}^{k+1} a_i t_i = (a_2 - a_1)\beta_1 + (a_3 - a_2)\beta_2 + \cdots + (a_{k+1} - a_k)\beta_k - a_{k+1}\beta_{k+1}$$

with  $a_i \in N$ ,  $\sum_{i=1}^{k+1} a_i = d_m$  for some  $m \leq r$ .

We now draw up a table of scalar products of positive roots and various weights, which will be relevant in estimating indices of weights.

$\delta$  is half the sum of all positive roots.

$\omega = \sum_{i=1}^{k+1} a_i t_i$ ,  $a_i \in Z$  (motivated by (d) above and the spectral sequences (6)).

$$1 \leq m \leq k.$$

We anticipate here the type of reasoning to be used in the sequel. Given a homogeneous vector bundle over  $G$ , defined by a representation  $U_{k+1} \rightarrow \text{GL}(N, C)$ , we first produce a filtration with consecutive quotients corresponding to irreducible representations of  $U_{k+1}$ . Such an irreducible representation determines a highest weight, say  $\rho$ . This  $\rho$  has to be one of the weights of the original representation and further satisfy  $(\rho, \alpha_s) \geq 0$  for all  $s \neq k+1$ .

In our computations  $\rho$  will be either of type  $\omega$  or  $\omega + \alpha_{n+r+1, m}$  ( $m \leq k+1$ ).

In order to obtain the vanishing of  $H^s(G, \rho)$ , it will suffice either to ascertain the singularity of the weight  $\rho + \delta$  or to prove:  $s < \text{index}(\rho + \delta)$ .

In this context, the main feature of our table of products is that  $(\alpha_{t, m}, \rho + \delta)$  increases by 1 when  $t$  increases by 1, except the last step for  $\rho = \omega + \alpha_{n+r+1, m}$  ( $m \leq k+1$ ).

TABLE 1

	Conditions	$\delta$	$\omega$	$\alpha_{n+r+1, m}$	$\alpha_{n+r+1, k+1}$
$\alpha_p$	$p \neq m-1, m$ $p \leq k$	1	$a_{p+1} - a_p$	0	$p < k$ 0 $p = k$ -1
$\alpha_{m-1}$		1	$a_m - a_{m-1}$	-1	0
$\alpha_m$		1	$a_{m+1} - a_m$	1	$m < k$ 0 $m = k$ -1
$\alpha_q$	$k+1 \leq q \leq n+r$	1	0	$q < n+r$ 0 $q = n+r$ 1	0 1
$\alpha_{t, k+1}$	$t > k+1$	$t - k - 1$	$-a_{k+1}$	$t < n+r+1$ 0 $t = n+r+1$ 1	1 2
$\alpha_{t, m}$	$t > k+1$	$t - m$	$-a_m$	$t < n+r+1$ 1 $t = n+r+1$ 2	0 1
$\alpha_{t, p}$	$t > k+1 > p$ $p \neq m$	$t - p$	$-a_p$	$t < n+r+1$ 0 $t = n+r+1$ 1	0 1

Note also that for  $1 \leq p \leq k+1$ ,  $(\alpha_{k+2, p}, \rho + \delta) < (\alpha_{k+2, p-1}, \rho + \delta)$  since  $(\alpha_{p-1}, \rho) \geq 0$ .

#### 4. Connectedness. Suppose

$$(A_1) \quad \dim F = \dim G - \text{rk } \mathcal{E} \geq 1.$$

$F$  is connected if and only if  $H^0(\mathcal{O}_F) = C$ .

We have  $H^s(G, \bigwedge^s \mathcal{E}^*) \Rightarrow H^0(\mathcal{O}_F)$ ; therefore the vanishing of  $H^s(G, \bigwedge^s \mathcal{E}^*)$  for  $s > 0$  will imply the connectedness of  $F$ .

According to our method, described at the end of §3, we examine  $H^s(G, \rho)$ , with  $\rho$  an irreducible representation of  $U_{k+1}$  with highest weight (again denoted  $\rho$ ) among the weights of  $\bigwedge^s \mathcal{E}^*$ . Thus  $\rho = \omega = \sum_{i=1}^{k+1} a_i t_i$  and we know (see Table 1):

$$(1) \quad a_{k+1} \geq a_k \geq \cdots \geq a_q \geq 0;$$

(2)  $\rho + \delta$  is either singular or of index  $u(n+r-k)$ ,  $1 \leq u \leq k$  ( $u = k+1$  is excluded because  $\text{rk } \mathcal{E} < \dim G$ ).

Suppose therefore  $s = u(n+r-k)$ .

For  $\rho + \delta$  to have index  $s$ , we must have  $(\alpha_{t, p}, \rho + \delta) > 0$  for  $p = 1, \dots, k+1-u$ ; in particular:  $a_{k+1-u} \leq u$ .

Now remember that  $\rho$  is a weight of  $\bigwedge^s \mathcal{E}^*$ , thus a sum of  $s$  weights of  $\mathcal{E}^*$ , each weight counted at most as many times as the



dimension of its eigenspace. There are (multiplicities included)  $\sum_{m=1}^r \binom{d_m+u-1}{u-1}$  weights involving only  $t_i$ ,  $i > k + 1 - u$ . Adding any other weight increases some  $a_j$ ,  $j \leq k + 1 - u$ ; thus we must not add more than  $u(k + 1 - u)$  such weights. This will be clearly impossible if  $n$  satisfies the following conditions:

$$(C_u) \quad \sum_{m=1}^r \binom{d_m + u - 1}{u - 1} + u(k + 1 - u) < u(n + r - k) = s$$

with  $u$  running from 1 to  $k$ .

Now, use (repeatedly) the formula:

$$(7) \quad \frac{1}{q+1} \binom{d_m+q}{q} - \frac{1}{q} \binom{d_m+q-1}{q-1} = \frac{d_m-1}{q(q+1)} \binom{d_m+q-1}{q-1}$$

to show that if some  $d_m \geq 3$ , or at least two degrees in  $d$  are  $\geq 2$ , then  $(C_u)$ ,  $1 \leq u \leq k$ , is a consequence of our assumption  $(A_1)$ . Note that  $(C_1)$  reads:  $n > 2k$ .

We have therefore:

**THEOREM 4.1.** *Let  $S = S_n(d_1, \dots, d_r)$  be a complete intersection in  $P_{n+r}$  and  $F = F_k(S)$  its variety of projective  $k$ -planes. Suppose*

$$\dim F = (k + 1)(n + r - k) - \sum_{m=1}^r \binom{d_m + k}{k} \geq 1,$$

or, in case  $S$  is a quadric, suppose  $n > 2k$ .

Then  $F$  is connected.

**REMARK 4.2.** For a smooth quadric  $S = S_{2k}(2)$ ,  $F_k(S)$  consists of two isomorphic (hermitian symmetric) connected components.

This should rather be viewed as the exception which confirms the rule:  $S_{2k}(2)$  is a homogeneous (hermitian symmetric) space (of rank one) in its own right, and the generating  $k$ -planes of the two families in  $F_k(S)$  correspond to Schubert cycles which are not homologically equivalent.

**REMARK 4.3.** There is a simple formula for the canonical bundle of  $F = F_k(S_n(d))$ , when smooth.

Let  $\mathcal{O}_G(1)$  denote the positive generator of  $\text{Pic}(G)$ , restricting to  $\mathcal{O}_F(1)$  on  $F$ .

Set

$$K = \sum_{m=1}^r \binom{d_m + k}{k + 1} - (n + r + 1).$$

Then  $K_F = \mathcal{O}_F(K)$ .

**5. Deformations.** In this section we assume that  $F = F_k(S_n(d))$  has the “right” codimension and dimension at least two:

$$(A_2) \quad \dim F = \dim G - \operatorname{rk} \mathcal{E} \geq 2.$$

Our purpose is to produce conditions on  $(n, d, k)$  which ensure the completeness of the natural deformation of  $F$ , parametrized by a neighborhood of the section  $\Phi(s) \in H^0(G, \mathcal{E})$  defining  $F$ . Notice that the family of complete intersections to which  $S_n(d)$  belongs (parametrized by a neighbourhood of  $s \in H^0(P, E) \cong H^0(G, \mathcal{E})$ , i.e. the “same” base) is itself complete (see [4], [17], [21]).

A sufficient condition for completeness is the vanishing of  $H^1(G, \mathcal{E} \otimes J_F)$  and  $H^1(F, \theta_G|_F)$ . This is a general result for varieties defined by sections in a vector bundle (see [21]).

We look therefore at the spectral sequences (6) abutting to the above two groups.

(5.1) Take first  $H^s(G, \mathcal{E} \otimes \bigwedge^s \mathcal{E}^*)$ ,  $s \geq 1$ .

We obtain vanishing conditions for these groups as we did for  $H^s(G, \bigwedge^s \mathcal{E}^*)$  in §4.

Let  $D = \max_{1 \leq m \leq r} (d_m)$ . Filtering and taking highest weights will produce as above weights  $\rho = \omega = \sum_{i=1}^{k+1} a_i t_i$ , with  $(\alpha_p, \rho) \geq 0$  for  $p \leq k$ .

Since  $\rho$  is the sum of a weight  $\omega'$  of  $\mathcal{E}$  and a weight  $\omega''$  of  $\bigwedge^s \mathcal{E}^*$ , adding  $\omega'$  to  $\omega'' = \sum_{i=1}^{k+1} a''_i t_i$  decreases some of its coefficients  $a''_i$ , diminishing their sum by at most  $D$ .

This means that our sufficient conditions  $(C_u)$ ,  $1 \leq u \leq k$ , for the vanishing of  $H^s(G, \bigwedge^s \mathcal{E}^*)$ ,  $s \geq 1$ , become, by the same type of reasoning, sufficient conditions  $(C_u^D)$ ,  $1 \leq u \leq k$ , for the vanishing of  $H^s(G, \mathcal{E} \otimes \bigwedge^s \mathcal{E}^*)$ , once we add  $D$  to the left hand side of each inequality:

$$(C_u^D) \quad \sum_{m=1}^r \binom{d_m + u - 1}{u - 1} + u(k + 1 - u) + D < u(n + r - k).$$

(5.2) Consider now  $H^{s+1}(G, \theta_G \otimes \bigwedge^s \mathcal{E}^*)$ ,  $s \geq 0$ . For  $s = 0$ , we have  $H^1(G, \theta_G) = 0$ , because  $G$  is rigid [5]. Suppose  $s \geq 1$ .

Again, using a filtration (actually, the representations we are dealing with are all completely reducible) and successive quotients corresponding to irreducible representations of  $U_{k+1}$ , we find that the highest weight  $\rho$  associated to such a representation is necessarily of the form  $\rho = \omega + \alpha_{t, m}$ , with  $\omega = \sum_{i=1}^{k+1} a_i t_i$  a weight of  $\bigwedge^s \mathcal{E}^*$ ,

$t > k + 1 \geq m$  (cf. §3 (c)), and further conditions:  $(\rho, \alpha_q) \geq 0$  for all  $q \neq k + 1$ , which imply in particular  $t = n + r + 1$ .

Take therefore  $\rho = \omega + \alpha_{n+r+1, m}$  ( $m \leq k + 1$ ) and consider the series of integers:  $(\rho + \delta, \alpha_{t, p})$  with  $p \leq k + 1$  fixed and  $t$  increasing from  $k + 2$  to  $n + r + 1$ . If  $\rho + \delta$  is non-singular, this series of non-zero integers will keep the same sign, except possibly at the last step  $t = n + r + 1$ , when it might “jump” precisely over zero (see Table 1).

Now let  $p$  decrease from  $k + 1$  to 1 and notice the relations of the starting values in each series:

$$(\rho + \delta, \alpha_{k+2, k+1}) < (\rho + \delta, \alpha_{k+2, k}) < \cdots < (\rho + \delta, \alpha_{k+2, 1}).$$

This means that we might encounter non-vanishing cohomology  $H^{s+1}(G, \rho)$  at most for  $s + 1$  or  $s$  a multiple of  $n + r - k$ , say  $u(n + r - k)$  ( $u < k + 1$  by our assumption  $\text{rk } \mathcal{E} \leq \dim G - 2$ ).

For the coefficients  $a_i$  in  $\omega = \sum_{i=1}^{k+1} a_i t_i$ , we have either:

- (1)  $a_{k+1} > a_k \geq \cdots \geq a_1$  for  $m = k + 1$ , or
- (2)  $a_{k+1} \geq \cdots \geq a_{m+1}$ ;  $a_{m+1} + 1 \geq a_m > a_{m-1} \geq \cdots \geq a_1$  for  $m \leq k$ .

Since  $\omega$  is a weight of  $\bigwedge^s \mathcal{E}^*$ , it appears that  $(C_u^2)$  above is a sufficient condition for the vanishing of  $H^{s+1}(G, \rho)$ .

Now, one may verify that the combination of  $(A_2)$  and  $(C_1^D)$  above implies  $(C_u^D)$  for  $1 \leq u \leq k$ .

First, suppose  $d_m \geq 2$ , which is no restriction of generality. Making use of the identity (7) in §4 and the fact that the right hand side in (7) clearly increases with  $q$ , the following implications obtain:

- (i) If  $k \geq 2$ ,  $(A_2) \Rightarrow (C_k^D)$  as soon as  $\sum_{m=1}^r (d_m^2 - 1) > 3D + 2$ , i.e.  $d \neq (2), (2, 2), (3), (2, 3)$ ; and for  $n > 6$  also for  $d = (2, 3)$ .
- (ii) If  $u > 1$ ,  $(C_{u+1}^D) \Rightarrow (C_u^D)$  for  $\sum_{m=1}^r (d_m^2 - 1) \geq D + 6$ , i.e.  $d \neq (2), (2, 2), (3)$ .

Finally, for  $d = (2), (2, 2), (3)$  or  $(2, 3)$ , a direct check shows that  $(A_2) \& (C_1^D) \Rightarrow (C_u^D)$ .

Summing-up, we obtain:

**THEOREM 5.3.** *Let  $S = S_n(d_1, \dots, d_r)$  be a complete intersection in  $P_{n+r}$  and suppose that its variety of  $k$ -planes  $F = F_k(S)$  satisfies*

$$(A_2) \quad \dim F = (k + 1)(n + r - k) - \sum_{m=1}^r \binom{d_m + k}{k} \geq 2.$$

*If  $n > 2k + D$ , where  $D = \max_{1 \leq m \leq r} (d_m)$ , then every small deformation of  $F$  is induced by a (small) deformation of  $S$ .*

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