

## THE MINIMUM AND THE PRIMITIVE REPRESENTATION OF POSITIVE DEFINITE QUADRATIC FORMS

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Let  $M, N$  be positive definite quadratic lattices over  $\mathbf{Z}$  with  $\text{rank}(M) = m$  and  $\text{rank}(N) = n$  respectively. When there is an isometry from  $M$  to  $N$ , we say that  $M$  is represented by  $N$  (even in the local cases). In the following, we assume that the localization  $M_p$  is represented by  $N_p$  for every prime  $p$ . Let us consider the following assertion  $A_{m,n}(N)$  :

$A_{m,n}(N)$  : *There exists a constant  $c(N)$  dependent only on  $N$  so that  $M$  is represented by  $N$  if  $\min(M) > c(N)$ , where  $\min(M)$  denotes the least positive number represented by  $M$ .*

We know that this is true if  $n \geq 2m + 3$ , and a natural problem is whether the condition  $n \geq 2m + 3$  is the best or not. It is known that this is the best if  $m = 1$ . But in the case of  $m \geq 2$ , what we know at present, is that there is an example  $N$  so that  $A_{m,n}(N)$  is false if  $n - m = 3$ . We do not know such examples when  $n - m = 4$ . Anyway, analyzing the counter-example, we come to the following two assertions  $APW_{m,n}(N)$  and  $R_{m,n}(N)$ .

$APW_{m,n}(N)$  : *There exists a constant  $c'(N)$  dependent only on  $N$  so that  $M$  is represented by  $N$  if  $\min(M) > c'(N)$  and  $M_p$  is primitively represented by  $N_p$  for every prime  $p$ .*

$R_{m,n}(N)$  : *There is a lattice  $M'$  containing  $M$  such that  $M'_p$  is primitively represented by  $N_p$  for every prime  $p$  and  $\min(M')$  is still large if  $\min(M)$  is large.*

If the assertion  $R_{m,n}(N)$  is true, then the assertion  $A_{m,n}(N)$  is reduced to the apparently weaker assertion  $APW_{m,n}(N)$ . If the assertion  $R_{m,n}(N)$  is false, then it becomes possible to make a counter-example to the assertion  $A_{m,n}(N)$ . As a matter of fact, the assertion  $R_{m,m+3}(N)$  is false in a certain kind of lattices  $N$  and it yields examples of  $N$  such that the assertion  $A_{m,m+3}(N)$  is false. Note that  $APW_{1,4}(N)$  is true for every  $N$  although  $A_{1,4}(N)$  is false in general.

We proved in [4] that the assertion  $R_{m,2m+2}(N)$  is true if  $m \geq 2$ . The aim of this paper is to show that the assertion  $R_{m,2m+1}(N)$  is also true if  $m \geq 3$  (Theorem

in §2).

To what extent is the assertion  $R_{m,n}(N)$  is true?

In §3, we give some remarks on the asymptotic formula for the number of isometries from  $M$  to  $N$ .

We denote by  $\mathbf{Z}$ ,  $\mathbf{Q}$ ,  $\mathbf{Z}_p$  and  $\mathbf{Q}_p$  the ring of integers, the field of rational numbers and their  $p$ -adic completions.

Terminology and notation on quadratic forms are those from [5], [6]. For a lattice  $M$  on a quadratic space  $V$  over  $\mathbf{Q}$ , the scale  $s(M)$  denotes  $\{B(x, y) \mid x, y \in M\}$ . Even for the localization  $M_p$ , it is similarly defined.  $dM$ ,  $dM_p$  denote the discriminant of  $M$ ,  $M_p$  respectively.

For a subset  $S$  of a positive definite quadratic space  $V$ , we put

$$\min(S) = \min_{\mathbf{Q}}(S) := \min\{Q(x) \mid 0 \neq x \in S\}.$$

For a matrix  $A$ ,  ${}^tA$  denotes the transposed matrix of  $A$ .

For square matrices  $A_1, \dots, A_n$ ,  $\text{diag}(A_1, \dots, A_n)$  means  $\begin{pmatrix} A_1 & & \\ & \ddots & \\ & & A_n \end{pmatrix}$ .

### §1

The aim of this section is to prove the following preparatory theorem.

**THEOREM.** *Let  $m$  be a natural number ( $\geq 3$ ),  $p$  a prime number and  $M$  a lattice on a positive definite quadratic space  $V$  over  $\mathbf{Q}$  with  $\dim V = m$ ,  $s(M) \subset \mathbf{Z}$  and  $s(M_p) = \mathbf{Z}_p$ . Suppose that there is a basis  $\{w_1, \dots, w_m\}$  of  $M$  such that*

$$(B(w_i, w_j)) \equiv \text{diag}(\varepsilon, B_1 p^{a_1}, \dots, B_u p^{a_u}) \pmod{p^{2a_u}},$$

where  $\varepsilon \in \mathbf{Z}_p^\times$ ,  $B_i$  is even unimodular for  $1 \leq i \leq u$  with  $(1,1)$ -entry not divisible by  $2p$  and  $2 < a_1 < \dots < a_u$ . Put  $s := [a_1/2]$  where  $[x]$  is the largest integer not exceeding  $x$ . Let  $\kappa$  be a real number with  $0 < \kappa < 1/7$ . Then there is a positive constant  $C$  independent of  $M$  but dependent on  $m$ ,  $\kappa$  and  $p$ , which satisfies the following: If we have the inequality

$$\min(M) > C,$$

then there is an element  $w$  of  $M$  so that  $w = \sum_{i=2}^m f_i w_i \in M$  with  $f_2 \not\equiv 0 \pmod{p}$ , and  $f_3 \equiv \dots \equiv f_m \equiv 0 \pmod{p}$ , and  $w$  satisfies the followings:

- (i)  $\min(M + p^{-s}\mathbf{Z}[w]) \geq \min(M)^\kappa$ .
- (ii)  $s(M + p^{-s}\mathbf{Z}[w]) \subset \mathbf{Z}$ .

$$(iii) \text{ ord}_p(d(\mathbf{Z}[w_1, p^{-s}w])) \leq 2.$$

The assertions (ii) and (iii) are satisfied for every  $f$  of the above form and so the rest of this section is devoted to prove the assertion (i).

Throughout this section,  $m, p, \kappa, s$  and  $M$  denote those given in Theorem.

DEFINITION For a real number  $x$ , we define the decimal part  $[x]$  by the conditions

$$-1/2 \leq [x] < 1/2 \quad \text{and} \quad x - [x] \in \mathbf{Z}.$$

LEMMA 1. Let  $q_1, q_2$  and  $K$  be positive numbers. If an integer  $u$  satisfies the following inequalities (1) and (2):

$$(1) \quad \min_{p^s \nmid b} ([bp^{-s}]^2 q_1 + [bup^{-s}]^2 q_2) < K,$$

where  $b$  runs over the set of integers not divisible by  $p^s$ ,

$$(2) \quad \frac{1}{4} \sqrt{q_1/K} < |u| < \frac{1}{2} \sqrt{q_1/K},$$

then we have

$$(3) \quad q_1 q_2 \leq 16K^2 p^{2s}.$$

*Proof.* We note that  $[bp^{-s}]$  depends only on  $b \pmod{p^s}$ . So we may suppose that an integer  $b$  with  $0 \neq |b| \leq p^s/2$  gives the minimum of the left-hand side of the inequality (1). Then we have  $K > [bp^{-s}]^2 q_1 = b^2 p^{-2s} q_1$  and so the inequality  $|b| < \sqrt{K/q_1} p^s$ . The condition (2) implies, then the inequality  $|bu| < p^s/2$  and so  $K > [bup^{-s}]^2 q_2 = b^2 u^2 p^{-2s} q_2 \geq u^2 q_2 p^{-2s} \geq q_1 q_2 / (16K) \cdot p^{-2s}$ , which is nothing but the inequality (3).  $\square$

LEMMA 2. Let  $q_1, q_2$  and  $K$  be positive numbers and  $u_0$  an integer. Suppose that a natural number  $e$  satisfies an inequality

$$p^e < \frac{1}{4} \sqrt{q_1/K}.$$

If the inequality (1) holds for every integer  $u$  with  $u \equiv u_0 \pmod{p^e}$ , then we have the inequality (3).

*Proof.* By the inequality  $\frac{1}{2}\sqrt{q_1/K} - \frac{1}{4}\sqrt{q_1/K} > p^e$ , we can take an integer  $u$  so that  $\frac{1}{4}\sqrt{q_1/K} < u < \frac{1}{2}\sqrt{q_1/K}$  and  $u \equiv u_0 \pmod{p^e}$ . The assertion follows immediately from Lemma 1.  $\square$

LEMMA 3. Let  $\{v_1, \dots, v_m\}$  be a basis of  $M$ . Suppose  $(B(v_i, v_j)) = \text{diag}(q_1, \dots, q_m) > 0$ . For an element  $w := \sum_{i=1}^m r_i v_i \in M$ , we have

$$\min(M + p^{-s}\mathbf{Z}[w]) = \min_{\substack{b \in \mathbf{Z} \\ bw \notin p^s M}} \left( \sum_{i=1}^m [br_i p^{-s}]^2 q_i \right)$$

if  $\min(M + p^{-s}\mathbf{Z}[w]) < \min(M)$ .

*Proof.* Suppose that  $y = x + p^{-s}bw$  ( $x \in M$ ,  $b \in \mathbf{Z}$ ) gives the minimum  $\min(M + p^{-s}\mathbf{Z}[w])$ . If  $bw \in p^s M$ , then  $y \in M$  follows and this contradicts  $\min(M + p^{-s}\mathbf{Z}[w]) < \min(M)$ . Thus we have  $bw \notin p^s M$ . Moreover putting  $x = \sum_{i=1}^m x_i v_i$  ( $x_i \in \mathbf{Z}$ ), the minimality implies

$$Q(y) = \sum_{i=1}^m (x_i + br_i p^{-s})^2 q_i = \sum_{i=1}^m [br_i p^{-s}]^2 q_i,$$

which completes the proof.  $\square$

DEFINITION. For a positive numbers  $a, b$ , we write

$$a \ll b$$

if there is a positive number  $c$  dependent only on  $m = \text{rank } M$  such that  $a/b < c$ . If both  $a \ll b$  and  $b \ll a$  hold, then we write

$$a \asymp b.$$

LEMMA 4. Let  $\{v_1, \dots, v_m\}$  and  $\{w_1, \dots, w_m\}$  be bases of  $M$  such that  $(B(v_i, v_j))$  is reduced in the sense of Minkowski. We define an element  $A \in GL_m(\mathbf{Z})$  by

$$(w_1, \dots, w_m) := (v_1, \dots, v_m)A.$$

For an element  $w := \sum_{i=1}^m f_i w_i \in M$ , we define integers  $r_i$  by

$${}^t(r_1, \dots, r_m) := A^t(f_1, \dots, f_m).$$

Then there is a positive constant  $c_1$  dependent only on  $m$  so that

$$\min(M + \mathbf{Z}[p^{-s}w]) \asymp \min_{\substack{b \in \mathbf{Z} \\ bw \notin p^s M}} \left( \sum_{i=1}^m [br_i p^{-s}]^2 Q(v_i) \right)$$

if

$$(4) \quad \min(M + \mathbf{Z}[p^{-s}w]) < c_1 \min(M).$$

*Proof.* By reduction theory, we know that there exist positive constant  $c_2, c_3$  which depend only on  $m$  so that

$$(5) \quad c_2 \sum_{i=1}^m x_i^2 Q(v_i) \leq Q\left(\sum_{i=1}^m x_i v_i\right) \leq c_3 \sum_{i=1}^m x_i^2 Q(v_i) \quad \text{for } x_i \in \mathbf{R}.$$

We introduce a new quadratic form  $Q'$  on  $M$  defined by

$$Q'\left(\sum_{i=1}^m x_i v_i\right) := \sum_{i=1}^m x_i^2 Q(v_i).$$

Putting  $c_1 := c_2/c_3$ , the assumption (4) and the inequalities (5) imply

$$\begin{aligned} \min_{Q'}(M + \mathbf{Z}[p^{-s}w]) &\leq c_2^{-1} \min_Q(M + \mathbf{Z}[p^{-s}w]) \leq c_3^{-1} \min_Q(M) \\ &\leq \min_{Q'}(M). \end{aligned}$$

Because of  $w = \sum_{i=1}^m r_i v_i$ , Lemma 3 implies

$$\min_{Q'}(M + p^{-s}\mathbf{Z}[w]) = \min_{\substack{b \in \mathbf{Z} \\ bw \notin p^s \mathbf{Z}}} \left( \sum_{i=1}^m [br_i p^{-s}]^2 Q(v_i) \right).$$

Moreover the inequalities (5) yield

$$\min_Q(M + p^{-s}\mathbf{Z}[w]) \asymp \min_{Q'}(M + p^{-s}\mathbf{Z}[w]),$$

which completes the proof with the above equality.  $\square$

LEMMA 5. Let a matrix  $A = (a_{ij})$  be an element of  $GL_m(\mathbf{Z})$ . Suppose  $a_{\alpha 2} \not\equiv 0 \pmod{p}$  ( $1 \leq \alpha \leq m$ ). Then there is an integer  $\beta$  with  $1 \leq \beta \leq m$  and  $\beta \neq \alpha$  so that for given integers  $k_i$  ( $1 \leq i \leq m$ ) with  $k_\alpha = 0$ , there exists a vector  $x = {}^t(x_1, \dots, x_m) \in \mathbf{Z}^m$  ( $x_1 = 0$ ) satisfying

$$g_i \equiv k_i \pmod{p^{s-1}} \quad \text{for } i \neq \beta,$$

where we put  ${}^t(g_1, \dots, g_m) := Ax$ .

*Proof.* If  $s = 1$ , then the assertion is clear and so we may assume  $s \geq 2$ . Denote by  $A_i$  the  $i$ -th column vector of  $A$  and take integers  $b_i$  so that  $a_{\alpha i} \equiv b_i a_{\alpha 2} \pmod{p^{s-1}}$ . The equation

$$A \begin{pmatrix} 1 & 0 & 0 & 0 & \cdots & 0 \\ 0 & 1 & -b_3 & -b_4 & \cdots & -b_m \\ 0 & 0 & 1 & 0 & \cdots & 0 \\ \vdots & & & \ddots & & \vdots \\ 0 & & & \cdots & & 1 \end{pmatrix} = (A_1, A_2, A_3 - b_3 A_2, \dots, A_m - b_m A_2)$$

implies that there is an  $(m-2) \times (m-2)$  submatrix of

$$\tilde{A} := (A_3 - b_3 A_2, \dots, A_m - b_m A_2)$$

whose determinant is not divisible by  $p$ . Since the  $\alpha$ -th row of  $\tilde{A}$  is congruent to 0 modulo  $p^{s-1}$ , there is an integer  $\beta (\neq \alpha)$  such that the determinant of the submatrix of  $\tilde{A}$  which misses  $\alpha$  and  $\beta$ -th rows from the matrix  $\tilde{A}$  is not divisible by  $p$ . Let  $T \in GL_m(\mathbf{Z})$  be a matrix so that its multiplication from the left induces the interchange of  $\alpha$  (resp.  $\beta$ )-th row and the first (resp. second) row. Then the lower  $(m-2) \times (m-2)$  submatrix  $C$  of  $T\tilde{A}$  is regular modulo  $p$ . Now we define integers  $x_3, \dots, x_m$  by

$$C {}^t(x_3, \dots, x_m) \equiv {}^t(k'_3, \dots, k'_m) \pmod{p^{s-1}},$$

where we put  ${}^t(k'_1, \dots, k'_m) := T {}^t(k_1, \dots, k_m)$ . Then we have

$$\begin{aligned} T \left( \sum_{i=3}^m x_i (A_i - b_i A_2) \right) &= T \tilde{A} {}^t(x_3, \dots, x_m) \\ &\equiv \begin{pmatrix} 0 & \cdots & 0 \\ * & \cdots & * \\ & C & \end{pmatrix} \begin{pmatrix} x_3 \\ \vdots \\ x_m \end{pmatrix} \equiv \begin{pmatrix} 0 \\ * \\ k'_3 \\ \vdots \\ k'_m \end{pmatrix} \pmod{p^{s-1}}. \end{aligned}$$

Hence, putting  $x_2 := -\sum_{i=3}^m b_i x_i$ ,  $x_1 = 0$  and  $x := {}^t(x_1, \dots, x_m)$ , we obtain  $Ax = \sum_{i=2}^m x_i A_i = \sum_{i=3}^m x_i (A_i - b_i A_2)$  and so

$$TAx \equiv \begin{pmatrix} 0 \\ * \\ k'_3 \\ \vdots \\ k'_m \end{pmatrix} \pmod{p^{s-1}}.$$

Then  $TAx$  and  ${}^t(k'_1, \dots, k'_m) = T {}^t(k_1, \dots, k_m)$  are congruent modulo  $p^{s-1}$  except for first and second coordinates, and so  $Ax$  and  ${}^t(k_1, \dots, k_m)$  are congruent modulo  $p^{s-1}$  except for  $\alpha, \beta$ -th coordinates. Since the first coordinate of  $TAx$  is congruent to  $0 \pmod{p^{s-1}}$ , so is the  $\alpha$ -th coordinate of  $Ax$ . This completes the proof.  $\square$

LEMMA 6. *Let  $\{v_1, \dots, v_m\}$  be a basis of  $M$  so that  $(B(v_i, v_j))$  is reduced in the sense of Minkowski, and  $\{w_1, \dots, w_m\}$  a basis of  $M$  given in Theorem. Defining a matrix  $A = (a_{ij})$  in  $GL_m(\mathbf{Z})$  by  $(w_1, \dots, w_m) = (v_1, \dots, v_m)A$ , we put*

$$S := \left\{ Af \pmod{p^s} \left| \begin{array}{l} {}^t f = (f_1, f_2, \dots, f_m), f_1 \equiv 0 \pmod{p^s}, \\ f_2 \not\equiv 0 \pmod{p}, f_3 \equiv \dots \equiv f_m \equiv 0 \pmod{p} \end{array} \right. \right\}.$$

Choosing a coordinate  $\alpha$  by the condition  $a_{\alpha 2} \not\equiv 0 \pmod{p}$ , there is a coordinate  $\beta (\neq \alpha)$  which satisfies:

For an integral vector  $h = {}^t(h_1, \dots, h_m) \in \mathbf{Z}^m$  with  $h_\alpha \not\equiv 0 \pmod{p}$ , there exists an element  $r = {}^t(r_1, \dots, r_m) \in S$  so that

$$r_\alpha \equiv h_\alpha \pmod{p^s} \text{ and } |r_i - h_i| \leq p/2 \text{ if } i \neq \beta.$$

*Proof.* We take integers  $b_i (1 \leq i \leq m)$  so that  $a_{\alpha i} \equiv b_i a_{\alpha 2} \pmod{p^{s-1}}$ . It is easy to see

$$S = \{f_2 A_2 + pAx \pmod{p^s} \mid f_2 \not\equiv 0 \pmod{p}, {}^t x = (0, x_2, \dots, x_m) \in \mathbf{Z}^m\},$$

where  $A_2$  is the second column vector of  $A$ . We define an integer  $f_2 (\not\equiv 0 \pmod{p})$  by  $h_\alpha \equiv f_2 a_{\alpha 2} \pmod{p^s}$ , and take integers  $k_1, \dots, k_m$  so that  $k_\alpha = 0$ , and  $|h_i - f_2 a_{i2} - pk_i| \leq p/2$  if  $i \neq \alpha$ . Applying Lemma 5, there is an integer  $\beta (\neq \alpha)$  dependent only on  $A$  so that there is an integral vector  $x = {}^t(x_1, \dots, x_m)$  with  $x_1 = 0$  satisfying

$$g_i \equiv k_i \pmod{p^{s-1}} \text{ for } i \neq \beta,$$

putting  ${}^t(g_1, \dots, g_m) := Ax$ . Thus we have

$$(h - (f_2 A_2 + pAx))_i \equiv \begin{cases} 0 \pmod{p^s} & \text{if } i = \alpha, \\ h_i - f_2 a_{i2} - pk_i \pmod{p^s} & \text{if } i \neq \beta, \alpha. \end{cases}$$

Hence  $r := f_2 A_2 + pAx$  is a required vector in  $S$ .  $\square$

LEMMA 7. *Keep the situation in Lemma 6. Then we have*

$$a_{i2} \not\equiv 0 \pmod{p} \text{ and } a_{i2} \equiv 0 \pmod{p} \text{ for } i > 1$$

if (i)  $m \geq 4$ , (ii)  $\min(M + \mathbf{Z}[p^{-s}w]) < \min(M)^x$  for every  $w = \sum_{i=2}^m f_i w_i \in M$  with  $f_2 \not\equiv 0 \pmod{p}$  and  $f_3 \equiv \cdots \equiv f_m \equiv 0 \pmod{p}$ , and (iii)  $\min(M)$  is larger than some constant dependent on  $m$ ,  $\kappa$  and  $p$ .

*Proof.* We put  $K := \min(M)^x$ . By making  $\min(M)$  large so that

$$\frac{1}{4} \sqrt{Q(v_\alpha)/K} \geq \frac{1}{4} \min(M)^{(1-x)/2} > p,$$

Lemma 6 yields that there is an integral vector  $r = {}^t(r_1, \dots, r_m) \in S$  so that  $r_\alpha \equiv 1 \pmod{p^s}$  and  $\frac{1}{4} \sqrt{Q(v_\alpha)/K} < r_i < \frac{1}{2} \sqrt{Q(v_\alpha)/K}$  for  $i \neq \alpha, \beta$ . Defining an element  $w = \sum_{i=1}^m f_i w_i \in M$  by  ${}^t(f_1, \dots, f_m) = A^{-1}r$ ,  $r \in S$  yields  $f_1 \equiv 0 \pmod{p^s}$ ,  $f_2 \not\equiv 0 \pmod{p}$ ,  $f_3 \equiv \cdots \equiv f_m \equiv 0 \pmod{p}$ , and then the assumption implies  $\min(M + \mathbf{Z}[p^{-s}w]) < \min(M)^x < c_1 \min(M)$  for a sufficiently large  $\min(M)$ , and then Lemma 4 implies that

$$\min(M + \mathbf{Z}[p^{-s}w]) \asymp \min_{\substack{b \in \mathbf{Z} \\ p^s \nmid b}} \left( \sum_{i=1}^m [br_i p^{-s}]^2 Q(v_i) \right).$$

Hence, from the assumption  $\min(M + \mathbf{Z}[p^{-s}w]) < \min(M)^x$  follows

$$\min_{\substack{b \in \mathbf{Z} \\ p^s \nmid b}} \left( \sum_{i=1}^m [br_i p^{-s}]^2 Q(v_i) \right) \ll \min(M)^x.$$

Taking out  $\alpha$  and  $\gamma$ -th coordinates for  $\gamma \neq \alpha, \beta$ , Lemma 1 gives

$$Q(v_\alpha) Q(v_\gamma) \ll \min(M)^{2x} p^{2s},$$

which implies

$$\begin{aligned} Q(v_1)^2 Q(v_\alpha) Q(v_\gamma) &= (Q(v_1) Q(v_\alpha)) (Q(v_1) Q(v_\gamma)) \\ &\ll (Q(v_\alpha) Q(v_\gamma))^2 \ll \min(M)^{4x} p^{4s}. \end{aligned}$$

If  $1 \notin \{\alpha, \gamma\}$ , then it is easy to see, by the assumption on the basis  $\{w_i\}$  in Theorem

$$Q(v_1) Q(v_\alpha) Q(v_\gamma) \asymp d \mathbf{Z}[v_1, v_\alpha, v_\gamma] \geq p^{4s}.$$

Hence the above two inequalities imply  $Q(v_1) \ll \min(M)^{4x} < \min(M)^{4/7}$ . This is a contradiction if  $\min(M)$  is sufficiently large. Thus we have  $1 \in \{\alpha, \gamma\}$ . By the assumption  $m \geq 4$ , there is a number  $\gamma'$  with  $\gamma' \neq \alpha, \beta, \gamma$  and  $1 \leq \gamma' \leq m$ . Similarly we have  $1 \in \{\alpha, \gamma'\}$  and so  $\alpha = 1$ . Since the number  $\alpha$  is given only by the



condition  $a_{\alpha_2} \not\equiv 0 \pmod{p}$ , we have  $a_{i_2} \equiv 0 \pmod{p}$  if  $i \neq 1$ . □

**Proof of Theorem in the case of  $m \geq 4$ .**

We define bases  $\{v_i\}, \{w_i\}$  of  $M$ , a matrix  $A$  and others as in Lemma 6. If there is an element  $w = \sum_{i=2}^m f_i w_i \in M$  with  $f_2 \not\equiv 0 \pmod{p}$  and  $f_3 \equiv \dots \equiv f_m \equiv 0 \pmod{p}$  such that the inequality (i) in Theorem is true, then there is nothing to do. Hence we may assume

$$\min(M + \mathbf{Z}[p^{-s}w]) < \min(M)^x$$

for every  $w = \sum_{i=2}^m f_i w_i$  with  $f_2 \not\equiv 0 \pmod{p}$  and  $f_3 \equiv \dots \equiv f_m \equiv 0 \pmod{p}$ . We will show that this leads us to a contradiction. Assuming that  $\min(M)$  is sufficiently large, we have  $\min(M)^x < c_1 \min(M)$ . Now Lemma 4 implies, for such a vector  $w$

$$\min_{\substack{b \in \mathbf{Z} \\ p^s \nmid b}} \left( \sum_{i=1}^m [br_i p^{-s}]^2 Q(v_i) \right) \asymp \min(M + \mathbf{Z}[p^{-s}w]) < \min(M)^x,$$

where  ${}^t(r_1, \dots, r_m) = A^t(0, f_2, \dots, f_m)$ . Now Lemma 7 implies

$$a_{12} \not\equiv 0 \pmod{p} \quad \text{and} \quad a_{i2} \equiv 0 \pmod{p} \quad \text{for} \quad i \geq 2.$$

We will show that  $a_{ji} \not\equiv 0 \pmod{p}$  implies  $j \leq 2$  if  $i \geq 3$ . Take a natural number  $i$  with  $3 \leq i \leq m$  and let  $f_i$  be an integer with  $f_i \equiv 0 \pmod{p}$ . Then the above inequality implies, for  ${}^t(r_1, \dots, r_m) = A_2 + f_i A_i$

$$\begin{aligned} \min(M)^x &\gg \min_{\substack{b \in \mathbf{Z} \\ p^s \nmid b}} \left( \sum_{j=1}^m [b(a_{j2} + f_i a_{ji}) p^{-s}]^2 Q(v_j) \right) \\ &\geq \min_{\substack{b \in \mathbf{Z} \\ p^s \nmid b}} \left( [b(a_{12} + f_i a_{1i}) p^{-s}]^2 Q(v_1) + [b(a_{j2} + f_i a_{ji}) p^{-s}]^2 Q(v_j) \right) \end{aligned}$$

for every integer  $j > 1$ . Suppose that  $a_{ji} \not\equiv 0 \pmod{p}$  and  $j \geq 3$ . We will show a contradiction. Let us consider the equation in  $x \in \mathbf{Z}$

$$a_{j2} + f_i a_{ji} \equiv (a_{12} + f_i a_{1i})x \pmod{p^s}.$$

It is equivalent to

$$f_i(a_{ji} - a_{1i}x) \equiv a_{12}x - a_{j2} \pmod{p^s}.$$

We note  $a_{j2} \equiv 0 \pmod{p}$  and  $a_{ji} \not\equiv 0 \pmod{p}$ . Hence the equation has a solution

$f_i \equiv 0 \pmod{p}$  if  $x \equiv 0 \pmod{p}$ . So we have, replacing  $b(a_{12} + f_i a_{12})$  by  $b$

$$\min(M)^x \gg \min_{\substack{b \in \mathbf{Z} \\ p^s \nmid b}} ([bp^{-s}]^2 Q(v_1) + [bxp^{-s}]^2 Q(v_j))$$

for every integer  $x \equiv 0 \pmod{p}$ .

The inequality  $\frac{1}{4} \sqrt{Q(v_1) / \min(M)^x} \geq \frac{1}{4} \min(M)^{(1-x)/2} > p$  and Lemma 2 imply

$$Q(v_1) Q(v_j) \ll \min(M)^{2x} p^{2s}.$$

The assumption  $j \geq 3$  and  $d\mathbf{Z}[v_1, v_2, v_3] \equiv 0 \pmod{p^{4s}}$  imply

$$\begin{aligned} Q(v_1) p^{4s} &\leq Q(v_1) d\mathbf{Z}[v_1, v_2, v_3] \ll Q(v_1)^2 Q(v_2) Q(v_3) \\ &\ll (Q(v_1) Q(v_j))^2 \ll \min(M)^{4x} p^{4s} \end{aligned}$$

and hence  $\min(M) \leq Q(v_1) \ll \min(M)^{4x}$ . Hence if  $\min(M)$  is sufficiently large, this inequality does not hold. Thus we have shown  $a_{ji} \equiv 0 \pmod{p}$  if  $i \geq 3$  and  $j \geq 3$ . Hence Lemma 7 yields

$$A \equiv \begin{pmatrix} * & * & * & \cdots & * \\ * & * & * & \cdots & * \\ * & 0 & 0 & \cdots & 0 \\ & & & \cdots & \\ * & 0 & 0 & \cdots & 0 \end{pmatrix} \pmod{p}.$$

This contradicts  $A \in GL_m(\mathbf{Z})$  if  $m \geq 4$ . Thus the theorem has been proved if  $m \geq 4$ .  $\square$

Next we must prove **the case of  $m = 3$** . Let  $\{v_i\}$ ,  $\{w_i\}$ ,  $A$ ,  $s$  and others be as above. Assume for every integer  $f \equiv 0 \pmod{p}$

$$\min(M + \mathbf{Z}[p^{-s}(w_2 + fw_3)]) < \min(M)^x.$$

We will show that this leads us to a contradiction, making  $\min(M)$  sufficiently large. For an integer  $f \equiv 0 \pmod{p}$ , Lemma 4 yields

$$\min(M + \mathbf{Z}[p^{-s}(w_2 + fw_3)]) \asymp \min_{\substack{p^s \nmid b \\ i=1}}^3 [br_p^{-s}]^2 Q(v_i)$$

for  ${}^t(r_1, r_2, r_3) = A^t(0, 1, f)$ , if the left-hand side is less than  $c_1 \min(M)$ . Hence we have

$$\min_{p^s \chi b} \left( \sum_{i=1}^3 [br_i p^{-s}]^2 Q(v_i) \right) < \min(M)^x$$

for every integer  $f (\equiv 0 \pmod{p})$ , assuming that  $\min(M)$  is sufficiently large.

Putting  $A = \begin{pmatrix} * & S_1 & T_1 \\ * & S_2 & T_2 \\ * & S_3 & T_3 \end{pmatrix}$ , we have

$$r_i = S_i + fT_i.$$

LEMMA 8. Put  $d_{ij} = S_i T_j - S_j T_i$ , and take any coordinates  $\alpha, \beta$  such that  $S_\alpha \not\equiv 0 \pmod{p}$  and  $d_{\alpha\beta} \not\equiv 0 \pmod{p}$ . Denote by  $\bar{a}$  an integer which satisfies  $a\bar{a} \equiv 1 \pmod{p^s}$  if  $a \not\equiv 0 \pmod{p}$ . If  $x \equiv \bar{S}_\alpha S_\beta \pmod{p}$  holds for an integer  $x$ , then there is an integer  $f (\equiv 0 \pmod{p})$  so that  $r_\beta \equiv r_\alpha x \pmod{p^s}$ . The condition  $r_\beta \equiv r_\alpha x \pmod{p^s}$  implies for  $\gamma \neq \alpha, \beta$

$$r_\gamma \equiv r_\alpha \bar{d}_{\alpha\beta} (d_{\gamma\beta} + d_{\alpha\gamma} x) \pmod{p^s}.$$

*Proof.* Suppose  $x \equiv \bar{S}_\alpha S_\beta \pmod{p}$ . The equation  $r_\beta \equiv r_\alpha x \pmod{p^s}$  is equivalent to

$$(6) \quad f(T_\beta - T_\alpha x) \equiv S_\alpha x - S_\beta \pmod{p^s}.$$

Substituting  $x = \bar{S}_\alpha S_\beta + py$ , it becomes

$$f(T_\beta - T_\alpha \bar{S}_\alpha S_\beta - pT_\alpha y) \equiv pS_\alpha y \pmod{p^s}$$

and so

$$f(d_{\alpha\beta} - pS_\alpha T_\alpha y) \equiv pS_\alpha^2 y \pmod{p^s}.$$

Since  $d_{\alpha\beta} \not\equiv 0 \pmod{p}$ , this is indeed soluble for  $f (\equiv 0 \pmod{p})$ .

Supposing  $r_\beta \equiv r_\alpha x \pmod{p^s}$ , we have

$$\begin{aligned} d_{\gamma\beta} + d_{\alpha\gamma} x &\equiv S_\gamma T_\beta - S_\beta T_\gamma + (S_\alpha T_\gamma - S_\gamma T_\alpha) x \\ &\equiv (S_\alpha x - S_\beta) T_\gamma + S_\gamma (T_\beta - T_\alpha x) \equiv (T_\beta - T_\alpha x) (fT_\gamma + S_\gamma) \text{ by (6)} \\ &\equiv r_\gamma (T_\beta - T_\alpha x) \equiv r_\gamma \bar{r}_\alpha (r_\alpha T_\beta - T_\alpha r_\alpha x) \\ &\equiv r_\gamma \bar{r}_\alpha \{ (S_\alpha + fT_\alpha) T_\beta - T_\alpha (S_\beta + fT_\beta) \} \equiv r_\gamma \bar{r}_\alpha d_{\alpha\beta} \pmod{p^s}, \end{aligned}$$

which yields  $r_\gamma \equiv r_\alpha \bar{d}_{\alpha\beta} (d_{\gamma\beta} + d_{\alpha\gamma} x) \pmod{p^s}$ , □

LEMMA 9. We have

$$(7) \quad \min_{p^s \nmid b} ([bp^{-s}]^2 Q(v_\alpha) + [bxp^{-s}]^2 Q(v_\beta) + [\overline{bd_{\alpha\beta}}(d_{\gamma\beta} + d_{\alpha\gamma}x)p^{-s}]^2 Q(v_\gamma)) < \min(M)^x$$

for every integer  $x \pmod{p}$ .

*Proof.* This follows directly from Lemma 8, replacing  $b$  by  $\overline{br_\alpha}$ .  $\square$

LEMMA 10. *If the constant  $C$  in Theorem is sufficiently large, then we have  $\{\alpha, \beta\} = \{1, 2\}$  and  $\gamma = 3$ , and  $S_3 \equiv T_3 \equiv 0 \pmod{p}$  and*

$$(8) \quad Q(v_1)Q(v_2) \leq 16 \min(M)^{2x} p^{2s}.$$

*Proof.* Put  $K := \min(M)^x$ . Since we may assume

$$\frac{1}{4} \sqrt{Q(v_\alpha)/K} \geq \frac{1}{4} \min(M)^{(1-x)/2} > p,$$

applying Lemma 2 to the partial sum on  $\alpha, \beta$  in (7), we have

$$Q(v_\alpha)Q(v_\beta) \leq 16K^2 p^{2s}.$$

If  $\alpha$  or  $\beta = 3$ , then it implies

$$\begin{aligned} Q(v_1)dM &\ll (Q(v_1)Q(v_2))(Q(v_1)Q(v_3)) \ll (Q(v_\alpha)Q(v_\beta))^2 \\ &\leq 16^2 K^4 p^{4s}. \end{aligned}$$

Now  $dM \geq p^{4s}$  yields  $\min(M) \leq Q(v_1) \ll K^4 < \min(M)^{4/7}$ . This is a contradiction if  $\min(M)$  is larger than constant dependent on  $m = 3$ . Thus we have  $\{\alpha, \beta\} = \{1, 2\}$ . Since  $\alpha$  is taken under the condition  $S_\alpha \not\equiv 0 \pmod{p}$  only, we have  $S_3 \equiv 0 \pmod{p}$ , and since  $\beta$  is taken under the condition  $d_{\alpha\beta} \not\equiv 0 \pmod{p}$ , we have  $d_{\alpha 3} = S_\alpha T_3 - S_3 T_\alpha \equiv 0 \pmod{p}$ , which yields  $T_3 \equiv 0 \pmod{p}$  by  $S_3 \equiv 0 \pmod{p}$  and  $S_\alpha \not\equiv 0 \pmod{p}$ .  $\square$

LEMMA 11. *Let  $e$  be the least integer such that*

$$(9) \quad p^{e+1} \geq \frac{1}{4} \min(M)^{(1-x)/2}.$$

*Then we have*

$$S_3 \equiv T_3 \equiv 0 \pmod{p^e}.$$

*Proof.* Put  $K := \min(M)^\kappa$ . If  $p^{1+\text{ord}_p d_{\alpha 3}} < \frac{1}{4} \sqrt{\min(M)/K}$ , then we have  $p^{1+\text{ord}_p d_{\alpha 3}} < \frac{1}{4} \sqrt{Q(v_\alpha)/K}$ , and applying Lemma 2 to the partial sum on  $\alpha$ ,  $r (= 3)$  in (7), we have

$$Q(v_\alpha)Q(v_3) \leq 16K^2 p^{2s}.$$

This is the contradiction as in the proof of the previous lemma. Thus we have  $p^{1+\text{ord}_p d_{\alpha 3}} \geq \frac{1}{4} \sqrt{\min(M)/K}$  and hence  $\text{ord}_p d_{\alpha 3} \geq e$ .

Let us see the inequality  $\text{ord}_p d_{\beta 3} \geq e$ . If  $S_\beta \not\equiv 0 \pmod p$ , then replacing  $b$  by  $b\bar{x}$  in (7), we get

$$\min_{p^s \nmid b} ([bp^{-s}]^2 Q(v_\beta) + [\overline{d_{\alpha\beta}}(d_{3\beta}\bar{x} + d_{\alpha 3})p^{-s}]^2 Q(v_3)) < K$$

for every integer  $\bar{x} \equiv S_\alpha \overline{S_\beta} \pmod p$ . Similarly to the case of  $\alpha$ , we have the inequality  $\text{ord}_p d_{\beta 3} \geq e$ .

Next suppose  $S_\beta \equiv 0 \pmod p$ . Then the inequality (7) holds for every integer  $x \equiv 0 \pmod p$ . Suppose that the minimum of the left-hand side is attained by  $b$  with  $0 \neq |b| \leq p^s/2$  and  $b \equiv 0 \pmod{p^{s-1}}$ . Putting  $b = Bp^{s-1}$ , we have

$$K \geq [Bp^{-1}]^2 Q(v_\alpha) = B^2 p^{-2} Q(v_\alpha) \geq p^{-2} Q(v_\alpha)$$

and so  $\min(M) \leq Q(v_\alpha) < Kp^2 = p^2 \min(M)^\kappa$ , which is a contradiction if  $\min(M)$  is sufficiently large. Thus the minimum is attained by an integer  $b$  with  $b \not\equiv 0 \pmod{p^{s-1}}$  and so (7) implies

$$\min_{p^{s-1} \nmid b} ([bxp^{-s}]^2 Q(v_\beta) + [b\overline{d_{\alpha\beta}}(d_{3\beta} + d_{\alpha 3}x)p^{-s}]^2 Q(v_3)) < K$$

for every  $x \equiv 0 \pmod p$ . Letting  $x = py$  with  $y \not\equiv 0 \pmod p$  and replacing  $b$  by  $b\bar{y}$ , we have

$$\min_{p^{s-1} \nmid b} ([bp^{1-s}]^2 Q(v_\beta) + [b\overline{d_{\alpha\beta}}(d_{3\beta}p^{-1}\bar{y} + d_{\alpha 3})p^{1-s}]^2 Q(v_3)) < K$$

for every integer  $\bar{y} \not\equiv 0 \pmod p$ . Here we note  $d_{3\beta} \equiv 0 \pmod p$  by  $S_3 \equiv T_3 \equiv 0 \pmod p$ . Hence if  $p^{\text{ord}_p(d_{3\beta})} \leq \frac{1}{4} \sqrt{Q(v_\beta)/K}$ , then Lemma 2 implies

$$Q(v_\beta)Q(v_3) \leq 16K^2 p^{2(s-1)}.$$

This is a contradiction as in the case of  $\alpha$ . Hence we have  $p^{\text{ord}_p(d_{3\beta})} >$

$\frac{1}{4}\sqrt{Q(v_\beta)/K} > \frac{1}{4}\sqrt{\min(M)/K}$  and so  $\text{ord}_p(d_{3\beta}) \geq e + 1 > e$ . Thus we have obtained  $d_{3\alpha} \equiv d_{3\beta} \equiv 0 \pmod{p^e}$ , and so

$$S_3T_\alpha \equiv S_\alpha T_3 \pmod{p^e} \text{ and } S_3T_\beta \equiv S_\beta T_3 \pmod{p^e}.$$

Hence we have  $S_3d_{\alpha\beta} = S_3(S_\alpha T_\beta - S_\beta T_\alpha) \equiv S_\alpha S_\beta T_3 - S_\beta S_\alpha T_3 \equiv 0 \pmod{p^e}$  and so  $S_3 \equiv 0 \pmod{p^e}$  and  $T_3 \equiv \overline{S_\alpha} S_3 T_\alpha \pmod{p^e} \equiv 0 \pmod{p^e}$ .  $\square$

LEMMA 12. *Let  $f$  be the least integer such that  $p^f > c_5 \min(M)^\kappa$ , where  $c_5$  is some absolute constant. Then we have*

$$d_{\alpha 3} \equiv 0 \pmod{p^{s-f-1}}.$$

*Proof.* Put  $K := \min(M)^\kappa$ . Suppose that an integer  $b$  with  $0 \neq |b| \leq p^s/2$  gives the minimum of the left-hand side of the equality (7).

Suppose  $b(d_{3\beta} + d_{\alpha 3}x) \not\equiv 0 \pmod{p^s}$ ; since  $d_{3\beta} + d_{\alpha 3}x \equiv 0 \pmod{p^e}$  by Lemma 11, the denominator of  $b(d_{3\beta} + d_{\alpha 3}x)p^{-s}$  divides  $p^{s-e}$ . Thus the inequality (7) gives

$$K > [b\overline{d_{\alpha\beta}}(d_{3\beta} + d_{\alpha 3}x)p^{-s}]^2 Q(v_3) \geq p^{-2(s-e)} Q(v_3),$$

which implies  $Q(v_3) < Kp^{2(s-e)}$ . Thus the inequality (8) in Lemma 10 gives

$$\begin{aligned} p^{4s} &\leq dM \asymp Q(v_1)Q(v_2)Q(v_3) < 16K^3 p^{4s-2e} \\ &\ll 16K^3 p^{4s} \frac{16p^2}{\min(M)^{1-\kappa}} \quad \text{by (9)} \\ &= 16^2 \min(M)^{4\kappa-1} p^{4s+2}. \end{aligned}$$

Thus we have  $\min(M)^{1-4\kappa} \ll p^2$ , and so making the constant  $C$  in Theorem larger, we have a contradiction. Hence we may assume that  $b$  runs over integers such that

$$(10) \quad b(d_{3\beta} + d_{\alpha 3}x) \equiv 0 \pmod{p^s} \text{ and } p^s \nmid b$$

in the left-hand side of the inequality (7). By  $\frac{1}{4}\sqrt{Q(v_\alpha)/K} \geq \frac{1}{4}\min(M)^{(1-\kappa)/2} > 3p$  for a sufficiently large  $C$ , there is an integer  $y$  such that  $y \equiv \overline{S_\alpha} S_\beta \pmod{p}$  and

$$(11) \quad \frac{1}{4}\sqrt{Q(v_\alpha)/K} < y < y + p < \frac{1}{2}\sqrt{Q(v_\alpha)/K}.$$

Put  $x = y$  or  $x = y + p$ , and suppose that an integer  $b$  with  $0 \neq |b| \leq p^s/2$  gives the minimum of the left-hand side of the inequality (7). Then we have

$$K > [bp^{-s}]^2 Q(v_\alpha) = b^2 p^{-2s} Q(v_\alpha),$$

which yields

$$|bxp^{-s}| < \sqrt{K/Q(v_\alpha)} p^s \cdot \frac{1}{2} \sqrt{Q(v_\alpha)/K} p^{-s} = 1/2.$$

Hence the inequality (7) gives

$$\begin{aligned} K &> [bxp^{-s}]^2 Q(v_\beta) = b^2 x^2 p^{-2s} Q(v_\beta) \\ &> b^2 \frac{Q(v_\alpha)}{16K} p^{-2s} Q(v_\beta) \quad \text{by (11)} \\ &= b^2 \frac{Q(v_\alpha)Q(v_\beta)}{16K} p^{-2s} \gg \frac{b^2}{16K}, \end{aligned}$$

where we used the inequality  $Q(v_\alpha)Q(v_\beta) \asymp d\mathbf{Z}[v_\alpha, v_\beta] \geq p^{2s}$ . Thus we have obtained  $|b| < c_5 K$  for some absolute constant  $c_5$ . Then the way of choice of  $f$  implies  $p^f > c_5 K > |b|$  and we have  $f \geq \text{ord}_p b$ . The equality (10) implies

$$d_{3\beta} + d_{\alpha 3}x \equiv 0 \pmod{p^{s-\text{ord}_p b}} \equiv 0 \pmod{p^{s-f}}.$$

Since this is true for  $x = y$  and  $x = y + p$ , we have  $d_{\alpha 3} \equiv 0 \pmod{p^{s-f-1}}$  □

LEMMA 13. *Let  $g$  be the least integer such that  $p^g > c_6 \min(M)^\times$ , where  $c_6$  is some absolute constant. Then we have*

$$d_{\beta 3} \equiv 0 \pmod{p^{s-g-1}}.$$

*Proof.* Put  $K := \min(M)^\times$ . Since  $\alpha$  is determined only by the condition  $S_\alpha \not\equiv 0 \pmod{p}$ , replacing  $\alpha$  by  $\beta$ , we get the assertion from Lemma 12 if  $S_\beta \not\equiv 0 \pmod{p}$ . Hence we may assume  $S_\beta \equiv 0 \pmod{p}$ . So the inequality (7) holds for every integer  $x (\equiv 0 \pmod{p})$ . Letting  $x = py$  with  $y \not\equiv 0 \pmod{p}$  and replacing  $b$  by  $b\bar{y}$ , we have, replacing  $\bar{y}$  by  $y$  again

$$(12) \quad \min_{p^s \nmid b} ([by p^{-s}]^2 Q(v_\alpha) + [bp^{1-s}]^2 Q(v_\beta) + [b\overline{d_{\alpha\beta}}(d_{3\beta}y + d_{\alpha 3}p)p^{-s}]^2 Q(v_3)) < K$$

for every integer  $y (\not\equiv 0 \pmod{p})$ . If the minimum of the left-hand side is given by an integer  $b$  with  $0 \neq |b| \leq p^s/2$  and  $b \equiv 0 \pmod{p^{s-1}}$ , then we have

$$K > Q(v_\alpha) / p^2,$$

noting that the denominator of  $by p^{-s}$  is equal to  $p$ . It implies  $Kp^2 > Q(v_\alpha) \geq$

$\min(M)$  and so  $\min(M)^{1-x} < p^2$ , which is a contradiction if  $C$  is a sufficiently large number. Thus the minimum of the left-hand side of (12) is attained by  $b \not\equiv 0 \pmod{p^{s-1}}$ . Let an integer  $b$  with  $0 \neq |b| \leq p^s/2$  give the minimum of the left-hand side of (12) and put

$$b = a_1 + a_2 p^{s-1} \text{ with } 0 \neq |a_1| \leq p^{s-1}/2.$$

Now we claim both  $a_2 = 0$  and  $|by| < p^{s-1}/2$  if  $|\sqrt{K/Q(v_\beta)}| |y| \leq 1/2$ .

First, let us see

$$(13) \quad |a_2| \leq p/2.$$

If  $p$  is odd, then we have

$$\begin{aligned} |a_2| &= |a_1 - b|/p^{s-1} \leq (|a_1| + |b|)/p^{s-1} \\ &\leq ((p^{s-1} - 1)/2 + (p^s - 1)/2)/p^{s-1} = p/2 + 1/2 - p^{-(s-1)} \end{aligned}$$

and by virtue of the integrality of  $a_2$ , we have  $|a_2| \leq p/2$ . If  $p = 2$ , then we have

$$|a_2| \leq (|a_1| + |b|)/2^{s-1} \leq (2^{s-2} + 2^{s-1})/2^{s-1} = 3/2,$$

and hence  $|a_2| \leq 1 = p/2$ .

Next, we put

$$by \equiv a_1 y + a'_2 p^{s-1} \pmod{p^s}$$

with  $a'_2 \equiv a_2 y \pmod{p}$  and  $|a'_2| \leq p/2$ . Then we will see that

$$(14) \quad |a_1 y| < p^{s-1}/2 \quad \text{and} \quad |a_1 y + a'_2 p^{s-1}| \leq p^s/2,$$

taking  $a'_2$  with  $(a_1 y)a'_2 \leq 0$  if  $p = 2$ . The inequality (12) implies

$$K > [bp^{1-s}]^2 Q(v_\beta) = (a_1 p^{1-s})^2 Q(v_\beta)$$

and so  $|a_1| < \sqrt{K/Q(v_\beta)} p^{s-1}$ , which yields  $|a_1 y| < p^{s-1}/2$  if  $|\sqrt{K/Q(v_\beta)}| |y| \leq 1/2$ . Hence we have, for  $p \neq 2$

$$|a_1 y + a'_2 p^{s-1}| < p^{s-1}/2 + \frac{p-1}{2} p^{s-1} = p^s/2.$$

If  $p = 2$  and  $a'_2 \neq 0$ , then we have  $|a_1 y + a'_2 2^{s-1}| = 2^{s-1} - |a_1 y| \leq 2^{s-1}$ . If  $p = 2$  and  $a'_2 = 0$ , then  $|a_1 y| < 2^{s-1}$  is clear. Thus the inequalities in (14) have been shown, and then the inequalities (12) and (14) yield

$$K > [byp^{-s}]^2 Q(v_\alpha) = (a_1 y + a'_2 p^{s-1})^2 p^{-2s} Q(v_\alpha)$$



and hence

$$(15) \quad |a_1y + a_2p^{s-1}| \leq \sqrt{K/Q(v_\alpha)} p^s.$$

Suppose  $a_2 \neq 0$ ; then we have  $a_2 \not\equiv 0 \pmod p$  by (13) and so  $a_2' \neq 0$ . Thus the left-hand side of (15) is larger than

$$p^{s-1} - |a_1y| > p^{s-1} - p^{s-1}/2 = p^{s-1}/2,$$

and hence we have  $p^{s-1}/2 < \sqrt{K/Q(v_\alpha)} p^s \leq \min(M)^{(s-1)/2} p^s$ , which yield the contradiction  $\min(M)^{(1-s)/2} < 2p$ . Thus we have shown the claim  $a_2 = 0$  and  $b = a_1$ , that is, an integer  $b$  which gives the minimum of the left-hand side of (12), satisfies two inequalities

$$|by| < p^{s-1}/2 \quad \text{and} \quad 0 \neq |b| \leq p^{s-1}/2 \quad \text{if} \quad \sqrt{K/Q(v_\beta)} |y| \leq 1/2.$$

Because of  $\frac{1}{4} \sqrt{Q(v_\beta)/K} \geq \frac{1}{4} \min(M)^{(1-s)/2} \geq p$ , we can take  $y \not\equiv 0 \pmod p$  so that

$$\frac{1}{4} \sqrt{Q(v_\beta)/K} < |y| < \frac{1}{2} \sqrt{Q(v_\beta)/K},$$

then letting an integer  $b$  with  $0 \neq |b| \leq p^s/2$  give the minimum of the left-hand side of (12), we have  $|b| \leq p^{s-1}/2$  and then the inequality (12) and the above claim  $|by| < p^{s-1}/2$  imply

$$\begin{aligned} K &> [byp^{-s}]^2 Q(v_\alpha) = b^2 y^2 p^{-2s} Q(v_\alpha) \geq b^2 \frac{Q(v_\alpha)Q(v_\beta)}{16K} p^{-2s} \\ &\gg b^2/K \quad \text{because of} \quad Q(v_\alpha)Q(v_\beta) \gg p^{2s}. \end{aligned}$$

Thus we have

$$|b| < c_6 K \quad \text{if} \quad \frac{1}{4} \sqrt{Q(v_\beta)/K} < |y| < \frac{1}{2} \sqrt{Q(v_\beta)/K}$$

where  $c_6$  is an absolute constant. Now we take the least integer  $g$  so that  $p^g > c_6 K$ , which implies  $|b| < p^g$ . Taking an integer  $z$  so that  $\frac{1}{4} \sqrt{Q(v_\beta)/K} < z < z + p < \frac{1}{2} \sqrt{Q(v_\beta)/K}$ , we put  $y = z$  or  $y = z + p$ , and let  $b$  give the minimum of the left-hand side of (12). Suppose  $b(d_{3\beta}y + d_{\alpha 3}p) \not\equiv 0 \pmod{p^s}$ ; then the denominator of  $b\overline{d_{\alpha\beta}}(d_{3\beta}y + d_{\alpha 3}p)p^{-s}$  is at most  $p^{s-e}$  for the integer  $e$  in Lemma 11. Hence the inequality (12) implies  $K > p^{-2(s-e)} Q(v_3)$  and hence a contradiction as in the proof of Lemma 12. Therefore we have  $b(d_{3\beta}y + d_{\alpha 3}p) \equiv 0 \pmod{p^s}$ . Noting  $|b| < p^g$  as

above, we have  $d_{3\beta}y + d_{\alpha 3}p \equiv 0 \pmod{p^{s-g}}$  for  $y = z$  or  $= z + p$ . Thus we have  $d_{3\beta}p \equiv 0 \pmod{p^{s-g}}$  and so  $\text{ord}_p d_{3\beta} \geq s - g - 1$ .  $\square$

Combining Lemma 12 with Lemma 13, we have

LEMMA 14. *There is an absolute constant  $c_7$  so that*

$$d_{13} \equiv d_{23} \equiv 0 \pmod{p^{s-h-1}},$$

where  $h$  is the least integer so that  $p^h > c_7 \min(M)^x$ .

LEMMA 15. *The inequality  $g < s$  and  $d_{13} \equiv d_{23} \equiv 0 \pmod{p^g}$  imply  $p^g \leq 2 \min(M)^{x/2} p^{s/2}$ .*

*Proof.* We recall

$$A = \begin{pmatrix} * & S_1 & T_1 \\ * & S_2 & T_2 \\ * & S_3 & T_3 \end{pmatrix}, \quad d_{ij} = S_i T_j - S_j T_i, \quad (B(w_i, w_j)) = (B(v_i, v_j))[A].$$

Hence we have

$$\begin{aligned} (B(v_i, v_j)) &= (B(w_i, w_j))[A^{-1}] \\ &\equiv \text{diag}(\varepsilon, 0, 0)[A^{-1}] \pmod{p^g} \\ &\equiv \text{diag}(\varepsilon, 0, 0) \left[ \begin{pmatrix} 0 & 0 & * \\ * & * & * \\ * & * & * \end{pmatrix} \right] \text{ by } d_{13} \equiv d_{23} \equiv 0 \pmod{p^g} \\ &\equiv \begin{pmatrix} 0 & * & * \\ 0 & * & * \\ * & * & * \end{pmatrix} \begin{pmatrix} 0 & 0 & * \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \equiv \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & * \end{pmatrix} \pmod{p^g}. \end{aligned}$$

Since  $(B(w_i, w_j)) \not\equiv 0 \pmod{p}$  and hence  $(B(v_i, v_j)) \not\equiv 0 \pmod{p}$  by the assumption  $s(M_p) = \mathbf{Z}_p$ , we have  $Q(v_3) \not\equiv 0 \pmod{p}$ . For  $i = 1$  or  $2$ , we put  $Q(v_i) = ap^g$ ,  $B(v_i, v_3) = bp^g$  ( $a, b \in \mathbf{Z}$ ), which imply  $ap^g Q(v_3) - b^2 p^{2g} = d\mathbf{Z}[v_i, v_3] \equiv 0 \pmod{p^{2s}}$ . Therefore  $s > g$  implies  $a \equiv 0 \pmod{p^g}$ , and so  $Q(v_i) \equiv 0 \pmod{p^{2g}}$ . Thus  $s > g$  yields  $Q(v_1) \equiv Q(v_2) \equiv 0 \pmod{p^{2g}}$ , and hence  $p^{4g} \leq Q(v_1)Q(v_2) \leq 16 \min(M)^{2x} p^{2s}$  by (8).  $\square$

Now let us complete the proof of Theorem in the case of  $m = 3$ . If we put  $g = s - h - 1$  ( $< s$ ) for the number  $h$  in Lemma 14, we have  $p^{s-h-1} \leq 2 \min(M)^{x/2} p^{s/2}$ , and hence

$$p^{s/2} \leq 2 \min(M)^{x/2} p^{h+1} < 2c_7 \min(M)^{3x/2} p^2$$

by virtue of  $p^{h-1} \leq c_7 \min(M)^x < p^h$ . Putting  $\tilde{M} := M + \mathbf{Z}[p^{-s}w_2]$ ,  $\tilde{M}$  satisfies the conditions (ii) and (iii).  $[\tilde{M} : M] = p^s$  yields  $\min(p^s \tilde{M}) \geq \min(M)$  and hence we have

$$\begin{aligned} \min(\tilde{M}) &\geq p^{-2s} \min(M) > 2^{-4} c_7^{-4} \min(M)^{1-6x} p^{-8} \\ &\geq 2^{-4} c_7^{-4} p^{-8} \min(M)^{1-7x} \cdot \min(M)^x. \end{aligned}$$

Thus, if we take a sufficiently large number  $C$  which depends on  $p, c_7$ , we have  $\min(\tilde{M}) \geq \min(M)^x$ . This contradicts our assumption. Thus we have completed the proof in the case of  $m = 3$ . □

## §2

In this section we show that the assertion  $R_{m,2m+1}(N)$  is true if  $m \geq 3$ .

**THEOREM.** *Let  $m$  be a natural number  $\geq 3$ , and  $N$  a lattice on a positive definite quadratic space  $W$  over  $\mathbf{Q}$  with  $\dim W = 2m + 1$ . Let  $M$  be a lattice on a positive definite quadratic space  $V$  over  $\mathbf{Q}$  with  $\dim V = m$  and suppose that  $M_p$  is represented by  $N_p$  for every prime  $p$ . Let  $C_1$  be a positive number. Then there is a positive number  $C_2$  dependent only on  $C_1$  and  $N$  so that if  $\min(M) > C_2$ , then there is a lattice  $M'$  on  $V$  so that*

- (i)  $M'$  contains  $M$ ,
- (ii)  $M'_p$  is primitively represented by  $N_p$  for every prime  $p$ ,
- (iii)  $\min(M') > C_1$ .

*Remark.* In the case of  $m = 2$ , Theorem is false.

The following is immediate.

**COROLLARY.** *The assertion  $APW_{m,2m+1}(N)$  yields the assertion  $A_{m,2m+1}(N)$  if  $m \geq 3$ .*

The proof of the theorem is divided into several steps. Let  $M, N$  be lattices in Theorem. We may assume that  $n(N) \subset 2\mathbf{Z}$  without loss of generality. Put

$$S := \{p \mid p \text{ is a prime which divides } 2dN\}.$$

LEMMA 1. *If a prime  $p$  is not in  $S$ , then  $M_p$  is primitively represented by  $N_p$ .*

*Proof.* Since  $p$  is odd and  $N_p$  is unimodular,  $N_p$  is isometric to

$$\perp_m \langle \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \rangle \perp \langle dN \rangle$$

and so  $M_p$  is primitively represented by  $N_p$  by Proposition 5.3.2 in [5]. □

LEMMA 2. *If a prime  $p$  in  $S$  and  $\text{ind}W_p = m$ , then there is a constant  $c_p(N)$  dependent only on  $N_p$  so that there exists a lattice  $\tilde{M}_p$  on  $V_p$  which satisfies*

- (i)  $\tilde{M}_p \supset M_p$  and  $[\tilde{M}_p : M_p] < c_p(N)$ ,
- (ii)  $\tilde{M}_p$  is primitively represented by  $N_p$ .

*Proof.* Let  $K$  be a submodule of  $N_p$  which is isometric to  $M_p$ ; then by Lemma 3 in [3], there exists a submodule  $L$  of  $N_p$  so that  $L \cong K$  and  $[N_p \cap \mathbf{Q}_p L : L] < c_p(N)$  for a constant  $c_p(N)$  dependent only on  $N_p$ . By virtue of  $L \cong K \cong M_p$ , there is an isometry  $\sigma$  from  $M_p$  to  $L$ , and then we have only to put  $\tilde{M}_p = \sigma^{-1}(N_p \cap \mathbf{Q}_p L)$ . □

LEMMA 3. *Let  $p$  be a prime. There exist two constants  $r_p(N)$ ,  $c_p(N)$  so that there exists a lattice  $\tilde{M} (\supset M)$  on  $V$  which satisfies*

- (i)  $[\tilde{M} : M]$  is a power of the prime  $p$ ,
- (ii)  $\tilde{M}_q$  is represented by  $N_q$  for every prime  $q$ ,
- (iii)  $\text{ord}_p s(\tilde{M}) < r_p(N)$ ,
- (iv)  $\min(\tilde{M}) > c_p(N)p^{t/2} \min(T_0)$ , where a positive definite matrix  $T_0$  is defined by  $M = \langle p^t T_0 \rangle$  with  $n(T_0)\mathbf{Z}_p = 2\mathbf{Z}_p$  by identifying the corresponding matrix and a lattice.

*Proof.* Let  $N'_p$  be a  $2p^r\mathbf{Z}_p$ -maximal lattice in  $N_p$  and we assume that  $r$  is the least positive integer.  $r$  is determined by  $N_p$ .

Suppose  $\text{ord}_p s(M_p) \geq r + 13$ . Write

$$M = \langle p^{r+10+2a+c} T_0 \rangle,$$

where  $T_0$  satisfies  $n(T_0)\mathbf{Z}_p = 2\mathbf{Z}_p$  and  $a \geq 1$ ,  $c = 0$  or  $1$ . Putting  $b := 5 + a$ , we have  $p^b \geq 2^6 > 36$  and then Lemma 2 in [4] implies the existence of a positive constant  $c(m, p)$  dependent only on  $m$  and  $p$ , and a matrix  $H \in M_m(\mathbf{Z})$  so that  $\det H$  is a power of  $p$ ,  $\min(p^{2b+c} T_0 [H^{-1}]) > c(m, p)p^{b+c} \min(T_0)$ ,  $p^{2b+c} T_0 [H^{-1}] \not\equiv 0 \pmod{p^5}$  and finally  $n(p^{2b+c} T_0 [H^{-1}]) \subset 2\mathbf{Z}$ . Hence there exists a lattice  $\tilde{M}$

( $\supset M$ ) such that  $[\tilde{M}:M]$  is a power of  $p$ ,  $s(\tilde{M})\mathbf{Z}_p \supset p^{r+4}\mathbf{Z}_p$ ,  $\min(\tilde{M}) > c(m, p) p^{r+b+c} \min(T_0) \geq c(m, p) p^{r/2} \min(T_0)$  and finally  $n(\tilde{M}) \subset 2p^r\mathbf{Z}$ . Thus the assertion (i) is clearly satisfied and then for every prime  $q \neq p$ ,  $\tilde{M}_q = M_q$  is represented by  $N_q$ . Since  $n(\tilde{M})\mathbf{Z}_p \subset 2p^r\mathbf{Z}_p$ , and  $\mathbf{Q}_p\tilde{M} = \mathbf{Q}_pM$  is represented by  $\mathbf{Q}_pN$  and moreover  $N'_p (\subset \mathbf{Q}_pN)$  is a  $2p^r\mathbf{Z}_p$ -maximal lattice,  $\tilde{M}_p$  is represented by  $N'_p$  and hence by  $N_p$ . Thus the assertion (ii) is satisfied. The assertion (iii) is satisfied for  $r_p(N) = r + 13$ . (iv) is satisfied for  $c_p(N) := c(m, p)$ .

Next suppose  $\text{ord}_p s(M_p) < r + 13$ ; then putting  $\tilde{M} := M$ , the assertions (i), ..., (iv) are satisfied if  $r_p(N) = r + 13$ ,  $c_p(N) = 1$ . Thus the assertion are true for  $r_p(N) := r + 13$  and  $c_p(N) := \min\{1, c(m, p)\}$ . □

LEMMA 4. *There is a lattice  $\tilde{M} (\supset M)$  on  $V$  which satisfies*  
 (i) *any prime number dividing  $[\tilde{M}:M]$  is in  $S$ ,*  
 (ii) *there is a constant  $c(N)$  depending only on  $N$  such that*

$$\min(\tilde{M}) > c(N) \min(M)^{1/2},$$

(iii)  *$\tilde{M}_p$  is primitively represented by  $N_p$  if  $p \notin S$ , or if both  $p \in S$  and  $\text{ind } W_p = m$ ,*  
 (iv) *if  $p \in S$  and  $\text{ind } W_p = m - 1$ , then there is a number  $r_p(N)$  dependent only on  $N_p$  such that  $\text{ord}_p s(\tilde{M}_p) < r_p(N)$  and  $\tilde{M}_p$  is represented by  $N_p$ .*

*Proof.* By Lemma 1,  $M_p$  is primitively represented by  $N_p$  if  $p \notin S$ . Using Lemma 2 if  $p \in S$  and  $\text{ind } W_p = m$  and using Lemma 3 if  $p \in S$  and  $\text{ind } W_p = m - 1$ , we have only to enlarge  $M$ . □

SUBLEMMA. *Let  $0 < k \leq m \leq n$  be integers and  $N_p, K_1$  regular quadratic lattices over  $\mathbf{Z}_p$  with  $\text{rank } N_p = n$ ,  $\text{rank } K_1 = k$ . Moreover we assume that there is a quadratic space  $U$  over  $\mathbf{Q}_p$  such that  $\mathbf{Q}_pN_p \cong \mathbf{Q}_pK_1 \perp U$  and  $\text{ind } U \geq m - k$ . Then there exists a constant  $c = c(N_p, K_1, m, k)$  such that if  $K = K_1 \perp K_2$  is a regular quadratic lattice of  $\text{rank } K = m$  and  $K$  is represented by  $N_p$ , then there is a submodule  $K_0 \subset N_p$ , which is isometric to  $K$  with  $[N_p \cap \mathbf{Q}_pK_0 : K_0] < c$ .*

*Proof.* This is nothing but Theorem 2 in [3] ( $r, n, m$  and  $M$  there, are replaced by  $k, m, n$  and  $N$  respectively). □

LEMMA 5. *Let  $p$  be a prime. Assume that there is a decomposition  $M_p = M_{p,1} \perp M_{p,2}$  with  $\text{rank } M_{p,1} > 1$ , then there is an isometry  $\sigma : M_p \rightarrow N_p$  such that  $[\mathbf{Q}_p\sigma(M_p) \cap N_p : \sigma(M_p)] < c_p(M_{p,1}, N_p)$ , where  $c_p(M_{p,1}, N_p)$  depends only on  $M_{p,1}$*

and  $N_p$ .

*Proof.* Put  $k = \text{rank } M_{p,1}$ . By virtue of the sublemma, we have only to show  $\text{ind } U \geq m - k$  where  $U$  is determined by  $W_p \cong \mathbf{Q}_p M_{p,1} \perp U$ . We know

$$\dim U = 2m + 1 - k = 2(m - k) + k + 1 \geq 2(m - k) + 3.$$

If, hence the inequality  $\text{ind } U < m - k$  holds, then we have

$$\dim U \leq 2 \text{ind } U + 4 \leq 2(m - k - 1) + 4 = 2(m - k) + 2.$$

which contradicts  $\dim U \geq 2(m - k) + 3$ . Thus we have  $\text{ind } U \geq m - k$ . □

**Proof of Theorem.**

By virtue of Lemma 4, we may suppose

- (i)  $M_p$  is primitively represented by  $N_p$  if  $p \notin S$  or if  $p \in S$  and  $\text{ind } W_p = m$ ,
- (ii)  $\text{ord}_p s(M_p) < r_p(N)$  if  $p \in S$  and  $\text{ind } W_p = m - 1$ , where  $r_p(N)$  is only dependent on  $p$  and  $N_p$ ,
- (iii)  $\min(M)$  is sufficiently large.

We are assuming that  $n(N) \subset 2\mathbf{Z}$  and  $M_p$  is locally represented by  $N_p$ . So we have  $n(M) \subset 2\mathbf{Z}$ . Let a prime  $p \in S$  satisfy  $\text{ind } W_p = m - 1$ , and put  $t_p := \text{ord}_p s(M_p)$ . By the assumption (ii), we have  $0 \leq t_p \leq r_p(N)$ . Let

$$X := \{x \in N_p \mid \text{ord}_p Q(x) \leq r_p(N)\}.$$

The orthogonal group  $O(N_p)$  and  $\mathbf{Z}_p^\times$  act on  $X$  and the number of orbits is finite. Denote the set of representatives of orbits by  $\tilde{X}$ . Hence  $\tilde{X}$  is a finite set and if  $\text{ord}_p Q(x) < r_p(N)$  for  $x \in N_p$ , then there exist an isometry  $\sigma \in O(N_p)$  and  $\varepsilon \in \mathbf{Z}_p^\times$  such that  $\varepsilon\sigma(x) \in \tilde{X}$ . For  $x \in \tilde{X}$ , we take a maximal lattice  $N_x (\subset x^\perp \text{ in } N_p)$ , and put the norm  $n(N_x) = p^{n_p(x)} \mathbf{Z}_p$ . We take  $N_x$  so that  $n_p(x)$  is minimal, and put

$$n_p = \max_{x \in \tilde{X}} n_p(x).$$

$n_p$  is determined by  $r_p(N)$ , and hence only by  $N_p$ .

Let  $M_p = J_1 \perp \cdots \perp J_a$  be a Jordan decomposition, where  $J_i$  is  $p^{b_i} \mathbf{Z}_p$ -modular and  $0 \leq b_1 < b_2 < \cdots < b_a$ . By virtue of  $s(M_p) = s(J_1)$ , we have  $0 \leq b_1 = t_p < r_p(N)$ . If  $\text{rank}(J_1) > 1$ , then noting that the number of possibilities of isometry classes of  $J_1$  is bounded by a number dependent only on  $r_p(N)$  and  $m = (\text{rank } N - 1) / 2$ , Lemma 5 implies the existence of a lattice  $M'$  such that  $[M' : M] < c_p(N_p)$  and  $M'_p$  is primitively represented by  $N_p$ , where  $c_p(N_p)$  depends only on  $N_p$ .  $[M' : M]M' \subset M$  implies  $[M' : M]^2 \min(M') \geq \min(M)$  and hence

$\min(M') \geq [M' : M]^{-2} \min(M) > c_p(N_p)^{-2} \min(M)$ . Since  $c_p(N_p)$  depends only on  $N_p$ ,  $\min(M')$  is still large if  $\min(M)$  is sufficiently large. Next, we assume  $\text{rank}(J_1) = 1$ . If  $b_2 = \text{ord}_p s(J_2) \leq n_p$  holds, then applying Lemma 5 to  $M_{p,1} := J_1 \perp J_2$ , we can get the similar result. So we may assume

$$\text{rank}(J_1) = 1 \quad \text{and} \quad b_2 > n_p.$$

Now we take a basis  $\{w_1, \dots, w_m\}$  of  $M$  so that the matrix  $(B(w_i, w_j))$  satisfies the congruence condition in Theorem in §1, making it sufficiently close to bases of  $J_1, \dots, J_a$ . Put  $z_1 := w_1$ ,  $z_i := w_i - B(w_1, w_i)Q(w_1)^{-1}w_1$  ( $i \geq 2$ ); then we have  $M_p = \mathbf{Z}_p[w_1] \perp \mathbf{Z}_p[z_2, \dots, z_m]$ . Put  $s := [(\text{ord}_p Q(w_2) - \text{ord}_p Q(w_1)) / 2]$ ; by applying Theorem in §1 to the scaling of  $M_p$  by  $p^{-\text{ord}_p Q(w_1)} = p^{-t_p}$ , there exists an element  $w \in \mathbf{Z}[w_2, \dots, w_m] (\subset M)$  such that

$$\begin{aligned} \min(M + p^{-s}\mathbf{Z}[w]) &> \min(M)^{1/8}, \quad s(M + p^{-s}\mathbf{Z}[w]) \subset p^{t_p}\mathbf{Z}, \\ \text{ord}_p(d\mathbf{Z}[w_1, p^{-s}w]) &\leq 2 + t_p. \end{aligned}$$

Now we put

$$\tilde{M} := M + p^{-s+n_p}\mathbf{Z}[w].$$

Then we have

$$\begin{aligned} \tilde{M} &\subset M + p^{-s}\mathbf{Z}[w], \\ \min(\tilde{M}) &\geq \min(M + p^{-s}\mathbf{Z}[w]) > \min(M)^{1/8}, \\ \text{ord}_p d\mathbf{Z}[w_1, p^{-s+n_p}w] &\leq 2 + t_p + 2n_p \leq 2 + r_p(N_p) + 2n_p, \end{aligned}$$

and  $\mathbf{Z}[w_1, p^{-s+n_p}w] \subset \tilde{M}$  is clear. Hence if  $\tilde{M}_p$  is represented by  $N_p$ , there is a lattice  $M' \supset \tilde{M}$  by Lemma 5 such that  $M'_p$  is primitively represented by  $N_p$  and  $[M' : \tilde{M}]$  is bounded by a number dependent only on  $N_p$ , and it completes the proof of the theorem. Since  $B(w, w_1)$  is sufficiently close to 0, we have

$$\begin{aligned} \tilde{M}_p &= \mathbf{Z}_p[z_1, \dots, z_m] + p^{-s+n_p}\mathbf{Z}_p[w - B(w, w_1)Q(w_1)^{-1}w_1] \\ &= \mathbf{Z}_p[z_1] \perp (\mathbf{Z}_p[z_2, \dots, z_m] + p^{-s+n_p}\mathbf{Z}_p[w - B(w, w_1)Q(w_1)^{-1}w_1]). \end{aligned}$$

Moreover we know that  $\text{ord}_p Q(z_1) = \text{ord}_p Q(w_1) = t_p < r_p(N)$  and  $M_p$  is represented by  $N_p$ , and hence there is an isometry  $\sigma$  from  $M_p$  to  $N_p$  so that  $\sigma(z_1) = \varepsilon x$  for  $\varepsilon \in \mathbf{Z}_p^\times$  and  $x \in \tilde{X}$ .

Now  $\text{ord}_p s(w_1^\perp) = b_2 > n_p$  implies  $s(\mathbf{Z}_p[z_2, \dots, z_m]) \subset p^{n_p}\mathbf{Z}_p$  and  $s(M_p + p^{-s}\mathbf{Z}_p[w]) \subset p^{t_p}\mathbf{Z}_p$  implies

$$B(\mathbf{Z}_p[z_2, \dots, z_m], p^{-s+n_p}(w - B(w, w_1)Q(w_1)^{-1}w_1)) \subset p^{n_p+t_p}\mathbf{Z}_p.$$

Finally we have  $Q(p^{-s+n_p}(w - B(w, w_1)Q(w_1)^{-1}w_1)) \in p^{2n_p+t_p}\mathbf{Z}_p$ , since  $B(w, w_1)$  is sufficiently close to 0 and  $Q(p^{-s}w) \equiv 0 \pmod{p^{t_p}}$  and hence we have

$$s(\mathbf{Z}_p[z_2, \dots, z_m] + p^{-s+n_p}\mathbf{Z}_p[w - B(w, w_1)Q(w_1)^{-1}w_1]) \subset p^{n_p}\mathbf{Z}_p.$$

Hence  $z_1^\perp$  in  $\tilde{M}_p$  is represented by the maximal lattice  $N_x (\subset x^\perp$  in  $N_p)$  of  $\text{ord}_p n(N_x) \leq n_p$  because of  $\mathbf{Q}_p(z_1^\perp \text{ in } \tilde{M}_p) \hookrightarrow \mathbf{Q}_p x^\perp$  and  $\text{ord}_p n(z_1^\perp \text{ in } \tilde{M}_p) \geq n_p$ . Thus  $\tilde{M}_p$  is represented by  $N_p$ , and hence we have completed the proof of the theorem.  $\square$

### §3

Let us see the behavior of the expected main term of the number of isometries from  $M$  to  $N$  when  $n = 2m + 1$ . The expected main term (= Siegel's weighted sum) is given by

$$c_m (dN)^{-m/2} (dM)^{m/2} \prod_p \alpha_p(M_p, N_p)$$

where  $c_m$  is a number independent of  $M$  and  $N$ , and  $\alpha_p(M_p, N_p)$  is the local density. If a prime  $p$  is odd and both  $M_p$  and  $N_p$  are unimodular, then we know

$$\alpha_p(M_p, N_p) = \prod_{\substack{m+1 \leq e \leq 2m \\ 2|e}} (1 - p^{-e}) \times \begin{cases} 1 + \chi_p(-dNdM)p^{-(m+1)/2} & \text{if } 2 \nmid m \\ 1 & \text{if } 2 \mid m, \end{cases}$$

where  $\chi_p$  is the quadratic residue symbol. If we assume that  $m > 1$  and  $M_p$  is primitively represented by  $N_p$  for every prime  $p$ , then

$$\prod_p \alpha_p(M_p, N_p) > c(N) \prod_p (1 + \varepsilon_p p^{-1})$$

where primes  $p$  in the left-hand side run all over the primes, and primes  $p$  in the right-hand side run over the set

$$\{p \mid p \neq 2 \text{ and } N_p \text{ is unimodular but } M_p \text{ is not so}\},$$

and  $\varepsilon_p = 0$  or  $= \pm 1$  and the number  $c(N)$  is only dependent on  $N$ .  $\varepsilon_p$  is defined as follows: When  $M_p/pM_p$  is isometric to  $R$  of dimension 1 and the radical over  $\mathbf{Z}/p\mathbf{Z}$ ,  $\varepsilon_p$  is by definition  $\chi_p(dRd(N_p/pN_p))$ , where  $d$  denotes the discriminant and  $\chi_p$  is the quadratic residue symbol. Otherwise we put  $\varepsilon_p = 0$ . The right-hand side can tend to the zero when  $M$  varies. Note that there is a constant  $c$  such that

$$\prod_{p \mid t} (1 - p^{-1}) > c(\log \log t)^{-1}.$$



If we do not assume the existence of the primitive representation of  $M_p$  by  $N_p$ , then  $\alpha_p(M_p, N_p)$  can tend to the zero for a single prime  $p$  when  $M$  varies. It is known (Corollary on p. 448 in [3]) that there is a constant  $c(M_p, N_p)$  so that

$$\alpha_p(p^t M_p, N_p) > c(M_p, N_p) \begin{cases} 1 & \text{if } \text{ind } W_p = m, \\ p^{-t} & \text{if } \text{ind } W_p = m - 1. \end{cases}$$

In our case, i.e.  $\text{rank } N_p = 2 \text{rank } M_p + 1$ , we have

$$\alpha_p(M_p, N_p) \geq [M'_p : M_p]^{-m} d_p(M'_p, N_p)$$

for any lattice  $M'_p$  which contains  $M_p$  and is primitively represented by  $N_p$ , where  $d_p(M'_p, N_p)$  denotes the primitive density. We expect  $[M'_p : M_p] \ll p^{(a_1+a_2)/2}$ , where  $p^{a_i}$  denotes the  $i$ -th elementary divisor of the matrix corresponding to  $M_p$ . When we are concerned with the asymptotic formula of the number of isometries from  $M$  to  $N$ , we need a stronger estimate for error terms than in the primitive representation case.

On the contrary, from the arithmetic view-point, the primitive representation problem  $\text{APW}_{m,2m+1}$  yields automatically the representation problem  $\text{A}_{m,2m+1}$  by virtue of the validity of  $\text{R}_{m,2m+1}(N)$ .

### Appendix

PROPOSITION. *Let  $M$  be a lattice on a positive definite quadratic space over  $\mathbf{Q}$  of  $\dim V = m$ . Let  $M_i$  ( $i = 0, \dots, r$ ) be a lattice containing  $M$  on  $V$ , and let  $x_i \in M_i$  give the minimum of  $M_i$ , i.e.  $Q(x_i) = \min(M_i)$  and suppose that a module  $K := \mathbf{Z}[x_1, \dots, x_r]$  is of rank  $r$  and  $x_0 \in \mathbf{Q}K$ . Then we have*

$$\prod_{i=0}^r \min(M_i) \geq d(K + \mathbf{Z}[x_0]) [\mathbf{Z}[x_0] \cap M : \mathbf{Z}[x_0] \cap K \cap M]^2 \\ \times [\mathbf{Z}[x_0] \cap K : \mathbf{Z}[x_0] \cap K \cap M]^{-2} \min(M).$$

Moreover the index  $[\mathbf{Z}[x_0] \cap K : \mathbf{Z}[x_0] \cap K \cap M]$  divides  $[M_0 \cap (\sum_{i=1}^r M_i) : M]$ .

*Proof.* It is easy to see

$$\prod_{i=1}^r Q(x_i) \geq \det(B(x_i, x_i))_{i,j \geq 1} = dK \\ = d(K + \mathbf{Z}[x_0]) [K + \mathbf{Z}[x_0] : K]^2.$$

Moreover the index  $[\mathbf{Z}[x_0] : \mathbf{Z}[x_0] \cap M] x_0 \in M$  implies  $[\mathbf{Z}[x_0] : \mathbf{Z}[x_0] \cap M]^2 Q(x_0) \geq \min(M)$ . Hence we have

$$\prod_{i=0}^r Q(x_i) \geq d(K + \mathbf{Z}[x_0]) [K + \mathbf{Z}[x_0] : K]^2 [\mathbf{Z}[x_0] : \mathbf{Z}[x_0] \cap M]^{-2} \min(M).$$

Here we have

$$\begin{aligned} & [K + \mathbf{Z}[x_0] : K] [\mathbf{Z}[x_0] : \mathbf{Z}[x_0] \cap M]^{-1} \\ &= [\mathbf{Z}[x_0] : \mathbf{Z}[x_0] \cap K] [\mathbf{Z}[x_0] : \mathbf{Z}[x_0] \cap M]^{-1} \\ &= [\mathbf{Z}[x_0] \cap M : \mathbf{Z}[x_0] \cap K \cap M] [\mathbf{Z}[x_0] \cap K : \mathbf{Z}[x_0] \cap K \cap M]^{-1}, \end{aligned}$$

which implies the required inequality. Since the canonical mapping

$$(\mathbf{Z}[x_0] \cap K) / (\mathbf{Z}[x_0] \cap K \cap M) \rightarrow \left( M_0 \cap \left( \sum_{i=1}^r M_i \right) \right) / M$$

is injective, it completes the proof.  $\square$

**COROLLARY.** *Let  $M$  be a lattice on a positive definite quadratic space  $V$  over  $\mathbf{Q}$  of  $\dim V = m$ . Let  $M_i$  ( $i = 0, \dots, m$ ) be a lattice containing  $M$  on  $V$  such that  $s(M_i) \subset \mathbf{Z}$  for  $i = 0, \dots, m$  and  $[M_i : M]$  and  $[M_j : M]$  are relatively prime if  $i \neq j$ . Then we have*

$$\min(M) \leq \prod_{i=0}^m \min(M_i).$$

*In particular,  $\min(M_i) \geq (\min(M))^{1/(m+1)}$  for some  $i$ .*

*Proof.* Let  $x_i \in M_i$  give the minimum and may assume that  $K := \mathbf{Z}[x_1, \dots, x_r]$  is a module of rank  $r$  and  $x_0 \in \mathbf{Q}K$  without loss of generality. Then Proposition yields

$$\begin{aligned} \prod_{i=0}^r \min(M_i) &\geq d(K + \mathbf{Z}[x_0]) [\mathbf{Z}[x_0] \cap M : \mathbf{Z}[x_0] \cap K \cap M]^2 \\ &\quad \times [\mathbf{Z}[x_0] \cap K : \mathbf{Z}[x_0] \cap K \cap M]^{-2} \min(M) \\ &\geq d(K + \mathbf{Z}[x_0]) [\mathbf{Z}[x_0] \cap K : \mathbf{Z}[x_0] \cap K \cap M]^{-2} \min(M). \end{aligned}$$

On the other hand, the assumption implies  $s(K + \mathbf{Z}[x_0]) \subset s(\sum_{i=0}^m M_i) \subset \mathbf{Z}$  and hence  $d(K + \mathbf{Z}[x_0]) \geq 1$ . Moreover  $M_0 \cap (\sum_{i=1}^m M_i) = M$  implies  $[\mathbf{Z}[x_0] \cap K : \mathbf{Z}[x_0] \cap K \cap M] = 1$ , which completes the proof.  $\square$

*Remark.* *In the inequality, we need  $m + 1$  lattices in general. For example, let  $p_1 < \dots < p_m$  be odd different primes, and  $M = \mathbf{Z}[v_1, \dots, v_m]$  with  $(B(v_i, v_j)) = \text{diag}(p_1^2, \dots, p_m^2)$ . We put*

$$M_i = \mathbf{Z}[v_1, \dots, v_{i-1}, p_i^{-1} v_i, v_{i+1}, \dots, v_m].$$

Then  $[M_i : M] = p_i$  and  $\min(M_i) = 1$  are clear and  $\min(M) \leq \prod_{i=1}^m \min(M_i)$  does not hold.

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