

ON THE HOPF FIBRATION OVER \mathbf{Z}

TAKASHI ONO

§ 1. Statement of the result

Let $h: \mathbf{R}^4 \rightarrow \mathbf{R}^3$ be a quadratic map defined by

$$h(x) = (x_1^2 + x_2^2 - x_3^2 - x_4^2, 2(x_2x_3 - x_1x_4), 2(x_1x_3 + x_2x_4)).$$

For a natural number t , put

$$\begin{aligned} S^3(t) &= \{x \in \mathbf{R}^4, x_1^2 + x_2^2 + x_3^2 + x_4^2 = t\}, \\ S^2(t) &= \{y \in \mathbf{R}^3, y_1^2 + y_2^2 + y_3^2 = t\}. \end{aligned}$$

Then h induces a map

$$h_t: S^3(t) \rightarrow S^2(t^2).$$

Since everything is defined over \mathbf{Z} , h_t induces the map

$$h_{t,\mathbf{Z}}: S^3(t)_{\mathbf{Z}} \rightarrow S^2(t^2)_{\mathbf{Z}}.$$

Because of the presence of 2 in the last two coordinates of $h(x)$, $h_{t,\mathbf{Z}}$ is actually a map

$$h_{t,\mathbf{Z}}: S^3(t)_{\mathbf{Z}} \rightarrow S^2(t^2)_{\mathbf{Z}}^{\text{even}},$$

where

$$S^2(t^2)_{\mathbf{Z}}^{\text{even}} = \{y \in S^2(t^2)_{\mathbf{Z}}, y_2, y_3 \text{ are even}\}.$$

To each $y \in S^2(t^2)_{\mathbf{Z}}^{\text{even}}$ we shall associate two numbers as follows. First, we denote by $a(y)$ the number of $x \in S^3(t)_{\mathbf{Z}}$ such that $h_{t,\mathbf{Z}}(x) = y$. Next, we denote by d_y the greatest common divisor of the four integers $\frac{1}{2}(t + y_1)$, $\frac{1}{2}(t - y_1)$, $\frac{1}{2}y_2$, $\frac{1}{2}y_3$. On the other hand, for a natural number n , denote by $r(n)$ the number of integral solutions (X, Y) of the equation $X^2 + Y^2 = n$. It is well known that

$$r(n) = 4(d_1(n) - d_3(n))$$

where $d_1(n)$ and $d_3(n)$ are the numbers of divisors of n of the form $4m + 1$ and $4m + 3$ respectively.

The purpose of the present paper is to prove the relation:

$$(1.1) \quad a(y) = r(\Delta_y), \quad y \in S^2(t^2)_{\mathbb{Z}}^{\text{even}}.$$

As the readers notice, (1.1) reflects the fact that each fibre of h_t is a circle.

§ 2. Change of the fibration

Let H be the classical quaternion algebra over \mathbf{R} with the quaternion units $1, i, j, k$, with the relations $i^2 = j^2 = -1, k = ij = -ji$. We shall make the following natural identifications:

$$\begin{aligned} C &= \mathbf{R} + \mathbf{R}i = \mathbf{R}^2, & H &= C + Cj = C^2 = \mathbf{R}^4, \\ Z[i] &= Z + Zi = Z^2, & H_Z &= Z[i] + Z[i]j = Z[i]^2 = Z^4. \end{aligned}$$

As usual, for each $z = x + yj \in H, x, y \in C$, we write its conjugate, trace and norm by $\bar{z} = \bar{x} - yj, \text{Tr } z = \bar{z} + z$ and $Nz = \bar{z}z$, respectively. In working with H , we shall mean by \mathbf{R}^3 the subspace $\mathbf{R}i + \mathbf{R}j + \mathbf{R}k = \mathbf{R}i + Cj$. This space is known as the space of pure quaternions and is characterized as the set of all $z \in H$ such that $\text{Tr } z = 0$.

For $z \in H$, put

$$(2.1) \quad h(z) = \bar{z}iz.$$

Since $\text{Tr}(h(z)) = 0$, h is a map: $\mathbf{R}^4 \rightarrow \mathbf{R}^3$. A simple calculation shows that

$$(2.2) \quad \begin{aligned} h(z) &= (Nx - Ny)i + 2\bar{x}yk \\ &= (x_0^2 + x_1^2 - y_0^2 - y_1^2)i + 2(x_1y_0 - x_0y_1)j + 2(x_0y_0 + x_1y_1)k, \end{aligned}$$

where $z = x + yj, x = x_0 + x_1i, y = y_0 + y_1i, x_0, x_1, y_0, y_1 \in \mathbf{R}$. Hence the map (2.1) coincides with the map h introduced in § 1.

For $t > 0$, put

$$S^3(t) = \{z \in \mathbf{R}^4, Nz = t\}, \quad S^2(t) = \{w \in \mathbf{R}^3, Nw = t\}.$$

Since $N(h(z)) = (Nz)^2$ by (2.1), h induces a map

$$h_t: S^3(t) \rightarrow S^2(t^2).$$

When t is a natural number, put

$$S^3(t)_Z = S^3(t) \cap Z^4, \quad S^2(t)_Z = S^2(t) \cap Z^3.$$

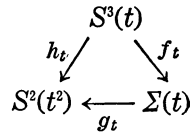
Then, h_t induces a map

$$h_{t,Z}: S^3(t)_Z \rightarrow S^2(t^2)_Z.$$

Our problem is to determine the image and the fibres of the map $h_{t,Z}$. To do this, it is convenient to replace the map h_t by a map f_t in the following way. Namely, put

$$\Sigma(t) = \{\sigma = (\alpha, \beta, \gamma), \alpha, \beta \in \mathbf{R}, \gamma \in \mathbf{C}, \alpha + \beta = t, N\gamma = \alpha\beta\},$$

and $f_t(z) = (Nx, Ny, i\bar{x}y)$ for $z = x + yj \in S^3(t)$.



Since $Nx + Ny = Nz = t$ and $N(i\bar{x}y) = (Nx)(Ny)$, f_t is a map $S^3(t) \rightarrow \Sigma(t)$. Next, put

$$g_t(\sigma) = (\alpha - \beta)i + 2\gamma j, \quad \text{for } \sigma = (\alpha, \beta, \gamma) \in \Sigma(t).$$

Since $N(g_t(\sigma)) = (\alpha - \beta)^2 + N(2\gamma) = (\alpha - \beta)^2 + 4\alpha\beta = (\alpha + \beta)^2 = t^2$, g_t is a map $\Sigma(t) \rightarrow S^2(t^2)$. If $g_t(\sigma) = g_t(\sigma')$ with $\sigma' = (\alpha', \beta', \gamma')$, then $\alpha - \beta = \alpha' - \beta'$ and $\gamma = \gamma'$. Since $\alpha + \beta = \alpha' + \beta' = t$, we see that g_t is injective. For any $w = ui + vj \in S^2(t^2)$, we have $w = g_t(\sigma)$ with

$$(2.3) \quad \sigma = (\tfrac{1}{2}(t + u), \tfrac{1}{2}(t - u), \tfrac{1}{2}v).$$

Hence g_t is surjective, and so bijective. Finally, it follows from (2.2) that $g_t(f_t(z)) = g_t(Nx, Ny, i\bar{x}y) = (Nx - Ny)i + 2i\bar{x}y j = (Nx - Ny)i + 2\bar{x}y k = h_t(z)$, the commutativity of the diagram.

Now, for a natural number t , put

$$\Sigma(t)_Z = \Sigma(t) \cap (Z^2 + Z[i]).$$

Then, f_t, g_t induce maps

$$f_{t,Z}: S^3(t)_Z \rightarrow \Sigma(t)_Z, \quad g_{t,Z}: \Sigma(t)_Z \rightarrow S^2(t^2)_Z,$$

respectively such that $g_{t,Z} f_{t,Z} = h_{t,Z}$. If $w = ui + vj \in S^2(t^2)_Z$ is in the image of $g_{t,Z}$, v must be a multiple of 2 in $Z[i]$ and, since $Nw = u^2 + Nv$

$= t^2$, both $t + u$ and $t - u$ must be even. In view of (2.3), we see that $g_{t,z}$ is a bijection between $\Sigma(t)_Z$ and the set $S^2(t^2)_Z^{\text{even}} = \{w = ui + vj \in S^2(t^2)_Z, 2|v\}$. Hence, to study the map $h_{t,z}$ is equivalent to study the map $f_{t,z}$.

$$\begin{array}{ccc} & S^3(t)_Z & \\ h_{t,z} \swarrow & & \searrow f_{t,z} \\ S^2(t^2)_Z^{\text{even}} & \xleftarrow{g_{t,z}} & \Sigma(t)_Z \end{array}$$

§ 3. Existence of solutions

Notation being as in § 2, we shall determine for what $\sigma \in \Sigma(t)_Z$ the equation $f_{t,z}(z) = \sigma$ has a solution $z \in S^3(t)_Z$. In the following, we shall put $\sigma = (\alpha, \beta, \gamma)$, $\alpha, \beta \in Z$, $\gamma = \gamma_0 + \gamma_1 i \in Z[i]$, $\gamma_0, \gamma_1 \in Z$. We shall first examine some special cases.

Case 1. $\gamma = 0$.

In this case, the relations $\alpha + \beta = t$ and $0 = N\gamma = \alpha\beta$ imply that either $\alpha = 0$, $\beta = t$ or $\alpha = t$, $\beta = 0$, i.e. $\sigma = (0, t, 0)$ or $(t, 0, 0)$. Hence $z = x + yj$ is a solution of $f_{t,z}(z) = \sigma$ if and only if either $z = yj$, $Ny = t$ or $z = x$, $Nx = t$. Therefore it follows that

$$(3.1) \quad f_{t,z}^{-1}(\sigma) \neq \emptyset \Leftrightarrow t \in N(Z[i]).$$

Case 2. $\gamma \neq 0$ and $(\gamma_0, \gamma_1) = 1$.

Assumptions imply that $\alpha, \beta \geq 1$. Since $\alpha\beta = N\gamma = \gamma_0^2 + \gamma_1^2$, we have $(\gamma_1, \alpha) = 1$. Therefore, there are two integers r, s such that $\gamma_0 = r\gamma_1 + s\alpha$. Put $I = Z\alpha + Z(r + i)$. We claim that I is an ideal. It is enough to show that $i\alpha, i(r + i) \in I$. Firstly, $i\alpha = -r\alpha + (r + i)\alpha \in I$. Secondly, we have

$$\alpha\beta = N\gamma = \gamma_0^2 + \gamma_1^2 = (r\gamma_1 + s\alpha)^2 + \gamma_1^2 = (1 + r^2)\gamma_1 + 2rs\gamma_1\alpha + s^2\alpha^2,$$

and so $(1 + r^2)\gamma_1 = \alpha(\beta - 2rs\gamma_1 - s^2\alpha)$. Since $(\gamma_1, \alpha) = 1$, α must divide $1 + r^2$: write $1 + r^2 = \alpha\alpha'$. Then, we have

$$i(r + i) = ir - 1 = r(r + i) - \alpha\alpha' \in I,$$

which shows that I is an ideal. Since $Z[i]$ is a principal ideal ring, there is an $x \in Z[i]$ such that $I = (x)$. Hence $Nx = N\bar{x} = NI = \alpha$. Since $\gamma = \gamma_0 + \gamma_1 i = (r\gamma_1 + s\alpha) + \gamma_1 i = (r + i)\gamma_1 + s\alpha \in I$, we can find $y \in Z[i]$ such that $\gamma = i\bar{x}y$. Then the relation $N\gamma = \alpha\beta$ implies that $Ny = \beta$. If

we put $z = x + yj$, then we have $f_{t,z}(z) = (Nx, Ny, i\bar{x}y) = (\alpha, \beta, \gamma) = \sigma$. Hence $f_{t,z}^{-1}(\sigma) \neq \emptyset$ in this case.

Case 3. $\gamma \neq 0$ and $(\alpha, \beta, \gamma_0, \gamma_1) = 1$.

Put $(\gamma_0, \gamma_1) = d_0, (d_0, \alpha) = d_1$. Hence we have $\gamma_0 = d_0\gamma'_0, \gamma_1 = d_0\gamma'_1$ with $(\gamma'_0, \gamma'_1) = 1$ and $d_0 = d_1d_0^*, \alpha = d_1\alpha^*$ with $(\alpha^*, d_0^*) = 1$. From

$$d_1\alpha^*\beta = \alpha\beta = N\gamma = \gamma_0^2 + \gamma_1^2 = d_1^2d_0^{*2}(\gamma_0'^2 + \gamma_1'^2)$$

we get

$$(3.2) \quad \alpha^*\beta = d_1d_0^{*2}(\gamma_0'^2 + \gamma_1'^2).$$

Since d_1 divides $\alpha, \gamma_0, \gamma_1$ and $(\alpha, \beta, \gamma_0, \gamma_1) = 1$, we have $(d_1, \beta) = 1$ and hence d_1 divides $\alpha^* : \alpha^* = d_1\alpha'$. On the other hand, since $(\alpha^*, d_0^*) = 1, d_0^{*2}$ divides $\beta : \beta = d_0^{*2}\beta'$. Then (3.2) implies that

$$\alpha'\beta' = N\gamma', \quad \gamma' = \gamma'_0 + \gamma'_1i, \quad (\gamma'_0, \gamma'_1) = 1.$$

Hence, by the argument in Case 2 one can find $x', y' \in \mathbf{Z}[i]$ such that $Nx' = \alpha', Ny' = \beta', \gamma' = i\bar{x}'y'$. Put $x = d_1x', y = d_0^*y'$. Then, we have $Nx = d_1^2Nx' = d_1^2\alpha' = d_1(d_1\alpha') = d_1\alpha^* = \alpha, Ny = d_0^{*2}Ny' = d_0^{*2}\beta' = \beta, i\bar{x}y = id_1d_0^*\bar{x}'y' = d_1d_0^*\gamma' = d_0\gamma' = \gamma$. Hence we still have $f_{t,z}^{-1}(\sigma) \neq \emptyset$ in this case.

We are now ready to prove the following criterion for the existence of solutions. For $\sigma = (\alpha, \beta, \gamma) \in \Sigma(t)_{\mathbf{Z}}$, put $\Delta_\sigma = (\alpha, \beta, \gamma_0, \gamma_1)$ where $\gamma = \gamma_0 + \gamma_1i$. Then we have

$$(3.3) \quad f_{t,z}^{-1}(\sigma) \neq \emptyset \Leftrightarrow \Delta_\sigma \in N(\mathbf{Z}[i]).$$

Proof. When $\gamma = 0$, we have $\Delta_\sigma = (\alpha, \beta) = t$ and the assertion is nothing but (3.1). Hence, from now on, we shall assume that $\gamma \neq 0$. (\Rightarrow) Take $z = x + yj \in S^3(t)_{\mathbf{Z}}$ such that $f_t(z) = \sigma$. Thus we have $\alpha = Nx, \beta = Ny, \gamma = i\bar{x}y$. Put $\alpha = \Delta_\sigma\alpha', \beta = \Delta_\sigma\beta', \gamma_0 = \Delta_\sigma\gamma'_0, \gamma_1 = \Delta_\sigma\gamma'_1$. Then, by the argument in Case 3, there are $x', y' \in \mathbf{Z}[i]$ such that $Nx' = \alpha', Ny' = \beta', \gamma' = i\bar{x}'y'$, where $\gamma' = \gamma'_0 + \gamma'_1i$. Since $\alpha = \Delta_\sigma\alpha'$, we have $Nx = \Delta_\sigma Nx'$, i.e. $\Delta_\sigma = N(x/x')$. Then we have $\Delta_\sigma = N\delta, \delta \in \mathbf{Z}[i]$, e.g. by the lemma of Davenport-Cassels applied to the binary form $X^2 + Y^2$.*)

(\Leftarrow) Let x', y' be as in the proof of (\Rightarrow). By the assumption, there is a number $\delta \in \mathbf{Z}[i]$ such that $\Delta_\sigma = N\delta$. Put $x = \delta x', y = \delta y'$. Then, $Nx = \Delta_\sigma Nx' = \Delta_\sigma\alpha' = \alpha, Ny = \Delta_\sigma Ny' = \Delta_\sigma\beta' = \beta, i\bar{x}y = i\bar{\delta}\bar{x}'\delta y' = \Delta_\sigma\gamma' = \gamma$. Hence, we have $f_{t,z}(z) = \sigma$ with $z = x + yj$, q.e.d.

Translating (3.3) in terms of $h_{t,z}$, we obtain the following criterion.

*) See, e. g. J-P. Serre, Cours d'arithmétique, Paris, 1970, p. 80.

Notation being as in § 2, for $w = ui + vj \in S^2(t^2)_{\mathbf{Z}}$, $u \in \mathbf{Z}$, $v = v_0 + v_1 i \in \mathbf{Z}[i]$, we have

$$(3.4) \quad h_{i,\mathbf{Z}}^{-1}(w) \neq \emptyset \Leftrightarrow 2|v \quad \text{and} \quad \Delta_w \in N(\mathbf{Z}[i]),$$

where $\Delta_w = (\frac{1}{2}(t+u), \frac{1}{2}(t-u), \frac{1}{2}v_0, \frac{1}{2}v_1)$.

§ 4. Number of solutions

For a finite set F , we denote by $\text{Card } F$ the number of elements in it. Thus $r(n) = \text{Card} \{(x, y) \in \mathbf{Z}^2, x^2 + y^2 = n\}$. Using notations in § 2, § 3, one restates the proposition (1.1) as

$$(4.1) \quad \text{Card}(h_{i,\mathbf{Z}}^{-1}(w)) = r(\Delta_w) \quad \text{for any } w \in S^2(t^2)_{\mathbf{Z}}^{\text{even}}.$$

Translating (4.1) in terms of $f_{t,\mathbf{Z}}$, we are reduced to prove that

$$(4.2) \quad \text{Card}(f_{i,\mathbf{Z}}^{-1}(\sigma)) = r(\Delta_\sigma) \quad \text{for any } \sigma \in \Sigma(t)_{\mathbf{Z}}.$$

Proof. Put, as before, $\sigma = (\alpha, \beta, \gamma)$. In case $\gamma = 0$, since $\Delta_\sigma = t$, (4.2) follows from the argument in § 3, Case 1. Hence, from now on, we shall assume that $\gamma \neq 0$. Since we already have the criterion (3.3), it is enough to consider the case where $f_{i,\mathbf{Z}}^{-1}(\sigma) \neq \emptyset$. So, take a point $z = x + yj \in f_{i,\mathbf{Z}}^{-1}(\sigma)$ and call I_z the ideal in $\mathbf{Z}[i]$ generated by x and $y: I_z = \mathbf{Z}[i]x + \mathbf{Z}[i]y$. Let $z' = x' + y'j$ be another point in the same fibre as z . We want to compare I_z and $I_{z'}$. Since $f_{t,\mathbf{Z}}(z) = f_{t,\mathbf{Z}}(z')$, we have $Nx = Nx'$, $Ny = Ny'$, $\bar{x}y = \bar{x}'y'$. From these relations, we see that there is an element $\rho \in \mathcal{Q}(i)$ with $N\rho = 1$ such that $x' = \rho x$, $y' = \rho y$. It then follows that $I_{z'} = \rho I_z$ and so $NI_{z'} = NI_z = n_\sigma$, a natural number depending only on $\sigma \in \Sigma(t)_{\mathbf{Z}}$. For a natural number n , Put:

$$\Theta(n) = \{\theta \in \mathbf{Z}[i], N\theta = n\}.$$

Hence we have $\text{Card}(\Theta(n_\sigma)) = r(n_\sigma)$. We shall show that there is a bijection between $f_{i,\mathbf{Z}}^{-1}(\sigma)$ and $\Theta(n_\sigma)$. To do this, fix a point $\zeta = \xi + \eta j \in f_{i,\mathbf{Z}}^{-1}(\sigma)$ and, for any $z = x + yj \in f_{i,\mathbf{Z}}^{-1}(\sigma)$, denote by ρ_z the element in $\mathcal{Q}(i)$ with $N\rho_z = 1$ such that $x = \rho_z \xi$, $y = \rho_z \eta$. Since $\mathbf{Z}[i]$ is a principal ideal ring, there is an element $\omega \in \mathbf{Z}[i]$ such that $I_\zeta = (\omega)$. Put

$$T(z) = \omega \rho_z, \quad z \in f_{i,\mathbf{Z}}^{-1}(\sigma).$$

We claim that T is the bijection we are looking for. First of all, write $\omega = \lambda \xi + \mu \eta$, $\lambda, \mu \in \mathbf{Z}[i]$. Then, $T(z) = \omega \rho_z = \lambda \xi \rho_z + \mu \eta \rho_z = \lambda x + \mu y \in \mathbf{Z}[i]$

and $N(T(z)) = N\omega = NI_{\zeta} = n_{\sigma}$, which shows that T maps $f_{i,Z}^{-1}(\sigma)$ into $\Theta(n_{\sigma})$. Next, assume that $T(z) = T(z')$. Then, we have $\rho_z = \rho_{z'}$ and hence $x = x', y = y'$, i.e. $z = z'$. To see that T is surjective, take any $\theta \in \Theta(n_{\sigma})$ and put $x = \theta\omega^{-1}\xi, y = \theta\omega^{-1}\eta, z = x + jy$. Since $I_{\zeta} = (\omega)$, we have $\xi = a\omega, \eta = b\omega$ with $a, b \in \mathbf{Z}[i]$. It follows that $x = \theta a$ and $y = \theta b$ both belong to $\mathbf{Z}[i]$. Now, since $Nx = N(\theta)n_{\sigma}^{-1}N\xi = N\xi = \alpha, Ny = N(\theta)n_{\sigma}^{-1}N\eta = N\eta = \beta$, we have $Nz = Nx + Ny = \alpha + \beta = t$, i.e. $z \in S^3(t)_{\mathbf{Z}}$. Furthermore, we have $i\bar{x}y = i\bar{\theta}\bar{\omega}^{-1}\bar{\xi}\theta\omega^{-1} = iN(\theta)n_{\sigma}^{-1}\bar{\xi}\eta = i\bar{\xi}\eta = \gamma$, which shows that $z \in f_{i,Z}^{-1}(\sigma)$. Finally, since $x = \theta\omega^{-1}\xi, y = \theta\omega^{-1}\eta$, we have $\rho_z = \theta\omega^{-1}$ and so $T(z) = \rho_z\omega = \theta$, which completes the proof of the surjectivity of T . In order to complete the proof of (4.2), we must show that

$$(4.3) \quad n_{\sigma} = \Delta_{\sigma} \quad \text{whenever } f_{i,Z}^{-1}(\sigma) \neq \emptyset.$$

First, observe that $I_{\zeta}\bar{I}_{\zeta} = (n_{\sigma})$ and so $n_{\sigma} = (\xi\bar{\xi}, \eta\bar{\eta}, \xi\bar{\eta} + \bar{\xi}\eta) = (\alpha, \beta, 2\gamma_1)$. From the relation $\alpha\beta = \gamma_0^2 + \gamma_1^2$, one sees easily that n_{σ} and Δ_{σ} contain each odd prime p with the same exponent. Hence, it remains to examine the exponent of 2. Denote by $\nu_2(a)$ the exponent of 2 in an integer a . Since we obviously have $\nu_2(\Delta_{\sigma}) \leq \nu_2(n_{\sigma})$, it is enough to show that $\nu_2(n_{\sigma}) \leq \nu_2(\Delta_{\sigma})$. Hence, we may assume that $\nu_2(n_{\sigma}) \geq 1$. Put $e = \nu_2(n_{\sigma})$ and write $\alpha = 2^e\alpha^*, \beta = 2^e\beta^*, \gamma_1 = 2^{e-1}\gamma_1^*$ and $\gamma_0 = 2^f\gamma_0^*$ with $(2, \gamma_0^*) = 1$. We have then $2^{2e}\alpha^*\beta^* = 2^{2f}\gamma_0^{*2} + 2^{2(e-1)}\gamma_1^{*2}$, or $2^{2f}\gamma_0^{*2} = 2^{2(e-1)}(4\alpha^*\beta^* - \gamma_1^{*2})$. If γ_1^* were odd, we must have $f = e - 1$, and then $4\alpha^*\beta^* = \gamma_0^{*2} + \gamma_1^{*2}$, which is impossible because both of γ_0^*, γ_1^* are odd. Therefore, γ_1^* must be even and so we have $e \leq \inf(\nu_2(\gamma_1), \nu_2(\gamma_0))$, which implies that $\nu_2(n_{\sigma}) \leq \nu_2(\Delta_{\sigma})$, q.e.d.

The Johns Hopkins University