

ON THE REGULARITY CRITERIA FOR THE GENERALIZED NAVIER-STOKES EQUATIONS AND LAGRANGIAN AVERAGED EULER EQUATIONS

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Abstract. We obtain some regularity conditions for solutions of the 3D generalized Navier-Stokes equations with fractional powers of the Laplacian, in terms of the velocity, the vorticity, and the pressure in Besov space, Triebel-Lizorkin space, and Lorentz space, respectively. We also present a regularity condition for the 3D Lagrangian averaged Euler equations.

1. INTRODUCTION

We study the following generalized Navier-Stokes equations:

$$\partial_t u + (u \cdot \nabla)u + \nabla \pi + (-\Delta)^{\ell/2} u = 0, \quad (1.1)$$

$$\operatorname{div} u = 0, \quad (1.2)$$

$$u|_{t=0} = u_0(x), \operatorname{div} u_0 = 0, x \in \mathbb{R}^3, \quad (1.3)$$

where u is the velocity field, π is the scalar pressure, and ℓ is a positive constant. The case $\ell = 2$ corresponds to the usual Navier-Stokes equations.

The system (1.1)-(1.3) was first considered by J.L. Lions in [23], and the global regularity for $\ell \geq 5/2$ is shown there. When $\ell > 2$, existence and uniqueness of solutions for (1.1)-(1.3) has been studied by S. Tourville [31]. Y. Zhou [35] obtained the regularity condition:

$$u \in L^r(0, T; L^p(\mathbb{R}^3)), \quad \text{with } \frac{\ell}{r} + \frac{3}{p} = \ell - 1, \frac{3}{\ell - 1} < p \leq \infty, \quad (1.4)$$

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or

$$\Lambda^{\ell/2}u \in L^r(0, T; L^p(\mathbb{R}^3)), \quad \text{with } \frac{\ell}{r} + \frac{3}{p} = \frac{3\ell}{2} - 1, \quad \frac{6}{3\ell - 2} < p < \frac{6}{\ell - 2}. \quad (1.5)$$

Here, $\Lambda := (-\Delta)^{1/2}$. When $0 < \ell < 2$, there were studies on the small data global well-posedness for (1.1)-(1.3) by D. Chae [4], M. Cannone and G. Karch [3], D. Chae and J. Lee [5], and J. Wu [33]. D. Chae [4] proved the regularity criterion:

$$\omega := \operatorname{curl} u \in L^r(0, T; L^p(\mathbb{R}^3)), \quad \text{with } \frac{3}{p} + \frac{\ell}{r} \leq \ell, \quad (1.6)$$

where $\frac{6}{\ell} < p \leq \infty$. This covered the case $\ell = 2$ due to H.B. da Veiga [1]. In this note, we are concerned with the regularity condition of the strong solutions to (1.1)-(1.3). The first result for Navier-Stokes equations in this direction is obtained independently by Serrin [26] and Ohyaama [25] which states that if weak solution u satisfies

$$u \in L^r(0, T; L^p(\mathbb{R}^3)), \quad \text{with } \frac{2}{r} + \frac{3}{p} \leq 1, \quad 3 < p \leq \infty, \quad (1.7)$$

then u is smooth. After that there are further developments and refinements by Fabes, Jones, and Riviere [12], Giga [14], Sohr and Von Wahl [27], and Struwe [29]. In the important case $p = 3, r = \infty$ in (1.7), a smallness condition was required at first and removed by Escauriaza, Sverak, and Seregin [11]. Kozono, Ogawa, and Taniuchi [21] proved the following regularity condition:

$$\omega := \operatorname{curl} u \in L^1(0, T; \dot{B}_{\infty, \infty}^0(\mathbb{R}^3)), \quad (1.8)$$

where $\dot{B}_{\infty, \infty}^0$ denotes the homogeneous Besov spaces. Kozono and Shimada [22] refined (1.7) by the following condition:

$$u \in L^{\frac{2}{1-s}}(0, T; \dot{F}_{\infty, \infty}^{-s}) \quad \text{for } 0 < s < 1, \quad (1.9)$$

where $\dot{F}_{\infty, \infty}^{-s}$ denotes the homogeneous Triebel-Lizorkin space. Y. Zhou [34] and M. Struwe [30] proved similar regularity conditions on the pressure:

$$\pi \in L^r(0, T; L^p(\mathbb{R}^3)) \quad \text{with } \frac{2}{r} + \frac{3}{p} = 2 \quad \text{for } \frac{3}{2} < p \leq \infty, \quad (1.10)$$

or

$$\nabla \pi \in L^r(0, T; L^p(\mathbb{R}^3)) \quad \text{with } \frac{2}{r} + \frac{3}{p} = 3 \quad \text{for } 1 < p \leq \infty. \quad (1.11)$$

See also Cai and Zhai [2]. The purpose of this paper is to generalize all those available results for (1.1) in terms of homogeneous Besov space $\dot{B}_{p, \infty}^0$,

homogeneous Triebel-Lizorkin space $\dot{F}_{\infty,\infty}^{-s}$, and Lorentz space $L^{p,\infty}$. We now state our main results in this paper.

Theorem 1.1. *Let u_0 be smooth and $\operatorname{div} u_0 = 0$ in \mathbb{R}^3 and $\ell < \frac{5}{2}$. Assume that one of the following conditions is satisfied:*

$$\ell > 1, u \in L^r(0, T; \dot{B}_{p,\infty}^0) \quad \text{with} \quad \frac{\ell}{r} + \frac{3}{p} = \ell - 1, \quad \frac{3}{\ell - 1} < p < \infty; \quad (1.12)$$

$$\ell > 0, \omega \in L^r(0, T; \dot{B}_{p,\infty}^0) \quad \text{with} \quad \frac{\ell}{r} + \frac{3}{p} = \ell, \quad \frac{3}{\ell} < p \leq \infty; \quad (1.13)$$

$$\ell > 1, u \in L^r(0, T; \dot{F}_{\infty,\infty}^{-s}) \quad \text{with} \quad \frac{\ell}{r} = \ell - 1 - s, \quad 0 < s < 1, \quad (1.14)$$

$$\frac{\ell}{2} - 1 < s < \ell - 1;$$

$$\ell > 0, \omega \in L^r(0, T; \dot{F}_{\infty,\infty}^{-s}) \quad \text{with} \quad \frac{\ell}{r} = \ell - s, \quad 0 < s < 1, \quad 0 < s < \frac{\ell}{2}; \quad (1.15)$$

$$\ell \geq 2, \pi \in L^r(0, T; L^{p,\infty}) \quad \text{with} \quad \frac{\ell}{r} + \frac{3}{p} = 2\ell - 2, \quad \frac{3}{2\ell - 2} < p \leq \frac{3}{\ell - 2}. \quad (1.16)$$

Then there is no singularity up to T .

Remark 1.1. We observe that the system (1.1) is invariant under scaling transform $(u, \pi) \mapsto (u_\lambda, \pi_\lambda)$, where

$$u_\lambda(t, x) := \lambda^{\ell-1} u(\lambda^\ell t, \lambda x), \quad \pi_\lambda(t, x) := \lambda^{2\ell-2} \pi(\lambda^\ell t, \lambda x), \quad \lambda > 0,$$

which induces the scaling for the vorticity, $\omega \mapsto \omega_\lambda$, $\omega_\lambda(t, x) := \lambda^\ell \omega(\lambda^\ell t, \lambda x)$. Furthermore, we note that

$$\|u\|_{L^r(0, T; L^p(\mathbb{R}^3))} = \|u_\lambda\|_{L^r(0, \lambda^\ell T; L^p(\mathbb{R}^3))}, \quad \text{if} \quad \frac{\ell}{r} + \frac{3}{p} = \ell - 1;$$

$$\|\pi\|_{L^r(0, T; L^p(\mathbb{R}^3))} = \|\pi_\lambda\|_{L^r(0, \lambda^\ell T; L^p(\mathbb{R}^3))}, \quad \text{if} \quad \frac{\ell}{r} + \frac{3}{p} = 2\ell - 2;$$

$$\|\omega\|_{L^r(0, T; L^p(\mathbb{R}^3))} = \|\omega_\lambda\|_{L^r(0, \lambda^\ell T; L^p(\mathbb{R}^3))}, \quad \text{if} \quad \frac{\ell}{r} + \frac{3}{p} = \ell.$$

In this sense, our conditions (1.12)-(1.16) are optimal.

Remark 1.2. Since $L^p \subset \dot{B}_{p,\infty}^0$, $L^{3/s} \subset \dot{F}_{\infty,\infty}^{-s}$, and $L^\infty \subset BMO \subset \dot{B}_{\infty,\infty}^0$, our conditions (1.13) and (1.15) refines (1.6) in D.Chae [4]. Even when $\ell = 2$, our condition (1.15) is new.

Remark 1.3. It is a simple matter to generalize the conditions in Theorem 1.1 to the n -dimensional case. However, for simplicity we omit the details here.

2. PRELIMINARIES

We first recall the definition of the homogeneous Littlewood-Paley decomposition which will be used to define function spaces. We follow [32]. Let \mathcal{S} be the Schwartz class of rapidly decreasing smooth functions. Given $f \in \mathcal{S}$, its Fourier transform $\mathcal{F}(f) = \hat{f}$ is defined by

$$\hat{f}(\xi) = (2\pi)^{-n/2} \int_{\mathbb{R}^n} f(x)e^{-ix \cdot \xi} dx.$$

We consider $\varphi \in \mathcal{S}$ satisfying $\text{supp } \hat{\varphi} \subset \{\xi \in \mathbb{R}^n : \frac{1}{2} \leq |\xi| \leq 2\}$, and $\hat{\varphi} > 0$ if $\frac{2}{3} < |\xi| < \frac{3}{2}$. Setting $\hat{\varphi}_j(\xi) = \hat{\varphi}(2^{-j}\xi)$ (in other words, $\varphi_j(x) = 2^{jn}\varphi(2^jx)$), we can adjust the normalization constant in front of $\hat{\varphi}$ so that

$$\sum_{j \in \mathbb{Z}} \hat{\varphi}_j(\xi) = 1, \quad \xi \in \mathbb{R}^n \setminus \{0\}.$$

Given $k \in \mathbb{Z}$, we define the function $S_k \in \mathcal{S}$ by its Fourier transform

$$\hat{S}_k(\xi) := 1 - \sum_{j \geq k+1} \hat{\varphi}_j(\xi).$$

We observe

$$\text{supp } \hat{\varphi}_j \cap \text{supp } \hat{\varphi}_{j'} = \emptyset \quad \text{if } |j - j'| \geq 2.$$

Let $s \in \mathbb{R}$, $(p, q) \in [1, \infty) \times [1, \infty]$. Given $f \in \mathcal{S}'$, we denote $\Delta_j f := \varphi_j * f$, and then the homogeneous Triebel-Lizorkin semi-norm $\|f\|_{\dot{F}_{p,q}^s}$ is defined by

$$\|f\|_{\dot{F}_{p,q}^s} := \begin{cases} \left\| \left(\sum_{j \in \mathbb{Z}} 2^{jq_s} |\Delta_j f(\cdot)|^q \right)^{1/q} \right\|_{L^p} & \text{if } q \in [1, \infty), \\ \left\| \sup_{j \in \mathbb{Z}} \left(2^{js} |\Delta_j f(\cdot)| \right) \right\|_{L^p} & \text{if } q = \infty. \end{cases}$$

The homogeneous Triebel-Lizorkin space $\dot{F}_{p,q}^s$ is a quasi-normed space with the quasi-norm given by $\|\cdot\|_{\dot{F}_{p,q}^s}$. For $s > 0$, $(p, q) \in [1, \infty) \times [1, \infty]$. We define the inhomogeneous Triebel-Lizorkin space norm $\|f\|_{F_{p,q}^s}$ of $f \in \mathcal{S}'$ as

$$\|f\|_{F_{p,q}^s} := \|f\|_{L^p} + \|f\|_{\dot{F}_{p,q}^s}.$$

The inhomogeneous Triebel-Lizorkin space is a Banach space equipped with the norm, $\|\cdot\|_{F_{p,q}^s}$. Similarly, for $s \in \mathbb{R}, (p, q) \in [1, \infty]^2$, the homogeneous Besov norm $\|f\|_{\dot{B}_{p,q}^s}$ is defined by

$$\|f\|_{\dot{B}_{p,q}^s} := \begin{cases} \left(\sum_{-\infty}^{+\infty} 2^{jq^s} \|\varphi_j * f\|_{L^p}^q \right)^{1/q} & \text{if } q \in [1, \infty), \\ \sup_j (2^{js} \|\varphi_j * f\|_{L^p}) & \text{if } q = \infty. \end{cases}$$

The homogeneous Besov space $\dot{B}_{p,q}^s$ is a quasi-normed space with the quasi-norm given by $\|\cdot\|_{\dot{B}_{p,q}^s}$. For $s > 0$ we define the inhomogeneous Besov space norm $\|f\|_{B_{p,q}^s}$ of $f \in \mathcal{S}'$ as $\|f\|_{B_{p,q}^s} := \|f\|_{L^p} + \|f\|_{\dot{B}_{p,q}^s}$.

Below we recall lemmas that will be used in the proof of our results.

Lemma 2.1. (Bernstein's lemma). *Let $1 \leq p \leq \infty$. Then there exists a constant C_k such that for any $f \in L^p$ with $\text{supp } \hat{f} \subset \{2^{j-2} \leq |\xi| < 2^j\}$*

$$C_k^{-1} 2^{jk} \|f\|_{L^p} \leq \sum_{|\alpha|=k} \|\partial^\alpha f\|_{L^p} \leq C_k 2^{jk} \|f\|_{L^p}. \tag{2.1}$$

For the proof see J.Y. Chemin [6]. The following lemma is also well known (see, e.g., [32]).

Lemma 2.2. *For any $k \in \mathbb{Z}_+$, there exists a constant C_k such that the following inequality holds:*

$$C_k^{-1} \sum_{|\alpha|=h} \|\partial^\alpha f\|_{\dot{F}_{p,q}^s} \leq \|f\|_{\dot{F}_{p,q}^{s+k}} \leq C_k \sum_{|\alpha|=k} \|\partial^\alpha f\|_{\dot{F}_{p,q}^s}. \tag{2.2}$$

Lemma 2.3. *Let $\sigma > 0, 1 \leq p_1, p_2 \leq \infty, \sigma_1, \sigma_2 \in \mathbb{R}$ such that $\frac{3}{p_i} - \sigma_i > 0 \ i = 1, 2$, then the following inequality holds:*

$$\begin{aligned} & \left(\sum_{j \in \mathbb{Z}} 2^{2j\sigma} \|[f, \Delta_j] \nabla g\|_{L^2}^2 \right)^{1/2} \\ & \leq C \left(\|\nabla f\|_{\dot{B}_{p_1, \infty}^{\sigma_1}} \|g\|_{\dot{B}_{2,2}^{\sigma - \sigma_1 + \frac{3}{p_1}}} + \|\nabla g\|_{\dot{B}_{p_2, \infty}^{\sigma_2}} \|f\|_{\dot{B}_{2,2}^{\sigma - \sigma_2 + \frac{3}{p_2}}} \right). \end{aligned} \tag{2.3}$$

If $\sigma_1 = 0, p_1 = \infty, \|\nabla f\|_{\dot{B}_{p_1, \infty}^{\sigma_1}}$ has to be replaced by $\|\nabla f\|_{L^\infty}$, or if $\sigma_2 = 0, p_2 = \infty, \|\nabla g\|_{\dot{B}_{p_2, \infty}^{\sigma_2}}$ has to be replaced by $\|\nabla g\|_{L^\infty}$. Here $[f, \Delta_j] \nabla g := f \Delta_j(\nabla g) - \Delta_j(f \nabla g)$.

For the proof, see [8].

Lemma 2.4. (1) *Let $1 < p < \infty, 1 < q < \infty$ and let $s > 0, \alpha > 0, \beta > 0$. Let $1 < p_1 < \infty, 1 < p_2 \leq \infty$ and $1 < r_1 \leq \infty, 1 < r_2 < \infty$ so that $1/p = 1/p_1 + 1/p_2 = 1/r_1 + 1/r_2$. Then for any $f \in \dot{F}_{p_1, q}^{s+\alpha} \cap \dot{F}_{r_1, \infty}^{-\beta}$ and $g \in \dot{F}_{p_2, \infty}^{-\alpha} \cap \dot{F}_{r_2, q}^{s+\beta}$ we have $fg \in \dot{F}_{p, q}^s$ with the estimate*

$$\|fg\|_{\dot{F}_{p, q}^s} \leq C \left(\|f\|_{\dot{F}_{p_1, q}^{s+\alpha}} \|g\|_{\dot{F}_{p_2, \infty}^{-\alpha}} + \|f\|_{\dot{F}_{r_1, \infty}^{-\beta}} \|g\|_{\dot{F}_{r_2, q}^{s+\beta}} \right). \quad (2.4)$$

(2) *Let $1 < p \leq \infty$ and let $s > 0, \alpha > 0, \beta > 0$. Let $1 < p_1, p_2, r_1, r_2 \leq \infty$ satisfy $1/p = 1/p_1 + 1/p_2 = 1/r_1 + 1/r_2$. Then for any $f \in \dot{F}_{p_1, \infty}^{s+\alpha} \cap \dot{F}_{r_1, \infty}^{-\beta}$ and $g \in \dot{F}_{p_2, \infty}^{-\alpha} \cap \dot{F}_{r_2, \infty}^{s+\beta}$ we have $fg \in \dot{F}_{p, \infty}^s$ with the estimate*

$$\|fg\|_{\dot{F}_{p, \infty}^s} \leq C \left(\|f\|_{\dot{F}_{p_1, \infty}^{s+\alpha}} \|g\|_{\dot{F}_{p_2, \infty}^{-\alpha}} + \|f\|_{\dot{F}_{r_1, \infty}^{-\beta}} \|g\|_{\dot{F}_{r_2, \infty}^{s+\beta}} \right). \quad (2.5)$$

For the proof see [22].

3. PROOF OF THEOREM 1.1

The proof is based on the establishment of a priori estimates for u which can then be used to extend a smooth local solution globally in time.

We first show that Theorem 1.1. holds under the condition (1.12).

Let u be a smooth solution of (1.1)-(1.3). Applying the operator Δ_k to (1.1), then multiplying it by $\Delta_k u$ and integrating by parts and taking into account $\operatorname{div} u = 0$, we find that

$$\frac{1}{2} \frac{d}{dt} \|\Delta_k u\|_{L^2}^2 + \|\Lambda^{\ell/2} \Delta_k u\|_{L^2}^2 = \int_{\mathbb{R}^3} [u, \Delta_k] \nabla u \cdot \Delta_k u dx. \quad (3.1)$$

Integrating (3.1) over $(0, t)$ and multiplying by 2^{2km} ($m \geq 3$), then summing over $k \in \mathbb{Z}$, we obtain

$$\begin{aligned} & \frac{1}{2} \|u\|_{\dot{H}^m}^2 + \int_0^t \|u\|_{\dot{H}^{m+\ell/2}}^2 d\tau \\ & \leq \frac{1}{2} \|u_0\|_{\dot{H}^m}^2 + \int_0^t \sum_{k \in \mathbb{Z}} 2^{2km} \|[u, \Delta_k] \nabla u\|_{L^2} \|\Delta_k u\|_{L^2} d\tau \\ & = : \frac{1}{2} \|u_0\|_{\dot{H}^m}^2 + \int_0^t I(\tau) d\tau. \end{aligned} \quad (3.2)$$

$I(\tau)$ can be bounded as follows:

$$I \leq \left(\sum_{k \in \mathbb{Z}} 2^{2k(m-\ell/2)} \|[u, \Delta_k] \nabla u\|_{L^2}^2 \right)^{1/2} \left(\sum_{k \in \mathbb{Z}} 2^{2k(m+\ell/2)} \|\Delta_k u\|_{L^2}^2 \right)^{1/2}$$

$$\begin{aligned} &\leq C\|u\|_{\dot{B}_{p,\infty}^0} \|u\|_{\dot{B}_{2,2}^{m-\ell/2+1+\frac{3}{p}}} \|u\|_{\dot{H}^{m+\ell/2}} \\ &\leq C\|u\|_{\dot{B}_{p,\infty}^0} \|u\|_{\dot{H}^m}^\theta \|u\|_{\dot{H}^{m+\ell/2}}^{2-\theta} \leq \frac{1}{2}\|u\|_{\dot{H}^{m+\ell/2}}^2 + C\|u\|_{\dot{B}_{p,\infty}^0}^r \|u\|_{\dot{H}^m}^2, \end{aligned} \quad (3.3)$$

by (2.3) (taking $\sigma_1 = \sigma_2 = -1, p_1 = p_2 = p, \sigma = m - \ell/2$) and the interpolation inequality [32]:

$$\|f\|_{\dot{B}_{p,q}^{\theta s_1+(1-\theta)s_2}} \leq \|f\|_{\dot{B}_{p,q}^{s_1}}^\theta \|f\|_{\dot{B}_{p,q}^{s_2}}^{1-\theta}, \quad (3.4)$$

with $s_1 = m, s_2 = m + \ell/2, \theta = \frac{\ell-(1+3/p)}{\ell/2}$ and $r = \frac{2}{\theta}$.

Inserting (3.3) into (3.2) and using the Gronwall's inequality gives the result.

Assume that (1.13) holds with $p < \infty$. Similarly, we have (3.2).

Using (2.3) with $\sigma_1 = \sigma_2 = 0, p_1 = p_2 = p > \frac{3}{\ell}$ and $\sigma = m - \frac{3}{2p}$ and the interpolation inequality (3.4) with $s_1 = m, s_2 = m + \ell, \theta = \frac{p\ell-3}{2p}$ and $r = \frac{1}{\theta}$, then $I(\tau)$ can be bounded as follows.

$$\begin{aligned} I &\leq \left(\sum_{k \in \mathbb{Z}} 2^{2k(m-\frac{3}{2p})} \|[u, \Delta_k] \nabla u\|_{L^2}^2 \right)^{1/2} \left(\sum_{k \in \mathbb{Z}} 2^{2k(m+\frac{3}{2p})} \|\Delta_k u\|_{L^2}^2 \right)^{1/2} \\ &\leq C\|\nabla u\|_{\dot{B}_{p,\infty}^0} \|u\|_{\dot{B}_{2,2}^{m+\frac{3}{2p}}}^2 \leq C\|\nabla u\|_{\dot{B}_{p,\infty}^0} \|u\|_{\dot{H}^m}^{2\theta} \|u\|_{\dot{H}^{m+\ell}}^{2(1-\theta)} \\ &\leq \frac{1}{2}\|u\|_{\dot{H}^{m+\ell/2}}^2 + C\|\nabla u\|_{\dot{B}_{p,\infty}^0}^r \|u\|_{\dot{H}^m}^2 \\ &\leq \frac{1}{2}\|u\|_{\dot{H}^{m+\ell/2}}^2 + C\|\omega\|_{\dot{B}_{p,\infty}^0}^r \|u\|_{\dot{H}^m}^2. \end{aligned} \quad (3.5)$$

Here, we have used the following inequality [28]:

$$\|\nabla u\|_{\dot{B}_{p,\infty}^0} \leq C\|\omega\|_{\dot{B}_{p,\infty}^0}. \quad (3.6)$$

Inserting (3.5) into (3.2) and the Gronwall's inequality yields the result.

Assume that (1.13) holds with $p = \infty$. Similarly, we have (3.2). Using (2.3) with $\sigma_1 = \sigma_2 = 0, p_1 = p_2 = p = \infty$ and $\sigma = m$, then $I(\tau)$ can be estimated as follows.

$$\begin{aligned} I &\leq \left(\sum_{k \in \mathbb{Z}} 2^{2km} \|[u, \Delta_k] \nabla u\|_{L^2}^2 \right)^{1/2} \left(\sum_{k \in \mathbb{Z}} 2^{2km} \|\Delta_k u\|_{L^2}^2 \right)^{1/2} \\ &\leq C\|\nabla u\|_{L^\infty} \|u\|_{\dot{H}^m}^2 \leq C\|\nabla u\|_{\dot{B}_{\infty,\infty}^0} \|u\|_{\dot{H}^m}^2 \log(3 + \|u\|_{\dot{H}^m}^2) \\ &\leq C\|\omega\|_{\dot{B}_{\infty,\infty}^0} \|u\|_{\dot{H}^m}^2 \log(3 + \|u\|_{\dot{H}^m}^2), \end{aligned} \quad (3.7)$$

where we have used the logarithmic Sobolev inequality [21]:

$$\|\nabla u\|_{L^\infty} \leq C \|\nabla u\|_{\dot{B}_{\infty,\infty}^0} \log(3 + \|u\|_{\dot{H}^m}^2), \quad (3.8)$$

and the following inequality [28]:

$$\|\nabla u\|_{\dot{B}_{\infty,\infty}^0} \leq C \|\omega\|_{\dot{B}_{\infty,\infty}^0}. \quad (3.9)$$

Inserting (3.7) into (3.2) and the Gronwall's inequality leads to the result.

Assume that (1.14) holds. Let $\alpha := (\alpha_1, \alpha_2, \alpha_3)$ be a multi-index with $|\alpha| = \alpha_1 + \alpha_2 + \alpha_3 \geq 1$, and let $v_\alpha := \partial^\alpha u = \frac{\partial^{|\alpha|} u}{\partial x_1^{\alpha_1} \partial x_2^{\alpha_2} \partial x_3^{\alpha_3}}$.

Applying ∂^α to (1.1), we have for v_α the equation

$$\partial_t v_\alpha + \nabla q_\alpha + (-\Delta)^{\ell/2} v_\alpha = F_\alpha, \quad (3.10)$$

where $q_\alpha := \partial^\alpha \pi$ and

$$F_\alpha := -\partial^\alpha (u \cdot \nabla u) = -\partial^\alpha \nabla \cdot (u \otimes u).$$

Multiplying (3.10) by v_α and integrating by parts, we see that

$$\frac{1}{2} \|v_\alpha(t)\|_{L^2}^2 + \int_0^t \|\Lambda^{\ell/2} v_\alpha(\tau)\|_{L^2}^2 d\tau \leq \frac{1}{2} \|v_\alpha(0)\|_{L^2}^2 + \int_0^t |(F_\alpha, v_\alpha)| d\tau. \quad (3.11)$$

By the Schwarz inequality, there holds

$$|(F_\alpha, v_\alpha)| = |(\Lambda^{-\ell/2} \partial^\alpha \nabla \cdot u \otimes u, \Lambda^{\ell/2} v_\alpha)| \leq \|u \otimes u\|_{\dot{H}^{1+|\alpha|-\ell/2}} \|\Lambda^{\ell/2} v_\alpha\|_{L^2},$$

it follows from Lemma 2.4 and the interpolation inequality that

$$\begin{aligned} |(F_\alpha, v_\alpha)| &\leq C \|u \otimes u\|_{\dot{F}_{2,2}^{1+|\alpha|-\ell/2}} \|\Lambda^{\ell/2} v_\alpha\|_{L^2} \\ &\leq C \|u\|_{\dot{F}_{\infty,\infty}^{-s}} \|u\|_{\dot{F}_{2,2}^{1+|\alpha|-\ell/2+s}} \|\Lambda^{\ell/2} v_\alpha\|_{L^2} \\ &\leq C \|u\|_{\dot{F}_{\infty,\infty}^{-s}} \|v_\alpha\|_{L^2}^\theta \|\Lambda^{\ell/2} v_\alpha\|_{L^2}^{2-\theta} \\ &\leq \frac{1}{2} \|\Lambda^{\ell/2} v_\alpha\|_{L^2}^2 + C \|u\|_{\dot{F}_{\infty,\infty}^{-s}}^r \|v_\alpha\|_{L^2}^2, \end{aligned} \quad (3.12)$$

where $\theta = \frac{\ell-1-s}{\ell/2}$ and $r = \frac{2}{\theta}$.

Inserting (3.12) into (3.11) and the result follows from the Gronwall's inequality.

Assume that (1.15) holds. Applying curl to (1.1), we easily get for $\omega := \text{curl } u$ the equation

$$\partial_t \omega + u \cdot \nabla \omega + (-\Delta)^{\ell/2} \omega = \omega \cdot \nabla u. \quad (3.13)$$

Multiplying (3.13) by $-\Delta\omega$ and integrating by parts, we have

$$\begin{aligned} & \frac{1}{2} \frac{d}{dt} \|\nabla\omega\|_{L^2}^2 + \|\Lambda^{1+\ell/2}\omega\|_{L^2}^2 \\ &= - \int_{\mathbb{R}^3} \omega \cdot \nabla u \cdot \Delta\omega dx + \int_{\mathbb{R}^3} u \cdot \nabla\omega \cdot \Delta\omega dx =: I_1 + I_2. \end{aligned} \quad (3.14)$$

Using Lemma 2.4 and the interpolation inequality, we bound I_1 as follows.

$$\begin{aligned} I_1 &= \int_{\mathbb{R}^3} \omega \cdot \nabla u \cdot \Lambda^2\omega dx = \int_{\mathbb{R}^3} \Lambda^{1-s}(\omega \cdot \nabla u) \cdot \Lambda^{1+s}\omega dx \\ &\leq \|\Lambda^{1-s}(\omega \cdot \nabla u)\|_{L^2} \|\Lambda^{1+s}\omega\|_{L^2} \leq C \|\omega \cdot \nabla u\|_{\dot{F}_{2,2}^{1-s}} \|\Lambda^{1+s}\omega\|_{L^2} \\ &\leq C \left(\|\omega\|_{\dot{F}_{\infty,\infty}^{-s}} \|\nabla u\|_{\dot{F}_{2,2}^1} + \|\nabla u\|_{\dot{F}_{\infty,\infty}^{-s}} \|\omega\|_{\dot{F}_{2,2}^1} \right) \|\Lambda^{1+s}\omega\|_{L^2} \\ &\leq C \|\omega\|_{\dot{F}_{\infty,\infty}^{-s}} \|\nabla\omega\|_{L^2} \|\Lambda^{1+s}\omega\|_{L^2} \\ &\leq C \|\omega\|_{\dot{F}_{\infty,\infty}^{-s}} \|\nabla\omega\|_{L^2}^{1+\theta} \|\Lambda^{1+\ell/2}\omega\|_{L^2}^{1-\theta} \\ &\leq \frac{1}{4} \|\Lambda^{1+\ell/2}\omega\|_{L^2}^2 + C \|\omega\|_{\dot{F}_{\infty,\infty}^{-s}}^r \|\nabla\omega\|_{L^2}^2, \end{aligned} \quad (3.15)$$

with $r = \frac{2}{1+\theta}$ and $\theta = \frac{\ell-2s}{\ell}$. Here, we have used the following inequalities [28]:

$$\|\nabla u\|_{\dot{F}_{\infty,\infty}^{-s}} \leq C \|\omega\|_{\dot{F}_{\infty,\infty}^{-s}}, \quad (3.16)$$

and

$$\|\nabla u\|_{\dot{F}_{2,2}^1} \leq C \|\omega\|_{\dot{F}_{2,2}^1}. \quad (3.17)$$

By integration by parts, we rewrite I_2 as

$$\begin{aligned} I_2 &= \sum_{i,k} \int_{\mathbb{R}^3} u_i \partial_i \omega \cdot \partial_k^2 \omega dx = - \sum_{i,k} \int_{\mathbb{R}^3} u_i \omega \partial_i \partial_k^2 \omega dx \\ &= \sum_{i,k} \int_{\mathbb{R}^3} \partial_k u_i \cdot \omega \partial_i \partial_k \omega dx \\ &= \sum_{i,k} \int_{\mathbb{R}^3} \Lambda^{1-s}(\partial_k u_i \cdot \omega) \cdot \partial_i \partial_k (-\Delta)^{-1} \cdot \Lambda^{1+s}\omega dx \\ &\leq \sum_{i,k} \|\Lambda^{1-s}(\omega \partial_k u_i)\|_{L^2} \|\partial_i \partial_k (-\Delta)^{-1} \cdot \Lambda^{1+s}\omega\|_{L^2} \\ &\leq C \|\omega \cdot \nabla u\|_{\dot{F}_{2,2}^{1-s}} \|\Lambda^{1+s}\omega\|_{L^2}, \end{aligned}$$

and we obtain, in the same as that of I_1 ,

$$I_2 \leq \frac{1}{4} \|\Lambda^{1+\ell/2} \omega\|_{L^2}^2 + C \|\omega\|_{\dot{F}_{\infty,\infty}^{\ell-\varepsilon}}^r \|\nabla \omega\|_{L^2}^2. \quad (3.18)$$

Inserting (3.15) and (3.18) into (3.14) and we apply the Gronwall's inequality to get the result.

Finally, we assume that (1.16) holds. Multiplying (1.1) by $|u|^2 u$ and integrating by parts and using (1.2), we obtain

$$\frac{1}{4} \frac{d}{dt} \int_{\mathbb{R}^3} |u|^4 dx + \int_{\mathbb{R}^3} \Lambda^\ell u \cdot |u|^2 u dx = - \int_{\mathbb{R}^3} |u|^2 u \nabla \pi dx =: J(t). \quad (3.19)$$

The viscosity term on the left hand side is estimated by

$$\int_{\mathbb{R}^3} \Lambda^\ell u \cdot |u|^2 u dx \geq \frac{1}{4} \int_{\mathbb{R}^3} |\Lambda^{\ell/2} (|u|^2)|^2 dx, \quad (3.20)$$

where we used Lemma 2.4 of [9] for the estimate of the fractional derivative. Next, we estimate J as

$$J \leq 2 \int_{\mathbb{R}^3} |\pi| |u|^2 |\nabla |u|| dx = \int_{\mathbb{R}^3} |\pi| \cdot |u| |\nabla |u|^2| dx. \quad (3.21)$$

For simplicity, denote $v = |u|^2$. Then we have by (3.19)-(3.21) that

$$\begin{aligned} & \frac{d}{dt} \int_{\mathbb{R}^3} v^2 dx + \int_{\mathbb{R}^3} |\Lambda^{\ell/2} v|^2 dx \\ & \leq 4 \int_{\mathbb{R}^3} |\pi| \cdot |v|^{1/2} |\nabla v| dx = C \int_{\mathbb{R}^3} |\pi|^{1/2} \cdot |\pi|^{1/2} \cdot |v|^{1/2} \cdot |\nabla v| dx \\ & \leq C \|\pi\|_{L^{2p,\infty}}^{1/2} \|\pi\|_{L^{2q,4}}^{1/2} \|v\|_{L^{2q,4}}^{1/2} \|\nabla v\|_{L^{6/(5-\ell),2}} \\ & \leq C \|\pi\|_{L^{p,\infty}}^{1/2} \|\pi\|_{L^{q,2}}^{1/2} \|v\|_{L^{q,2}}^{1/2} \|\nabla v\|_{L^{6/(5-\ell),2}}, \end{aligned}$$

where we have used the generalized Hölder inequality [32] with $1/2p + 1/q + (5-\ell)/6 = 1$ and basic properties of the non-increasing rearrangement.

We use the representation formula of the pressure by means of the velocity and Sobolev and Gagliardo-Nirenberg inequalities involving Lorentz spaces to obtain

$$\begin{aligned} \|\pi\|_{L^{q,2}} & \leq C \|v\|_{L^{q,2}}, \quad \|\nabla v\|_{L^{6/(5-\ell),2}} \leq C \|\Lambda^{\ell/2} v\|_{L^2}, \\ \|v\|_{L^{q,2}} & \leq C \|\Lambda^{\ell/2} v\|_{L^2}^\theta \|v\|_{L^2}^{1-\theta}, \end{aligned}$$

where $\theta = 3/\ell - 6/\ell q$.

Combining those estimate above, we have

$$\frac{d}{dt} \int_{\mathbb{R}^3} v^2 dx + \int_{\mathbb{R}^3} |\Lambda^{\ell/2} v|^2 dx \leq C \|\pi\|_{L^{p,\infty}}^{1/2} \|v\|_{L^2}^{1-\theta} \|\Lambda^{\ell/2} v\|_{L^2}^{1+\theta}. \quad (3.22)$$

For θ with $0 \leq \theta < 1$, which is equivalent to $3/(2\ell - 2) < p \leq 3/(\ell - 2)$, the right hand side on (3.22) is estimated as

$$\frac{1}{2} \|\Lambda^{\ell/2} v\|_{L^2}^2 + C \|\pi\|_{L^{p,\infty}}^r \|v\|_{L^2}^2,$$

where $1/r = 1 - \theta = 2 - 2/\ell - 3/\ell p$.

Substituting this into (3.19) and using the Gronwall's inequality, we obtain

$$u \in L^\infty(0, T; L^4(\mathbb{R}^3)),$$

which implies the result from (1.12). This completes the proof. □

4. THE LAGRANGIAN AVERAGED EULER EQUATIONS

In this section, we consider the 3D Lagrangian averaged Euler equations in the following form [15, 16, 24]:

$$\partial_t u + (u_\alpha \cdot \nabla) u + (\nabla u_\alpha)^T \cdot u = -\nabla \pi, \quad (4.1)$$

$$u = \text{curl } \psi, \quad (4.2)$$

$$u_\alpha = (1 - \alpha^2 \Delta)^{-1} u, \quad \alpha > 0, \quad (4.3)$$

$$u|_{t=0} = u_0(x), \quad x \in \mathbb{R}^3. \quad (4.4)$$

One of the important properties of the averaged Euler equations is the following identities:

$$\frac{1}{2} \frac{d}{dt} \int_{\mathbb{R}^3} |u_\alpha|^2 + \alpha^2 |\nabla u_\alpha|^2 dx = 0.$$

This conservation property gives an a priori bound on the H^1 norm of u_α :

$$\|u_\alpha\|_{H^1} \leq C_\alpha. \quad (4.5)$$

The averaged Euler models have been used to study the average behavior of the 3D Euler and Navier-Stokes equations and used as a turbulent closure model [7]. However, the global existence of the 3D Lagrangian averaged Euler equations is still open, although the Lagrangian averaged Navier-Stokes equations have been shown to have global existence [24, 13]. Very recently, Hou-Li [17] obtain the following regularity condition:

$$\psi \in L^1(0, T; BMO(\mathbb{R}^3)), \quad (4.6)$$

with the initial condition

$$u_0 \in H^1(\mathbb{R}^3). \quad (4.7)$$

We will prove

Theorem 4.1. *Let $\alpha > 0$ and let $u_0 \in H^s(\mathbb{R}^3)$ with $s > \frac{1}{2}$. Then the Lagrangian averaged 3D Euler equations (4.1)-(4.4) have a unique global solution $u \in L^\infty(0, T; H^s(\mathbb{R}^3))$ satisfying*

$$\|u(t)\|_{H^s} \leq C\|u_0\|_{H^s} \text{ for } 0 \leq t \leq T, \tag{4.8}$$

provided that

$$\psi \in L^1(0, T; \dot{B}_{\infty, \infty}^0(\mathbb{R}^3)). \tag{4.9}$$

Remark 4.1. Since $L^\infty \subset BMO \subset \dot{B}_{\infty, \infty}^0$, our result (4.9) generalizes (4.6) in [17]. It is interesting to note that our initial condition $u_0 \in H^s$ with $s > \frac{1}{2}$ is weaker than (4.7) in [17].

Proof Testing (4.1) by u , we see that

$$\frac{1}{2} \frac{d}{dt} \|u\|_{L^2}^2 \leq \|\nabla u_\alpha\|_{L^\infty} \|u\|_{L^2}^2. \tag{4.10}$$

Applying Λ^s to equation (4.1), we have

$$\partial_t \Lambda^s u + \Lambda^s(u_\alpha \cdot \nabla u) + \Lambda^s((\nabla u_\alpha)^T \cdot u) = -\nabla \Lambda^s \pi.$$

Testing this equation by $\Lambda^s u$ and using the divergence free property, we see that

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|\Lambda^s u\|_{L^2}^2 &\leq \left| \int_{\mathbb{R}^3} (\Lambda^s(u_\alpha \cdot \nabla u) - u_\alpha \cdot \nabla \Lambda^s u) \cdot \Lambda^s u dx \right| \\ &+ \left| \int_{\mathbb{R}^3} \Lambda^s((\nabla u_\alpha)^T \cdot u) \cdot \Lambda^s u dx \right| =: J_1 + J_2. \end{aligned} \tag{4.11}$$

We will use the following bilinear commutator and product estimates due to David-Journé [10], Kato-Ponce [18] and Kenig-Ponce-Vega [19]:

$$\|\Lambda^r(fg) - f\Lambda^r g\|_{L^p} \leq C(\|\nabla f\|_{L^{p_1}} \|\Lambda^{r-1} g\|_{L^{q_1}} + \|\Lambda^r f\|_{L^{p_2}} \|g\|_{L^{q_2}}), \tag{4.12}$$

$$\|\Lambda^r(fg)\|_{L^p} \leq C(\|f\|_{L^{p_1}} \|\Lambda^r g\|_{L^{q_1}} + \|\Lambda^r f\|_{L^{p_2}} \|g\|_{L^{q_2}}), \tag{4.13}$$

with $r > 0$ and $\frac{1}{p} = \frac{1}{p_1} + \frac{1}{q_1} = \frac{1}{p_2} + \frac{1}{q_2}$.

We apply (4.12) to $f = (u_\alpha)_i, g = u$ and $p_1 = \infty, p = q_1 = 2$ to bound J_1 as

$$\begin{aligned} J_1 &= \left| \sum_{i=1}^3 \int_{\mathbb{R}^3} (\Lambda^s \partial_i((u_\alpha)_i u) - (u_\alpha)_i \cdot \Lambda^s \partial_i u) \cdot \Lambda^s u dx \right| \\ &\leq \sum_{i=1}^3 \|\Lambda^s \partial_i((u_\alpha)_i u) - (u_\alpha)_i \cdot \Lambda^s \partial_i u\|_{L^2} \|\Lambda^s u\|_{L^2} \end{aligned}$$

$$\leq C(\|\nabla u_\alpha\|_{L^\infty} \|\Lambda^s u\|_{L^2} + \|\Lambda^{s+1} u_\alpha\|_{L^{p_2}} \|u\|_{L^{q_2}}) \|\Lambda^s u\|_{L^2}, \tag{4.14}$$

with $\frac{1}{2} = \frac{1}{p_2} + \frac{1}{q_2}$. We use (4.13) to $f = (\nabla u_\alpha)^T, g = u$ and $p_1 = \infty, p = q_1 = 2$ to bound J_2 as follows

$$J_2 \leq C(\|\nabla u_\alpha\|_{L^\infty} \|\Lambda^s u\|_{L^2} + \|\Lambda^{s+1} u_\alpha\|_{L^{p_2}} \|u\|_{L^{q_2}}) \|\Lambda^s u\|_{L^2}, \tag{4.15}$$

with $\frac{1}{2} = \frac{1}{p_2} + \frac{1}{q_2}$. We will use the following Gagliardo-Nirenberg inequalities:

$$\|\Lambda^{s+1} u_\alpha\|_{L^{p_2}} \leq C \|\nabla u_\alpha\|_{L^\infty}^\theta \|\Lambda^{s+2} u_\alpha\|_{L^2}^{1-\theta}, \tag{4.16}$$

$$\|\Lambda^2 u_\alpha\|_{L^{q_2}} \leq C \|\nabla u_\alpha\|_{L^\infty}^{1-\theta} \|\Lambda^{s+2} u_\alpha\|_{L^2}^\theta, \tag{4.17}$$

where $\theta = \frac{3 - \frac{1}{2}}{\frac{p_2}{s} - \frac{1}{2}} \in (0, 1), 3 < p_2 < 6$, and $2 < q_2 < 6$.

Using (4.16),(4.17), (4.3) and (4.5), we see that

$$\begin{aligned} \|\Lambda^{s+1} u_\alpha\|_{L^{p_2}} \|u\|_{L^{q_2}} &\leq C \|\Lambda^{s+1} u_\alpha\|_{L^{p_2}} (\|\Lambda^2 u_\alpha\|_{L^{q_2}} + \|u_\alpha\|_{L^{q_2}}) \\ &\leq C \|\nabla u_\alpha\|_{L^\infty} \|\Lambda^{2+s} u_\alpha\|_{L^2} + C \|\nabla u_\alpha\|_{L^\infty}^\theta \|\Lambda^{2+s} u_\alpha\|_{L^2}^{1-\theta} \\ &\leq C(\|\nabla u_\alpha\|_{L^\infty} + 1) \|u\|_{H^s}. \end{aligned} \tag{4.18}$$

Inserting (4.18) into (4.14) and (4.15) and using the logarithmic Sobolev inequality (3.8), we find that

$$\begin{aligned} \frac{d}{dt} \|u\|_{H^s}^2 &\leq C(\|\nabla u_\alpha\|_{L^\infty} + 1) \|u\|_{H^s}^2 \\ &\leq C(\|\nabla u_\alpha\|_{\dot{B}_{\infty,\infty}^0} + 1) \log(e + \|u_\alpha\|_{H^{2+s}}^2) \|u\|_{H^s}^2 \\ &\leq C(\|\psi\|_{\dot{B}_{\infty,\infty}^0} + 1) \log(e + \|u\|_{H^s}^2) \|u\|_{H^s}^2, \end{aligned} \tag{4.19}$$

where we have used the following inequality [28]:

$$\|\nabla u_\alpha\|_{\dot{B}_{\infty,\infty}^0} \leq C \|\psi\|_{\dot{B}_{\infty,\infty}^0}.$$

Thus, (4.8) follows from (4.19). The proof is complete. □

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