

## CURVES IN PROJECTIVE SPACES AND THEIR INDEX OF REGULARITY

EDOARDO BALLICO

(Received August 27, 2004, revised April 20, 2005)

### Abstract

For all integers  $n \geq 3$  we show the existence of many triples  $(d, g, \rho)$  such that there is a smooth non-degenerate curve  $C \subset \mathbf{P}^n$  with degree  $d$ , genus  $g$  and index of regularity  $\rho$ . The curve  $C$  lies in a smooth  $K3$  surface  $S \subset \mathbf{P}^n$ .

### 1. Index of regularity

Let  $C \subset \mathbf{P}^n$  be a curve, i.e. a locally Cohen-Macaulay pure one-dimensional closed subscheme. Set  $\rho(C) := \min\{t : h^1(\mathbf{P}^n, \mathcal{I}_C(x)) = 0 \text{ for every } x \geq t\}$ . We will call  $\rho(C)$  the index of regularity of  $C$ . Since the old works of Castelnuovo, the integer  $\rho(C)$  is considered a fundamental invariant of  $C$  ([5], [2]). In all cases we will consider in this paper we will have  $h^1(C, \mathcal{O}_C(\rho - 1)) = 0$  and hence by Castelnuovo-Mumford lemma in this case the integer  $\rho(C)$  will be also the regularity index of the minimal free resolution of  $C$  ([2]): another very good reason to consider it a fundamental invariant of  $C$ . Thus for any fixed integer  $n \geq 3$  it seems nice to show the existence of many triples  $(d, g, \rho)$  such that there is a smooth non-degenerate curve  $C \subset \mathbf{P}^n$  with degree  $d$ , genus  $g$  and index of regularity  $\rho$ . A weaker, but very important problem, classical problems is to find at least “almost all” pairs  $(d, g)$  that may appear as (degree, genus) of a smooth non-degenerate curve  $C \subset \mathbf{P}^n$ . For this classical problem (when  $n = 3$ ) S. Mori used a  $K3$  surface ([4]). Later, A.L. Knutsen extended Mori’s idea to the case  $n \geq 4$ . Using Knutsen’s paper it was possible to construct curves  $C$  such that certain cohomology groups  $h^1(\mathbf{P}^n, \mathcal{I}_C(x))$  vanish ([1]). Here we adapt the proofs in [1] to get results on the index of regularity.

**Theorem 1.** *Fix integers  $d, g, n$  such that  $n \geq 3$  and  $0 \leq d - n < g < d^2/(4n - 4) - (n - 1)/4$ . Set  $r := \lfloor (d - \sqrt{d^2 - (4n - 4)g})/(2n - 2) \rfloor$ ,  $d_0 := d - (2n - 2)r$  and  $g_0 := (n - 1)r^2 - dr + g$ . Then  $r \geq 1$  and  $0 \leq g_0 \leq d_0 - n$ . There is a smooth and arithmetically Cohen-Macaulay degree  $2n - 2$   $K3$  surface  $S \subset \mathbf{P}^n$  with the following properties. Set  $H := \mathcal{O}_S(1)$ . There is a smooth and connected curve  $C_0 \subset S$  such that  $\deg(C_0) = d_0$ ,  $p_a(C_0) = g_0$ ,  $h^1(C_0, \mathcal{O}_{C_0}(1)) = 0$ ,  $h^0(S, \mathcal{O}_S(H - C_0)) = h^0(S, \mathcal{O}_S(C_0 - H)) = 0$ ,  $\text{Pic}(S)$*

---

2000 Mathematics Subject Classification. Primary 14H50; Secondary 14N50.  
The author was partially supported by MIUR and GNSAGA of INdAM (Italy).

is freely generated by the classes of  $H$  and  $C_0$ , and the general element of  $|C_0 + rH|$  is a smooth and connected non-degenerate curve with degree  $d$  and genus  $g$ . We have  $e(C_0) = 0$  if  $g_0 > 0$  and  $e(C_0) = -1$  if  $g_0 = 0$ . Take any  $C \in |C_0 + rH|$ . Then  $\rho(C) = \rho(C_0) + r$  and  $e(C) = e(C_0) + r$ .  $C$  is arithmetically normal if and only if  $C_0$  is projectively normal and this is the case if and only if  $d_0 = g_0 + n$  and  $h^1(\mathbf{P}^n, \mathcal{I}_{C_0}(2)) = 0$ . If  $d_0 = g_0 + n$  and  $n > g_0$ , then  $C_0$  is projectively normal.

REMARK 1. Use the notation of Theorem 1. The existence of  $S$  was proved in [1], proof of Th.1.4. By [1], Th.1.4, we have  $h^1(\mathbf{P}^n, \mathcal{I}_C(r+1)) = d_0 - g_0 - n$  and  $h^1(\mathbf{P}^n, \mathcal{I}_C(t)) = 0$  for every integer  $t$  such that  $0 \leq t \leq r$ .

By Theorem 1 the computation of the index of regularity  $\rho(C)$  of  $C$  is reduced to the computation of the integer  $\rho(C_0)$ . Since  $d \gg d_0$ , the following remark may be useful.

REMARK 2. Let  $C \subset \mathbf{P}^n$  be an integral degree  $d$  non-degenerate curve. If  $m = 2$ , then  $\rho(C) = 0$ . However, if  $m = 2$ , then  $h^1(C, \mathcal{O}_C(t)) = 0$  if and only if  $t \geq d - 2$ . Now assume  $m \geq 3$ . By [2] we have  $\rho(C) \leq d + 1 - m$  and  $\rho(C) = d + 1 - m$  if and only if  $C$  is smooth and rational and either  $d \leq m + 1$  or  $d \geq m + 2$  and  $C$  has a  $(d + 2 - m)$ -secant line. Furthermore,  $h^1(C, \mathcal{O}_C(z)) = 0$  for all  $z \geq d - m$ .

We work over an algebraically closed field  $\mathbb{K}$  such that  $\text{char}(\mathbb{K}) = 0$ .

Proof of Theorem 1. The existence of the pair  $(S, C_0)$  was checked in [1], proof of Th.1.4 (see in particular the last two lines of that proof for the critical condition  $h^1(C_0, \mathcal{O}_{C_0}(1)) = 0$ ). Since  $h^1(C_0, \mathcal{O}_{C_0}(1)) = 0$ , we have  $e(C_0) \leq 0$ . Hence  $e(C_0) = 0$  if  $g_0 > 0$  and  $e(C_0) \in \{-2, -1\}$  if  $g_0 = 0$ . Since  $h^0(S, \mathcal{O}_S(H - C_0)) = 0$ ,  $C_0$  is not a line and hence  $e(C_0) = -1$  if  $g_0 = 0$ . The construction of the pair  $(S, C_0)$  used in an essential way the construction of many curves in suitable  $K3$  surfaces due to S. Mori ([4]) for  $n = 3$  and to A.L. Knutsen ([3]) for arbitrary  $n$ . Fix an integer  $a \geq 0$  and any  $T \in |C_0 + aH|$ . For all integers  $t$  we have the following exact sequence

$$(1) \quad 0 \rightarrow \mathcal{O}_S((t-a)H - C_0) \rightarrow \mathcal{O}_S(tH) \rightarrow \mathcal{O}_T(t) \rightarrow 0$$

If  $t \geq a$  and  $(t, a) \neq (0, 0)$ , then  $h^1(S, \mathcal{O}_S(tH)) = h^2(S, \mathcal{O}_S(tH)) = 0$  and hence  $h^1(T, \mathcal{O}_T(t)) = h^2(S, \mathcal{O}_S((t-a)H - C_0)) = h^0(S, \mathcal{O}_S(C_0 + (a-t)H))$ . From this relation for  $a = 0$  and  $a = r$  we get  $e(C) = e(T) = e(C_0) + r$ . Since this relation is obvious for  $r = 0$ , we do not need the case  $(t, a) = (0, 0)$ . By [1], Th.1.4, (its proof does not require the smoothness of  $C$ ) we have  $h^1(\mathbf{P}^n, \mathcal{I}_T(t)) = 0$  if  $0 \leq t \leq r$  and  $h^1(\mathbf{P}^n, \mathcal{I}_T(r+1)) = d_0 - g_0 - n$ . Now assume  $a \in \{0, r\}$  and take an arbitrary integer  $t \geq r + 2$ . Since  $S$  is projectively normal,  $h^1(\mathbf{P}^n, \mathcal{I}_T(t)) = h^1(S, \mathcal{O}_S((t-a)H - C_0))$ . Hence  $\rho(C) = \rho(C_0) + r$ . The projective normality of a degree  $d_0$  linearly normal em-

bedding of  $C_0$  if  $d_0 \geq 2g_0 + 1$  was proved by D. Mumford ([5], Cor. at p.55).  $\square$

REMARK 3. The proof of Theorem 1 shows that  $\rho(C)$  is the minimal integer  $t$  such that  $h^1(S, \mathcal{O}_S((t - r - 1)H - C_0)) \neq 0$ .

---

### References

- [1] E. Ballico, N. Chiarli and S. Greco: *On the existence of  $k$ -normal curves of given degree and genus in projective spaces*, Collect. Math. **55** (2004), 269–277.
- [2] L. Gruson, R. Lazarsfeld and C. Peskine: *On a theorem of Castenuovo, and the equations defining space curves*, Invent. Math. **72** (1983), 491–506.
- [3] A.L. Knutsen: *Smooth curves on projective  $K3$  surfaces*, Math. Scand. **90** (2000), 215–231.
- [4] S. Mori: *On degree and genera of curves on smooth quartic surfaces in  $\mathbf{P}^3$* , Nagoya Math. J. **96** (1984), 127–132.
- [5] D. Mumford: *Varieties defined by quadratic equations*; in Questions on Algebraic Varieties, Cremonese, Rome, 1970, 30–100.

Dept. of Mathematics  
University of Trento  
38050 Povo (TN), Italy  
e-mail: ballico@science.unitn.it