

**SOME IDENTITIES ON THE CHARACTER SUM  
 CONTAINING  $\mathbf{x}(\mathbf{x}-1)(\mathbf{x}-\lambda)$**

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Let  $F_p$  be the prime field of characteristic  $p$  ( $p$ : an odd prime), and put  $F'_p = F_p - \{0, 1\}$ . Then for  $\lambda \in F'_p$  we define

$$a_p(\lambda) = - \sum_{x \in F_p} \left( \frac{x(x-1)(x-\lambda)}{p} \right),$$

where  $\left( \frac{*}{p} \right)$  denotes the Legendre symbol, and consider the sum

$$S_m(\lambda) = \sum_{\lambda \in F'_p} a_p(\lambda)^m.$$

The purpose of this note is to prove the following:

**THEOREM.**

$$S_2(p) = p^2 - 2p - 3,$$

$$S_4(p) = 2p^3 - 4p^2 - 9p - 3 - b_p,$$

$$S_6(p) = 5p^4 - 10p^3 - 27p^2 - 15p - 3 - 5pb_p - 2c_p,$$

where  $b_p$  and  $c_p$  are obtained from

$$q \prod_{n=1}^{\infty} (1 - q^{2n})^{12} = \sum_{n=1}^{\infty} b_n q^n,$$

$$q \prod_{n=1}^{\infty} (1 - q^n)^8 (1 - q^{2n})^8 = \sum_{n=1}^{\infty} c_n q^n.$$

The sum  $S_m(p)$  is analogous to the sum considered by Birch [1]. We note that  $b_p$  and  $c_p$  are the eigen-values of Hecke operators acting on the space of cusp forms of weight 6 or 8 respectively, with respect to the elliptic modular group  $\Gamma_0(4)$  (or  $\Gamma(2)$ ), and the meaning of the above theorem is that the eigen-value of Hecke operators of higher weight appears in the

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congruence zeta function of a certain variety which have been found by Sato firstly.

### 1. The proof of the theorem

1.1. For  $\lambda \in \mathbf{F}'_p$ , let  $E_\lambda$  be an elliptic curve defined by the affine coordinate as follows with identity element  $(\infty, \infty)$  as an additive group.

$$E_\lambda: y^2 = x(x-1)(x-\lambda),$$

then it is well known that the order  $N_p(\lambda)$  of the group of  $\mathbf{F}_p$ -rational points is  $N_p(\lambda) = 1 + p - a_p(\lambda)$  and since  $(0, 0)$ ,  $(1, 0)$ ,  $(\lambda, 0)$  and  $(\infty, \infty)$  are all points of order 2 on  $E_\lambda$ , which are rational over  $\mathbf{F}_p$ .

$$N_p(\lambda) \equiv 0 \pmod{4} \text{ or } a_p(\lambda) \equiv 1 + p \pmod{4}.$$

For a moment, take  $\lambda' \in \overline{\mathbf{F}}_p$ : the algebraic closure of  $\mathbf{F}_p$ , and define  $S = \{\lambda' \in \mathbf{F}_p | E_{\lambda'} \text{ is a super-singular elliptic curve}\} = \left\{ \lambda' \in \mathbf{F}_p | \sum_{i=0}^{\frac{p-1}{2}} \binom{\frac{p-1}{2}}{i}^2 \lambda'^i = 0 \right\}$ .

1.2. For  $\lambda \in \mathbf{F}'_p - S$ , the endomorphism ring  $\mathcal{A}(E_\lambda) = \mathcal{O}_\lambda$  is an order in the imaginary quadratic field  $K = \mathbf{Q}(\sqrt{a_p(\lambda)^2 - 4p})$ , and contains an order of discriminant  $a_p(\lambda)^2 - 4p$ , since  $a_p(\lambda)$  is the trace of the  $p$ -th power endomorphism  $\pi_\lambda$  which satisfies the equation  $X^2 - a_p(\lambda)X + p = 0$ .

LEMMA. Assume the discriminant of  $\mathcal{O}_\lambda$  is  $(a_p(\lambda)^2 - 4p)f^{-2}$  then  $f \equiv \text{mod } 2$ . Conversely, for an order  $\mathcal{O}$  of discriminant  $(s^2 - 4p)f^{-2}$  with  $s \equiv 1 + p \pmod{4}$  and  $f \equiv 0 \pmod{2}$ , there exists  $\lambda \in \mathbf{F}'_p - S$  such that  $\mathcal{A}(E_\lambda) = \mathcal{O}$ .

*Proof.* Let  $\lambda(z)$  be a modular function for the principal congruence subgroup  $\Gamma(2)$  of level 2 defined by  $\lambda(z) = (e_1 + 2e_3)(e_3 - e_1)^{-1}$  where  $e_1 = \mathcal{P}\left(\frac{1}{2}; z, 1\right)$ ,  $e_3 = \mathcal{P}\left(\frac{z}{2}; z, 1\right)$ , and let  $\tau$  be an element of imaginary quadratic field  $K = \mathbf{Q}(\sqrt{s^2 - 4p})$  with  $s \equiv p + 1 \pmod{4}$ , and denote the discriminant  $D(\tau)$  of  $\tau$  by  $D(\tau) = (s^2 - 4p)f^{-2}$ , then  $K(\lambda(\tau))$  generates a ring class field over  $K$ . There exists  $\pi \in K$  such that  $p = \pi \cdot \pi'$  ( $\pi'$ : the conjugate of  $\pi$ ), and we see  $\pi$  decomposes completely in  $K(\lambda(\tau))$  if and only if  $f \equiv 0 \pmod{2}$ , because the corresponding ideal group  $H$  for  $K(\lambda(\tau))$  is  $H = \{\alpha \in \mathcal{O}_0 | \alpha = 1 + 2\alpha + 2m\beta, a \in \mathbf{Z}, \beta \in \mathcal{O}_0\}$ , where  $\mathcal{O}_0$  is the maximal order in  $K$  and  $s^2 - 4p = f^2 m^2 d$  ( $d$ : the discriminant of  $\mathcal{O}_0$ ), and we see easily that  $\pi \in H$  if and only if  $f \equiv 0 \pmod{2}$ . Let  $E_{\lambda(\tau)}$  be an elliptic curve defined by  $y^2 = x(x-1)(x-\lambda(\tau))$ ,

if  $f \equiv 0 \pmod 2$ , then, for a prime ideal  $\mathfrak{P}|\pi$  in  $K(\lambda(\tau))$ , whose absolute norm is  $p$ , the reduction mod  $\mathfrak{P}$  of  $E_{\lambda(\tau)}$  defines an elliptic curve  $E_\lambda(\lambda \in \mathbf{F}'_p - S)$ , by the isomorphism  $\mathcal{O}_0/\mathfrak{P} \cong \mathbf{F}_p$  and  $\mathcal{A}(E_{\lambda(\tau)}) = \mathcal{A}(E_\lambda)$ , hence the discriminant of  $\mathcal{A}(E_\lambda)$  is  $(s^2 - 4p)f^{-2}$ . If  $f$  is odd, the reduction mod  $\mathfrak{P}$  of  $E_{\lambda(\tau)}$  does not define an elliptic curve  $E_\lambda(\lambda \in \mathbf{F}'_p - S)$ , since the degree of  $\mathfrak{P}$  is greater than 1 hence  $\mathcal{O}_0/\mathfrak{P} \not\cong \mathbf{F}_p$ . This completes the proof of the lemma.

**1.3.** For an order  $\mathcal{O}$  as the lemma in **1.2**, there are  $6 \cdot \frac{h((s^2 - 4p)f^{-2})}{w((s^2 - 4p)f^{-2})}$  distinct  $\lambda \in \mathbf{F}'_p - S$  such that  $\mathcal{A}(E_\lambda) = \mathcal{O}$ , For  $\lambda^{\pm 1}$ ,  $(1 - \lambda)^{\pm 1}$ ,  $(\lambda^{-1}(\lambda - 1))^{\pm 1}$  give the same absolute invariant  $j = 2^8(\lambda^2 - \lambda + 1)^3/\lambda^2(1 - \lambda)^2$ , and for a fixed  $j \in \mathbf{F}_p$  there exist precisely  $h((s^2 - 4p)f^{-2})$  elliptic curves with the same endomorphism ring  $\mathcal{O}$ , where  $h(D)$  and  $w(D)$  denote the class number of an order  $\mathcal{O}$  of discriminant  $D$  and a half of the number of units in  $\mathcal{O}$ , respectively.

**1.4.** We see that

$$6 \cdot \frac{h(D)}{w(D)} = \begin{cases} 3h(4D) & , \text{ if } D \equiv 0 \pmod{4} \\ 2h(4D) & , \text{ if } D \equiv 5 \pmod{8} \\ 3h(4D) + 3h(D), & \text{ if } D \equiv 1 \pmod{8}, \end{cases}$$

hence we obtain

$$6 \cdot \sum_1 \frac{h((s^2 - 4p)f^{-2})}{w((s^2 - 4p)f^{-2})} = \sum_2 \frac{\delta((s^2 - 4p)f^{-2})}{2} \left( 1 + \left\{ \frac{(s^2 - 4p)f^{-2}}{2} \right\} \right) \\ \times \left\{ \frac{(s^2 - 4p)f^{-2}}{2} \right\} h((s^2 - 4p)f^{-2}),$$

where  $\sum_1$  runs over all  $s, f$  with  $s \equiv p + 1 \pmod 4$   $|s| < 2\sqrt{p}$  and with  $f \equiv 0 \pmod 2$   $f > 0$ ,  $\sum_2$  runs over all  $s, f$  with  $|s| < 2\sqrt{p}$  and with  $(s^2 - 4p)^{-2} \equiv 0, 1 \pmod 4$   $f > 0$ ,  $\delta(D) = 2$  or  $3$  according as  $D/4 \equiv 5 \pmod 8$  or not, and  $\left\{ \frac{D}{2} \right\} = 1$  or  $\left( \frac{D}{2} \right)$  according as  $D/4 \equiv 0, 1 \pmod 4$  or not.

**1.5.** Now we shall prove the theorem. First

$$S_2(p) = \sum_{x, y, \lambda \in \mathbf{F}_p} \left( \frac{x(x-1)(x-\lambda)}{p} \right) \left( \frac{y(y-1)(y-\lambda)}{p} \right) - 2 \\ = \sum_{x, y \in \mathbf{F}_p} \left( \frac{x(x-1)y(y-1)}{p} \right) \cdot \sum_{\lambda \in \mathbf{F}_p} \left( \frac{(\lambda-x)(\lambda-y)}{p} \right) - 2.$$

By decomposing the above sum into two parts with  $x = y$  and  $x \neq y$ , we see easily  $S_2(p) = p^2 - 2p - 3$ . As for the sum  $S_4(p)$ ,

$$\begin{aligned} S_4(p) &= \sum_{\lambda \in \mathbf{F}'_p} a_p(\lambda)^4 = \frac{1}{2} \sum_{|s| < 2\sqrt{p}} s^4 \cdot \sum'_{\mathcal{A}_0(E_\lambda) = \mathbf{Q}(\sqrt{s^2 - 4p})} \cdot 1 \\ &= \frac{1}{2} \sum_{|s| < 2\sqrt{p}} s^4 \cdot \sum_2 \frac{\delta(D)}{2} \cdot \left(1 + \left\{\frac{D}{2}\right\}\right) \left\{\frac{D}{2}\right\} h(D) \end{aligned}$$

where the sum  $\sum'$  denotes the number of elliptic curves  $E_\lambda$  for which the discriminant of  $\mathcal{A}(E_\lambda)$  is  $(s^2 - 4p)f^{-2}$ , and other notations are the same as in 1.4 with  $D = (s^2 - 4p)f^{-2}$ . By the trace formula of Hecke operators for  $\Gamma_0(4)$  obtained in [3], we see

$$b_p = -\frac{1}{2} \sum_2 \frac{\delta(D)}{2} \left(1 + \left\{\frac{D}{2}\right\}\right) \left\{\frac{D}{2}\right\} h(D) \cdot \frac{\rho^5 - \rho'^5}{\rho - \rho'} - 3,$$

where  $\rho, \rho'$  are the roots of  $x^2 - sx + p = 0$ .

Hence  $\frac{\rho^5 - \rho'^5}{\rho - \rho'} = s^4 - 3ps^2 + p^2$ .

Therefore

$$\begin{aligned} S_4(p) &= -b_p - 3 + 3pS_2(p) - p^2(p \sim 2) \\ &= 2p^3 - 4p^2 - 9p - 3 - b_p. \end{aligned}$$

For the sum  $S_6(p)$ , this can be proved similarly so we may omit it. Hence this completes our proof of the theorem.

## 2. Some corollaries

2.1 For the set  $S$  defined in 1.1,  $\#S = \frac{p-1}{2}$ , ( $\#$  denotes the cardinality of the set) and we know  $S \cap \mathbf{F}_p = \{\lambda \in \mathbf{F}'_p \mid a_p(\lambda) = 0\}$ .

COROLLARY 1.

$$\#(S \cap \mathbf{F}_p) = \begin{cases} 0 & , \text{ if } p \equiv 1 \pmod{4} \\ 3h(-p), & \text{ if } p \equiv 3 \pmod{4}, \end{cases}$$

where  $h(-p)$  denotes the class number of  $\mathbf{Q}(\sqrt{-p})$ .

*Proof.* By 1.3 and 1.4, we obtain

$$\#(\mathbf{F}'_p - S) = \frac{1}{2} \sum_{s \neq 0} \frac{\delta(D)}{2} \left(1 + \left\{\frac{D}{2}\right\}\right) \left\{\frac{D}{2}\right\} h(D),$$

hence  $p - 2 = \#\mathbf{F}'_p = \frac{1}{2} \sum_{s \neq 0} \frac{\delta(D)}{2} \left(1 + \left\{\frac{D}{2}\right\}\right) \left\{\frac{D}{2}\right\} h(D) + \#(S \cap \mathbf{F}_p)$  and by

the trace formula of Hecke operators for  $\Gamma_0(4)$  with weight 2, we have

$$p - 2 = \frac{1}{2} \sum_{s \neq 0} \frac{\delta(D)}{2} \left( 1 + \left\{ \frac{D}{2} \right\} \right) \left\{ \frac{D}{2} \right\} h(D) + h',$$

where

$$h' = \begin{cases} 0 & , \text{ if } p \equiv 1 \pmod{4} \\ \frac{3}{2} h(-4p) + \frac{3}{2} h(-p) = 3h(-p), & \text{ if } p \equiv 7 \pmod{8} \\ h(-4p) = 3h(-p) & , \text{ if } p \equiv 3 \pmod{8}. \end{cases}$$

This completes the proof.

**2.2** By **1.1**,  $a_p(\lambda) \equiv 1 + p \pmod{4}$ , hence  $a_p(\lambda)^4 \equiv (1 + p)^4 \pmod{2^8}$  therefore  $S_4(p) \equiv (p-2)(p+1)^4 \pmod{2^8}$ . According to our theorem for  $S_4(p)$ ,  $b_p$  satisfies the following congruence property;

**COROLLARY 2.**

$$-b_p \equiv p^5 + 1 + 2p(p^3 + 1) - 4p^2(p + 1) \pmod{2^8}$$

or in other words,

$$b_p \equiv p^5 + 1 \pmod{2^8}.$$

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