Decision Procedure for Modal Sentential Calculus S3

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Some trials to solve the decision problem for modal sentential calculus S3¹ have been tried by W. T. Parry, S. Halldén, A. R. Anderson and some others. That is, in 1932, W. T. Parry [8] showed that γ^* is provable in S3 if and only if γ^* is provable in S5 where γ^* is of degree at most $1^{2),3}$.

In 1950, S. Halldén [4] showed that the decision problem for S3 can be reduced to that for a new system $S7^{4}$, which enlarges S3 by adjoining $\Diamond \Diamond b$ as an axiom to S3.

It is reported⁵ that A. R. Anderson [1] solved the decision problem for S3 in 1953 using the method of von Wright [10].

The object of this paper is to give a Gentzen type decision procedure for modal sentential calculus S3.

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\S 1. Definitions of Q3 and Q^* and their equivalence.

Our formulations of Q3 and Q* are based upon "Sequenzenkalkül LK", which was constructed by G. Gentzen [3]. Namely:

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 \begin{cases} \text{logical symbols:} \\ \bullet \text{ (and), } \sim \text{ (not), } \lor \text{ (or), } \supset \text{(if } \cdots \text{, then)} \\ \text{rules of inference } (LK\text{-rules}): \end{cases} 
           weakening, contraction, exchange and cut. logical rules
                          (\rightarrow \cdot), (\rightarrow \vee), (\rightarrow \sim), (\rightarrow \supset),
                          (\cdot \rightarrow), (\vee \rightarrow), (\sim \rightarrow), (\supset \rightarrow).
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Numbers in brackets refer to the bibliography at the end of this paper.

- 1) C. I. Lewis and C. H. Langford [5].
- 2) For the definition of "a formula of degree n", see A. R. Anderson [2], p. 203.
- 3) S. Halldén [4] remarked that γ^* is provable in S2 if and only if γ^* is provable in S5 where γ^* is of degree at most 1. See M. Ohnishi and K. Matsumoto [7], p. 119.
- The decision problem for S7 has not been solved.
 Recently Prof. Anderson wrote me the essential part of his solution for the decision problem of S3, but it seems to me that his solution is incorrect. (Added in proof.)

Next, we add to LK a new logical symbol \square (necessary), and we define as follows: if α is a formula, then $\square \alpha$ is also a formula.

Giving rules for the modality symbol \square , we define Q^* and Q3 as follows:

Definition of Q^*

Rules:
$$\begin{cases} LK\text{-rules} \\ \frac{\alpha, \ \Gamma \to \Theta}{\square \alpha, \ \Gamma \to \Theta} \ (\square \to) \\ \frac{\Sigma \to \alpha}{\square \Sigma \to \square \alpha} \ (\to \square), \quad \text{where } \Sigma \text{ is non-empty.} \end{cases}$$
Axiom:
$$\alpha \dashv \beta \to \square \alpha \dashv \square \beta^{6),7}.$$

Definition of Q3

Rules:
$$\begin{cases} LK\text{-rules} \\ \frac{\alpha, \ \Gamma \to \Theta}{\square \alpha, \ \Gamma \to \Theta} \ (\square \to) \\ \frac{\Sigma \to p - \exists \ p, \ \alpha \qquad \square \Sigma \to \alpha}{\square \Sigma \to \square \alpha} \ (\to \square) \ , \end{cases}$$

where Σ is non-empty and p is a predetermined sentence-variable.

By Σ , Γ , Θ ... we mean a series of formulas as in LK. $\square \Sigma$ means the series of formulas which is formed by prefixing \square in front of each formula of Σ .

Now we shall prove the equivalence of Q^* and Q3.

$$Q* \Longrightarrow Q3$$

We have only to show the Q^* -admissibility of the rule $(\rightarrow \Box)$ of Q3. Therefore it is sufficient to show the Q^* -admissibility of

$$\frac{\beta, \ \gamma \to p - \beta p, \ \alpha \qquad \Box \beta, \ \Box \gamma \to \alpha}{\Box \beta, \ \Box \gamma \to \Box \alpha},$$

which is the special case that Σ consists of two formulas β and γ .⁸⁾ First, we shall prove the following $1^{\circ} \sim 3^{\circ}$:

⁶⁾ Without this axiom Q^* becomes Q2. See M. Ohnishi and K. Matsumoto [6].

⁷⁾ $\alpha - \beta \beta$ is the abbreviation of $\Box (\alpha \supset \beta)$.

⁸⁾ In case that Σ consists of n formulas $\alpha_1, \alpha_2, \dots, \alpha_n$, we can prove this using the Q^* -provability of $\Box \alpha_1 \cdots \Box \alpha_n \stackrel{>}{\sim} \Box (\alpha_1 \cdots \alpha_n)$ and $\alpha_1, \alpha_2, \dots, \alpha_n \rightarrow \alpha_1 \cdot \alpha_2 \cdot \dots \cdot \alpha_n$.

Then we have the following proof-figure which was to be desired:

$$Q3 \Longrightarrow Q^*$$

 1°

We have only to show the Q3-admissibility of the rule $(\rightarrow \Box)$ of Q^* and the Q3-provability of the axiom for Q^* .

1°
$$\frac{\Sigma \to \alpha}{\Sigma \to p \to p, \alpha} \frac{\Sigma \to \alpha}{\square \Sigma \to \alpha} (\square \to)$$

$$2° \frac{p \to p}{\neg p \to p}$$

$$\frac{\alpha \to p \to p}{\square \alpha \to p \to p} (1°) \frac{\alpha \to \alpha}{\alpha \to \alpha, \beta} \frac{\beta \to \beta}{\alpha, \beta \to \beta}$$

$$\frac{\square \alpha \to p \to p, \square \beta}{\neg \alpha \to p \to p, \square \alpha \to \square \beta} \frac{\alpha, \alpha \to \beta \to \beta}{\alpha, \alpha \to \beta \to \square \beta} (1°)$$

$$\frac{\alpha \to \beta \to p \to p, \square \alpha \to \square \beta}{\alpha \to \beta \to p \to p, \square \alpha \to \square \beta} \frac{\alpha \to \alpha}{\alpha \to \beta \to \square \alpha \to \square \beta}$$

$\S 2$. Hauptsatz for Q3.

We shall prove in this \S the following Hauptsatz (cut-elimination theorem) for Q3.

Hauptsatz (Cut-Elimination Theorem). Any Q3-proof-figure can be transformed into a Q3-proof-figure with the same endsequent and without any cut as a rule of inference.

The proof is treated along the line of G. Gentzen [3].

We replace cut-rule by mix (Mischung)-rule as in Gentzen. Then, we have only to prove the following

Lemma: Any proof-figure which has a mix-rule only as its lowest rule and does not include this rule elsewhere, can be transformed into a proof-figure which has the same endsequent and has no mix at all.

Grade (Grad) and rank being the same as in LK, the proof of our lemma can be treated by the induction on rank and grade.

The cases which are to be added to the proof for LK are the following:

(1) When $\rho=2$, and the outermost symbol of the mix-formula is \square , the mix has the following form:

$$\frac{\Sigma \to p \to p, \ \alpha \qquad \square\Sigma \to \alpha}{\square\Sigma \to \square\alpha} \ (\to \square) \qquad \frac{\alpha, \ \Gamma \to \Theta}{\square\alpha, \ \Gamma \to \Theta} \ (\square \to)$$

$$\square\Sigma, \ \Gamma \to \Theta \qquad (\text{mix of } \square\alpha)$$

$$(\Gamma \text{ does not contain } \square\alpha).$$

We transform this into:

$$\frac{\square\Sigma \to \alpha \qquad \alpha, \ \Gamma \to \Theta}{\square\Sigma, \ \Gamma^* \to \Theta} \text{ (mix of } \alpha)$$

$$\frac{\square\Sigma, \ \Gamma \to \Theta}{\square\Sigma, \ \Gamma \to \Theta}$$

This shows that we can omit the mix from the assumption of the induction, as the grade of the mix formula is decreased by 1.

(2) When $\rho > 2$, and the left rank $\rho_l = 1$ and the upper sequent on the left side of mix is the lower sequent of the rules of \square , we have to treat the following four cases:

$$(2-1) \quad \frac{\Sigma \to p - \exists \ p, \ \alpha \qquad \square \Sigma \to \alpha}{\square \Sigma \to \square \alpha} \quad (\to \square) \quad \frac{\alpha, \ \square \alpha, \ \Gamma \to \Theta}{\square \alpha, \ \square \alpha, \ \Gamma \to \Theta} \quad (\square \to)$$

$$\square \Sigma, \ \Gamma^* \to \Theta \quad (\text{mix of } \square \alpha)$$

We transform this into:

This shows that we can omit two mixes from the assumption of the induction, as the rank and the grade of the mix formulas are decreased by 1 respectively.

$$\frac{\Sigma \to p - \beta p, \ \alpha \qquad \square \Sigma \to \alpha}{\square \Sigma \to \square \alpha} (\to \square) \qquad \frac{\beta, \ \square \alpha, \ \Gamma \to \Theta}{\square \beta, \ \square \alpha, \ \Gamma \to \Theta} (\square \to) \\
\underline{\square \Sigma \to \square \alpha} \qquad (\longrightarrow) \qquad (\text{mix of } \square \alpha)$$

where $\alpha \neq \beta$.

We transform this into:

$$\begin{cases} \text{ when } & \beta \neq \square \alpha \\ & \frac{\square \Sigma \to \square \alpha \qquad \beta, \ \square \alpha, \ \Gamma \to \Theta}{\square \Sigma, \ \beta, \ \Gamma^* \to \Theta} \ (\text{mix of } \square \alpha) \\ & \frac{\square \Sigma, \ \beta, \ \Gamma^* \to \Theta}{\square \Sigma, \ \square \beta, \ \Gamma^* \to \Theta} \ (\square \to) \end{cases}$$

$$\text{when } & \beta = \square \alpha \\ & \frac{\square \Sigma \to \square \alpha \qquad \beta, \ \square \alpha, \ \Gamma \to \Theta}{\square \Sigma, \ \Gamma^* \to \Theta} \ (\text{mix of } \square \alpha) \\ & \frac{\square \Sigma, \ \Gamma^* \to \Theta}{\square \beta, \ \square \Sigma, \ \Gamma^* \to \Theta} \end{cases}$$

This shows that we can omit the mix from the assumption of the induction, as the rank of the mix formula is decreased by 1.

$$(2-3)$$

$$\frac{\Gamma \to p \, \exists \, p, \, \alpha \quad \Box \Gamma \to \alpha}{\Box \Gamma \to \Box \alpha} \, (\to \Box) \quad \frac{\alpha, \, \Sigma \to p \, \exists \, p, \, \beta \quad \Box \alpha, \, \Box \Sigma \to \beta}{\Box \alpha, \, \Box \Sigma \to \Box \beta} \, (\to \Box)$$

$$\Box \Gamma, \, (\Box \Sigma)^* \to \Box \beta \quad (\text{mix of } \Box \alpha)$$

We transform this into:

$$\frac{\Gamma \to p \,\exists\, p,\, \alpha \qquad \alpha,\, \Sigma \to p \,\exists\, p,\, \beta}{\Gamma,\, \Sigma^\dagger \to p \,\exists\, p,\, p \,\exists\, p,\, \beta} \text{ (mix of } \frac{\Gamma,\, \Sigma^\dagger \to p \,\exists\, p,\, p \,\exists\, p,\, \beta}{\alpha} \text{ (mix of } \frac{\Gamma,\, \Sigma^\dagger \to p \,\exists\, p,\, \beta}{\Gamma,\, (\square\Sigma)^* \to \square\beta} \text{ (mix of } \frac{\Gamma,\, (\square\Sigma)^* \to \beta}{\square\Gamma,\, (\square\Sigma)^* \to \square\beta} \text{ (one of } \frac{\Gamma,\, (\square\Sigma)^* \to \beta}{\square\Gamma} \text{ (one of } \frac{\Gamma,\, (\square\Sigma)^* \to \beta}$$

because of $\square(\Sigma^{\dagger}) = (\square \Sigma)^*$.

This shows that we can omit the mix from the assumption of the induction, as the rank of the mix formula of the right mix is decreased

by 1 and the grade of the mix formula of the left mix is decreased by 1.

When the upper sequent on the left side of mix is the lower sequent of the rules of LK, we have only to treat the following:

$$(2-4) \quad \begin{array}{c|c} \Sigma \to p - \beta p, \ \alpha & \square \Sigma \to \alpha \\ \hline \square \Sigma \to \square \alpha & (\to \square) & \frac{\square \alpha, \ \Delta' \to \Lambda'}{\square \alpha, \ \Delta \to \Lambda} \ P_f \\ \hline \square \Sigma, \ \Delta^* \to \Lambda & (\text{mix of } \square \alpha) \\ \hline (P_f \text{ is any one of } LK\text{-rules}). \end{array}$$

We transform this into:

$$\frac{\square\Sigma \to \square\alpha \qquad \square\alpha, \ \Delta' \to \Lambda'}{\square\Sigma, \ \Delta'^* \to \Lambda'} \text{ (mix of } \square\alpha)$$

$$\frac{\square\Sigma, \ \Delta'^* \to \Lambda'}{\square\Sigma, \ \Delta^* \to \Lambda} P_f$$

This shows that we can omit the mix from the assumption of the induction, as the rank of the mix formula is decreased by 1.

Remark: In case that P_f is a weakening rule and $\square \alpha$ is a weakening formula and is not included in Δ , we can easily derive the desired sequent from the upper sequent of P_f .

(3) When $\rho > 2$, and the left rank $\rho_I > 1$, and the upper sequent on the left side of mix is the lower sequent of the rules of \square , we have to treat the following two cases:

(3-1)
$$\frac{\alpha, \ \Gamma \to \Theta}{\square \alpha, \ \Gamma \to \Theta} \ (\square \to) \qquad \Sigma \to \Pi \\ \hline \square \alpha, \ \Gamma, \ \Sigma^* \to \Theta^*, \ \Pi$$
 (mix of μ)

We transform this into:

$$\frac{\alpha, \ \Gamma \to \Theta \qquad \qquad \Sigma \to \Pi}{\alpha, \ \Gamma, \ \Sigma^* \to \Theta^*, \ \Pi} \ (\text{mix of } \mu)$$

$$\frac{\alpha, \ \Gamma, \ \Sigma^* \to \Theta^*, \ \Pi}{\square \alpha, \ \Gamma, \ \Sigma^* \to \Theta^*, \ \Pi} \ (\square \to)$$

This shows that we can omit the mix from the assumption of the induction, as the rank of the mix formula is decreased by 1.

(3-2) When the left upper sequent of the mix is the lower sequent of $(\rightarrow \Box)$ and a principal formula of this $(\rightarrow \Box)$ is $p \dashv p$, a part of the proof-figure is as follows:

$$\frac{\Sigma \to p \, \exists \, p, \ p > p \qquad \Box \Sigma \to p > p}{\Box \Sigma \to p \, \exists \, p} \ (\to \Box) \qquad \frac{}{p \, \exists \, p, \ \Delta \to \Lambda} \ P_f$$

$$(\text{mix of } p \, \exists \, p)$$

When P_f represents any one of LK-rules, ($\square \rightarrow$) or ($\rightarrow \square$), a part of the proof-figure and its transformation is what we can obtain by replacing α in (2-4), (2-1), (2-2), (1) or (2-3) by p > p.

Thus we can also omit the mix from the assumption of the induction in the case of (3-2).

$\S 3$. Reduction of S3 to Q^* .

In this §, we shall treat the formulation⁹⁾ of S3 by L. Simons [9]. That is,

Axioms:

 $H1: \alpha \dashv \alpha \cdot \alpha$,

 $H2: \alpha \cdot \beta \dashv \beta$,

 $H3: ((\gamma \cdot \alpha) \cdot \sim (\beta \cdot \gamma)) \dashv (\alpha \cdot \sim \beta)$

 $H4: \Box \alpha \supset \alpha$.

 $H5: \sim \alpha \rightarrow \sim \square \alpha$

 $H6: \sim \alpha \dashv \sim \beta : \dashv : \square \beta \dashv \square \alpha$.

Rule: Detachment for material implication.

Now we shall prove the following

Theorem. γ is provable in S3 if and only if $p \rightarrow \gamma$ is provable in Q^* .

Proof.

(Necessity) Suppose that γ is provable in S3.

- 1° Let γ be an axiom. If γ is any one of the axioms except H4, then, as the outermost symbol of γ is \dashv , we write simply γ' the formula which we get by replacing the outermost symbol \dashv of γ by \supset . As $p \supset p \rightarrow \gamma'$ is provable in Q^* , $p \dashv p \rightarrow \gamma$ is also provable in Q^* . If γ is an axiom of H4, Q^* -provability of $p \dashv p \rightarrow \square \alpha \supset \alpha$ is clear.
 - 2° Let γ be the result of detachment for material implication.

We have only to show the Q^* -provability of $p \dashv p \rightarrow \gamma$ assuming the Q^* -provabilities of $p \dashv p \rightarrow \alpha$ and $p \dashv p \rightarrow \alpha \supset \gamma$. The proof is as follows:

$$\begin{array}{ccc}
p - \beta p \rightarrow \alpha & p - \beta p \rightarrow \alpha \supset \gamma & \alpha \rightarrow \alpha & \gamma \rightarrow \gamma \\
p - \beta p \rightarrow \alpha, \alpha \supset \gamma & \alpha, \alpha \supset \gamma \rightarrow \gamma \\
\hline
p - \beta p \rightarrow \gamma
\end{array}$$

(Sufficiency) We can prove the following

Lemma: If $\rightarrow \alpha$ is provable in Q^* , then $\square \alpha$ is provable in S3.

⁹⁾ L. Simons [9] adopts the symbol ◊ as a primitive modal symbol.

	Now in order to prove this lemma, we assume that a Q^* -property -prove that Q^* -prove the second secon	roof-figure
of	$\rightarrow \alpha$ without cut is given. Let an S3-formula $\alpha \cdot \beta \dashv \gamma \vee \delta$	correspond
to	a Q^* -sequent $\alpha, \beta \rightarrow \gamma, \delta, \Box \gamma$ to $\rightarrow \gamma$, and $\Box \sim \beta$ to $\beta \rightarrow$.	

Then, following this correspondence, $\alpha \dashv \beta \rightarrow \Box \alpha \dashv \Box \beta$ is transformed into $\alpha \dashv \beta \dashv \Box \beta$. $\Box \alpha \dashv \Box \beta$ which is clearly provable in S3.

Now it is sufficient to show the S3-provability of the corresponding formula to the lower sequent, assuming the S3-provability of corresponding formula to the upper sequent for each rule of inference in Q^* .

But most of these trials can be carried out without difficulty. Therefore we shall treat here only the rule $(\rightarrow \Box)$. Let $\alpha \cdot \beta \dashv \gamma$, which corresponds to the upper sequent α , $\beta \rightarrow \gamma$, be provable in S3. Then using the S3-provable formulas $\alpha \cdot \beta \dashv \gamma : \exists : \Box(\alpha \cdot \beta) \dashv \Box \gamma$ and $\Box(\alpha \cdot \beta) = .\Box \alpha \cdot \Box \beta$, we can obtain that $\Box \alpha \cdot \Box \beta \dashv \Box \gamma$, which corresponds to the lower sequent $\Box \alpha$, $\Box \beta \rightarrow \Box \gamma$, is provable in S3. See M. Ohnishi and K. Matsumoto [6], pp. 121-122. (Proof of Proposition 2°).

Now assuming that $p \rightarrow \gamma$ is provable in Q^* , $p \rightarrow p$. $\beta \cdot \gamma$ is provable in S3 by this lemma. Therefore γ is provable in S3.

§4. Decision procedure for S3.

In this \S , we shall modify the definition of "subformula of γ " as follows: We define a "quasi-subformula" of γ as an ordinary subformula of γ or p, p > p or p = p, where p is the sentence-variable which appears in the rule $(\rightarrow \square)$.

Then Q3 has the "quasi-subformula property". This means that the reduced sequent, of which sequent-formulas are all quasi-subformulas of γ , are finite in number. Therefore we can solve the decision problem for Q3 in the analogous way to LK decision procedure by G. Gentzen [3].

Now suppose that ξ is an arbitrary S3-formula. Then we have only to examine the decidability of $p \rightarrow \xi$ in Q3.

Thus we can give a decision procedure for modal sentential calculus S3.

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¹⁰⁾ For example, the quasi-subformulas of $\Box q \dashv q$ are q, $\Box q$, $\Box q \supset q$, $\Box q \dashv q$, p, $p \supset p$ and $p \dashv p$, where q is a sentence-variable.

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