

ON THE LEAST POSITIVE EIGENVALUE OF LAPLACIAN FOR COMPACT HOMOGENEOUS SPACES

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Introduction and statement of results

Let M be an n -dimensional compact smooth manifold. Two Riemannian metrics g_1 and g_2 on M are called to be *homothetically equivalent* if there exists a diffeomorphism Φ of M onto itself such that Φ^*g_1 coincides g_2 with a constant multiple.

Let $M=G/K$ be a compact homogeneous space, where G is a compact Lie group and K is a closed subgroup of G . A Riemannian metric g on M is called be *G -invariant* if all the translations τ_x by elements x in G on M are isometric with respect to the metric g (cf. [3]). Let us consider the elementary, but non-trivial problem: *How many G -invariant mutually homothetically inequivalent Riemannian metrics are there on $M=G/K$?*

If the linear isotropy action of K on the tangent space $T_o(M)$ of M at the origin $o=\{K\} \in M$ (cf. [3]) is irreducible over \mathbf{R} , then there exists a unique (up to homothetic equivalence) G -invariant Riemannian metric on M (cf. [9]). So the above problem is reduced to the case that the linear isotropy action of K is *reducible* over \mathbf{R} , that is, the tangent space $T_o(M)$ is decomposed into two proper subspaces invariant by the linear isotropy action of K . In this case, many people would have the following conjecture: *If a compact homogeneous space $M=G/K$ (with some additional assumptions) has the reducible isotropy action of K over \mathbf{R} , then it would have uncountably many mutually homothetically inequivalent G -invariant metrics.*

One of the purposes of this paper is to show that the above conjecture is affirmative.

Now we assume that a compact homogeneous space G/K has the condition (C): The linear isotropy action of K on the tangent space $T_o(M)$ of M at the origin o is reducible and includes the identity representation of K on $T_o(M)$. Let \mathfrak{g} be the Lie algebra of all left invariant vector fields on G and let \mathfrak{k} be the subalgebra of \mathfrak{g} corresponding to the subgroup K . Since G is compact, there exists an $\text{Ad}(G)$ -invariant inner product B on \mathfrak{g} . Let \mathfrak{m} be the orthocomplement of \mathfrak{k} in \mathfrak{g} with respect to B . Then we have the decomposition

$\mathfrak{g} = \mathfrak{k} + \mathfrak{m}$ of \mathfrak{g} such that $\text{Ad}(k)\mathfrak{m} = \mathfrak{m}$ ($k \in K$). The isomorphism of \mathfrak{m} onto $T_o(M)$ given by $X \mapsto X_o$ (the tangent vector at o) is K -equivariant, that is $(\text{Ad}(k)X)_o = \tau_{k*}X_o$ ($k \in K$), where τ_{k*} is the differentiation of the translation τ_k at o . So the condition (C) means the following condition (C'):

(C') *There exists a non-zero element Z in \mathfrak{m} such that $\text{Ad}(k)Z = Z$ ($k \in K$).*

We notice that every G -invariant Riemannian metric g on $M = G/K$ is given by an $\text{Ad}(K)$ -invariant inner product $(,)$ on \mathfrak{m} (cf. in 2.2). Thus, to answer the above conjecture, we may choose a suitable homothetically invariant ratio which takes continuously different values among the above G -invariant Riemannian metrics. For this purpose, let us consider the following ratio. For a Riemannian metric g on M , let $-\Delta_g$ be the Laplace-Beltrami operator acting on smooth functions on M and let $\lambda_1(g)$ be the least positive eigenvalue of Δ_g . Then we notice (cf. [1]) that the ratio $\lambda_1(g) \text{vol}(M, g)^{2/n}$ is homothetically invariant, that is, if two Riemannian metrics g_1 and g_2 are homothetically equivalent, then it holds that

$$\lambda_1(g_1) \text{vol}(M, g_1)^{2/n} = \lambda_1(g_2) \text{vol}(M, g_2)^{2/n}.$$

Now, under the above preparations, we can state the following results.

Main Theorem. *Let $M = G/K$ be an n -dimensional compact homogeneous space ($n \geq 2$), where G is a compact connected Lie group and K is a closed connected subgroup of G . Let \mathfrak{g} be the Lie algebra of all left invariant vector fields on G and let \mathfrak{k} be the subalgebra of \mathfrak{g} corresponding to K . Let B be an $\text{Ad}(G)$ -invariant inner product on \mathfrak{g} , and let \mathfrak{m} be the orthocomplement of \mathfrak{k} in \mathfrak{g} with respect to B . We assume the condition (C'): There exists a non-zero element Z in \mathfrak{m} such that $\text{Ad}(k)Z = Z$ ($k \in K$). Then there exists an one-parameter family of G -invariant Riemannian metrics g_t ($0 < t < \infty$) on M such that*

- (1) $\text{vol}(M, g_t)$ is constant in t ,
- (2) $\lim_{t \rightarrow 0} \lambda_1(g_t) = 0$ (if an one-parameter subgroup $\{\exp(sZ); s \in \mathbf{R}\}$ is closed in G),

and

- (3) $\lim_{t \rightarrow \infty} \lambda_1(g_t) = \infty$ (if G is semi-simple).

Thus we have immediately the following corollary.

Corollary. *Let $M = G/K$ be as in the above Theorem. Assume that the condition (C') holds. If either the one-parameter subgroup $\{\exp(sZ); s \in \mathbf{R}\}$ is closed in G or G is semi-simple, then there exist uncountably many mutually homothetically inequivalent G -invariant Riemannian metrics on M .*

REMARK 1. For examples, the following ones satisfy the conditions of

the Main Theorem: real Stiefel manifolds $SO(n+p)/SO(n)$, $p \geq 2, n \geq 1$; complex Stiefel manifolds $SU(n+p)/SU(n)$, $p \geq 1, n \geq 1$; quaternions Stiefel manifolds $Sp(n+p)/Sp(n)$, $p \geq 1, n \geq 1$; $G/(H/T_1)$, where G/H is an irreducible hermitian symmetric space and T_1 is the connected component of the center of H ; and compact connected semi-simple group manifolds. On the other hand, a compact flag manifold G/T , where G is a compact semi-simple Lie group and T is a maximal torus in G , does not satisfy the condition (C') of the above Theorem, but it has the reducible isotropy action.

REMARK 2. Main Theorem is an extension of the results obtained by [7] and [8]. The above Corollary is a generalization of the results of [4] and [5].

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1. The Laplace-Beltrami operator on reductive homogeneous spaces

1.1. Let $M=G/K$ be an n -dimensional homogeneous space, where G is a connected Lie group and K is a closed subgroup of G . In this section, we do not assume necessarily the compactness of M . Let \mathfrak{g} be the Lie algebra of all left invariant vector fields on G and let \mathfrak{k} be the subalgebra of \mathfrak{g} corresponding to the subgroup K .

DEFINITION (cf. [3] p. 200 or [2] p. 389). The coset space $M=G/K$ is called to be *reductive* if there exists a subspace \mathfrak{m} of \mathfrak{g} such that $\mathfrak{g}=\mathfrak{k}+\mathfrak{m}$ (direct sum) and $\text{Ad}(k)\mathfrak{m}=\mathfrak{m}$ for all $k \in K$.

In this section, we consider a reductive homogeneous space $M=G/K$. First, we prepare some notations. (See [2] and [6]).

Let $C^\infty(G)$ be the space of all complex valued C^∞ functions on G , $C^\infty(G, K)$ the space of all elements f in $C^\infty(G)$ such that $f(gk)=f(g)$ for each $g \in G$ and $k \in K$, and $C^\infty(M)$ the space of all complex valued C^∞ functions on M . Let π be the natural projection of G onto G/K . Put $\mathfrak{o}=\{K\} \in M=G/K$. Then the mapping $f \mapsto \tilde{f}$, where $\tilde{f}=f \circ \pi$, gives an isomorphism of $C^\infty(M)$ onto $C^\infty(G, K)$.

Let $\mathbf{D}(G)$ be the space of all differential operators on G which are invariant by left translations L_x , ($x \in G$), $\mathbf{D}_0(G)$ the space of all elements in $\mathbf{D}(G)$ which are invariant by right translations R_k , ($k \in K$), and $\mathbf{D}(M)$ the space of all differential operators on M which are invariant by the translations τ_x ($x \in G$) on M . Then, for every $D \in \mathbf{D}_0(G)$, we can define $\varpi(D) \in \mathbf{D}(M)$ by

$$(\varpi(D)f)^\sim = D\tilde{f}, \quad f \in C^\infty(M).$$

Let $S(\mathfrak{m})$ be the symmetric algebra over \mathfrak{m} . Then $S(\mathfrak{m})$ can be regarded as a K -module by the adjoint action of K on \mathfrak{m} . Let $S(\mathfrak{m})_K$ be the set of all

elements in $S(\mathfrak{m})$ which are invariant by the action $\text{Ad}(k)$, $k \in K$. Let $S(\mathfrak{m})_K^{\mathbb{C}}$ be the complexification of $S(\mathfrak{m})_K$. Then the following theorem holds.

Theorem (cf. [2] or [6]).

(1) *The mapping $\varpi; \mathbf{D}_0(G) \rightarrow \mathbf{D}(M)$ is homomorphism of $\mathbf{D}_0(G)$ onto $\mathbf{D}(M)$.*

(2) *There exists an isomorphism λ of $S(\mathfrak{m})_K^{\mathbb{C}}$ onto $\mathbf{D}(M)$ which is given as follows: Let $\{Y_1, \dots, Y_n\}$ be a basis of \mathfrak{m} . Then, for every polynomial $P(Y_1, \dots, Y_n)$ in $S(\mathfrak{m})_K^{\mathbb{C}}$,*

$$(1.1) \quad [\lambda(P(Y_1, \dots, Y_n))f](x \cdot o) = \left[P\left(\frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial y_n}\right) f(x \exp(\sum_{i=1}^n y_i Y_i) \cdot o) \right](0).$$

Here, in the right hand side, we regard $f(x \exp(\sum_{i=1}^n y_i Y_i) \cdot o)$ as a function in (y_1, \dots, y_n) and $P\left(\frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial y_n}\right)$ expresses the differential operator which is given by substituting $\frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial y_n}$ into the polynomial $P(Y_1, \dots, Y_n)$.

1.2. Every G -invariant Riemannian metric on a reductive homogeneous space $M = G/K$ is given as follows (cf. [3]): Let $(,)$ be an $\text{Ad}(K)$ -invariant inner product on \mathfrak{m} . Then there exists a unique G -invariant Riemannian metric on M such that

$$(g)_o(X_o, Y_o) = (X, Y), \quad X, Y \in \mathfrak{m}.$$

Here the tangent vectors $X_o, Y_o \in T_o(M)$ of M at the origin $o = \{K\}$ correspond to elements X, Y in \mathfrak{m} .

For this metric g on M , let $-\Delta_g$ be the Laplace-Beltrami operator on M , that is

$$\Delta_g f = - \sum_{i,j=1}^n g^{ij} \left(\frac{\partial^2 f}{\partial y_i \partial y_j} - \sum_{k=1}^n \Gamma_{ij}^k \frac{\partial f}{\partial y_k} \right),$$

for every $f \in C^\infty(M)$. Here (g^{ij}) is the inverse matrix of (g_{ij}) , (g_{ij}) is the components of g with respect to the local coordinate (y_1, \dots, y_n) of M and Γ_{ij}^k is the Christoffel symbol of the Riemannian connection for g . Since the translations τ_x , $x \in G$ on M are isometries with respect to g , then the operator Δ_g belongs to $\mathbf{D}(M)$ (cf. [2] p. 387). So we investigate to express Δ_g explicitly in terms of $S(\mathfrak{m})_K^{\mathbb{C}}$, using the above theorem.

Lemma 1.1. *Let $\{Y_i\}_{i=1}^n$ be an orthonormal basis of \mathfrak{m} with respect to the above $\text{Ad}(K)$ -invariant inner product $(,)$. Then the following polynomials belong to $S(\mathfrak{m})_K^{\mathbb{C}}$:*

$$(1) \quad \sum_{i=1}^n Y_i^2,$$

(2) $\sum_{i=1}^n \text{Trace}_{\mathfrak{g}}(\text{ad}(Y_i))Y_i$,
 where $\text{Trace}_{\mathfrak{g}}(\text{ad}(Y))$ is the trace of an endomorphism $\text{ad}(Y)$ of \mathfrak{g} for every $Y \in \mathfrak{m}$.

Proof. It is clear that $\sum_{i=1}^n Y_i^2$ belongs to $S(\mathfrak{m})_K^c$, due to the $\text{Ad}(K)$ -invariance of (\cdot) . For another orthonormal basis $\{Y_i'\}_{i=1}^n$ of \mathfrak{m} , we have

$$\sum_{i=1}^n \text{Trace}_{\mathfrak{g}}(\text{ad}(Y_i'))Y_i' = \sum_{i=1}^n \text{Trace}_{\mathfrak{g}}(\text{ad}(Y_i))Y_i.$$

For $k \in K$, put $Y_i' = \text{Ad}(k)Y_i$. Then $\{Y_i'\}_{i=1}^n$ is also orthonormal with respect to (\cdot) . So we have

$$\begin{aligned} \text{Ad}(k)(\sum_{i=1}^n \text{Trace}_{\mathfrak{g}}(\text{ad}(Y_i))Y_i) &= \sum_{i=1}^n \text{Trace}_{\mathfrak{g}}(\text{ad}(\text{Ad}(k^{-1})Y_i'))Y_i' \\ &= \sum_{i=1}^n \text{Trace}_{\mathfrak{g}}(\text{ad}(Y_i'))Y_i' = \sum_{i=1}^n \text{Trace}_{\mathfrak{g}}(\text{ad}(Y_i))Y_i. \end{aligned}$$

Q.E.D.

Theorem 1. Let $M=G/K$ be a reductive homogeneous space. For every G -invariant Riemannian metric g on M , we have

$$\Delta_g = -\hat{\lambda}(\sum_{i=1}^n Y_i^2) + \hat{\lambda}(\sum_{i=1}^n \text{Trace}_{\mathfrak{g}}(\text{ad}(Y_i))Y_i).$$

Here $\{Y_i\}_{i=1}^n$ is an orthonormal basis of \mathfrak{m} with respect to the $\text{Ad}(K)$ -invariant inner product (\cdot) corresponding to g , $\text{Trace}_{\mathfrak{g}}(\text{ad}(Y))$ is the trace of an endomorphism $\text{ad}(Y)$ of \mathfrak{g} , for every $Y \in \mathfrak{m}$ and $\hat{\lambda}$ is given by (1.1).

Proof. Since both hand sides of the above equality belong to $D(M)$, we may prove, at the origin o of M ,

$$\Delta_g f(o) = -\hat{\lambda}(\sum_{i=1}^n Y_i^2)f(o) + \hat{\lambda}(\sum_{i=1}^n \text{Trace}_{\mathfrak{g}}(\text{ad}(Y_i))Y_i)f(o),$$

for all $f \in C^\infty(M)$. Take a local coordinate (y_1, \dots, y_n) around the origin o defined by the mapping $\exp(\sum_{i=1}^n y_i Y_i) \cdot o \mapsto (y_1, \dots, y_n)$. Put $\text{Exp} = \pi \circ \exp$, a mapping \mathfrak{m} into M . For $x = \exp(X)$, $X \in \mathfrak{m}$, such that $x \cdot o$ belongs to the above local coordinate neighborhood of the origin o , we have (cf. [2])

$$\begin{aligned} \left(\frac{\partial}{\partial y_i}\right)_{x \cdot o} &= \text{Exp}_{*x}(Y_i) = \pi_{*x} \circ \exp_{*x}(Y_i) \\ &= \pi_{*x} \circ L_{x \cdot o} \circ \sum_{m=0}^{\infty} \frac{(-\text{ad}(X))^m}{(m+1)!}(Y_i) = \tau_{x \cdot o} \circ \pi_{*o} \circ \sum_{m=0}^{\infty} \frac{(-\text{ad}(X))^m}{(m+1)!}(Y_i). \end{aligned}$$

Here for a smooth mapping Φ , Φ_{*p} denotes its differential at a point p of M . Then

$$\begin{aligned} g_{ij}(x \cdot o) &= g_{x \cdot o} \left(\left(\frac{\partial}{\partial y_i}\right)_{x \cdot o}, \left(\frac{\partial}{\partial y_j}\right)_{x \cdot o} \right) \\ &= (g)_0 \left(\pi_{*o} \left(\sum_{m=0}^{\infty} \frac{(-\text{ad}(X))^m}{(m+1)!}(Y_i) \right), \pi_{*o} \left(\sum_{m=0}^{\infty} \frac{(-\text{ad}(X))^m}{(m+1)!}(Y_j) \right) \right) \\ &= \left(\left(\sum_{m=0}^{\infty} \frac{(-\text{ad}(X))^m}{(m+1)!}(Y_i) \right)_m, \sum_{m=0}^{\infty} \frac{(-\text{ad}(X))^m}{(m+1)!}(Y_j) \right)_m, \end{aligned}$$

where, W_m denotes the m -component of an element W in \mathfrak{g} corresponding to the decomposition $\mathfrak{g} = \mathfrak{k} + \mathfrak{m}$. Hence we have

$$(1.2) \quad \begin{cases} g_{ij}(o) = \delta_{ij}, & \text{and} \\ \left(\frac{\partial}{\partial y_k}\right)_0 g_{ij} = -\frac{1}{2}(c_{ki}^j + c_{kj}^i), \end{cases}$$

where we put $[Y_i, Y_j]_m = \sum_{k=1}^n c_{ij}^k Y_k$ ($1 \leq i, j \leq n$). In fact,

$$\begin{aligned} \left(\frac{\partial}{\partial y_k}\right)_0 g_{ij} &= \left[\frac{d}{ds} g_{ij}(\exp(sY_k) \cdot o) \right]_{s=0} \\ &= \left[\frac{d}{ds} \left(\left(\sum_{m=0}^{\infty} \frac{(-s \operatorname{ad}(Y_k))^m}{(m+1)!} (Y_i) \right)_m, \right. \right. \\ &\quad \left. \left. \left(\sum_{m=0}^{\infty} \frac{(-s \operatorname{ad}(Y_k))^m}{(m+1)!} (Y_j) \right)_m \right) \right]_{s=0} \\ &= -\frac{1}{2}([Y_k, Y_i]_m, Y_j) + (Y_i, [Y_k, Y_j]_m) \\ &= -\frac{1}{2}(c_{ki}^j + c_{kj}^i). \end{aligned}$$

Therefore we have

$$\Gamma_{ij}^k(o) = \frac{1}{2}(c_{ki}^j + c_{kj}^i),$$

in particular, $\Gamma_{ii}^i(o) = c_{ii}^i$. For we have

$$\begin{aligned} \Gamma_{ij}^k(o) &= \frac{1}{2} \left(\frac{\partial g_{kj}}{\partial y_i}(0) + \frac{\partial g_{ik}}{\partial y_j}(0) - \frac{\partial g_{ij}}{\partial y_k}(0) \right) \\ &= \frac{1}{2}(c_{ki}^j + c_{kj}^i), \end{aligned}$$

by (1.2) and $c_{ki}^i + c_{ik}^i = 0$. Thus we have

$$\Delta_g f(o) = -\sum_{i=1}^n \frac{\partial^2}{\partial y_i^2} f(o) + \sum_{k=1}^n \left(\sum_{i=1}^n c_{ki}^i \right) \frac{\partial f}{\partial y_k}(o).$$

Notice that $\sum_{i=1}^n c_{ki}^i = \operatorname{Trace}_{\mathfrak{g}}(\operatorname{ad}(Y_k))$, due to the fact $\operatorname{ad}(Y_k)(\mathfrak{k}) \subset \mathfrak{m}$ and $[Y_k, Y_i]_m = \sum_{j=1}^n c_{ki}^j Y_j$. Therefore the right hand side of the above equation coincides with

$$-\lambda \left(\sum_{i=1}^n Y_i^2 \right) f(o) + \left(\sum_{k=1}^n \operatorname{Trace}_{\mathfrak{g}}(\operatorname{ad}(Y_k)) Y_k \right) f(o). \quad \text{Q.E.D.}$$

Corollary. Let $M = G/K$ be a reductive homogeneous space, where G is a connected Lie group and K is a closed subgroup of G . Assume that the Lie algebra \mathfrak{g} of G is a unimodular Lie algebra, that is, $\operatorname{Trace}_{\mathfrak{g}}(\operatorname{ad}(X)) = 0$ for every $X \in \mathfrak{g}$.

Then for every G -invariant Riemannian metric g on M , we have

$$\Delta_g = -\lambda(\sum_{i=1}^n Y_i^2),$$

where $\{Y_i\}_{i=1}^n$ is an orthonormal basis of \mathfrak{m} with respect to the $\text{Ad}(K)$ -invariant inner product $(,)$ corresponding to g .

REMARK 3. If $K = \{e\}$, the above theorem has been obtained in [8].

REMARK 4. If the Riemannian connection for g is the natural torsion-free connection on M , that is, its inner product $(,)$ on \mathfrak{m} satisfies

$$(X, [Z, Y]_{\mathfrak{m}}) + ([Z, X]_{\mathfrak{m}}, Y) = 0,$$

for every X, Y and $Z \in \mathfrak{m}$ (cf. [3]), then the above Corollary is well-known (cf. [6]).

2. Proof of Main Theorem. (I)

In this section, the situations of Main Theorem are preserved. Let $M = G/K$ be a compact homogeneous space, where G is a compact connected Lie group and K is a closed connected subgroup of G . Let \mathfrak{g} be the Lie algebra of all left invariant vector fields on G , \mathfrak{k} the subalgebra of \mathfrak{g} corresponding to the subgroup K . Let B be an $\text{Ad}(G)$ -invariant inner product on \mathfrak{g} . Let \mathfrak{m} be the orthocomplement of \mathfrak{k} in \mathfrak{g} with respect to B . Then we have the decomposition $\mathfrak{g} = \mathfrak{k} + \mathfrak{m}$ such that $\text{Ad}(k)\mathfrak{m} = \mathfrak{m}$ ($k \in K$). We assume the condition (C'): There exists a non-zero element Z in \mathfrak{m} such that $\text{Ad}(k)Z = Z$ ($k \in K$). Let \mathfrak{m}_1 be the subspace of \mathfrak{m} spanned by the element Z . Let \mathfrak{m}_2 be the orthocomplement of \mathfrak{m}_1 in \mathfrak{m} with respect to B . Then we have a decomposition of \mathfrak{m} such that $\mathfrak{m} = \mathfrak{m}_1 + \mathfrak{m}_2$ and $\text{Ad}(k)\mathfrak{m}_i = \mathfrak{m}_i$ ($k \in K, i = 1, 2$).

Now let $\mathfrak{t}_{\mathfrak{k}}$ be a maximal abelian subalgebra of \mathfrak{k} . Then $\mathfrak{t}_{\mathfrak{k}} + \mathfrak{m}_1$ is an abelian subalgebra of \mathfrak{g} . By Zorn's lemma, there exists a maximal abelian subalgebra \mathfrak{t} of \mathfrak{g} including $\mathfrak{t}_{\mathfrak{k}} + \mathfrak{m}_1$.

Lemma 2.1. *We have*

$$\mathfrak{t} = \mathfrak{t}_{\mathfrak{k}} + \mathfrak{m}_1 + \mathfrak{t} \cap \mathfrak{m}_2.$$

Proof. First, we have $\mathfrak{t} = \mathfrak{t}_{\mathfrak{k}} + \mathfrak{t} \cap \mathfrak{m}$. In fact, every element $Y \in \mathfrak{t}$ is written as $Y = Y_{\mathfrak{k}} + Y_{\mathfrak{m}}$ ($Y_{\mathfrak{k}} \in \mathfrak{k}, Y_{\mathfrak{m}} \in \mathfrak{m}$) corresponding to the decomposition $\mathfrak{g} = \mathfrak{k} + \mathfrak{m}$. But $Y_{\mathfrak{k}}$ belongs to the centralizer of $\mathfrak{t}_{\mathfrak{k}}$ in \mathfrak{k} . For, we have $[Y_{\mathfrak{k}}, X] = -[Y_{\mathfrak{m}}, X]$ for every $X \in \mathfrak{t}_{\mathfrak{k}}$, where the right hand side belongs to \mathfrak{m} and the left hand side belongs to \mathfrak{k} . Thus $[Y_{\mathfrak{k}}, X] = [Y_{\mathfrak{m}}, X] = 0$. Since $\mathfrak{t}_{\mathfrak{k}}$ is a maximal abelian subalgebra of \mathfrak{k} , $Y_{\mathfrak{k}}$ belongs to $\mathfrak{t}_{\mathfrak{k}} \subset \mathfrak{t}$. So $Y_{\mathfrak{m}}$ belongs to \mathfrak{t} . Next, we have $\mathfrak{t} \cap \mathfrak{m} = \mathfrak{m}_1 + \mathfrak{t} \cap \mathfrak{m}_2$. In fact, each element $Y \in \mathfrak{t} \cap \mathfrak{m}$ is decomposed as $Y = Y_{\mathfrak{m}_1} + Y_{\mathfrak{m}_2}$,

$(Y_{m_1} \in m_1, Y_{m_2} \in m_2)$ corresponding to $m = m_1 + m_2$. Then we have $Y_{m_2} = Y - Y_{m_1} \in \mathfrak{t}$ since $Y_{m_1} \in m_1 \subset \mathfrak{t}$. Q.E.D.

Let m_2' be the orthocomplement of $\mathfrak{t} \cap m_2$ in m_2 with respect to B . We choose an orthonormal basis $\{X_i\}_{i=1}^n$ of m with respect to B such that $X_1 \in m_1$ and $\{X_2, \dots, X_n\}$ is taken corresponding to the decomposition $m_2 = \mathfrak{t} \cap m_2 + m_2'$.

Now we define a new inner product $B_t (0 < t < \infty)$ on m by

$$\begin{cases} B_t(X_1, X_1) = t^{n-1}, \\ B_t(X_i, X_j) = \delta_{ij}t^{-1} \quad (2 \leq i, j \leq n), \quad \text{and} \\ B_t(X_1, X_i) = 0 \quad (2 \leq i \leq n), \end{cases}$$

that is, $\{t^{-(n-1)/2}X_1, t^{1/2}X_2, \dots, t^{1/2}X_n\}$ is an orthonormal basis of m with respect to B_t . Then we have

Lemma 2.2. *The above new inner product $B_t (0 < t < \infty)$ on m is $\text{Ad}(K)$ -invariant.*

Proof. Since K is connected, we may prove

$$B_t([W, X], Y) + B_t(X, [W, Y]) = 0,$$

for $W \in \mathfrak{k}, X, Y \in m$. It may also be proved that

$$(2.1) \quad B_t([W, X_i], X_j) + B_t(X_i, [W, X_j]) = 0,$$

for each $i, j = 1, \dots, n$. Put $[W, X_j] = \sum_{i=2}^n a_{ij}X_i (2 \leq j \leq n)$. Since $[W, X_1] = 0$ and $\text{ad}(W)(m_2) \subset m_2$, we have

$$(2.2) \quad a_{ij} + a_{ji} = 0 \quad (2 \leq i, j \leq n),$$

due to the $\text{Ad}(K)$ -invariance of B . We will prove (2.1) in the following three cases: (1) $i = j = 1$, (2) either $i = 1$ and $2 \leq j \leq n$, or $2 \leq i \leq n$ and $j = 1$, (3) $2 \leq i, j \leq n$. Case (1) is clear. Case (2) follows from the fact that m_1 is orthogonal to m_2 by the definition of B_t . Case (3). For $2 \leq i, j \leq n$, we have

$$B_t([W, X_i], X_j) + B_t(X_i, [W, X_j]) = t^{-1}(a_{ji} + a_{ij}) = 0,$$

due to (2.2) and the definition of B_t . Q.E.D.

Due to Lemma 2.2, there exists a unique G -invariant Riemannian metric $g_t (0 < t < \infty)$ on M such that

$$(g_t)_o(X_o, Y_o) = B_t(X, Y),$$

for $X, Y \in m$ (cf. [3] p. 200, Cor. 3.2). A Riemannian metric g_1 on M corresponds to the inner product B on m . We will show that the above $g_t (0 < t < \infty)$ are desired in Main Theorem.

Lemma 2.3. *We have*

$$\text{vol}(M, g_t) = \text{vol}(M, g_1), \quad (0 < t < \infty).$$

Proof. Since K is connected and compact, the homogeneous space $M = G/K$ is orientable. Since G is connected, the translations τ_x by $x \in G$ on M preserve the volume element v_{g_t} of (M, g_t) . So we may see $(v_{g_t})_o = (v_{g_1})_o \in \wedge^n T_o^*M$, where T_o^*M is the cotangent space of M at the origin o . But it is valid due to the definition of g_t ($0 < t < \infty$). Q.E.D.

Lemma 2.4. *We have*

$$\Delta_{g_t} = (t^{-(n-1)} - t)(-\hat{\lambda}(X_1^2)) + t\Delta_{g_1},$$

where the polynomial X_1^2 belongs to $S(\mathfrak{m})_K^C$.

Proof. By the condition (C'), the polynomial X_1^2 belongs to $S(\mathfrak{m})_K^C$. Due to Corollary of Theorem 1 and the definition of g_t , we have

$$\begin{aligned} \Delta_g &= -\hat{\lambda}(t^{-(n-1)}X_1^2 + t\sum_{i=2}^n X_i^2) \\ &= (t^{-(n-1)} - t)(-\hat{\lambda}(X_1^2)) - t\hat{\lambda}(\sum_{i=2}^n X_i^2) \\ &= (t^{-(n-1)} - t)(-\hat{\lambda}(X_1^2)) + t\Delta_{g_1} \end{aligned} \quad \text{Q.E.D.}$$

3. Proof of Main Theorem. (II)

3.1. In this section, we preserve the situations in §§1, 2. In this part 3.1, we prepare, (cf. [6]), the Peter-Weyl theorem for a compact homogeneous space $M = G/K$, where G is a compact connected Lie group and K is a closed connected subgroup of G . We do not necessarily assume the condition (C').

Let $\mathbf{D}(G)$ be a complete set of finite dimensional inequivalent unitary representations of G . For a representation (ρ, V_ρ) belonging to $\mathbf{D}(G)$, put $d_\rho = \dim V_\rho$ and $V_\rho^K = \{w \in V_\rho; \rho(k)w = w \text{ for every } k \in K\}$.

DEFINITION (cf. [6]). A representation $(\rho, V_\rho) \in \mathbf{D}(G)$ is called to be a *spherical representation for a pair* (G, K) if $V_\rho^K \neq (0)$.

Let $\mathbf{D}(G, K)$ be the set of all spherical representations in $\mathbf{D}(G)$ for a pair (G, K) . For $\rho \in \mathbf{D}(G, K)$, let $((,))$ be an $\rho(G)$ -invariant inner product on V_ρ and put $m_\rho = \dim V_\rho^K$. We choose an orthonormal basis $\{V_i\}_{i=1}^{d_\rho}$ of V_ρ such that $\{v_i\}_{i=1}^{m_\rho}$ is a basis of V_ρ^K . Let $\rho_{ij}(x) = ((\rho(x)v_j, v_i))$, $x \in G$ and let $\bar{\rho}_{ij}(x)$ be the complex conjugate of $\rho_{ij}(x)$. Since $\bar{\rho}_{ij}$ ($1 \leq i \leq d_\rho, 1 \leq j \leq m_\rho$) belongs to $C^\infty(G, K)$, it can be regarded as a function on M . We denote it by the same letter $\bar{\rho}_{ij}$. As in §2, let B be an $\text{Ad}(K)$ -invariant inner product on \mathfrak{m} , g_1 be the corresponding G -invariant Riemannian metric on M , and v_{g_1} the volume element of (M, g_1) . We define a hermitian inner product $((,))$ on $C^\infty(M)$ by

$$((f_1, f_2)) = \text{vol}(M, g_1)^{-1} \int_M f_1(x \cdot o) \overline{f_2(x \cdot o)} v_{g_1}(x \cdot o) .$$

Then we have (cf. [6])

Theorem (Peter-Weyl). 1) For every $\rho \in \mathbf{D}(G, K)$, $\{\sqrt{d_\rho} \bar{\rho}_{ij}; 1 \leq i \leq d_\rho, 1 \leq j \leq m_\rho\}$ is an orthonormal system of $C^\infty(M)$ with respect to $((,))$. Let $\theta_\rho(M)$ be the subspace of $C^\infty(M)$ spanned by $\{\sqrt{d_\rho} \bar{\rho}_{ij}; 1 \leq i \leq d_\rho, 1 \leq j \leq m_\rho\}$ over \mathbf{C} . 2) If $\rho, \rho' \in \mathbf{D}(G, K)$ are mutually inequivalent, then $\theta_\rho(M)$ and $\theta_{\rho'}(M)$ are mutually orthogonal with respect to $((,))$. Moreover we have the following decomposition: $C^\infty(M) = \sum_{\rho \in \mathbf{D}(G, K)} \theta_\rho(M)$, that is, each $f \in C^\infty(M)$ can be expanded by

$$f = \sum_{\rho \in \mathbf{D}(G, K)} d_\rho \sum_{\substack{1 \leq i \leq d_\rho \\ 1 \leq j \leq m_\rho}} ((f, \bar{\rho}_{ij})) \bar{\rho}_{ij} ,$$

in the sense of the uniform convergence on M or the L^2 -convergence with respect to $((,))$.

3.2. In this part, we assume the condition (C') and let \mathfrak{t} be a maximal abelian subalgebra of \mathfrak{g} in Lemma 2.1.

Let Δ be the root system of the complexification \mathfrak{g}^c of \mathfrak{g} with respect to \mathfrak{t} , that is, the set of non-zero elements α of the dual space \mathfrak{t}^* of \mathfrak{t} such that $\mathfrak{g}_\alpha^c = \{E \in \mathfrak{g}^c; [H, E] = \sqrt{-1}\alpha(H)E, \text{ for any } H \in \mathfrak{t}\}$ is not zero. We introduce a lexicographic order $>$ on \mathfrak{t}^* and fix it once and for all. Let Δ^+ be the set of all positive roots with respect to this order. Let p be the dimension of the commutator subalgebra of \mathfrak{g} . Let $\Pi = \{\alpha_1, \dots, \alpha_p\}$ be the fundamental system of Δ with respect to the order $>$. For $\lambda \in \mathfrak{t}^*$, let H_λ be an element in \mathfrak{t} defined by $B(H, H_\lambda) = \lambda(H)$ for all $H \in \mathfrak{t}$. Here the inner product B is an $\text{ad}(G)$ -invariant inner product on \mathfrak{g} as in §2. We define an inner product $(,)_0$ on \mathfrak{t}^* by $(\lambda, \lambda')_0 = B(H_\lambda, H_{\lambda'})$ for $\lambda, \lambda' \in \mathfrak{t}^*$. Let $\Gamma = \{H \in \mathfrak{t}; \exp(H) = e\}$. Let

$$I = \{\lambda \in \mathfrak{t}^*; \lambda(H) \in 2\pi\mathbf{Z} \text{ for all } H \in \Gamma\} .$$

Put

$$D(G) = \{\lambda \in I; (\lambda, \alpha_i)_0 \geq 0 \ (1 \leq i \leq p)\} .$$

Then the set I coincides with the set of all the weights of the representations of G . The maximal element among the weights of a representation (ρ, V_ρ) in the order $>$ in \mathfrak{t}^* is called the highest weight of (ρ, V_ρ) . The set $D(G)$ coincides with the set of all highest weights of the representations of G . There exists a bijection (cf. [6]) from $D(G)$ onto $\mathbf{D}(G)$.

3.3. For $X_1 \in \mathfrak{m}_1$ as in §2, the polynomials X_1 and X_1^2 belong to $S(\mathfrak{m})_K^c$, so we have

$$(3.1) \quad \hat{\lambda}(X_1^2)\bar{\rho}_{ij}(x \cdot o) = \overline{((\rho(x)\rho(X_1)^2v_j, v_i))},$$

for every $x \in G$ and $\rho \in \mathbf{D}(G, K)$. Let $D_1 = \rho(X_1)^2$ be an endomorphism of V_ρ for every $\rho \in \mathbf{D}(G, K)$. Then we have

Lemma 3.1. *The endomorphism D_1 of V_ρ has the following properties:*

- (1) $D_1V_\rho^K \subset V_\rho^K$,
- (2) D_1 is self-adjoint on V_ρ , that is, $((D_1u, v)) = ((u, D_1v))$ for every $u, v \in V_\rho$,

and

- (3) $D_1(V_\rho^K)^\perp \subset (V_\rho^K)^\perp$,

where $(V_\rho^K)^\perp$ is the orthocomplement of V_ρ^K in V_ρ with respect to $((,))$.

Proof. (1) Since $\text{Ad}(k)X_1 = X_1 (k \in K)$, we have

$$\rho(X_1)v = \rho(\text{Ad}(k)X_1)v = \rho(k)\rho(X_1)\rho(k^{-1})v = \rho(k)\rho(X_1)v,$$

for $v \in V_\rho^K$. (2) follows from the equality $((\rho(X)u, v)) + ((u, \rho(X)v)) = 0$, for all $X \in \mathfrak{g}$, u and $v \in V_\rho$. (3) is clear from (1) and (2). Q.E.D.

Thus, due to Lemma 3.1, there exists an orthonormal basis $\{u_j\}_{j=1}^{d_\rho}$ of V_ρ with respect to $((,))$ such that $\{u_j\}_{j=1}^{m_\rho}$ is a basis of V_ρ^K and

$$(3.2) \quad D_1u_j = \mu_j u_j \quad (j = 1, \dots, d_\rho)$$

for some real numbers $\mu_j (j=1, \dots, d_\rho)$. For each $\rho \in \mathbf{D}(G, K)$, choose such a basis on V_ρ and let $\bar{\rho}_{ij}$ be a function in $\theta_\rho(M)$, as in 3.1 with respect to this basis. Then, for each $\rho \in \mathbf{D}(G, K)$, we have

$$(3.3) \quad \Delta_{\mathfrak{g}_1}\bar{\rho}_{ij} = (\mu_\rho + 2\delta, \mu_\rho)_0 \bar{\rho}_{ij},$$

where $\delta = \frac{1}{2} \sum_{\alpha \in \Delta^+} \alpha$ and $\mu_\rho \in D(G)$ is the highest weight for $\rho \in \mathbf{D}(G, K) \subset \mathbf{D}(G)$.

Because

$$\begin{aligned} \Delta_{\mathfrak{g}_1}\bar{\rho}_{ij} &= -\hat{\lambda}(\sum_{k=1}^n X_k^2)\bar{\rho}_{ij} && \text{(by Corollary 1),} \\ &= -\hat{\lambda}(C)\bar{\rho}_{ij}, \\ &= (\mu_\rho + 2\delta, \mu_\rho)_0 \bar{\rho}_{ij} && \text{(cf. [6]),} \end{aligned}$$

where the operator $\hat{\lambda}(C)$ is the Casimir operator (cf. [6]) of \mathfrak{g} with respect to the $\text{Ad}(G)$ -invariant inner product B on \mathfrak{g} for $\rho \in \mathbf{D}(G, K) \subset \mathbf{D}(G)$. The second equality follows from that $\bar{\rho}_{ij} \in C^\infty(M)$, the decomposition $\mathfrak{g} = \mathfrak{k} + \mathfrak{m}$ is orthogonal, and $\{X_k\}_{k=1}^n$ is an orthonormal basis of \mathfrak{m} with respect to B . Therefore we have

$$(3.4) \quad \Delta_{\mathfrak{g}_1}\bar{\rho}_{ij} = [(t^{-(n-1)} - t)(-\mu_j) + t(\mu_\rho + 2\delta, \mu_\rho)_0]\bar{\rho}_{ij},$$

due to Lemma 2.4, (3.1), (3.2) and (3.3). Also we have

$$\Delta_{\mathfrak{g}_1}\theta_\rho(M) \subset \theta_\rho(M),$$

for each $\rho \in D(G, K)$ and $0 < t < \infty$. So we put $\lambda_1(g_t, \rho)$ the least positive eigenvalue of Δ_{g_t} on $\theta_\rho(M)$ ($0 < t < \infty$). Then we have

$$(3.5) \quad \lambda_1(g_t) = \min_{\rho \in D(G, K) - \{0\}} \lambda_1(g_t, \rho),$$

by the Peter-Weyl theorem. Moreover we have

$$(3.6) \quad \lambda_1(g_t, \rho) = \min_{1 \leq j \leq m_\rho} [(t^{-(n-1)} - t)(-\mu_j) + t(\mu_\rho + 2\delta, \mu_\rho)_0],$$

by (3.4).

3.4. We will prove our Main Theorem due to the above preparations. Our claims are divided into two cases.

Case (1). $t \leq 1$, that is, $t^{-(n-1)} - t \geq 0$. In this case, we have

$$(3.7) \quad \lambda_1(g_t, \rho) = (t^{-(n-1)} - t) [\min_{1 \leq j \leq m_\rho} (-\mu_j)] + t(\mu_\rho + 2\delta, \mu_\rho)_0.$$

Case (2). $t \geq 1$, that is $t^{-(n-1)} - t \leq 0$. In this case, we have

$$(3.8) \quad \lambda_1(g_t, \rho) = (t^{-(n-1)} - t) [\max_{1 \leq j \leq m_\rho} (-\mu_j)] + t(\mu_\rho + 2\delta, \mu_\rho)_0.$$

Lemma 3.2. *We have*

$$(1) \quad \min_{1 \leq j \leq m_\rho} (-\mu_j) = \min_{v \in V_\rho^{K, \langle (v, v) \rangle = 1}} ((-D_1 v, v)), \text{ and}$$

$$(2) \quad \max_{1 \leq j \leq m_\rho} (-\mu_j) = \max_{v \in V_\rho^{K, \langle (v, v) \rangle = 1}} ((-D_1 v, v)) \\ \leq \max \{(\mu_1, \mu_1)_0; \mu \text{ is a weight of } V_\rho\},$$

where μ_1 is the restriction of $\mu \in \mathfrak{t}^*$ onto \mathfrak{m}_1 .

Proof. For $v = \sum_{j=1}^{m_\rho} x_j u_j \in V_\rho^K$ ($x_j \in \mathbf{C}$, $1 \leq j \leq m_\rho$, and $\langle (v, v) \rangle = \sum_{j=1}^{m_\rho} |x_j|^2 = 1$), we have

$$\langle (D_1 v, v) \rangle = \sum_{j=1}^{m_\rho} \mu_j |x_j|^2.$$

Then we obtain

$$\min_{1 \leq j \leq m_\rho} (-\mu_j) = \min_{\sum_{j=1}^{m_\rho} |x_j|^2 = 1} \sum_{j=1}^{m_\rho} (-\mu_j) |x_j|^2, \\ = \min_{v \in V_\rho^{K, \langle (v, v) \rangle = 1}} ((-D_1 v, v)).$$

In the same manner, we have,

$$\max_{1 \leq j \leq m_\rho} (-\mu_j) = \max_{v \in V_\rho^{K, \langle (v, v) \rangle = 1}} ((-D_1 v, v)), \\ \leq \max_{v \in V_\rho^{K, \langle (v, v) \rangle = 1}} ((-D_1 v, v)).$$

The right hand side coincides with $\max\{(\mu_1, \mu_1)_0; \mu \text{ is a weight of } V_\rho\}$. For, let $V_\rho = \sum_{\mu \in I} V_\mu$ (the decomposition of V_ρ into weight spaces). Then $\rho(H)v_\mu = \sqrt{-1}\mu(H)v_\mu$, $H \in \mathfrak{t}$, $v_\mu \in V_\mu (\mu \in I)$ and V_μ and $V_{\mu'}$ are mutually orthogonal with respect to $((,))$ if $\mu \neq \mu' (\mu, \mu' \in I)$. Due to Lemma 2.1, we have

$$D_1 v_\mu = -\mu(X_1)^2 v_\mu = -(\mu_1, \mu_1)_0 v_\mu,$$

by $B(X_1, X_1) = 1$. Lemma 3.2 is proved completely.

Now, firstly, we treat Case (1). In this case, due to the above Lemma 3.2, we have

$$(3.7)' \quad \lambda_1(g_t, \rho) = (t^{-(n-1)} - t) \min_{\substack{v \in V_\rho^{K, ((v, v))=1} \\ \rho_0(K, ((v, v))=1)}} ((-D_1 v, v)) + t(\mu_\rho + 2\delta, \mu_\rho)_0.$$

Lemma 3.3. *If the one-parameter subgroup $T_1 = \{\exp(sX_1); s \in \mathbf{R}\}$ is closed in G , then there exists an element $\rho_0 \in \mathbf{D}(G, K) - (0)$ such that $\min_{\substack{v \in V_\rho^{K, \\ \rho_0(K, ((v, v))=1)}} ((-D_1 v, v)) = 0$.*

Proof. Let $K' = \{kt; k \in K, t \in T_1\}$. Then K' is a closed Lie subgroup of G with the Lie subalgebra $\mathfrak{k} + \mathfrak{m}_1$ of \mathfrak{g} , due to the closedness of T_1 . Moreover it includes K as a closed subgroup. Let $M' = G/K'$ be a coset space of G by K' . We can apply the Peter-Weyl theorem for this coset space. Let $V_\rho^{K'} = \{v \in V_\rho; \rho(k')v = v \text{ for all } k' \in K'\}$. Then we have

$$\begin{aligned} V_\rho^{K'} &= \{v \in V_\rho^K; \rho(t)v = v \text{ for all } t \in T_1\}, \\ &= \{v \in V_\rho^K; \rho(X_1)v = 0\}. \end{aligned}$$

Since $\dim(M') \geq 1$, there exists a non-zero element ρ_0 in $\mathbf{D}(G, K')$ by the Peter-Weyl theorem. Since $\mathbf{D}(G, K') = \{\rho \in \mathbf{D}(G); V_\rho^{K'} \neq (0)\}$, we have a non-zero element ρ_0 in $\mathbf{D}(G, K)$ such that $\{v \in V_\rho^K; \rho_0(X_1)v = 0\} \neq (0)$, that is, there exists a non-zero element $v_0 \in V_\rho^K$ satisfying $\rho_0(X_1)v_0 = 0$. Then, by the definition of D_1 , we have $((-D_1 v_0, v_0)) = 0$, for $\rho_0 \in \mathbf{D}(G, K)$. Since $((-D_1 v, v)) = ((\rho_0(X_1)v, \rho_0(X_1)v)) \geq 0$ for every $v \in V_\rho^K$, we have the desired result. Q.E.D.

Due to Lemma 3.3, we obtain

$$\lambda_1(g_t) \leq \lambda_1(g_t, \rho_0) = t(\mu_{\rho_0} + 2\delta, \mu_{\rho_0})_0.$$

Thus we obtain $\lim_{t \rightarrow 0} \lambda_1(g_t) = 0$.

Case (2). $t \geq 1$, that is $(t^{-(n-1)} - t) \leq 0$. By Lemma 3.2 and (3.8), we have

$$\lambda_1(g_t, \rho) \geq (t^{-(n-1)} - t) \max\{(\mu_1, \mu_1)_0; \mu \text{ is a weight of } V_\rho\} + t(\mu_\rho + 2\delta, \mu_\rho)_0.$$

Notice that for each weight μ of V_ρ , we have

$$(\mu_1, \mu_1)_0 \leq (\mu, \mu)_0 \leq (\mu_\rho, \mu_\rho)_0,$$

(cf. [8] p. 221). The first inequality follows due to the definition of μ_1 for $\mu \in t^*$. Thus we have, for each $\rho \in \mathcal{D}(G, K)$,

$$\begin{aligned}\lambda_1(g_t, \rho) &\geq (t^{-(n-1)} - t)(\mu_\rho, \mu_\rho)_0 + t(\mu_\rho + 2\delta, \mu_\rho)_0 \\ &= t^{-(n-1)}(\mu_\rho, \mu_\rho)_0 + t(2\delta, \mu_\rho)_0 \geq t(2\delta, \mu_\rho)_0.\end{aligned}$$

Thus we have

$$\lambda_1(g_t) \geq t \min_{\rho \in \mathcal{D}(G, K) - \{0\}} (2\delta, \mu_\rho)_0 \geq t \min_{\rho \in \mathcal{D}(G) - \{0\}} (2\delta, \mu_\rho)_0.$$

By the assumption of the semi-simplicity of G , we have $\min_{\rho \in \mathcal{D}(G) - \{0\}} (2\delta, \mu_\rho)_0 > 0$.

Therefore we obtain $\lim_{t \rightarrow \infty} \lambda_1(g_t) = \infty$. Main Theorem is proved completely.

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