

## DIFFEOMORPHISMS WITH PSEUDO ORBIT TRACING PROPERTY

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We shall discuss a differentiable invariant that arises when we consider a class of diffeomorphisms having the pseudo orbit tracing property (abbrev. POTP).

Let  $M$  be a closed  $C^\infty$  manifold and  $\text{Diff}^1(M)$  be the space of diffeomorphisms of  $M$  endowed with the  $C^1$  topology. We denote  $\mathcal{P}^1(M)$  the  $C^1$  interior of the set of all diffeomorphisms having POTP belonging to  $\text{Diff}^1(M)$ . Recently Aoki [1] proved that the  $C^1$  interior of the set of all diffeomorphisms whose periodic points are hyperbolic,  $\mathcal{F}^1(M)$ , is characterized as Axiom A diffeomorphisms with no cycle. After this Moriyasu [8] showed that  $\mathcal{P}^1(M) \subset \mathcal{F}^1(M)$  and if  $\dim M = 2$  then every  $f \in \mathcal{P}^1(M)$  satisfies strong transversality.

In this paper the following two theorems will be proved.

**THEOREM A.** *There exists a closed  $C^\infty$  3-manifold  $M$  such that set of all diffeomorphisms having POTP is not dense in  $\text{Diff}^1(M)$ .*

The Theorem answers to a problem stated in Morimoto [7].

**THEOREM B.** *If  $M$  is a closed  $C^\infty$  3-manifold, then  $\mathcal{P}^1(M)$  is characterized as Axiom A diffeomorphisms satisfying strong transversality.*

A diffeomorphism  $f$  of  $M$  is *quasi-Anosov* if the fact that  $\|Df^n(v)\|$  is bounded for all  $n \in \mathbf{Z}$  implies that  $v = 0$ . Theorem A is easily obtained in combining with Franks and Robinson [2] and Sakai [12]. The set of all quasi-Anosov diffeomorphisms belonging to  $\text{Diff}^1(M)$ ,  $\text{QA}^1(M)$ , is open and  $\text{QA}^1(M) \subset \mathcal{F}^1(M)$ . It is easy to see that when  $\dim M = 2$ , every  $f \in \text{QA}^1(M)$  is Anosov (see [5]). However an example of a diffeomorphism  $f'$  on the connected sum  $M'$  of two 3-tori that is quasi-Anosov but not Anosov was given in Franks and Robinson [2]. Since  $f'$  is  $\mathcal{Q}$ -stable, there is  $C^1$  neighborhood  $\mathcal{U}$  of  $f'$  in  $\text{Diff}^1(M')$  such that every  $g \in \mathcal{U}$  is quasi-Anosov but not Anosov. Thus, by [12] every  $g \in \mathcal{U}$  cannot have POTP,

and so Theorem A is proved.

Before beginning the proof of Theorem B we give some notations and definitions.

Let  $(X, d)$  be a compact metric space, and let  $f : X \rightarrow X$  be a homeomorphism. A sequence of points  $\{x_i\}_{i=a}^{b-1}$  ( $-\infty \leq a < b \leq \infty$ ) in  $X$  is called a  $\delta$ -pseudo orbit of  $f$  if  $d(f(x_i), x_{i+1}) < \delta$  for  $a \leq i \leq b-1$ . Given  $\varepsilon > 0$  a sequence of points  $\{x_i\}_{i=a}^b$  is said to be  $f$ - $\varepsilon$ -traced by a point  $x \in X$  if  $d(f^i(x), x_i) < \varepsilon$  for  $a \leq i \leq b$ . We say that  $f$  has the *pseudo orbit tracing property* (abbrev. POTP) if for  $\varepsilon > 0$  there is  $\delta > 0$  such that every  $\delta$ -pseudo orbit for  $f$  can be  $f$ - $\varepsilon$ -traced by some point of  $X$ . For compact spaces the notions stated above are independent of compatible metrics used. It is easy to see that if  $f$  has POTP then the *non-wandering set*  $\Omega(f)$  coincides with the *chain recurrent set*  $R(f)$  for  $f$ , where  $R(f)$  is the set of  $x \in X$  such that for every  $\delta > 0$ , there is a  $\delta$ -pseudo orbit of  $f$  from  $x$  to  $x$  (see [11]). For  $x \in X$  and  $\varepsilon > 0$  the *local stable* and *unstable sets* are defined by

$$W_\varepsilon^s(y, f) = \{x \in X : d(f^n(x), f^n(y)) \leq \varepsilon \text{ for all } n \geq 0\},$$

$$W_\varepsilon^u(x, f) = \{y \in X : d(f^{-n}(x), f^{-n}(y)) \leq \varepsilon \text{ for all } n \geq 0\}.$$

Suppose that  $f$  has POTP. Then it is checked that for every  $\varepsilon > 0$ , there is  $0 < \delta < \varepsilon/2$  such that if  $d(x, y) < \delta$  ( $x, y \in X$ ) then

$$(1) \quad W_\varepsilon^s(x, f) \cap W_\varepsilon^u(y, f) \neq \emptyset.$$

Let  $M$  be as before and denote by  $d$  a Riemannian metric on  $M$ . Then for a hyperbolic set  $\Lambda$  of  $f \in \text{Diff}^1(M)$  and for  $x \in \Lambda$  the *stable* and *unstable manifolds* are defined by

$$W^s(x, f) = \{y \in M : d(f^n(y), f^n(x)) \rightarrow 0 \text{ as } n \rightarrow \infty\}.$$

$$W^u(x, f) = \{y \in M : d(f^{-n}(y), f^{-n}(x)) \rightarrow 0 \text{ as } n \rightarrow \infty\}.$$

When  $\Lambda$  can be written as the finite disjoint union  $\Lambda = \Lambda_1 \cup \cdots \cup \Lambda_\ell$  of closed invariant sets  $\Lambda_i$  such that each of  $f|_{\Lambda_i}$  is topologically transitive. Such a set  $\Lambda_1$  is called a *basic set* with respect to  $\Lambda$ . The *stable set*  $W^s(\Lambda_i, f)$  and *unstable set*  $W^u(\Lambda_i, f)$  are defined by

$$W^s(\Lambda_i, f) = \{y \in M : d(f^n(y), \Lambda_i) \rightarrow 0 \text{ as } n \rightarrow \infty\}.$$

$$W^u(\Lambda_i, f) = \{y \in M : d(f^{-n}(y), \Lambda_i) \rightarrow 0 \text{ as } n \rightarrow \infty\}.$$

Then  $W^\sigma(\Lambda_i, f) = \bigcup \{W^\sigma(x, f) : x \in \Lambda_i\}$  for  $\sigma = s, u$ . If  $\varepsilon > 0$  is small

enough, then for  $x \in \Lambda$  the local stable and unstable sets,  $W_\varepsilon^\sigma(x, f)$  ( $\sigma = s, u$ ), are  $C^1$  disks tangent to certain subspaces  $E^s(x)$  and  $E^u(x)$ , respectively, such that  $x T_x M = E^s(x) \oplus E^u(x)$ . Moreover there exists  $0 < \lambda < 1$  such that

$$(2) \quad \begin{cases} d(f^n(y), f^n(z)) \leq \lambda^n d(y, z) \text{ for } y, z \in W_\varepsilon^s(x, f) \text{ and } n \geq 0. \\ d(f^{-n}(y), f^{-n}(z)) \leq \lambda^n d(y, z) \text{ for } y, z \in W_\varepsilon^u(x, f) \text{ and } n \geq 0 \end{cases}$$

(see Hirsch and Pugh [3]). Thus  $W_\varepsilon^\sigma(x, f) \subset W^\sigma(x, f)$  for  $x \in \Lambda$  ( $\sigma = s, u$ ) and

$$\begin{aligned} W^s(x, f) &= \bigcup_{n \geq 0} f^{-n}(W_\varepsilon^s(f^n(x), f)), \\ W^u(x, f) &= \bigcup_{n \geq 0} f^n(W_\varepsilon^u(f^{-n}(x), f)). \end{aligned}$$

We denote  $W^\sigma(x, f)$  by  $W^\sigma(x)$  ( $\sigma = s, u$ ) if there is no confusion.

If  $f$  is Axiom A diffeomorphism then we have  $M = \bigcup (W^\sigma(x) : x \in \Omega(f))$  for  $\sigma = s, u$  and  $\Omega(f)$  is expressed as the union  $\Omega(f) = \Lambda_1 \cup \dots \cup \Lambda_\ell$  of disjoint basic sets for  $f$ . Such union is called the *spectral decomposition* for  $f$ . We say that  $f$  has a *cycle* if there is a subsequence  $\{\Lambda_{i_j}\}_{j=1}^{s+1}$  ( $2 \leq s \leq \ell$ ) of  $\{\Lambda_i\}_{i=1}^\ell$  such that  $W^u(\Lambda_{i_j}) \cap W^s(\Lambda_{i_{j+1}}) \neq \emptyset$  ( $1 \leq j \leq s$ ) and  $\Lambda_{i_{s+1}} = \Lambda_{i_1}$ . We say that  $f$  satisfies the *strong transversality condition* if for all  $x, y \in \Omega(f)$ ,  $W^s(x)$  and  $W^u(y)$  meet transversely. Remark that  $W^s(\Lambda_i) \cap W^u(\Lambda_i) = \Lambda_i$  for  $1 \leq i \leq \ell$ .

Now to obtain the conclusion of Theorem B it is enough to see that every  $f \in \mathcal{P}^1(M)$  satisfies strong transversality because  $f$  satisfies Axiom A as stated above.

Let  $f \in \mathcal{P}^1(M)$  and  $x \in M$ . Then it was proved in [8] that if  $0 < \dim W^\sigma(x) < \dim M$  for  $\sigma = s, u$  then  $T_x W^s(x) \not\subset T_x W^u(x)$  and  $T_x W^u(x) \not\subset T_x W^s(x)$ . This tells us that if

$$(3) \quad \dim W^s(x) + \dim W^u(x) \geq \dim M,$$

then  $W^s(x)$  and  $W^u(x)$  meet transversely.

Therefore, to complete the proof of Theorem B it only remains to show (3).

Since  $f \in \mathcal{P}^1(M)$  satisfies Axiom A,  $\Omega(f)$  is decomposed as  $\Omega(f) = \Lambda_1 \cup \dots \cup \Lambda_\ell$ , where each  $\Lambda_i$  is a basic set. Then by [4] for each  $i$  there exists a compact neighborhood  $B(\Lambda_i)$  satisfying the following (4), (5) and (6).

(4) There exists a continuous extension  $T_{B(\Lambda_i)} M = \tilde{E}_i^s \oplus \tilde{E}_i^u$  of  $T_{\Lambda_i} M = E_i^s \oplus E_i^u$  such that for  $x \in B(\Lambda_i) \cap f^{-1}(B(\Lambda_i))$ ,

$$D_x f(\tilde{E}_i^s(x)) = \tilde{E}_i^s(f(x)) \text{ and } \|D_x f|_{\tilde{E}_i^s(x)}\| < \lambda,$$

and for  $x \in B(\Lambda_i) \cap f(B(\Lambda_i))$ .

$$D_x f^{-1}(\tilde{E}_i^u(x)) = \tilde{E}_i^u(f^{-1}(x)) \text{ and } \|D_x f^{-1}|_{\tilde{E}_i^u(x)}\| < \lambda.$$

(5) There exists  $\varepsilon_1 > 0$  such that for every  $0 < \varepsilon \leq \varepsilon_1$  there exist submanifolds  $\tilde{W}_\varepsilon^\sigma(x)$  ( $x \in B(\Lambda_i)$ ,  $\sigma = s, u$ ) satisfying

$$\left\{ \begin{array}{l} \text{(i) } f(\tilde{W}_\varepsilon^s(x)) \subset \tilde{W}_\varepsilon^s(f(x)) \text{ and } d(f(x), f(y)) < \lambda d(x, y) \\ \quad \text{for every } y \in \tilde{W}_\varepsilon^s(x) \text{ if } x \in B(\Lambda_i) \cap f^{-1}(B(\Lambda_i)), \\ \text{(ii) } f^{-1}(\tilde{W}_\varepsilon^u(x)) \subset \tilde{W}_\varepsilon^u(f^{-1}(x)) \text{ and } d(f^{-1}(x), f^{-1}(y)) < \lambda d(x, y) \\ \quad \text{for every } y \in \tilde{W}_\varepsilon^u(x) \text{ if } x \in B(\Lambda_i) \cap f(B(\Lambda_i)). \end{array} \right.$$

(6) There exists  $\delta > 0$  such that if  $d(x, y) < \delta$  ( $x, y \in B(\Lambda_i)$ ) then  $\tilde{W}_\varepsilon^s(x)$  and  $\tilde{W}_\varepsilon^u(y)$  meet transversely.

For  $E$  and  $F$  subspaces of  $T_{\Lambda_i}M$  define

$$\tan \nless (F, E) = \sup \left\{ \left\| \frac{w_2}{w_1} \right\| : w_1 \in E, w_2 \in E^\perp, \text{ and } w_1 + w_2 \in F - \{0\} \right\}.$$

Then we find  $\theta_{1,i} > 0$  satisfying  $\tan \nless (E_i^s, E_i^{u\perp}) < \theta_{1,i}$  (See [10]). The continuity of  $\tilde{E}_i^\sigma$  ( $\sigma = s, u$ ) ensures the existence of  $\theta_{2,i} > 0$  satisfying  $\tan \nless (\tilde{E}_i^s, \tilde{E}_i^{u\perp}) < \theta_{2,i}$ .

CLAIM 1. Define  $\theta_2 = \max \{ \theta_{2,i} : 1 \leq i \leq \ell \}$ . For  $0 < \theta < \theta_2^{-1} \cdot (2 + \theta_2)^{-1}$ , there exists  $K(\theta) > 0$  such that  $K(\theta) \rightarrow 0$  as  $\theta \rightarrow 0$  and for every  $v \in T_x M$  ( $x \in B(\Lambda_i)$ ) if  $\tan \nless (v, \tilde{E}_i^u(x)) < \theta$  and  $\{x, f(x), \dots, f^N(x)\} \subset B(\Lambda_i)$  for some  $N > 0$  then  $\tan \nless (D_x f^N(v), \tilde{E}_i^u(f^N(x))) \leq K(\theta) \cdot \lambda^{2N}$ .

*Proof.* Let  $x \in B(\Lambda_i)$  be fixed. For  $v \in T_x M - \{0\}$ , let  $v = v^s + v^u = (v)_1 + (v)_2$ , where  $v^s \in \tilde{E}_i^s$ ,  $v^u \in \tilde{E}_i^u$ ,  $(v)_1 \in \tilde{E}_i^u$ , and  $(v)_2 \in \tilde{E}_i^{u\perp}$ . Clearly  $(v^s)_2 = (v)_2$ ,  $(v^s)_1 + v^u = (v)_1$ ,  $(v^u)_1 = v^u$  and  $(v^u)_2 = 0$ . Since  $f^j(x) \in B(\Lambda_i)$  for  $0 \leq j \leq N$  and  $\tan \nless (\tilde{E}_i^s, \tilde{E}_i^{u\perp}) < \theta_2$ ,

$$\frac{\| (D_x f^N(v^s))_1 \|}{\| (D_x f^N(v))_2 \|} < \theta_2$$

and since  $f^j(x) \in B(\Lambda_i)$  for  $0 \leq j \leq N$ ,

$$\frac{\| D_x f^N(v^u) \|}{\| D_x f^N(v^s) \|} \geq \lambda^{-2N} \frac{\| v^u \|}{\| v^s \|}.$$

It is checked that

$$\begin{aligned} \frac{\| v^s \|}{\| v^u \|} &\leq \frac{(1 + \theta_2) \| (v)_2 \|}{\| (v)_1 \| - \theta_2 \| (v)_2 \|} = \frac{1 + \theta_2}{\frac{\| (v)_1 \|}{\| (v)_2 \|} - \theta_2} \\ &\leq \frac{1 + \theta_2}{1/\theta + \theta_2} = \frac{\theta(1 + \theta_2)}{1 - \theta \theta_2} \text{ and} \end{aligned}$$

$$\frac{\|(D_x f^N(v))_2\|}{\|(D_x f^N(v))_1\|} \leq \left| \frac{\|(D_x f^N(v^s))_1\|}{\|(D_x f^N(v^s))_2\|} - \frac{\|(D_x f^N(v^u))_1\|}{\|(D_x f^N(v^s))_2\|} \right|^{-1}.$$

From these inequalities we have

$$\begin{aligned} \left| \frac{\|(D_x f^N(v^u))_1\|}{\|(D_x f^N(v^s))_2\|} - \frac{\|(D_x f^N(v^s))_1\|}{\|(D_x f^N(v^s))_2\|} \right| &\geq \lambda^{-2N} \frac{\|v^u\|}{\|v^s\|} - \theta_2 \geq \\ &\geq \lambda^{-2N} \frac{1 - \theta \theta_2}{\theta(2 + \theta_2)} - \theta_2 = \lambda^{-2N} \left( \frac{1 - \theta \theta_2}{\theta(1 + \theta_2)} - \lambda^{2N} \cdot \theta_2 \right), \end{aligned}$$

and so

$$\frac{\|(D_x f^N(v))_2\|}{\|(D_x f^N(v))_1\|} \leq \lambda^{2N} \cdot K(\theta),$$

where  $K(\theta) = \left( \frac{1 - \theta \theta_2}{\theta(1 + \theta_2)} - \theta_2 \right)^{-1}$ .

For  $A$  a closed set of  $M$ , denote by  $B_r(A)$  the closed neighborhood of  $A$  with radius  $r > 0$ .

CLAIM 2. *Let  $\Lambda_i$  and  $\Lambda_j$  be the basic sets. Suppose that  $2 \geq \text{Ind } \Lambda_j \geq \text{Ind } \Lambda_i \geq 1$  where  $\text{Ind } \Lambda$  denotes the dimension of the stable subbundle  $E^s$  of a basic set  $\Lambda$ . Then there are  $r_1 > 0$  ( $B_{r_1}(\Lambda_i) \subset B(\Lambda_i)$ ) and  $\theta > 0$  such that if  $x \in \Lambda_j$  and  $y \in W^s(x) \cap B_{r_1}(\Lambda_i)$ , then  $\tan \sphericalangle (T_y W^s(x), \tilde{E}_y^u(y)) > \theta$ .*

*Proof.* If this is false, for every  $n > 0$  there are  $x_n \in \Lambda_j$  ( $\text{Ind } \Lambda_j \geq \text{Ind } \Lambda_i$ ) and  $y_n \in W^s(x_n) \cap B_{1/n}(\Lambda_i)$  such that  $\tan \sphericalangle (T_{y_n} W^s(x_n), \tilde{E}_{y_n}^u(y_n)) < 1/n$ . Then, by (5) and (6) there are  $z_n \in \Lambda_i$  and  $w_n = \tilde{W}_{\varepsilon_1}^s(y_n) \cap \tilde{W}_{\varepsilon_1}^u(z_n)$ . Since  $y_n \rightarrow \Lambda_i$  as  $n \rightarrow \infty$ , there is a strictly increasing sequence  $J_n > 0$  such that  $f^k(y_n) \in B(\Lambda_i)$  for  $0 \leq k \leq J_n$  and  $f^{J_n+1}(y_n) \notin B(\Lambda_i)$ . Put  $\tau = \inf \{d(x, \Lambda_i) : x \in B(\Lambda_i) \text{ and } f^{-1}(x) \notin B(\Lambda_i)\} > 0$ . Since

$$(7) \quad d(f^j(y_n), f^j(w_n)) \leq \lambda^j d(y_n, w_n) \text{ for } 0 \leq j \leq J_n,$$

there is  $N > 0$  such that for every  $n \geq N$ ,  $f^{J_n}(y_n) \in B(\Lambda_i) \setminus B_\tau(\Lambda_i)$  and  $f^{J_n}(w_n) \notin B_{\tau/2}(\Lambda_i)$ . Thus it is checked that there exists  $c_1 > 0$  such that for every  $n > 0$ ,

$$(8) \quad f^j(B_{c_1}(f^{J_n}(y_n))) \cap B_{c_1}(f^{J_n}(w_n)) = \emptyset \text{ for all } j > 0.$$

Indeed, if for every  $m > 0$  there are  $n_m > 0$ ,  $j_m > 0$  and  $x'_m \in B_{\frac{1}{m}}(f^{J_{n_m}}(y_{n_m}))$  such that  $f^{j_m}(x'_m) \in B_{\frac{1}{m}}(f^{J_{n_m}}(w_{n_m}))$ , then  $y = \lim_{m \rightarrow \infty} f^{J_{n_m}}(y_{n_m}) \in R(f)$ , which is

a contradiction since  $y \notin \Omega(f) = R(f)$ .

Similarly we can find  $c_2 > 0$  such that for every  $n > 0$

$$(9) \quad f^j(B_{c_2}(f^{J^n}(w_n))) \cap B_{c_2}(f^{J^n}(w_n)) = \emptyset \text{ for all } j > 0.$$

Take and fix  $0 < \theta' < \theta_2^{-1}(2 + \theta_2)^{-1}$ . Then there is  $N' > N$  such that for every  $n \geq N'$ ,  $\tan \angle (T_{y_n}W^s(x_n), \tilde{E}_i^u(y_n)) \leq \theta'$ .

By Claim 1,  $\tan \angle (D_{y_n}f^{J^n}(T_{y_n}W^s(x_n)), \tilde{E}_i^u(f^{J^n}(y_n))) \rightarrow 0$  as  $n \rightarrow \infty$ , and so

$$\tan \angle (D_{y_n}f^{J^n}(T_{y_n}W^s(x_n)), \mathcal{E}_{f^{J^n}(w_n), f^{J^n}(w_n)}(\tilde{E}_i^u(f^{J^n}(w_n)))) \rightarrow 0$$

as  $n \rightarrow \infty$  (by (7)). Here  $\mathcal{E}_{x,y}$  denotes the parallel transform from  $T_xM$  to  $T_yM$ . Then, from (7), (8) and (9) there are  $n \geq N'$  and  $g \in \text{Diff}^1(M)$  arbitrarily near to  $f$  such that  $W^s(x_n, g) \cap W^u(z_n, g) \neq \emptyset$  and  $W^s(x_n, g)$  does not meet transversely to  $W^u(z_n, g)$ , thus contradiction since  $g \in \mathcal{P}^1(M)$ .

CLAIM 3 (Lemma IV. 8 of Mañé [6]). *Let  $E^1$  and  $E^2$  be Banach spaces with norm  $\|\cdot\|$ , and denote by  $B_r^i(p)$  the ball of radius  $r$  in  $E^i$  centered at  $p$ . Let  $C > 0$  and  $\varepsilon' > 0$  be constants such that  $\varepsilon'$  is so small that  $\varepsilon' C < 1$ . For  $\rho_0 > 0$  take  $0 < r \leq \rho_0$  and  $0 < \varepsilon \leq \varepsilon'$  satisfying*

$$(10) \quad \frac{\varepsilon(1 + \varepsilon')}{1 - \varepsilon' C} < \frac{r - \varepsilon}{C} \text{ and } \frac{\varepsilon(1 + \varepsilon')}{1 - \varepsilon' C} < r.$$

Suppose that  $\psi : B_{\rho_0}^1(0) \rightarrow E^2$  and  $\varphi : B_r^2(p) \rightarrow E^1$  are maps satisfying

$$(a) \quad \psi(0) = 0, \|\psi(w_1) - \psi(w_2)\| \leq \varepsilon' \|w_1 - w_2\|$$

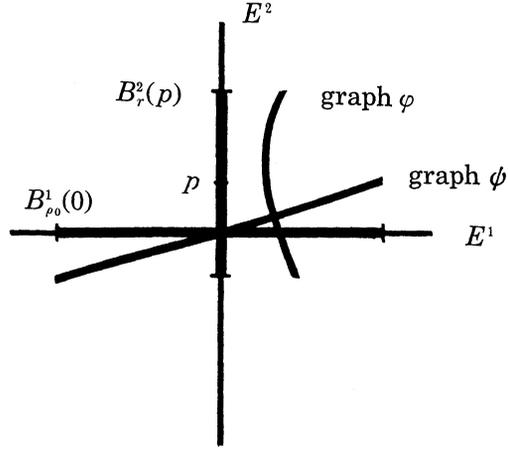
$$\text{for } w_1, w_2 \in B_{\rho_0}^1(0),$$

$$(b) \quad \|\varphi(p)\| < \varepsilon, \|\varphi(w_1) - \varphi(w_2)\| \leq C \|w_1 - w_2\|$$

$$\text{for } w_1, w_2 \in B_r^2(p), \text{ and}$$

$$(c) \quad \|p\| < \varepsilon.$$

Then  $\text{graph } \varphi \cap \text{graph } \psi \neq \emptyset$ , where  $\text{graph } \psi = \{(w, \psi(w)) : w \in B_{\rho_0}^1(0)\}$  and  $\text{graph } \varphi = \{(\varphi(w), w) : w \in B_r^2(p)\}$ .



Firstly we show for every  $0 < \rho_1 < \min \{r, \frac{1-\varepsilon}{C}\}$ ,  $\varphi(B_{\rho_1}^2(p)) \subset B_r^1(0)$ . Take and fix  $y \in B_{\rho_1}^2(p)$ . Since  $\|y - p\| < \rho_1 < \frac{r-\varepsilon}{C}$ , we have  $C\|y - p\| + \varepsilon < r$ . Thus

$$\|\varphi(y)\| \leq \|\varphi(p)\| + C\|y - p\| \leq \varepsilon + C\|y - p\| < r.$$

From this a map  $\psi \circ \varphi : B_{\rho_1}^2(p) \rightarrow E^2$  is well defined. Since  $\|\psi(\varphi(p)) - \psi(0)\| \leq \varepsilon'\|\varphi(0) - 0\| = \varepsilon'\|\varphi(0)\| < \varepsilon'\varepsilon$ , we have  $\|\psi(\varphi(p))\| < \varepsilon'\varepsilon$  (by (a)). Therefore, for  $w_1, w_2 \in B_{\rho_1}^2(p)$

$$\|\psi\varphi(w_1) - \psi\varphi(w_2)\| \leq \varepsilon'\|\varphi(w_1) - \varphi(w_2)\| \leq \varepsilon'C\|w_1 - w_2\|;$$

i.e.  $\psi \circ \varphi : B_{\rho_1}^2(p) \rightarrow E^2$  is contracting. If we choose  $\frac{\varepsilon(1+\varepsilon')}{1+\varepsilon'C} < \rho_2 < \min \{r, \frac{r-\varepsilon}{C}\}$ , then for every  $y \in B_{\rho_2}^2(p)$ ,

$$\begin{aligned} \|\psi\varphi(y) - p\| &\leq \|\psi\varphi(y) - \psi\varphi(p)\| + \|\psi\varphi(p) - p\| \leq \\ &\leq \varepsilon'C\|y - p\| + \|\psi\varphi(p)\| + \|p\| \leq \\ &\leq \varepsilon'C\rho_2 + \varepsilon'\varepsilon + \varepsilon < \rho_2. \end{aligned}$$

Thus  $\psi \circ \varphi : B_{\rho_2}^2(p) \rightarrow B_{\rho_2}^2(p)$  is a contraction. Thus there exists  $z \in B_{\rho_2}^2(p)$  such that  $\psi \circ \varphi(z) = z$ .

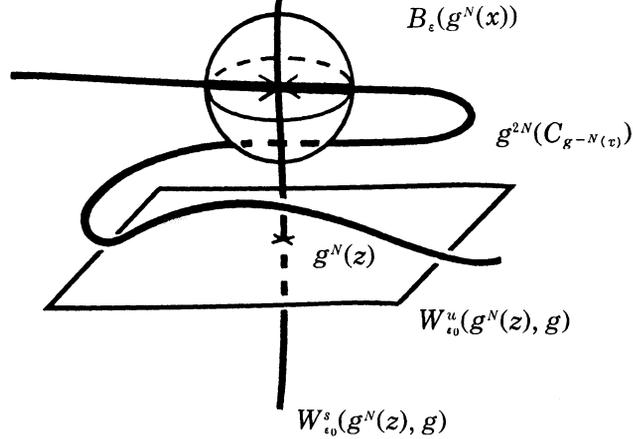
Theorem B will be proved under the above claims. The technique of the proof is to derive a contradiction in proving the existence of a cycle among basic sets  $A_1, \dots, A_\ell$  under the assumption that  $f$  does not satisfy strong transversality. Remark that the dimension of  $M$  is 3. To prove Theorem B it is enough to see that

for  $x \in M \setminus \Omega(f)$ ,  $\dim W^s(x) + \dim W^u(x) \geq \dim M$  as explained before.

Suppose that there is  $x \in M \setminus \Omega(f)$  such that  $\dim W^s(x) + \dim W^u(x) < \dim M = 3$  (i.e.  $\dim W^s(x) = \dim W^u(x) = 1$ ). Since  $f \in \mathcal{P}^1(M)$ , there are  $g \in \mathcal{P}^1(M)$  and  $\alpha > 0$  such that  $f = g$  on a neighborhood of  $\Omega(f)$ ,  $\dim W^\sigma(x, g) = \dim W^\sigma(x)$  for  $\sigma = s, u$  and for the components  $C^\sigma(x)$  of  $x$  in  $W^\sigma(x, g) \cap B_\alpha(x)$  ( $\sigma = s, u$ ),  $C^s(x) \cap C^u(x) = \{x\}$ .

Let  $\Omega(g) = \Lambda_1(g) \cup \cdots \cup \Lambda_\ell(g)$  be a spectral decomposition for  $g$ . Then there are  $1 \leq i \neq j \leq \ell$ ,  $y \in \Lambda_i(g)$  and  $z \in \Lambda_j(g)$  such that  $W^s(x, g) = W^s(z, g)$ . and  $W^u(x, g) = W^u(y, g)$ . For simplicity suppose  $y \in \Lambda_1(g)$  and  $z \in \Lambda_2(g)$ . Let  $0 < \varepsilon_0 < r_1/2$  be a number as in (2) and fix  $N > 0$  such that  $g^{-N}(x) \in W_{\varepsilon_0/2}^u(g^{-N}(y), g)$  and  $g^N(x) \in W_{\varepsilon_0/2}^s(g^N(z), g)$ . Given the connected component  $C_{g(x)^{-N}}$  of  $g^{-N}(x)$  in  $B_{\varepsilon_0/4}(g^{-N}(x)) \cap W_{\varepsilon_0}^u(g^{-N}(y), g)$ , we have  $C_{g(x)^{-N}} = B_{\varepsilon_0/4}(g^{-N}(x)) \cap W_{\varepsilon_0}^u(g^{-N}(y), g)$ . Thus there is  $0 < \varepsilon \leq \varepsilon_0/8$  such that  $B_\varepsilon(g^N(x)) \cap g^{2N}(C_{g(x)^{-N}})$  is the connected component of  $g^N(x)$  in  $B_\varepsilon(g^N(x)) \cap g^{2N}(C_{g^{-N}(x)})$ .

Denote by  $C_{g^N(x)}$  the connected component of  $g^N(x)$  in  $B_\varepsilon(g^N(x)) \cap g^{2N}(C_{g^{-N}(x)})$ , and take and fix  $0 < \varepsilon_2 \leq \varepsilon/2$  such that  $d(v, w) < \varepsilon_2$  ( $v, w \in M$ ) implies  $d(g^{-2N}(v), g^{-N}(w)) < \varepsilon_0/8$ .



CLAIM 4. Fix any  $w \in C_{g^N(x)} \cap B_{\varepsilon_2}(g^N(x)) \setminus \{g^N(x)\}$ . If there exists  $0 < r' < \varepsilon_2$  such that  $B_{r'}(w) \cap C_{g^N(x)} \subset C_{g^N(x)} \setminus \{g^N(x)\}$ , and if for every  $w' \in B_{r'}(w) \cap C_{g^N(x)}$ ,  $\dim W^s(w', g) = 1$ , then  $\dim W^s(v, g) = 1$  for every  $v \in B_{\delta'}(w) \setminus C_{g^N(x)}$ . Here  $0 < \delta' = \delta'(r', g) < r'$  is a number satisfying the property (1).

*Proof.* Note that if there is  $v \in B_{\delta'}(w) \setminus C_{g^N(x)}$  such that  $\dim W^s(v, g) = 2$ , then  $W^s(v, g) \cap (B_{r'}(w) \cap C_{g^N(x)}) = \emptyset$ . Since  $w \in C_{g^N(x)} \cap B_{\varepsilon_2}(g^N(x))$ , we have  $g^{-2N}(w) \in B_{\varepsilon_0/8}(g^{-N}(x)) \cap W_{\varepsilon_0}^u(g^{-N}(y), g)$  and hence

$$(11) \quad d(g^{-2N-n}(w), g^{-N-n}(x)) \leq \varepsilon_0/8 \text{ for all } n \geq 0.$$

Since  $d(v, w) < \delta'$ , by (1) there is  $v' \in M$  such that  $d(g^n(v'), g^n(v)) < r' < \varepsilon_0$  for all  $n \geq 0$  and  $d(g^{-n}(v'), g^{-n}(w)) < r' < \varepsilon/2 < \varepsilon_0/8$  for all  $n \geq 0$ . Thus

$$(12) \quad v' \in W^s(v, g).$$

By using (11) it is checked that  $d(g^{-2N-n}(v'), g^{-N-n}(x)) < \varepsilon_0/4$  for all  $n \geq 0$  (i.e.  $g^{-2N}(v') \in C_{g^{-N}(x)}$ ). Thus  $v' \in g^{2N}(C_{g^{-N}(x)}) \cap B_\varepsilon(g^N(x)) = C_{g^N(x)}$  (since  $d(v', g^N(x)) \leq d(v', w) + d(w, g^N(x)) < \varepsilon/2 + \varepsilon_2 < \varepsilon$ ). Since  $d(v', w) < r'$ , we have  $v' \in B_{r'}(w) \cap C_{g^N(x)}$ . By (12)

$$W^2(v, g) \cap (B_{r'}(w) \cap C_{g^N(x)}) \neq \phi$$

which is a contradiction.

For  $n \geq 1$  denote as  $C_{g^{N+n}(x)}$  the connected component of  $g^{N+n}(x)$  in  $B_\varepsilon(g^{N+n}(z)) \cap g(C_{g^{N+n-1}(x)})$ . Note that  $C_{g^{N+n}(x)} \subset g(C_{g^{N+n-1}(x)})$  for all  $n \geq 1$ .

CLAIM 5. For every  $n > 0$  and  $0 < \delta \leq \varepsilon_0$ , there is  $N' > n$  such that for every  $w \in B_{1/N'}(g^{N+N'}(x))$ ,

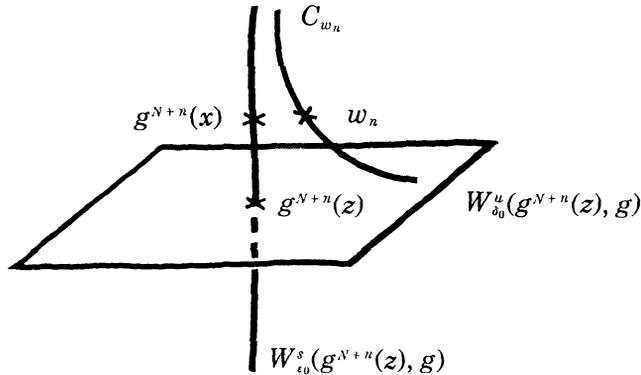
$$C_w \cap W_{\delta/2}^u(g^{N+N'}(z), g) \neq \phi,$$

where  $C_w$  is the connected component of  $w$  in  $W^s(w, g) \cap B_{\varepsilon_0}(w)$ .

*Proof.* If this is false, then there are  $n_0 > 0$ ,  $\delta_0 > 0$  and  $w_n \in B_{1/n}(g^{N+n}(x))$  for all  $n \geq n_0$  such that  $C_{w_n} \cap W_{\delta_0}^u(g^{N+n}(z), g) = \phi$ . Let  $r_1 > 0$  and  $\theta > 0$  be numbers given in Claim 2 for  $g \in \mathcal{P}^1(M)$ . Clearly

$$\begin{aligned} d(w_n, g^{N+n}(z)) &\leq d(w_n, g^{N+n}(x)) + d(g^{N+n}(x), g^{N+n}(z)) \\ &\leq 1/n + \lambda^n d(g^N(x), g^N(z)) \rightarrow 0 \end{aligned}$$

as  $n \rightarrow \infty$  by (2).



For a moment we treat a neighborhood of  $g^{N+n}(z)$  as it were  $\mathbf{R}^3$ . Let  $E_n^1 = T_{g^{N+n}(x)}W_{\delta_0}^u(g^{N+n}(z), g)$ ,  $E_n^2 = T_{g^{N+n}(x)}W_{\varepsilon_0}^s(g^{N+n}(z), g)$  and fix  $n_1 > 0$  such that  $d(g^{N+n}(x), g^{N+n}(z)) < r_1$  for  $n \geq n_1$ . Remark that  $g^{N+n}(z) \in \Lambda_2(g)$  and put  $p_n = \tilde{E}_2^u(g^{N+n}(x)) \cap E_n^2$  for  $n \geq n_1$ . Then

$$(*) \quad d(p_n, g^{N+n}(z)) \rightarrow 0 \text{ as } n \rightarrow \infty.$$

Thus, by Claim 2 there are constants  $C = C(\theta) > 0$ ,  $r_2 = r_2(\theta) > 0$  and  $n_2 \geq n_1$  such that for every  $n \geq n_2$  there is a map  $\varphi_n : B_{r_2}^2(p_n) \rightarrow E_n^1$  satisfying

$$(**) \quad \|\varphi_n(p_n)\| \rightarrow 0 \text{ as } n \rightarrow \infty \text{ and}$$

$$\begin{cases} \|\varphi_n(w'_1) - \varphi_n(w'_2)\| \leq C \|w'_1 - w'_2\| \text{ for } w'_1, w'_2 \in B_{r_2}^2(p_n), \\ \text{graph } \varphi_n \subset C_{w_n}. \end{cases}$$

Fix  $0 < \varepsilon' < 1$  such that  $0 < C\varepsilon' < 1$ . Then there are  $0 < \rho_0 < \delta_0$  and maps  $\psi_n : B_{\rho_0}^1(0) \rightarrow E_n^2$  for  $n > 0$  such that

$$\begin{cases} \psi_n(0) = 0, \\ \|\psi_n(w'_1) - \psi_n(w'_2)\| \leq \varepsilon \|w'_1 - w'_2\| \text{ for } w'_1, w'_2 \in B_{\rho_0}^1(0) \text{ and} \\ \text{graph } \psi_n \subset W_{\delta_0}^u(g^{N+n}(z), g) \text{ for } n > 0. \end{cases}$$

Put  $r = \min\{\rho_0, r_2\}$  and fix  $0 < \varepsilon \leq \varepsilon'$  such that satisfies (10). Then, from (\*) and (\*\*) we can take an integer  $n_3 \geq n_2$  such that for every  $n \geq n_3$ ,  $\psi_n$  and  $\varphi_n$  satisfy the assumptions of Claim 3. Thus

$$C_{w_n} \cap W_{\delta_0}^u(g^{N+n}(z), g) \neq \emptyset.$$

This is a contradiction.

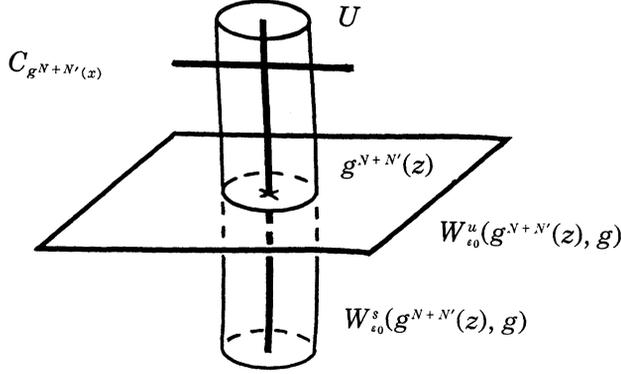
Take and fix  $n > 0$  such that  $d(g^{N+n}(x), g^{N+n}(z)) < \delta/2$  where  $0 < \delta = \delta(\varepsilon, g) < \varepsilon$  is a number given in (1). Let  $N' = N'(n, \delta) \geq n$  be as in Claim 5 and put

$$B_{\delta/2}^u(g^{N+N'}(z)) = B_{\delta/2}(g^{N+N'}(z)) \cap W_{\varepsilon_0}^u(g^{N+N'}(z), g).$$

CLAIM 6. *There exists  $w \in B_{\delta/2}^u(g^{N+N'}(z)) \setminus \{g^{N+N'}(z)\}$  such that  $\dim W^s(w, g) = 1$  and  $C_w \cap C_{g^{N+N'}(x)} = \emptyset$  where  $C_w$  is the connected component of  $w$  in  $W^s(w, g) \cap B_{\varepsilon_0}(g^{N+N'}(z))$ .*

Before beginning with the proof of the Claim 6 we remark the following properties.

*Remarks.* (i) For every tubular neighborhood  $U$  of  $W_{\varepsilon_0}^s(g^{N+N'}(z), g)$ , there is no sink periodic point  $p$  of  $g$  such that  $U \setminus W_{\varepsilon_0}^s(g^{N+N'}(z), g) \subset W^s(p, g)$ .



To prove this, we suppose that there is a sink  $p$  satisfying  $U \setminus W_{\varepsilon_0}^s(g^{N+N'}(z), g) \subset W^s(p, g)$ . Then there are  $N'' > N'$  and  $0 < \varepsilon'' \leq \varepsilon$  such that for every  $n \geq N''$  and  $0 < \hat{\varepsilon} \leq \varepsilon''$ ,  $C_{g^{N+n}(x)} \cap B_{\hat{\varepsilon}}(g^{N+n}(z)) \subset U$ . For  $n \geq N''$  we put

$$S^u(g^{N+n}(z)) = \partial B_{\varepsilon_0}^u(g^{N+n}(z)),$$

and for  $0 < \hat{\varepsilon} \leq \varepsilon$  let  $\hat{\delta} > 0$  be a number as in the definition of POTP of  $g$ . Then for every  $\hat{\delta}$  there are  $n_1(\hat{\delta}), n_2(\hat{\delta}) \geq N''$  such that

$$d(g^{N+n_1(\hat{\delta})}(x), g^{N+n_1(\hat{\delta})}(z)) < \frac{\hat{\delta}}{2}$$

and

$$d(g^{N+n_1(\hat{\delta})}(z), w) < \frac{\hat{\delta}}{2}$$

for every  $w \in g^{-n_2(\hat{\delta})}(S^u(g^{N+n_1(\hat{\delta})+n_2(\hat{\delta})}(z)))$ . Thus for every  $w \in g^{-n_2(\hat{\delta})}(S^u(g^{N+n_1(\hat{\delta})+n_2(\hat{\delta})}(z)))$ ,

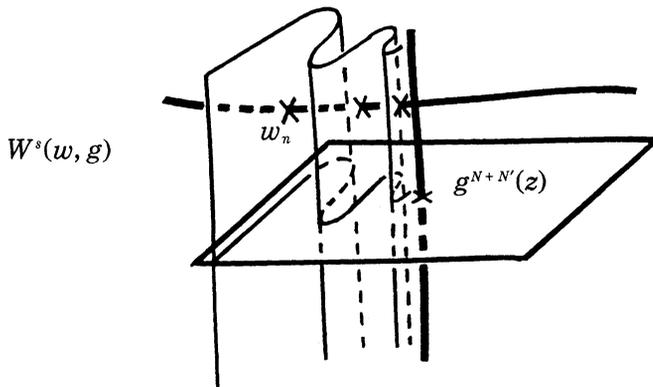
$$\{\dots, g^{N+n_1(\hat{\delta})-2}(x), g^{N+n_1(\hat{\delta})-1}(x), w, g(w), \dots\}$$

is a  $\hat{\delta}$ -pseudo orbit for  $g$ . However it is easy to see that if we fix  $\hat{\varepsilon}$  small enough, then there exists a  $\hat{\delta}$ -pseudo orbit among them which can not be  $g$ - $\hat{\varepsilon}$ -traced since

$$C_{g^{N+n_1(\hat{\delta})}(x)} \cap B_{\hat{\varepsilon}}(g^{N+n_2(\hat{\delta})}(x)) \subset U.$$

(ii) There is no stable manifold  $W^s(w, g)$  with  $\dim W^s(w, g) = 2$  and  $W^s(w, g) \supset W^s(g^{N+N'}(z), g)$  such that there is a sequence of points  $\{w_n\}$  in  $W^s(w, g) \cap C_{g^{N+N'}(x)} \setminus \{g^{N+N'}(x)\}$  satisfying  $w_n \rightarrow g^{N+N'}(x)$  and  $d_s(w_n, w_{n+1}) \rightarrow$

0 as  $n \rightarrow \infty$ . Here  $d_s$  is a metric on  $W^s(w, g)$  induced from  $\| \cdot \|$ .

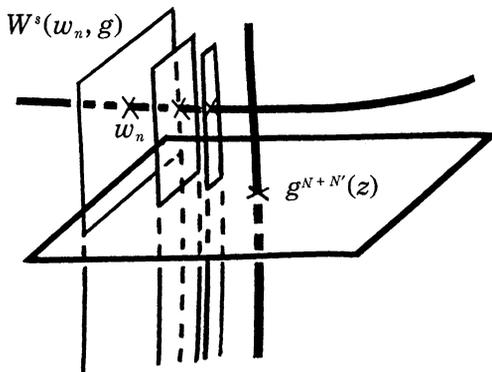


In fact, if there exists such a stable manifold then we can find  $v \in g^{-2N-N'}(W^s(w, g))$  such that

$$\tan \sphericalangle (T_v W^s(g^{-2N+N'}(w), g), \tilde{E}_1^u(v)) < \theta,$$

where  $\theta > 0$  is a number given in Claim 2 for  $g$ . This is absurd since  $W^s(w, g) \not\subset W^s(\Lambda_1(g), g)$ .

(iii) If there is a sequence of points  $\{w_n\}$  in  $C_{g^{N+N'}(x)}$  such that  $w_n \rightarrow g^{N+N'}(x)$ ,  $r(\overline{W^s(w_n, g)}) \rightarrow 0$  as  $n \rightarrow \infty$  and  $\dim W^s(w_n, g) = 2$  for all  $n \geq 0$ , then Claim 6 is true. Here  $r(\overline{W^s(w, g)})$  denotes the maximal radius of a closed ball in  $(\overline{W^s(w, g)})$  centered at  $w$  with respect to  $d_s$ .



Indeed, fix  $n > 0$  and let  $\theta > 0$  be a number given in Claim 2 for  $g$ . Suppose that there is  $v \notin W^s(w_n, g)$  such that  $\dim W^s(v, g) = 2$  and  $\partial W^s(w_n, g) \cap W^s(v, g) \neq \emptyset$ . If we pick a point  $v' \in \partial W^s(w_n, g) \cap W^s(v, g)$ , then there are sufficiently large  $m > 0$  and  $v'' \in W^s(g^m(w_n), g)$  arbitrarily near to  $g^m(v')$

such that

$$\tan \sphericalangle (T_{v''}W^s(g^m(w_n), g), \tilde{E}_1^u(v'')) < \theta.$$

This is a contradiction. Thus  $\partial W^s(w_n, g)$  consists of two 1-dimensional stable manifolds (since  $\cup \{W^s(p, g) : p \text{ is a sink periodic point of } g\}$  is open in  $M$ ).

*Proof of Claim 6.* We divides the proof into two cases.

*Case 1.* For every

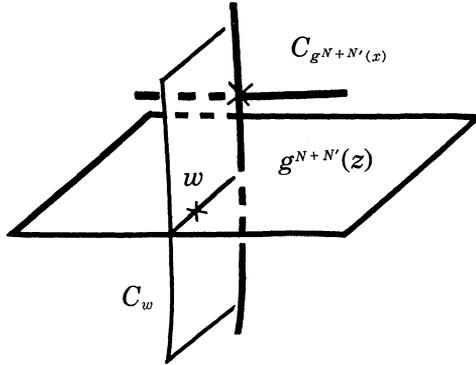
$$w \in C_{g^{N+N'}(x)} \cap B_{1/2N'}(g^{N+N'}(z)) \setminus \{g^{N+N'}(z)\}$$

and  $0 < r' < 1/N'$  such that

$$B_{r'}(w) \cap C_{g^{N+N'}(x)} \subset C_{g^{N+N'}(x)} \setminus \{g^{N+N'}(x)\}.$$

there is  $w' \in B_{r'}(w) \cap C_{g^{N+N'}(x)}$  such that  $\dim W^s(w', g) \geq 2$ .

Note that  $\cup \{W^s(\Lambda_k(g), g) : \Lambda_k(g) \text{ is an attractor}\}$  is an open set of  $M$ . By Remarks (i), (ii) and (iii) we may assume that there is  $w \in W_{\delta/2}^u(g^{N+N'}(z), g) \setminus \{g^{N+N'}(z)\}$  such that  $\dim W^s(w, g) = 2$ ,  $\overline{C_w} \cap W^s(g^{N+N'}(z), g) \neq \emptyset$  and  $C_w \cap C_{g^{N+N'}(x)} = \emptyset$ . Here  $C_w$  denotes the connected component of  $w$  in  $W^s(w, g) \cap B_{\varepsilon_0}(g^{N+N'}(z))$ .



For every  $0 < \beta \leq \varepsilon$ , let  $0 < \gamma(\beta) \leq \beta$  be a number as in the definition of POTP of  $g$ . Take  $v \in B_{\gamma(\beta)}(g^{N+N'}(x)) \cap C_w$ . Then

$$\{\dots, g^{N+N'-2}(x), g^{N+N'-1}(x), v, g(v), \dots\}$$

is a  $\gamma(\beta)$ -pseudo orbit of  $g$ . Thus there exists  $\hat{v} \in C_{g^{N+N'}(x)}$  such that  $d(g^n(v), g^n(\hat{v})) < \beta$  for all  $n \in \mathbf{Z}$ . On the other hand, since  $v \notin W^s(\Lambda_2(g), g)$ , there exists  $n_{v, \beta} > 0$ , such that  $g^i(v) \in B_{r_1/2}(\Lambda_2(g))$  for  $0 \leq i \leq n_{v, \beta}$  and  $g^{n_{v, \beta}+1}(v) \notin B_{r_1/2}(\Lambda_2(g))$ . Thus we have  $B_\varepsilon(g^i(v)) \subset B_{r_1}(\Lambda_2(g))$  for  $0 \leq i \leq n_{v, \beta}$ . Let be  $C_{\hat{v}}$  be the connected component of  $\hat{v}$  in  $C_{g^{N+N'}(x)} \cap B_\varepsilon(\hat{v})$ . Then, by the hyperbolicity

of  $B_{r_1}(\Lambda_2(g))$  there is  $0 < \varepsilon_3 \leq \varepsilon$  such that

$$\inf_{v, \beta} d_u(\partial(g^{n_{v, \beta}}(C_{\hat{v}}), g^{n_{v, \beta}}(\hat{v})) \geq \varepsilon_3,$$

where  $d_u$  denotes a metric on unstable manifolds induced from  $\|\cdot\|$ . Since  $g \in \mathcal{P}^1(M)$  and  $g^i(C_{\hat{v}}) \cap g^i(C_{\omega}) = \phi$  for  $0 \leq i \leq n_{v, \beta}$ , by using the methods stated in the proofs of Claims 2 and 4 we can find  $g' \in \text{Diff}^1(M)$  arbitrarily near to  $g$  such that  $g'|_{\Omega(g)} = g|_{\Omega(g)}$ ,  $W^s(g^{n_{v, \beta}}(v), g') \cap W^u(g^{n_{v, \beta}}(\hat{v}), g') \neq \phi$ , and  $W^2(g^{n_{v, \beta}}(v), g')$  does not meet transversely to  $W^u(g^{n_{v, \beta}}(\hat{v}), g')$ . This is a contradiction.

*Case 2.* There exists

$$w \in C_{g^{N+N'}(x)} \cap B_{1/2N'}(g^{N+N'}(x)) \setminus \{g^{N+N'}(x)\}$$

and  $0 < r' < 1/2N'$  such that

$$B_{r'}(w) \cap C_{g^{N+N'}(x)} \subset C_{g^{N+N'}(x)} \setminus \{g^{N+N'}(x)\}$$

and for every  $w' \in B_{r'}(w) \cap C_{g^{N+N'}(x)}$ ,  $\dim W^s(w', g) = 1$ .

By Claim 4, there is  $0 < \delta' = \delta'(r', g) < 1/2N'$  such that for every  $v \in B_{\delta'}(w) \setminus C_{g^{N+N'}(x)}$ ,  $\dim W^s(v, g) = 1$ . Denote by  $C_v$  the connected component of  $v$  in  $W^s(v, g) \cap B_{\varepsilon_0}(v)$  for  $v \in B_{\delta'}(w) \setminus C_{g^{N+N'}(x)}$ . Take and fix  $v \in B_{\delta'}(w) \setminus C_{g^{N+N'}(x)}$  such that  $C_v \cap C_{g^{N+N'}(x)} = \phi$ . Then there is  $v' = C_v \cap W_{\delta'/2}^u(g^{N+N'}(z), g) \neq \phi$  by Claim 5 (since  $v \in B_{1/2N'}(g^{N+N'}(x))$ ). This completes the proof of Claim 6.

It is checked that for every  $w \in B_{\delta'/2}^u(g^{N+N'}(z))$ ,

$$W^s(w, g) \cap C_{g^{N+N'}(z)} \neq \phi.$$

Indeed, since  $d(w, g^{N+N'}(x)) < \delta$  for  $w \in B_{\delta'/2}^u(g^{N+N'}(z))$ , there is  $w' \in M$  such that

$$d(g^n(w'), g^n(w)) < \varepsilon \text{ for all } n \geq 0$$

and

$$(13) \quad d(g^{-n}(w'), g^{N+N'-n}(x)) < \varepsilon \text{ for all } n \geq 0$$

Thus  $g^{-2N+N'}(w') \in C_{g_{(x)}^{-N}}$  and so  $g^{-N'}(w') \in g^{2N}(C_{g_{(x)}^{-N}})$ . Since  $g^{-N'}(w') \in B_{\varepsilon}(g^N(x))$  (by (13)), we have  $g^{-N'}(w') \in C_{g_{(x)}^N}$  and hence  $w' \in C_{g^{N+N'}(x)}$ . Thus  $W^s(w, g) \cap C_{g^{N+N'}(x)} \neq \phi$  since  $w' \in W^s(w, g)$ .

Let  $W \in B_{\delta'/2}^u(g^{N+N'}(z)) \setminus \{g^{N+N'}(z)\}$  be as in Claim 6. Then  $w \in W^u(\Lambda_i(g), g)$  and  $\dim W^s(w, g) = 1$ . Since  $M = \bigcup_{i=1}^{\ell} W^s(\Lambda_i(g), g)$ , we may

suppose that  $w \in W^s(\Lambda_3(g), g)$ . Clearly  $\text{Ind } \Lambda_3(g) = 1$  and  
 $w \in W^u(\Lambda_2(g), g) \cap W^s(\Lambda_3(g), g) \neq \phi$ .

It is easy to see that  $\Lambda_2(g) \neq \Lambda_3(g)$ . For, if  $\Lambda_2(g) = \Lambda_3(g)$  then  $w \in W^u(\Lambda_2(g), g) \cap W^s(\Lambda_2(g), g) = \Lambda_2(g)$ . Thus  $C_{g(x)}^{N+N'} \cap W_\varepsilon^s(w, g) = \phi$ . However, since

$$\{\dots, g^{-1}(x), x, g(x), \dots, g^{N+N'-1}(z), w, g(w), \dots\}$$

is a  $\delta$ -pseudo orbit of  $g$ , we have  $C_{g^{N+N'}(x)} \cap W_\varepsilon^s(w, g) = \phi$ . This is a contradiction. Hence  $\Lambda_2(g) \neq \Lambda_3(g)$ .

Since  $w \in B_{\delta/2}^{u}(g^{N+N'}(z))$ , we have  $W^s(w, g) \cap C_{g(x)}^{N+N'} \neq \phi$ . Thus  $W^s(\Lambda_1(g), g) \cap W^u(\Lambda_3(g), g) \neq \phi$ .

The conclusions obtained above is summarized as follows

$$(14) \left\{ \begin{array}{l} \text{Ind } \Lambda_3(g) = 1 \\ \Lambda_2(g) \neq \Lambda_3(g). \\ W^u(\Lambda_2(g), g) \cap W^s(\Lambda_3(g), g) \neq \phi \text{ and} \\ W^u(\Lambda_1(g), g) \cap W^s(\Lambda_3(g), g) \neq \phi. \end{array} \right.$$

By (14) there exists a cycle among basic sets of  $g$ . Indeed, since there are  $z_1 \in \Lambda_1(g)$  and  $z_2 \in \Lambda_2(g)$  such that  $W^u(z_1, g) \cap W^s(z_2, g) \neq \phi$  and  $\dim W^u(z_1, g) = \dim W^s(z_2, g) = 1$ , by (14) we can find  $z_3 \in \Lambda_3(g) \neq \Lambda_2(g)$  such that  $W^u(z_1, g) \cap W^s(z_3, g) \neq \phi$ ,  $\dim W^s(z_3, g) = 1$  and  $W^u(\Lambda_2(g), g) \cap W^s(\Lambda_3(g), g) \neq \phi$ . Since  $W^u(z_1, g) \cap W^s(z_3, g) \neq \phi$  and  $\dim W^u(z_1, g) = \dim W^s(z_3, g) = 1$ , by the same manner we can find  $z_4 \in \Lambda_4(g) \neq \Lambda_3(g)$  such that  $W^u(z_1, g) \cap W^s(z_4, g) \neq \phi$ ,  $\dim W^s(z_4, g) = 1$  and  $W^u(\Lambda_3(g), g) \cap W^s(\Lambda_4(g), g) \neq \phi$ . In this repetition we have a cycle among basic sets  $\Lambda_1(g), \dots, \Lambda_\ell(g)$  and reach a contradiction. We finish the proof of Theorem B.

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