

## REGULARITY CRITERIA FOR THE GENERALIZED NAVIER-STOKES AND RELATED EQUATIONS

JISHAN FAN

Department of Applied Mathematics, Nanjing Forestry University  
Nanjing 210037, P.R.China

TOHRU OZAWA

Department of Mathematics, Hokkaido University  
Sapporo, 060-0810, Japan

(Submitted by: Reza Aftabizadeh)

**Abstract.** We use the maximum principle type estimate and interpolation inequality on Besov spaces to show some regularity criteria for the generalized Navier-Stokes equations, the quasi-geostrophic equations, and the harmonic heat flow.

### 1. INTRODUCTION

In this paper, we study the regularity criteria for the generalized Navier-Stokes equations, quasi-geostrophic equations, and harmonic heat flow equations.

First, we consider the following generalized Navier-Stokes equations:

$$\begin{aligned} u_t + (u \cdot \nabla)u + \nabla\pi + \Lambda^\ell u &= 0, \\ \operatorname{div} u &= 0, \\ u|_{t=0} &= u_0(x), \operatorname{div} u_0 = 0, x \in \mathbb{R}^3, \end{aligned} \tag{1.1}$$

where  $u$  is the velocity field,  $\pi$  is the scalar pressure,  $\Lambda := (-\Delta)^{1/2}$  and  $\ell$  is a positive constant. The case  $\ell = 2$  corresponds to the usual Navier-Stokes equations.

The system (1.1) was first studied by J.L. Lions in [17], and the global regularity for  $\ell \geq 5/2$  is shown there. When  $0 < \ell < 2$ , there were studies on the small data global well posedness for (1.1)-(1.3) by D. Chae [5], M. Cannone and G. Karch [2], D. Chae and J. Lee [3], and J. Wu [27]. D. Chae [5]

---

Accepted for publication: April 2008.

AMS Subject Classifications: 35Q30, 76D05, 58J35, 46E30.

Supported by NSFC (Grant No. 10301014).

showed the regularity condition:

$$\omega := \operatorname{curl} u \in L^r(0, T; L^p(\mathbb{R}^3)), \quad \text{with } \frac{3}{p} + \frac{\ell}{r} = \ell, \quad (1.2)$$

where  $\frac{6}{\ell} < p \leq \infty$ . We improved this condition (1.2) to the case  $\frac{3}{\ell} < p$  and proved the following condition [13]:

$$u \in L^r(0, T; L^p(\mathbb{R}^3)) \quad \text{with } \frac{\ell}{r} + \frac{3}{p} = \ell - 1, \quad \frac{3}{\ell - 1} < p \leq \infty, \quad \ell > 1. \quad (1.3)$$

When  $\ell = 2$ , (1.2) is due to H.B. da Veiga [1] and (1.3) corresponds to the well-known Serrin-Ohyama condition [24, 23, 12, 25]. The important case  $r = \infty, p = 3$  in (1.3) was proved by Escauriaza-Sverak-Seregin [11]. Montgomery-Smith [21] proved that if

$$\int_0^T \frac{\|u(t)\|_{L^p}^r}{1 + \log^+ \|u(t)\|_{L^p}} dt < \infty, \quad \frac{2}{r} + \frac{3}{p} = 1, \quad (1.4)$$

for  $3 < p < \infty$ , then  $u$  is regular. Kozono-Ogawa-Taniuchi [16] obtained a logarithmic Sobolev inequality to get the following regularity conditions:

$$u \in L^2(0, T; \dot{B}_{\infty, \infty}^0(\mathbb{R}^3)), \quad (1.5)$$

or

$$\omega \in L^1(0, T; \dot{B}_{\infty, \infty}^0(\mathbb{R}^3)), \quad (1.6)$$

which refines (1.3) and (1.2) in the case  $(r, p) = (1, \infty)$  or  $(r, p) = (2, \infty)$ . Here,  $\dot{B}_{\infty, \infty}^0$  denotes the homogeneous Besov space.

We observe that the system (1.1) is invariant under the scaling transform  $(u, \pi) \mapsto (u_\lambda, \pi_\lambda)$ , where

$$u_\lambda(t, x) := \lambda^{\ell-1} u(\lambda^\ell t, \lambda x), \quad \pi_\lambda(t, x) := \lambda^{2\ell-2} \pi(\lambda^\ell t, \lambda x), \quad \lambda > 0,$$

which induces the scaling for the vorticity,  $\omega \mapsto \omega_\lambda := \lambda^\ell \omega(\lambda^\ell t, \lambda x)$ . Furthermore, we note that

$$\begin{aligned} \|u\|_{L^r(0, T; L^p)} &= \|u_\lambda\|_{L^r(0, \lambda^\ell T; L^p)} \quad \text{if } \frac{\ell}{r} + \frac{3}{p} = \ell - 1; \\ \|\pi\|_{L^r(0, T; L^p)} &= \|\pi_\lambda\|_{L^r(0, \lambda^\ell T; L^p)} \quad \text{if } \frac{\ell}{r} + \frac{3}{p} = 2\ell - 2; \\ \|\omega\|_{L^r(0, T; L^p)} &= \|\omega_\lambda\|_{L^r(0, \lambda^\ell T; L^p)} \quad \text{if } \frac{\ell}{r} + \frac{3}{p} = \ell. \end{aligned}$$

In this sense, the above conditions (1.2) and (1.3) are optimal.

Our first result is:

**Theorem 1.1.** *Let  $u_0$  be smooth and  $\operatorname{div} u_0 = 0$  in  $\mathbb{R}^3$  and  $\ell < 5/2$ . Assume that one of the following conditions is satisfied*

$$(1) \quad \ell \geq 2, \int_0^T \frac{\|u(t)\|_{L^p}^r}{1 + \log^+ \|u(t)\|_{L^p}} dt < \infty, \quad \frac{\ell}{r} + \frac{3}{p} = \ell - 1, \quad \frac{3}{\ell - 1} < p < \infty, \tag{1.7}$$

$$(2) \quad \ell > 0, \int_0^T \frac{\|\omega(t)\|_{L^p}^r}{1 + \log^+ \|\omega(t)\|_{L^p}} dt < \infty, \quad \frac{\ell}{r} + \frac{3}{p} = \ell, \quad \frac{3}{\ell} < p < \infty. \tag{1.8}$$

*Then there is no singularity up to  $T$ .*

To prove this theorem, we will use the following maximum principle type estimates:

**Lemma 1.1.** *Let  $0 < \ell < 5/2, 2 \leq p < \infty$  and  $\theta$  be a smooth function on  $\mathbb{R}^n$ . We have:*

$$2 \int |\Lambda^{\ell/2} (|\theta|^{p/2})|^2 dx \leq p \int \theta |\theta|^{p-2} \Lambda^\ell \theta dx.$$

Next, we study the following 2-D quasi-geostrophic equations [9, 7, 14, 18]:

$$\begin{aligned} \theta_t + u \cdot \nabla \theta + \Lambda^\ell \theta &= 0, \\ u &:= -R^\perp \theta = -(-R_2 \theta, R_1 \theta), \\ \theta|_{t=0} &= \theta_0(x), x \in \mathbb{R}^2, \end{aligned} \tag{1.9}$$

where  $\theta$  is a scalar function representing temperature,  $u$  is the velocity field of the fluid. The Riesz transforms  $R_1$  and  $R_2$  are defined by

$$\widehat{R_k f}(\xi) = -\frac{i \xi_k}{|\xi|} \hat{f}(\xi),$$

where the Fourier transform  $\hat{f} = \mathcal{F}(f)$  is defined by

$$\hat{f}(\xi) = \int_{\mathbb{R}^2} f(x) e^{-ix \cdot \xi} dx.$$

The case  $1/2 < \ell \leq 1$ , is called subcritical since smooth solutions are known to exist globally in time [8]. The case  $\ell = \frac{1}{2}$  is called critical since there is a balance between the dissipation and the non-linear term, therefore is a good model for the 3D Navier-Stokes equations. Very recently, Kiselev-Nazarov-Volberg [15] showed the existence of global smooth solutions. The case  $0 < \ell < \frac{1}{2}$  is called supercritical and is harder to deal with compared to the other cases. In order to make the similarities to the 3D Navier-Stokes

equations more apparent we apply the operation  $\nabla^\perp := (-\partial_{x_2}, \partial_{x_1})$  to (1.9)<sub>1</sub> to obtain

$$\partial_t \nabla^\perp \theta + (u \cdot \nabla) \nabla^\perp \theta + \Lambda^\alpha \nabla^\perp \theta = (\nabla^\perp \theta \cdot \nabla) u. \quad (1.10)$$

Then, we observe that  $\nabla^\perp \theta$  has the role of vorticity. Recently, D. Chae [4] gives the regularity condition:

$$\nabla^\perp \theta \in L^r(0, T; L^p(\mathbb{R}^2)) \quad \text{with} \quad \frac{\ell}{r} + \frac{2}{p} = \ell, \quad \frac{2}{\ell} < p \leq \infty. \quad (1.11)$$

J. Yuan [26] improves it to the following condition:

$$\nabla^\perp \theta \in L^r(0, T; \dot{B}_{p, \infty}^0(\mathbb{R}^2)) \quad \text{with} \quad \frac{\ell}{r} + \frac{2}{p} = \ell, \quad \frac{2}{\ell} < p \leq \infty. \quad (1.12)$$

In this paper, we will prove:

**Theorem 1.2.** *Let  $\theta$  be a solution to the quasi-geostrophic equation (1.9) whose derivative  $\nabla^\perp \theta$  satisfies*

$$\int_0^T \frac{\|\nabla^\perp \theta(t)\|_{L^p}^r}{1 + \log^+ \|\nabla^\perp \theta(t)\|_{L^p}} dt < \infty \quad \text{with} \quad \frac{\ell}{r} + \frac{2}{p} = \ell, \quad \frac{2}{\ell} < p < \infty. \quad (1.13)$$

*Then there is no singularity up to  $T$ .*

Finally, we consider the regularity problem for smooth solutions to the time-dependent harmonic heat flow from  $\mathbb{R}^n$  into a unit sphere  $\mathbb{S}^m$ :

$$\begin{aligned} u_t - \Delta u &= u |\nabla u|^2, \\ u|_{t=0} &= u_0(x), \quad |u_0| = 1, \quad x \in \mathbb{R}^n. \end{aligned} \quad (1.14)$$

The regularity of the weak solution fails in general because of the existence of a blow-up solution for large initial data. The example for the map from  $B_1(0) \subset \mathbb{R}^n$  to a sphere was shown by Coron-Ghidaglia [10] for  $n \geq 3$  and Chang-Ding-Ye [6] for  $n = 2$ . However, some smallness assumption on the initial data or integrability condition on the solution itself are sufficient to give the regularity. Ogawa [22] showed the following regularity conditions:

$$\nabla u \in L^r(0, T; L^p(\mathbb{R}^n)), \quad \frac{2}{r} + \frac{n}{p} = 1, \quad n < p \leq \infty, \quad (1.15)$$

or

$$\nabla u \in L^2(0, T; \dot{F}_{\infty, 2}^0(\mathbb{R}^n)). \quad (1.16)$$

Here,  $\dot{F}_{\infty, 2}^0$  is the homogeneous Triebel-Lizorkin space.

We will improve (1.15) and (1.16) to the following results.

**Theorem 1.3.** *Let  $u$  be a smooth solution to the harmonic heat flow (1.14). Assume that one of the following conditions is satisfied:*

$$(1) \int_0^T \frac{\|\nabla u(t)\|_{L^p}^r}{1 + \log^+ \|\nabla u(t)\|_{L^p}} dt < \infty \quad \text{with} \quad \frac{2}{r} + \frac{n}{p} = 1 \quad \text{and} \quad n < p < \infty; \tag{1.17}$$

$$(2) \int_0^T \frac{\|\Delta u(t)\|_{L^p}^r}{1 + \log^+ \|\Delta u(t)\|_{L^p}} dt < \infty \quad \text{with} \quad \frac{2}{r} + \frac{n}{p} = 2 \quad \text{and} \quad \frac{n}{2} < p < \infty; \tag{1.18}$$

$$(3) \quad 2 \leq n \leq 4, \nabla u \in L^2(0, T; \dot{B}_{\infty, \infty}^0(\mathbb{R}^n)); \tag{1.19}$$

$$(4) \quad \Delta u \in L^1(0, T; \dot{B}_{\infty, \infty}^0(\mathbb{R}^n)). \tag{1.20}$$

Then there is no singularity up to  $T$ .

**Remark 1.1.** Since  $\dot{F}_{\infty, 2}^0(\mathbb{R}^n) \subset \dot{B}_{\infty, \infty}^0(\mathbb{R}^n)$ , our result improves that of Ogawa [22] when  $2 \leq n \leq 4$ . Moreover, our proof of (1.19) below is simple.

Theorem 1.1 is proved in Section 2, Theorem 1.2 is proved in Section 3, and the final Section 4 is devoted to the proof of Theorem 1.3.

### 2. PROOF OF THEOREM 1.1

This section is devoted to the proof of Theorem 1.1. In the following proofs, we will use the following inequalities:

$$\|\pi\|_{L^s} \leq C\|u\|_{L^{2s}}^2, \quad 1 < s < \infty; \tag{2.1}$$

$$\|\nabla f\|_{L^s} \leq C\|\Lambda^\alpha f\|_{L^p}, \quad 1 - \frac{n}{s} = \alpha - \frac{n}{p}; \tag{2.2}$$

$$\|f\|_{L^q} \leq C\|f\|_{L^2}^{1-\beta} \|\Lambda^\alpha f\|_{L^2}^\beta \quad \text{with} \quad -\frac{n}{q} = (1 - \beta)\left(-\frac{n}{2}\right) + \beta\left(\alpha - \frac{n}{2}\right). \tag{2.3}$$

(1) First, we assume that the condition (1.7) holds.

Multiplying (1.1)<sub>1</sub> by  $|u|^{p-2}u$  with  $p \geq 2$ , integrating by parts, using Lemma 1.1,  $\operatorname{div} u = 0$ , Hölder’s inequality, and (2.1)-(2.2), we obtain

$$\begin{aligned} & \frac{1}{p} \frac{d}{dt} \int |u|^p dx + \frac{2}{p} \int |\Lambda^{\ell/2}(|u|^{p/2})|^2 dx \leq C \int |\pi||u|^{(p-2)/2} |\nabla|u|^{p/2}| dx \\ & \leq C\|\pi\|_{L^{3(p+2)/(2(\ell+1))}} \| |u|^{(p-2)/2} \|_{L^{6(p+2)/((p-2)(\ell+1))}} \|\nabla|u|^{p/2}\|_{L^{6/(5-\ell)}} \\ & \leq C\|u\|_{L^{3(p+2)/(\ell+1)}}^2 \| |u|^{(p-2)/2} \|_{L^{3(p+2)/(\ell+1)}} \|\Lambda^{\ell/2}(|u|^{p/2})\|_2 \\ & \leq C\|u\|_{L^{3(p+2)/(\ell+1)}}^{(p+2)/2} \|\Lambda^{\ell/2}(|u|^{p/2})\|_{L^2} \leq \epsilon \|\Lambda^{\ell/2}(|u|^{p/2})\|_{L^2}^2 + C\|u\|_{L^{3(p+2)/(\ell+1)}}^{p+2}, \end{aligned} \tag{2.4}$$

for any  $\epsilon > 0$  by Young's inequality. We use (2.3) with  $\beta = \frac{2p+6-p\ell}{(p+2)\ell}$  to infer that

$$\begin{aligned}
\|u\|_{L^{3(p+2)/(\ell+1)}}^{p+2} &= \| |u|^{p/2} \|_{L^{6(p+2)/(p(\ell+1))}}^{2(p+2)/p} \\
&\leq C \| |u|^{p/2} \|_{L^2}^{\frac{2(p+2)}{p}(1-\beta)} \| \Lambda^{\ell/2} (|u|^{p/2}) \|_{L^2}^{\frac{2(p+2)}{p}\beta} \\
&\leq C \| u \|_{L^p}^{(p+2)(1-\beta)} \| \Lambda^{\ell/2} (|u|^{p/2}) \|_{L^2}^{\frac{2(p+2)}{p}\beta} \\
&\leq \epsilon \| \Lambda^{\ell/2} (|u|^{p/2}) \|_{L^2}^2 + C \| u \|_{L^p}^{(p+2)(1-\beta) \cdot \frac{p}{(1-\beta)p-2\beta}} \\
&\leq \epsilon \| \Lambda^{\ell/2} (|u|^{p/2}) \|_{L^2}^2 + C \| u \|_{L^p}^{p+r}, \tag{2.5}
\end{aligned}$$

with  $r = \frac{\ell p}{\ell p - p - 3}$  so that  $\frac{\ell}{r} + \frac{3}{p} = \ell - 1$ . Inserting (2.5) into (2.4) and taking  $\epsilon$  small enough, we see that

$$\begin{aligned}
\frac{d}{dt} \|u\|_{L^p} &\leq C \|u\|_{L^p} \|u\|_{L^p}^r \\
&\leq C \|u\|_{L^p} (1 + \log^+ \|u\|_{L^p}) \cdot \frac{\|u\|_{L^p}^r}{1 + \log^+ \|u\|_{L^p}},
\end{aligned}$$

which leads to  $\|u(t)\|_{L^\infty(0,T;L^p)} \leq C$ , whence  $\|u(t)\|_{L^r(0,T;L^p)} \leq C$ . This proves Theorem 1.1 by (1.3).

(2) We assume that condition (1.8) holds true.

Applying curl to (1.1)<sub>1</sub>, we see that

$$\omega_t + (u \cdot \nabla)\omega + \Lambda^\ell \omega = (\omega \cdot \nabla)u.$$

Multiplying the above equation by  $|\omega|^{p-2}\omega$ , integrating by parts, using Lemma 1.1,  $\operatorname{div} u = 0$ , Hölder's inequality, and (2.3), we get

$$\begin{aligned}
&\frac{1}{p} \frac{d}{dt} \int |\omega|^p dx + \frac{2}{p} \int |\Lambda^{\ell/2} (|\omega|^{p/2})|^2 dx \\
&\leq \int |\omega| |\nabla u| |\omega|^{p-1} dx \leq \| \omega \|_{L^{p+1}}^p \| \nabla u \|_{L^{p+1}} \\
&\leq C \| \omega \|_{L^{p+1}}^{p+1} = C \| |\omega|^{p/2} \|_{L^{\frac{2}{p}(p+1)}}^{\frac{2}{p}(p+1)} \\
&\leq C \| |\omega|^{p/2} \|_{L^2}^{\frac{2}{p}(p+1)(1-\beta)} \| \Lambda^{\ell/2} (|\omega|^{p/2}) \|_{L^2}^{\frac{2}{p}(p+1)\beta} \\
&\leq \epsilon \| \Lambda^{\ell/2} (|\omega|^{p/2}) \|_{L^2}^2 + C \| \omega \|_{L^p}^{p+r},
\end{aligned}$$

with  $r$  satisfying  $\frac{\ell}{r} + \frac{3}{p} = \ell$ . Taking  $\epsilon$  small enough yields

$$\begin{aligned} \frac{d}{dt} \|\omega\|_{L^p} &\leq C \|\omega\|_{L^p} \|\omega\|_{L^p}^r \\ &\leq C \|\omega\|_{L^p} (1 + \log^+ \|\omega\|_{L^p}) \cdot \frac{\|\omega\|_{L^p}^r}{1 + \log^+ \|\omega\|_{L^p}}, \end{aligned}$$

which implies  $\|\omega\|_{L^\infty(0,T;L^p)} \leq C$ . The result follows from (1.2). This completes the proof.  $\square$

### 3. PROOF OF THEOREM 1.2

In this section, we will prove Theorem 1.2. We assume that the condition (1.13) holds true. Multiplying (1.10) by  $|\nabla^\perp \theta|^{p-2} \nabla^\perp \theta$ , integrating by parts, using Lemma 1.1,  $\operatorname{div} u = 0$ , Hölder's inequality, and (2.3) for  $n = 2$ , we have

$$\begin{aligned} &\frac{1}{p} \frac{d}{dt} \int |\nabla^\perp \theta|^p dx + \frac{2}{p} \int |\Lambda^{\ell/2} (|\nabla^\perp \theta|^{p/2})|^2 dx \\ &\leq \int |\nabla^\perp \theta|^p \cdot |\nabla u| dx \leq \|\nabla^\perp \theta\|_{L^{p+1}}^p \|\nabla u\|_{L^{p+1}} \\ &\leq C \|\nabla^\perp \theta\|_{L^{p+1}}^{p+1} = C \|\nabla^\perp \theta|^{p/2}\|_{L^{\frac{2}{p}(p+1)}}^{\frac{2}{p}(p+1)} \\ &\leq C \|\nabla^\perp \theta|^{p/2}\|_{L^2}^{\frac{2}{p}(p+1)(1-\beta)} \|\Lambda^{\ell/2} (|\nabla^\perp \theta|^{p/2})\|_{L^2}^{\frac{2}{p}(p+1)\beta} \\ &\leq \epsilon \|\Lambda^{\ell/2} (|\nabla^\perp \theta|^{p/2})\|_{L^2}^2 + C \|\nabla^\perp \theta\|_{L^p}^{p+r}, \end{aligned}$$

with  $r$  satisfying  $\frac{\ell}{r} + \frac{2}{p} = \ell$ . Taking  $\epsilon$  small enough leads to

$$\begin{aligned} \frac{d}{dt} \|\nabla^\perp \theta\|_{L^p} &\leq C \|\nabla^\perp \theta\|_{L^p} \|\nabla^\perp \theta\|_{L^p}^r \\ &\leq C \|\nabla^\perp \theta\|_{L^p} (1 + \log^+ \|\nabla^\perp \theta\|_{L^p}) \cdot \frac{\|\nabla^\perp \theta\|_{L^p}^r}{1 + \log^+ \|\nabla^\perp \theta\|_{L^p}}, \end{aligned}$$

which implies  $\|\nabla^\perp \theta\|_{L^\infty(0,T;L^p)} \leq C$ . The result follows from (1.11) and thus the proof is complete.  $\square$

### 4. PROOF OF THEOREM 1.3

This section is devoted to the proof of Theorem 1.3.

(1) First, we assume that the condition (1.17) holds true.

Applying  $\Lambda$  to (1.14)<sub>1</sub>, we see that

$$\partial_t \Lambda u - \Delta \Lambda u = \Lambda(u|\nabla u|^2).$$

Multiplying the above equation by  $|\Lambda u|^{p-2}\Lambda u$ , integrating by parts, and using Hölder's inequality, we deduce that

$$\begin{aligned} & \frac{1}{p} \frac{d}{dt} \int |\Lambda u|^p dx + \frac{2}{p} \int |\nabla |\Lambda u|^{p/2}|^2 dx \\ & \leq C \int |\nabla u|^2 |\Lambda u|^{p-2} |\nabla \Lambda u| dx \leq C \int |\nabla u|^{\frac{p}{2}+1} |\nabla |\Lambda u|^{p/2}| dx \\ & \leq \epsilon \int |\nabla |\Lambda u|^{p/2}|^2 dx + C \int |\Lambda u|^{p+2} dx, \end{aligned} \quad (4.1)$$

for any  $\epsilon > 0$  by Young's inequality. We use (2.3) for  $\alpha = 1$  to bound

$$\begin{aligned} \int |\Lambda u|^{p+2} dx &= \| |\Lambda u|^{p/2} \|_{L^{\frac{2}{p}(p+2)}}^{\frac{2}{p}(p+2)} \leq C \| |\Lambda u|^{p/2} \|_{L^2}^{\frac{2}{p}(p+2)(1-\beta)} \| \nabla |\Lambda u|^{p/2} \|_{L^2}^{\frac{2}{p}(p+2)\beta} \\ &\leq \epsilon \| \nabla |\Lambda u|^{p/2} \|_{L^2}^2 + C \| \Lambda u \|_{L^p}^{p+r}, \end{aligned} \quad (4.2)$$

with  $r$  satisfying  $\frac{2}{r} + \frac{p}{p} = 1$ . Inserting (4.2) into (4.1) and taking  $\epsilon$  small enough, we get

$$\begin{aligned} \frac{d}{dt} \| \Lambda u \|_{L^p} &\leq C \| \Lambda u \|_{L^p} \| \Lambda u \|_{L^p}^r \\ &\leq C \| \Lambda u \|_{L^p} (1 + \log^+ \| \Lambda u \|_{L^p}) \cdot \frac{\| \Lambda u \|_{L^p}^r}{1 + \log^+ \| \Lambda u \|_{L^p}}, \end{aligned}$$

which implies  $\| \Lambda u \|_{L^\infty(0,T;L^p)} \leq C$ . The result follows from (1.15).

(2) We assume that the condition (1.18) holds true.

Applying  $\Delta$  to equation (1.14)<sub>1</sub>, we find that

$$\Delta u_t - \Delta^2 u = \Delta(u|\nabla u|^2). \quad (4.3)$$

Multiplying the above equation by  $|\Delta u|^{p-2}\Delta u$ , integrating by parts, and using Hölder's inequality, we see that

$$\begin{aligned} & \frac{1}{p} \frac{d}{dt} \int |\Delta u|^p dx + \frac{2}{p} \int |\nabla |\Delta u|^{p/2}|^2 dx \\ & \leq C \int |\nabla(u|\nabla u|^2)| \cdot |\Delta u|^{p-2} |\nabla \Delta u| dx \\ & \leq C \int (|\nabla u|^3 + |\nabla u| \cdot |\Delta u|) |\Delta u|^{\frac{p}{2}-1} \cdot |\nabla |\Delta u|^{p/2}| dx \\ & \leq C (\| \nabla u \|_{L^{2(p+1)}}^3 + \| \nabla u \|_{L^{2(p+1)}} \| \Delta u \|_{L^{p+1}}) \| |\Delta u|^{\frac{p}{2}-1} \|_{L^{\frac{1}{\frac{1}{p/2-1}(p+1)}}} \| \nabla |\Delta u|^{p/2} \|_{L^2} \\ & \leq C \| \Delta u \|_{L^{p+1}}^{\frac{p+1}{2}} \| \nabla |\Delta u|^{p/2} \|_{L^2} \end{aligned}$$



$$\leq \epsilon \|\nabla|\Delta u|^{p/2}\|_{L^2}^2 + C\|\Delta u\|_{L^{p+1}}^{p+1}, \tag{4.4}$$

for any  $\epsilon > 0$  by Young's inequality.

Here we have used the Gagliardo-Nirenberg inequality:

$$\|\nabla u\|_{L^{2s}} \leq C\|u\|_{L^\infty}^{1/2}\|\Delta u\|_{L^s}^{1/2}. \tag{4.5}$$

We use (2.3) for  $\alpha = 1$  to estimate

$$\begin{aligned} \|\Delta u\|_{L^{p+1}}^{p+1} &= \|\Delta u\|_{L^{p+1}}^{p/2} \|\Delta u\|_{L^{p+1}}^{p/2} \leq C\|\Delta u\|_{L^2}^{p/2} \|\Delta u\|_{L^2}^{\frac{2}{p}(p+1)(1-\beta)} \|\nabla|\Delta u|^{p/2}\|_{L^2}^{\frac{2}{p}(p+1)\beta} \\ &\leq \epsilon \|\nabla|\Delta u|^{p/2}\|_{L^2}^2 + C\|\Delta u\|_{L^p}^{p+r}, \end{aligned} \tag{4.6}$$

with  $r$  satisfying  $\frac{2}{r} + \frac{n}{p} = 2$ .

Inserting (4.6) into (4.4) and taking  $\epsilon$  small enough, we get

$$\begin{aligned} \frac{d}{dt}\|\Delta u\|_{L^p} &\leq C\|\Delta u\|_{L^p}\|\Delta u\|_{L^p}^r \\ &\leq C\|\Delta u\|_{L^p}(1 + \log^+ \|\Delta u\|_{L^p}) \cdot \frac{\|\Delta u\|_{L^p}^r}{1 + \log^+ \|\Delta u\|_{L^p}}, \end{aligned}$$

which implies  $\|\Delta u\|_{L^\infty(0,T;L^p)} \leq C$  and thus the solution  $u$  is regular.

(3) We assume that (1.19) holds true.

Multiplying (4.3) by  $\Delta u$ , integrating by parts, and using (4.5) for  $s = 4$  and  $s = 2$ , we see that

$$\begin{aligned} &\frac{1}{2} \frac{d}{dt} \int |\Delta u|^2 dx + \int |\nabla \Delta u|^2 dx \\ &\leq \int |\nabla(u|\nabla u|^2)| \cdot |\nabla \Delta u| dx \\ &\leq C \int (|\nabla u|^3 + |\nabla u| \cdot |\Delta u|) |\nabla \Delta u| dx \\ &\leq C(\|\nabla u\|_{L^4} \|\nabla u\|_{L^8}^2 + \|\nabla u\|_{L^4} \|\Delta u\|_{L^4}) \|\nabla \Delta u\|_{L^2} \\ &\leq C\|\nabla u\|_{L^4} \|\Delta u\|_{L^4} \|\nabla \Delta u\|_{L^2} \leq C\|\Delta u\|_{L^2}^{1/2} \cdot \|\nabla u\|_{\dot{B}_{\infty,\infty}^0}^{1/2} \|\nabla \Delta u\|_{L^2}^{3/2} \\ &\leq \frac{1}{2} \|\nabla \Delta u\|_{L^2}^2 + C\|\nabla u\|_{\dot{B}_{\infty,\infty}^0}^2 \|\Delta u\|_{L^2}^2. \end{aligned} \tag{4.7}$$

Here we have used the interpolation inequality [19, 20]:

$$\|\Delta u\|_{L^4} \leq C\|\nabla u\|_{\dot{B}_{\infty,\infty}^0}^{1/2} \|\nabla \Delta u\|_{L^2}^{1/2}.$$

Now, Gronwall's inequality gives

$$\|\Delta u\|_{L^\infty(0,T;L^2)} + \|\nabla \Delta u\|_{L^2(0,T;L^2)} \leq C. \quad (4.8)$$

(4) Finally, we assume that (1.20) holds true.

Testing (4.3) by  $|\Delta u|^{p-2}\Delta u$  ( $p > n$ ), using the same calculations as that in Ogawa [22], we obtain

$$\frac{d}{dt} \int |\Delta u|^p dx + \frac{4(p-2)}{p} \int |\nabla |\Delta u|^{p/2}|^2 dx \leq C \|\nabla u\|_{L^\infty}^2 \int |\Delta u|^p dx. \quad (4.9)$$

Using  $\dot{B}_{\infty,1}^0 \subset L^\infty \subset \dot{B}_{\infty,\infty}^0$  and another inequality in [19] gives:

$$\|\nabla u\|_{\dot{B}_{\infty,1}^0}^2 \leq C \|u\|_{\dot{B}_{\infty,\infty}^0} \|\Delta u\|_{\dot{B}_{\infty,\infty}^0}. \quad (4.10)$$

We see that

$$\begin{aligned} \|\nabla u\|_{L^\infty}^2 &\leq C \|\nabla u\|_{\dot{B}_{\infty,1}^0}^2 \leq C \|u\|_{\dot{B}_{\infty,\infty}^0} \|\Delta u\|_{\dot{B}_{\infty,\infty}^0} \\ &\leq C \|u\|_{L^\infty} \|\Delta u\|_{\dot{B}_{\infty,\infty}^0} \leq C \|\Delta u\|_{\dot{B}_{\infty,\infty}^0}. \end{aligned}$$

Plugging the above inequality into (4.9) and using Gronwall's inequality give

$$\|\Delta u\|_{L^\infty(0,T;L^p)} \leq C.$$

This completes the proof.  $\square$

#### REFERENCES

- [1] H.B. da Veiga, *A new regularity class for the Navier-Stokes equations in  $\mathbb{R}^n$* , Chinese Ann. Math., 16 (1995), 407–412.
- [2] M. Cannone and G. Karch, *Incompressible Navier-Stokes equations in abstract Banach spaces*, in “Tosio Kato's Method and Principle for Evolution Equations in Mathematical Physics,” (2001), 27–41.
- [3] D. Chae and J. Lee, *On the Global well-posedness and stability of the Navier-Stokes and the related equations*, Adv. Math. Fluid Mech., “Contributions to Current Challenges in Mathematical Fluid Mechanics,” Birkhäuser, Basel, (2004), 31–51.
- [4] D. Chae, *On the regularity conditions for the dissipative quasi-geostrophic equations*, SIAM J. Math. Anal., 37 (2006), 1649–1656.
- [5] D. Chae, *On the regularity conditions for the Navier-Stokes and related equations*, Revista Mat. Iberoamericana, 23 (2007), 373–386.
- [6] K. C. Chang, W.Y. Ding, and R. Ye, *Finite-time blow-up of the heat flow of harmonic maps from surfaces*, J. Diff. Geom., 36 (1992), 507–515.
- [7] P. Constantin, D. Córdoba, and J. Wu, *On the critical dissipative quasi-geostrophic equation*, Indiana Univ. Math. J., 50 (2001), 97–107.
- [8] P. Constantin and J. Wu, *Behavior of solutions of 2D quasi-geostrophic equations*, SIAM J. Math. Anal., 30 (1999), 937–948.
- [9] A. Córdoba and D. Córdoba, *A maximum principle applied to quasi-geostrophic equations*, Comm. Math. Phys., 249 (2004), 511–528.

- [10] J.M. Coron and J.M. Ghidaglia, *Explosion en temps fini pour le flot des applications harmoniques*, C.R. Acad. Sci. Paris, 308 (1989), 339–344.
- [11] L. Escauriaza, G. Seregin, and V. Sverak,  *$L^{3,\infty}$ -solutions of Navier-Stokes equations and backward uniqueness*, Russian Math. Surveys, 58 (2003), 211–250.
- [12] E. Fabes, B. Jones, and N. Riviere, *The initial value problem for the Navier-Stokes equations with data in  $L^p$* , Arch. Rat. Mech. Anal., 45 (1972), 222–248.
- [13] J. Fan and T. Ozawa, *On the regularity criteria for the generalized Navier-Stokes equations and Lagrangian averaged Euler equations*, Differential and Integral Equations, 21 (2008), 443–457.
- [14] N. Ju, *The maximum principle and the global attractor for the dissipative 2D quasi-geostrophic equations*, Commun. Math. Phys., 255 (2005), 161–181.
- [15] A. Kiselev, F. Nazarov, and A. Volberg, *Global well-posedness for the critical 2D dissipative quasi-geostrophic equation*, arXiv, 2006.
- [16] H. Kozono, T. Ogawa, and Y. Taniuchi, *The critical Sobolev inequalities in Besov spaces and regularity criterion to some semilinear evolution equations*, Math. Z., 242 (2002), 251–278.
- [17] J.L. Lions, “*Quelques Méthodes de Résolution des Problèmes aux Limites Non-linéaires*,” Dunod, Paris, (1969).
- [18] F. Marchand, *Existence and regularity of weak solutions to the quasi-geostrophic equations in the spaces  $L^p$  or  $\dot{H}^{-1/2}$* , Commun. Math. Phys., online, 2007.
- [19] S. Machihara and T. Ozawa, *Interpolation inequalities in Besov spaces*, Proc. Amer. Math. Soc., 131 (2002), 1553–1556.
- [20] Y. Meyer, *Oscillating patterns in some nonlinear evolution equations*, pp. 101–187, Mathematical Foundation of Turbulent Viscous Flows, Lecture Notes in Math., 1871, Editors: M. Cannone, T. Miyakawa, Springer-Verlag, 2006.
- [21] S. Montgomery-Smith, *Conditions implying regularity of the three dimensional Navier-Stokes equation*, Applications of Mathematics, 50 (2005), 451–464.
- [22] T. Ogawa, *Sharp Sobolev inequality of logarithmic type and the limiting regularity condition to the harmonic heat flow*, SIAM J. Math. Anal., 34 (2003), 1318–1330.
- [23] T. Ohya, *Interior regularity of weak solutions to the Navier-Stokes equations*, Proc. Japan Acad., 36 (1960), 273–277.
- [24] J. Serrin, *On the interior regularity of weak solutions of the Navier-Stokes equations*, Arch. Rat. Mech. Anal., 9 (1962), 187–191.
- [25] M. Struwe, *On partial regularity results for the Navier-Stokes equations*, Comm. Pure Appl. Math., 41 (1988), 437–458.
- [26] J. Yuan, *On regularity criterion for the dissipative quasi-geostrophic equations*, J. Math. Anal. Appl., to appear.
- [27] J. Wu, *The generalized incompressible Navier-Stokes equations in Besov spaces*, Dynamics of PDE, 1 (2004), 381–400.