

## LOCALLY TRIVIAL FIBRATIONS WITH SINGULAR 1-DIMENSIONAL STEIN FIBER OVER $q$ -COMPLETE SPACES

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**Abstract.** In connection with Serre's problem, we consider a locally trivial analytic fibration  $\pi : E \rightarrow B$  of complex spaces with typical fiber  $X$ . We show that if  $X$  is a Stein curve and  $B$  is  $q$ -complete, then  $E$  is  $q$ -complete.

### §1. Introduction

Let  $\pi : E \rightarrow B$  be a locally trivial analytic fibration of complex spaces with Stein fiber  $X$  of dimension  $n$ .

The following question was raised by Serre [17]:

*Under the above assumptions, does it follow that  $E$  is Stein if  $B$  is Stein?*

The answer is 'Yes' for  $n = 0$  (Stein [23] and Le Barz [12]) and  $n = 1$  (Mok [13]). In fact, some partial results were previously proved by various authors, Siu [19], Sibony [18], Hirschowitz [9], etc).

However, for  $n \geq 2$  there are counterexamples to Serre's question (see Skoda [21], Demailly [7], and Coeuré–Loeb [3]).

Related to this circle of ideas we study the case when the base  $B$  is  $q$ -complete. The normalization is chosen such that Stein spaces correspond to 1-complete spaces.

For  $n = 0$ , *i.e.*,  $E$  is a topological covering of  $B$ , Ballico [2] proved the  $q$ -completeness of  $E$ . This is a particular case of a result due to Vâjăitu [24] which gives that if  $\pi : Y \rightarrow Z$  is a locally trivial analytic fibration with hyperconvex fibre and  $Z$  is  $q$ -complete, then  $Y$  is  $q$ -complete. (A complex space  $S$  is said to be *hyperconvex* if  $S$  is Stein and has a negative exhaustion function which is continuous and plurisubharmonic.)

For  $n = 1$ , in order to generalize Mok's result, Vâjăitu [26] showed if  $X$  is non-singular,  $E$  is  $q$ -complete if  $B$  is  $q$ -complete. It remained the open problem when  $X$  is a singular Stein curve.

Our main result gives a complete answer to this question. It can be stated as follows.

**THEOREM 1.** *Let  $\pi : E \rightarrow B$  be a locally trivial analytic fibration with typical fiber  $X$ . If  $X$  is a Stein curve and  $B$  is  $q$ -complete, then  $E$  is  $q$ -complete, too.*

We remark that for  $q = 1$  the above theorem can be deduced from the case when the fiber is non-singular. This is due to the fact that the class of Stein spaces is invariant under finite holomorphic surjections (see Narasimhan [14]).

When  $q > 1$  the situation is drastically different because it is not known (see Colțoiu [6]) if the following holds:

*Let  $p : Y \rightarrow Z$  be a finite surjective holomorphic map of complex spaces. Assume  $Y$  is  $q$ -complete. Does it follow that  $Z$  is  $q$ -complete?*

(When  $Z$  is  $q$ -complete, then  $Y$  is  $q$ -complete. See Vâjăitu [25].)

To avoid this difficulty we use essentially an approximated extension of  $q$ -convex functions defined on complex subspaces with control of the directions of positivity of the extended function. Also the quasi-plurisubharmonic functions (Peternell [15]; see also Demailly [8]) will play an important rôle in the proof.

## §2. Preliminaries

Throughout this paper all complex spaces are assumed to be reduced and with countable topology.

Let  $Y$  be a complex space and  $T_y Y$  denotes the (Zariski) tangent space of  $Y$  at  $y$ . Set  $TY = \cup_{y \in Y} T_y Y$ .

A subset  $\mathcal{M} \subset TY$  is said to be a *linear set over  $Y$  (of codimension  $\leq q - 1$ )* if for every point  $y \in Y$ ,  $\mathcal{M}_y := \mathcal{M} \cap T_y Y \subset T_y Y$  is a complex vector subspace (of codimension  $\leq q - 1$ ). If  $W \subset Y$  is an open subset, we have an obvious definition of the restriction  $\mathcal{M}|_W$ .

Let  $\pi : Z \rightarrow Y$  be an analytic morphism of complex spaces and  $\mathcal{M}$  a linear set over  $Y$ . For every  $z \in Z$  we have an induced  $\mathbf{C}$ -linear map of complex vector spaces  $\pi_{*,z} : T_z Z \rightarrow T_y Y$ , where  $y = \pi(z)$ . We set

$$\pi^* \mathcal{M} := \bigcup_{z \in Z} (\pi_{*,z})^{-1}(\mathcal{M}_y).$$

Clearly,  $\pi^*\mathcal{M}$  is a linear set over  $Z$ . Moreover, if  $\text{codim}(\mathcal{M}) \leq q - 1$ , then  $\text{codim}(\pi^*\mathcal{M}) \leq q - 1$ .

A (local) chart of  $Y$  at a point  $y \in Y$  is a holomorphic embedding  $\iota : U \rightarrow \widehat{U}$ , where  $U \ni y$  is an open subset of  $Y$  and  $\widehat{U}$  is an open subset of some euclidean space  $\mathbf{C}^n$ . Holomorphic embedding means that  $\iota(U)$  is an analytic subset of  $\widehat{U}$  and the induced map  $\iota : U \rightarrow \iota(U)$  is biholomorphic.

Suppose  $\iota : U \rightarrow \widehat{U}$  is a local chart at  $y$ ; then the differential map  $\iota_{*,y} : T_y Y \rightarrow \mathbf{C}^n$  of  $\iota$  at  $y$  is an injective homomorphism of complex vector spaces.

Let  $D \subset \mathbf{C}^n$  be an open subset. A function  $\varphi \in C^\infty(D, \mathbf{R})$  is said to be  $q$ -convex if the quadratic form

$$L(\varphi, z)(\xi) = \sum_{i,j=1}^n \frac{\partial^2 \varphi}{\partial z_i \partial \bar{z}_j}(z) \xi_i \bar{\xi}_j, \quad \xi \in \mathbf{C}^n,$$

has at least  $n - q + 1$  positive eigenvalues for every  $z \in D$ , or equivalently, there exists a family  $\{M_z\}_{z \in D}$  of  $(n - q + 1)$ -dimensional complex vector subspaces of  $\mathbf{C}^n$  such that  $L(\varphi, z)|_{M_z}$  is a positive definite form for all  $z \in D$ .

Let  $Y$  be a complex space. A function  $\varphi \in C^\infty(Y, \mathbf{R})$  is said to be  $q$ -convex if every point of  $Y$  admits a local chart  $\iota : U \rightarrow \widehat{U} \subset \mathbf{C}^n$  such that there is an extension  $\widehat{\varphi} \in C^\infty(\widehat{U}, \mathbf{R})$  of  $\varphi|_U$  which is  $q$ -convex on  $\widehat{U}$ . (This definition does not depend on the local embeddings.)

We say that  $Y$  is  $q$ -complete if there exists a  $q$ -convex function  $\varphi \in C^\infty(Y, \mathbf{R})$  which is exhaustive, *i.e.*, the sublevel sets  $\{\varphi < c\}, c \in \mathbf{R}$ , are relatively compact in  $Y$ .

The following is due to Peternell [15].

**DEFINITION 1.** Let  $Y$  be a complex space,  $W \subset Y$  an open set,  $\mathcal{M}$  a linear set over  $W$ , and  $\varphi \in C^\infty(W, \mathbf{R})$ .

(a) Let  $y \in W$ . Then we say that  $\varphi$  is *weakly 1-convex with respect to*  $\mathcal{M}_y$  if there are: a local chart  $\iota : U \rightarrow \widehat{U}$  of  $Y$  with  $y \in U \subset W$ ,  $\widehat{U} \subset \mathbf{C}^n$  open set, and an extension  $\widehat{\varphi} \in C^\infty(\widehat{U}, \mathbf{R})$  of  $\varphi|_U$  such that  $L(\widehat{\varphi}, \iota(y))(\iota_{*,y}\xi) \geq 0$  for every  $\xi \in \mathcal{M}_y$ .

We say that  $\varphi$  is *weakly 1-convex with respect to*  $\mathcal{M}$  if  $\varphi$  is weakly 1-convex with respect to  $\mathcal{M}_y$  for every  $y \in W$ .

(b) The function  $\varphi$  is said to be *1-convex with respect to*  $\mathcal{M}$  if every point of  $W$  admits an open neighborhood  $U \subset W$  such that there exists a 1-convex function  $\theta$  on  $U$  with  $\varphi - \theta$  weakly 1-convex with respect to  $\mathcal{M}|_U$ .

It is not difficult to see that the extension  $\widehat{\varphi}$  of  $\varphi$  is irrelevant for the above definition. In particular, if the functions  $\varphi$  and  $\psi$  are (weakly) 1-convex with respect to  $\mathcal{M}$ , so is their sum  $\varphi + \psi$ .

**DEFINITION 2.** Let  $Y$  be a complex space and  $\mathcal{M}$  a linear set over  $Y$ . We denote by  $\mathcal{B}(Y, \mathcal{M})$  the set of all  $\varphi \in C^0(Y, \mathbf{R})$  such that every point of  $Y$  admits an open neighborhood  $D$  on which there are functions  $f_1, \dots, f_k \in C^\infty(D, \mathbf{R})$  which are 1-convex with respect to  $\mathcal{M}|_D$  and

$$\varphi|_D = \max\{f_1, \dots, f_k\}.$$

From [24] and [4] we quote

**PROPOSITION 1.** *Let  $\mathcal{M}$  be a linear set over a complex space  $Y$  and  $\varphi \in \mathcal{B}(Y, \mathcal{M})$ . Then for every  $\eta \in C^0(Y, \mathbf{R})$ ,  $\eta > 0$ , there exists  $\widetilde{\varphi} \in C^\infty(Y, \mathbf{R})$  which is 1-convex with respect to  $\mathcal{M}$  and*

$$\varphi \leq \widetilde{\varphi} < \varphi + \eta.$$

*In particular, if  $\mathcal{M}$  has codimension  $\leq q - 1$ , then  $\widetilde{\varphi}$  is  $q$ -convex.*

From [15] we have:

**PROPOSITION 2.** *Let  $Y$  be a complex space and  $\varphi \in C^\infty(Y, \mathbf{R})$  a  $q$ -convex function. Then there is a linear set  $\mathcal{M}$  over  $Y$  of codimension  $\leq q - 1$  such that  $\varphi$  is 1-convex with respect to  $\mathcal{M}$ .*

Motivated by Propositions 1 and 2, we say that a complex space  $Y$  is 1-complete with respect to a linear set  $\mathcal{M}$  over  $Y$  if there exists an exhaustion function  $\varphi \in \mathcal{B}(Y, \mathcal{M})$ . Consequently a complex space  $Y$  is  $q$ -complete if, and only if,  $Y$  is 1-complete with respect to some linear set  $\mathcal{M}$  of codimension  $\leq q - 1$ .

Let us recall that a Stein space  $S$  is said to be *hyperconvex* if there is a smooth plurisubharmonic exhaustion function  $\varphi : S \rightarrow (-\infty, 0)$ .

In [24] the following result has been proved:

**PROPOSITION 3.** *Let  $\pi : E \rightarrow B$  be a locally trivial analytic fibration with hyperconvex fibre. If  $B$  is 1-complete with respect to a linear set  $\mathcal{M}$  over  $B$ , then  $E$  is 1-complete with respect to  $\pi^*\mathcal{M}$ . In particular if  $B$  is  $q$ -complete, then  $E$  is  $q$ -complete.*

From this it follows

**COROLLARY 1.** *Let  $\pi : E \rightarrow B$  be a covering space with  $q$ -complete base  $B$ . Let  $\mathcal{M}$  be a linear set over  $B$  of codimension  $\leq q - 1$  such that  $B$  is 1-complete with respect to  $\mathcal{M}$ . Then there exists  $\mu : E \rightarrow \mathbf{R}$  a smooth exhaustion function which is 1-convex with respect to  $\pi^*\mathcal{M}$ . In particular  $E$  is  $q$ -complete.*

We shall also need the following result of M. Peternell ([15], Satz 3.1).

**PROPOSITION 4.** *Let  $Y$  be a complex space and  $A \subset Y$  a closed analytic subset. Then there is a function  $h \in C^\infty(Y, \mathbf{R})$  such that:*

- a)  $h \geq 0$ ,  $\{h = 0\} = A$ .
- b) For every  $y \in Y$  there exists an open neighborhood  $U$  of  $y$  and  $\theta \in C^\infty(U, \mathbf{R})$  such that

$$\log(h|_{U \setminus A}) + \theta|_{U \setminus A}$$

is 1-convex.

*Remark 1.* The function  $\log h$  is locally equal to the sum of a plurisubharmonic function and a smooth function. Such a function is called in Demailly [8] a *quasi-plurisubharmonic function*.

### §3. Construction of an auxiliary fibration

We recall that a complex space  $X$  is called *hyperbolic* (in the sense of Kobayashi) if the Kobayashi semidistance  $d_X$  is a distance. See the book of S. Lang [11].

#### Examples and properties.

- 1)  $\mathbf{C} \setminus \{p, q\}$  with  $p, q \in \mathbf{C}$ ,  $p \neq q$ , is hyperbolic.
- 2) Any open subset of a hyperbolic space is hyperbolic.
- 3) Let  $\pi : X' \rightarrow X$  be a covering of complex spaces. Then  $X'$  is hyperbolic if and only if  $X$  is hyperbolic.
- 4) Any relatively compact open subset of  $\mathbf{C}^n$  is hyperbolic.

A proof of these facts may be found in Kobayashi [10] and Lang [11].

Let us recall also the following result. (See Siu [20], p. 176 and Royden [16], p. 311.)

LEMMA 1. *Let  $F$  be a hyperbolic manifold and  $W$  a connected complex space. Let  $f : W \times F \rightarrow F$  be a holomorphic map such that for some  $w_o \in W$  the restriction of  $f$  to  $\{w_o\} \times F$  is biholomorphic onto  $F$ . Then  $f$  is independent of the variable in  $W$ , i.e.,  $f(w, x) = f(w_o, x)$  for all  $w \in W$  and  $x \in F$ .*

Let now  $X$  be a Stein space of pure dimension 1 (Stein curve) and  $W$  a connected complex space.

We assume that a biholomorphic map  $\Phi : W \times X \rightarrow W \times X$  is given such that the diagram

$$\begin{array}{ccc} W \times X & \xrightarrow{\Phi} & W \times X \\ & \searrow & \swarrow \\ & W & \end{array}$$

*pr*                      *pr*

is commutative. So, for every  $w \in W$  we have an automorphism of  $X$ ,  $\Phi_w : X \rightarrow X$ , given by  $\Phi_w(x) = \Phi(w, x)$ .

Let  $\nu : \tilde{X} \rightarrow X$  be the normalization map. Every  $\Phi_w, w \in W$ , lifts to a unique automorphism  $\tilde{\Phi}_w$  of  $\tilde{X}$  such that the diagram

$$\begin{array}{ccc} \tilde{X} & \xrightarrow{\tilde{\Phi}_w} & \tilde{X} \\ \nu \downarrow & & \downarrow \nu \\ X & \xrightarrow{\Phi_w} & X \end{array}$$

is commutative.

The maps  $\{\tilde{\Phi}_w\}_{w \in W}$  define a unique map  $\tilde{\Phi} : W \times \tilde{X} \rightarrow W \times \tilde{X}$  and we have a commutative diagram

$$(1) \quad \begin{array}{ccc} W \times \tilde{X} & \xrightarrow{\tilde{\Phi}} & W \times \tilde{X} \\ \text{id} \times \nu \downarrow & & \downarrow \text{id} \times \nu \\ W \times X & \xrightarrow{\Phi} & W \times X. \end{array}$$

We show

LEMMA 2.  $\tilde{\Phi}$  is biholomorphic.

This will be proved in two steps.

*Step 1.  $\tilde{\Phi}$  is biholomorphic if  $X$  is irreducible.*

We may assume that  $S := \text{Sing}(X) \neq \emptyset$ . Put  $\tilde{S} = \nu^{-1}(S)$ . Consider the commutative diagram of isomorphisms

$$(2) \quad \begin{array}{ccc} W \times (\tilde{X} \setminus \tilde{S}) & \xrightarrow{\tilde{\Phi}_1} & W \times (\tilde{X} \setminus \tilde{S}) \\ \text{id} \times \nu \downarrow & & \downarrow \text{id} \times \nu \\ W \times (X \setminus S) & \xrightarrow{\Phi_1} & W \times (X \setminus S) \end{array}$$

where  $\Phi_1 := \Phi|_{W \times (X \setminus S)}$  and  $\tilde{\Phi}_1 := \tilde{\Phi}|_{W \times (\tilde{X} \setminus \tilde{S})}$ .

If  $\text{card}(\tilde{S}) \geq 2$ , then  $\tilde{X} \setminus \tilde{S}$  is hyperbolic (by Examples 1), 2), and 3) in the beginning of this section). It follows from Lemma 1 that the maps  $\Phi_1$  and  $\tilde{\Phi}_1$  in diagram (2) are independent of  $w \in W$ , therefore also the maps  $\Phi$  and  $\tilde{\Phi}$  in diagram (1) are independent of  $w$ . In particular  $\tilde{\Phi}$  is biholomorphic.

Similarly, if  $\text{card}(\tilde{S}) = 1$  and  $\tilde{X} \neq \mathbf{C}$ , it follows that  $\tilde{X} \setminus \tilde{S}$  is hyperbolic and  $\tilde{\Phi}$  is biholomorphic (being independent of  $w \in W$ ).

It remains to study the case when  $X$  has only one singular point, say  $S = \{x_o\}$ , at which  $X$  is locally irreducible (therefore  $\text{card}(\tilde{S}) = 1$ ) and  $\tilde{X} = \mathbf{C}$  is its normalization. We may assume that  $\nu^{-1}(x_o) = 0 \in \mathbf{C}$ . It follows then easily that  $\tilde{\Phi}(w, \tilde{x}) = f(w) \cdot \tilde{x}$  with  $f \in \mathcal{O}^*(W)$ , so obviously  $\tilde{\Phi}$  is biholomorphic.

*Step 2.  $\tilde{\Phi}$  is biholomorphic for arbitrary 1-dimensional Stein space  $X$ .*

Clearly we may assume that  $X$  has no isolated points. Let  $X = \cup X_i$  be the decomposition of  $X$  into irreducible components. We claim first that for every index  $i$  there is a unique index  $j$  so that  $\Phi(W \times X_i) = W \times X_j$ . To show this, we let  $\text{Reg}(X) = \cup D_i$  be the decomposition into connected components with  $X_i = \overline{D_i}$ . Obviously, for each  $i$  there is a (unique)  $j$  such that  $\Phi(W \times D_i) = W \times D_j$ . Using the continuity of  $\Phi$  the claim follows.

Now let  $\tilde{X} = \cup \tilde{X}_i$  be the decomposition of  $\tilde{X}$  into connected components. Therefore  $\nu(\tilde{X}_i) = X_i$  and  $\nu|_{\tilde{X}_i} : \tilde{X}_i \rightarrow X_i$  is the normalization of  $X_i$ .

From the above claim each connected component  $W \times \tilde{X}_i$  of  $W \times \tilde{X}$  corresponds by  $\tilde{\Phi}$  to a (unique) connected component  $W \times \tilde{X}_j$ . Thus we have a commutative diagram

$$(3) \quad \begin{array}{ccc} W \times \tilde{X}_i & \xrightarrow{\tilde{\Phi}_2} & W \times \tilde{X}_j \\ \text{id} \times \nu \downarrow & & \downarrow \text{id} \times \nu \\ W \times X_i & \xrightarrow{\Phi_2} & W \times X_j \end{array}$$

where  $\Phi_2 := \Phi|_{W \times X_i}$  and  $\tilde{\Phi}_2 := \tilde{\Phi}|_{W \times \tilde{X}_i}$ .

We fix some biholomorphic map  $h : X_j \rightarrow X_i$  (e.g.,  $h = \Phi_{w_o}^{-1}$  for some  $w_o \in W$ ) and consider the commutative diagram

$$(4) \quad \begin{array}{ccccc} W \times \tilde{X}_i & \xrightarrow{\tilde{\Phi}_2} & W \times \tilde{X}_j & \xrightarrow{\text{id} \times \tilde{h}} & W \times \tilde{X}_i \\ \text{id} \times \nu \downarrow & & \text{id} \times \nu \downarrow & & \text{id} \times \nu \downarrow \\ W \times X_i & \xrightarrow{\Phi_2} & W \times X_j & \xrightarrow{\text{id} \times h} & W \times X_i. \end{array}$$

By step 1),  $(\text{id} \times \tilde{h}) \circ \tilde{\Phi}_2$  is biholomorphic, so  $\tilde{\Phi}_2$  is biholomorphic. It follows that  $\tilde{\Phi}$  is biholomorphic and the Lemma 2 is completely proved.

LEMMA 3. *Let  $\pi : E \rightarrow B$  be a locally trivial holomorphic fibration with fibre  $X$  a pure 1-dimensional Stein space.*

*Then there exist  $\pi' : E' \rightarrow B$  a locally trivial holomorphic fibration with fibre  $\tilde{X}$  = the normalization of  $X$  and a holomorphic map  $\tau : E' \rightarrow E$  with the following properties:*

1) *The diagram*

$$\begin{array}{ccc} E' & \xrightarrow{\tau} & E \\ & \searrow \pi' & \swarrow \pi \\ & & B \end{array}$$

*is commutative.*



2) For every  $b \in B$  the induced map

$$\tau_b : E'_b \longrightarrow E_b$$

is the normalization map  $\nu : \tilde{X} \rightarrow X$ .

3) Let

$$A := \bigcup_{b \in B} \text{Sing}(E_b)$$

and  $A' := \tau^{-1}(A)$ . Then  $A$  and  $A'$  are closed analytic subsets of  $E$  and  $E'$  respectively and  $\tau|_{E' \setminus A'} : E' \setminus A' \rightarrow E \setminus A$  is biholomorphic.

*Proof.* Let  $(W_i)_{i \in I}$  be a locally finite open covering of  $B$  such that  $E|_{W_i}$  is trivial and  $W_i \cap W_j$  is connected for every  $i, j \in I$ . We have the transition functions  $\Phi_{ij} : (W_i \cap W_j) \times X \rightarrow (W_i \cap W_j) \times X$  which are biholomorphic and such that the diagram

$$\begin{array}{ccc} (W_i \cap W_j) \times X & \xrightarrow{\Phi_{ij}} & (W_i \cap W_j) \times X \\ & \searrow pr & \swarrow pr \\ & W_i \cap W_j & \end{array}$$

is commutative.

Therefore we have induced maps  $\tilde{\Phi}_{ij} : (W_i \cap W_j) \times \tilde{X} \rightarrow (W_i \cap W_j) \times \tilde{X}$  which are biholomorphisms by the previous lemma.

Then clearly  $\{\tilde{\Phi}_{ij}\}$  define the required holomorphic fibration  $\pi' : E' \rightarrow B$ . All other required properties in the lemma are easily verified.

*Remark 2.* The above two lemmas are trivial if we assume  $B$  to be normal. In this case ( $B$  normal) it is clear that  $\tilde{\Phi}_{ij}$  are biholomorphic and it is not necessary to make the assumption that the fiber  $X$  is a 1-dimensional Stein space ( $X$  may be an arbitrary complex space).

#### §4. The proof of the main result

In this section we shall prove the subsequent Theorem 1' which clearly implies Theorem 1 already mentioned in the introduction.

**THEOREM 1'.** *Let  $\pi : E \rightarrow B$  be a locally trivial analytic fibration with Stein fibre  $X$  of dimension 1 and assume that  $B$  is 1-complete with respect to a linear set  $\mathcal{M}$  (over  $B$ ). Then  $E$  is 1-complete with respect to  $\pi^*\mathcal{M}$ .*

*In particular,  $E$  is  $q$ -complete if  $B$  is  $q$ -complete.*

*Proof.* When the fiber is non-singular Theorem 1' is proved in [26].

Subsequently we deal with the singular fibre  $X$ .

Let  $\pi' : E' \rightarrow B$  be a fibration with the properties stated in Lemma 3.

Denote  $p := \pi|_A : A \rightarrow B$  which is a covering map. In fact  $A$  can be described locally over  $B$  as follows: Let  $E|_U \simeq U \times X$  be a local trivialization. Then  $A \cap \pi^{-1}(U) \simeq U \times \text{Sing}(X)$  and  $\text{Sing}(X)$  is a discrete subset of  $X$ , say  $\text{Sing}(X) = \{a_j\}_{j \in J}$ , since  $X$  is one dimensional. By Corollary 1 there exists a smooth exhaustion function  $\mu : A \rightarrow \mathbf{R}$  such that  $\mu$  is 1-convex with respect to  $p^*\mathcal{M}$ .

We shall prove the following statement:

- (♣) Let  $\eta : A \rightarrow (0, \infty)$  be any continuous function. Then there exists an open neighborhood  $V$  of  $A$  in  $E$  and a smooth function  $\tilde{\mu} : V \rightarrow \mathbf{R}$  which is 1-convex with respect to  $(\pi^*\mathcal{M})|_V$  and

$$\mu \leq \tilde{\mu} < \mu + \eta$$

on  $A$ .

To prove (♣) we follow an idea from (Colțoiu [5], Lemma 3); but we refine it in order to get extensions with controlled positivity directions which are necessary for our patching process.

We fix a non-negative smooth strictly subharmonic function  $f : X \rightarrow \mathbf{R}$  such that  $\text{Sing}(X) = \{f = 0\}$ .

Let also  $\{U_i\}_{i \in I}$  and  $\{W_i\}_{i \in I}$  be locally finite open coverings of  $B$  such that  $U_i \subset\subset W_i \subset\subset B$  and  $E$  is trivial near  $\overline{W}_i$ . Now select  $\theta_i \in C^\infty(B, \mathbf{R})$  with  $\theta_i > 0$  on  $\overline{U}_i$  and  $\theta_i < 0$  on  $\partial W_i$ .

We have  $E|_{W_i} \simeq W_i \times X$  and  $E|_{W_i}$  contains the sequence of mutually disjoint closed analytic subsets  $W_i \times \{a_j\}$ ,  $j \in J$ . On  $W_i \times \{a_j\}$  we consider the restriction of  $\mu$  and perturb it with  $\epsilon_{ij}\theta_i \circ \pi$ . More precisely, we define near  $\overline{W}_i \times \{a_j\}$

$$\mu_{ij} = \mu + \epsilon_{ij}\theta_i \circ \pi$$

where  $\epsilon_{ij} > 0$  are small enough constants to be chosen later. For every  $x \in A$  we set  $\mu_1(x) = \max\{\mu_{ij}(x); (i, j) \in H(x)\}$  where  $H(x) = \{(i, j) \in I \times J; x \in W_i \times \{a_j\}\}$ . If  $\epsilon_{ij}$  are small enough, then  $\mu_1$  is continuous on  $A$ ,  $\mu_1 \in \mathcal{B}(A, p^*\mathcal{M})$ , and  $\mu \leq \mu_1 < \mu + \eta$  on  $A$ .

Moreover, on  $\partial(W_i \times \{a_j\})$  one has

$$(*) \quad \mu_1 > \mu_{ij}$$

for every indices  $(i, j) \in I \times J$ .

We shall prove that  $\mu_1$  has an extension  $\widetilde{\mu}_1$  to a neighborhood  $V$  of  $A$  such that  $\widetilde{\mu}_1 \in \mathcal{B}(V, \pi^*\mathcal{M})$  and this clearly will conclude the proof of  $(\clubsuit)$  in view of the approximation Proposition 1.

For this we choose open neighborhoods  $D_j \subset\subset X$  of the points  $a_j$  such that  $\overline{D_j} \cap \overline{D_{j'}} = \emptyset$  if  $j \neq j'$ . The functions  $\mu_{ij}$  defined on  $W_i \times \{a_j\}$  can be extended to smooth functions  $\widetilde{\mu}_{ij}$  on  $W_i \times D_j$  which are 1-convex with respect to  $\pi^*\mathcal{M}$ . Indeed, if  $p'_{ij}$  and  $p''_{ij}$  denote the projections of  $W_i \times D_j$  on  $W_i \times \{a_j\}$  and on  $D_j$  respectively, then one may set

$$\widetilde{\mu}_{ij} := \mu_{ij} \circ p'_{ij} + f|_{D_j} \circ p''_{ij}.$$

Put

$$\Omega := \bigcup_{(i,j) \in I \times J} W_i \times D_j$$

and for  $x \in \Omega$ ,  $\widetilde{\mu}_1(x) = \sup\{\widetilde{\mu}_{ij}(x); (i, j) \in \Gamma(x)\}$  where  $\Gamma(x) = \{(i, j) \in I \times J; x \in W_i \times D_j\}$ .

If  $V \subset \Omega$  is a small enough open neighborhood of  $A$ , it follows then from  $(*)$  that  $\widetilde{\mu}_1$  is continuous on  $V$  and in fact  $\widetilde{\mu}_1 \in \mathcal{B}(V, \pi^*\mathcal{M})$ , whence the proof of statement  $(\clubsuit)$ .

We now go back to the proof of Theorem 1'. Since Theorem 1' holds for  $E'$ , there exists a smooth exhaustion function  $\psi' : E' \rightarrow \mathbf{R}$  which is 1-convex with respect to  $(\pi')^*\mathcal{M}$ .

We fix some smooth function  $\widetilde{\mu} > 0$  defined near  $\overline{V}$ , where  $V$  is a sufficiently small open neighborhood of  $A$  such that  $\widetilde{\mu}|_{\overline{V}}$  is proper and  $\widetilde{\mu}$  is 1-convex with respect to  $\pi^*\mathcal{M}$  near  $\overline{V}$ .

By Proposition 4 there is a quasi-plurisubharmonic function  $\beta : E \rightarrow [-\infty, \infty)$  with  $A = \{\beta = -\infty\}$ . Also we may assume  $\beta = 0$  on  $E \setminus V$ . Then  $\beta' = \beta \circ \tau$  is quasi-plurisubharmonic on  $E'$  and  $A' := \tau^{-1}(A) = \{\beta' = -\infty\}$ . Since  $\psi'$  is a smooth exhaustion function on  $E'$  which is 1-convex with respect to  $(\pi')^*\mathcal{M}$ , there is a strictly increasing smooth convex function  $\delta : (0, \infty) \rightarrow (0, \infty)$  such that  $\delta \circ \psi' + \beta'$  is 1-convex with respect to  $(\pi')^*\mathcal{M}$  on  $E' \setminus A'$ , and

$$\delta \circ \psi' + \beta' > \tilde{\mu} \circ \tau$$

on  $\tau^{-1}(\partial V)$ .

Now  $E$  is covered by the open subsets  $V_1 := V$  and  $V_2 := E \setminus A$ . On  $V_1$  we consider the function  $\varphi_1 = \tilde{\mu}$  and on  $V_2$  the function  $\varphi_2 = \delta \circ \psi' \circ \tau^{-1} + \beta$  and we define the function  $\psi_1 : E \rightarrow \mathbf{R}$  given by  $\psi_1(x) := \max\{\varphi_k(x) ; k \in K(x)\}$ , where  $K = \{1, 2\}$  and  $K(x) = \{k \in K ; x \in V_k\}$ .

Then  $\psi_1$  is a continuous exhaustion function on  $E$  and  $\psi_1 \in \mathcal{B}(E, \pi^* \mathcal{M})$ . Thus the proof of Theorem 1' is complete.

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