

Dissipations on von Neumann Algebras

C. W. Thompson

Department of Mathematics, University of Manchester, Manchester M13 9PL, England

Abstract. We extend a characterisation by Lindblad of complete normal dissipations on hyperfinite von Neumann algebras to general semifinite von Neumann algebras.

Introduction

The time-development of certain quantum systems can be represented by one-parameter semigroups of completely positive maps on the associated C^* -algebras (see [4] for a discussion of the physical justification for this). When the semigroup is norm-continuous the infinitesimal generator is a bounded linear map on the C^* -algebra, and Lindblad [4] gives a characterisation of those linear maps which are infinitesimal generators of such semigroups. These he calls *complete dissipations*.

If we now take a von Neumann algebra \mathcal{A} and look at complete normal dissipations on \mathcal{A} , we would like to prove a result corresponding to the theorem that every derivation on a von Neumann algebra is inner. In [4], Lindblad shows that if $\theta: \mathcal{A} \rightarrow \mathcal{A}$ is completely positive then $\gamma_\theta: \mathcal{A} \rightarrow \mathcal{A}$ defined by

$$\gamma_\theta(a) = \theta(a) - \frac{1}{2} \{ \theta(1)a + a\theta(1) \} \quad (1)$$

is a complete dissipation on \mathcal{A} , and it is clear that γ_θ is normal if and only if θ is.

Definition. A complete dissipation γ on a C^* -algebra \mathcal{A} is called *inner* if $\gamma - \gamma_\theta$ is an inner derivation for some completely positive map θ on \mathcal{A} .

Lindblad shows in [4] that every complete normal dissipation on a hyperfinite von Neumann algebra \mathcal{A} is inner. In [5] he uses the general theory of cohomology of operator algebras to show that the same is true for any type I von Neumann algebra, except that in this case he can only show that the range of the completely positive map θ is contained in $\mathcal{B}(H)$, where \mathcal{A} is considered as a weak-operator closed subalgebra of $\mathcal{B}(H)$ containing the identity map. However, since any type I von Neumann algebra is injective, there is an expectation from $\mathcal{B}(H)$ onto \mathcal{A} , so by the remark at the end of [5] we can choose θ with range contained in \mathcal{A} .

We show here that every complete normal dissipation on a semifinite von Neumann algebra is inner. The starting point is Proposition 1 of [5], which we state in the next section for completeness.

1. Preliminary Definitions and Results

Let \mathcal{A} be a C^* -algebra with identity.

Definition. A dissipation on \mathcal{A} is a linear map

$$\gamma: \mathcal{A} \rightarrow \mathcal{A}$$

satisfying, for a in \mathcal{A} ,

- 1) $\gamma(a^*) = \gamma(a)^*$,
- 2) $\gamma(1) = 0$,
- 3) $\gamma(a^*a) \geq a^*\gamma(a) + \gamma(a^*)a$.

It is called a *complete dissipation* if

$$\gamma_n = \gamma \otimes \text{id}: \mathcal{A} \otimes M_n \rightarrow \mathcal{A} \otimes M_n$$

is a dissipation on $\mathcal{A} \otimes M_n$ for every $n = 1, 2, \dots$, where M_n is the C^* -algebra of $n \times n$ matrices over \mathbb{C} (so $\mathcal{A} \otimes M_n$ can be considered as the C^* -algebra of $n \times n$ matrices over \mathcal{A}).

Kishimoto shows in [3] that every dissipation on a C^* -algebra is bounded.

For a dissipation γ on a C^* -algebra \mathcal{A} we define, following Lindblad [5], two related functions, the first from $\mathcal{A} \times \mathcal{A}$ to \mathcal{A} and the second from $\mathcal{A} \times \mathcal{A} \times \mathcal{A}$ to \mathcal{A} . They are defined as follows: for a, b, c in \mathcal{A}

$$d(a, b) = d_\gamma(a, b) = \gamma(ab) - \gamma(a)b - a\gamma(b)$$

and

$$D(a, b, c) = D_\gamma(a, b, c) = d(ab, c) - ad(b, c).$$

Note that if \mathcal{A} is a von Neumann algebra and γ is ultraweakly continuous, then d and D are separately ultraweakly continuous in each variable. Also

$$d(a, b) = D(a, 1, b) \quad (a, b \in \mathcal{A}).$$

The following proposition is Proposition 1 of [5], except for the normality of π and V , which is easily verified. We can also deduce the normality of V from [7].

Proposition 1. *If γ is a complete dissipation on a C^* -algebra \mathcal{A} and D is defined as above, and if \mathcal{A} is considered as a norm-closed algebra of operators on a Hilbert space H , containing the identity on H , then there is a $*$ -representation*

$$\pi: \mathcal{A} \rightarrow \mathcal{B}(K)$$

of \mathcal{A} on a Hilbert space K and a bounded linear map

$$V: \mathcal{A} \rightarrow \mathcal{B}(H, K)$$

such that, for a, b, c in \mathcal{A} ,

$$D(a, b, c) = V(a^*)^* \pi(b) V(c) \tag{2}$$

and

$$V(ab) = V(a)b + \pi(a)V(b). \quad (3)$$

If \mathcal{A} is a von Neumann algebra and is ultraweakly closed in $\mathcal{B}(H)$ and γ is normal then π and V can be chosen to be normal (i.e. continuous in the ultraweak topologies on $\mathcal{B}(H)$ and $\mathcal{B}(H, K)$).

For the remainder of the paper we assume, unless otherwise stated, that \mathcal{A} is a von Neumann algebra, considered as a weak-operator closed subalgebra of operators on a Hilbert space H , containing the identity on H , and that γ is a complete normal dissipation on \mathcal{A} .

Define

$$A_0 = \{\pi(a)V(b)c : a, b, c \in \mathcal{A}\} \subseteq \mathcal{B}(H, K),$$

where π, V, K are as in Proposition 1. If

$$x = \pi(a_1)V(b_1)c_1, y = \pi(a_2)V(b_2)c_2$$

are general elements in A_0 , then

$$\begin{aligned} y^*x &= c_2^*V(b_2)^*\pi(a_2^*)\pi(a_1)V(b_1)c_1 \\ &= c_2^*V(b_2)^*\pi(a_2^*a_1)V(b_1)c_1 \\ &= c_2^*D(b_2^*, a_2^*a_1, b_1)c_1 \in \mathcal{A}. \end{aligned}$$

Now let A be the weak-operator closed linear span of A_0 .

Lemma 2. (i) $y^*x \in \mathcal{A}$ for every x, y in A ;
(ii) $\pi(a)x$ and $xa \in A$ for every $x \in A, a \in \mathcal{A}$.

Proof. Let A_1 be the linear span of A_0 and let

$$x = \sum_i \lambda_i x_i, y = \sum_j \mu_j y_j$$

be general elements of A_1 ($\lambda_i, \mu_j \in \mathbb{C}, x_i, y_j \in A_0$). Then

$$\begin{aligned} y^*x &= (\sum_j \bar{\mu}_j y_j^*)(\sum_i \lambda_i x_i) \\ &= \sum_{i,j} \lambda_i \bar{\mu}_j y_j^* x_i \in \mathcal{A} \end{aligned}$$

by the previous calculation.

Now let $x, y \in A$ and choose nets $(x_\alpha), (y_\beta)$ in A_1 , converging in the weak-operator topology to x, y respectively. Since \mathcal{A} is weak-operator closed in $\mathcal{B}(H)$ and multiplication is separately weak-operator continuous, fixing β we have

$$y_\beta^*x = \lim_{\alpha} y_\beta^*x_\alpha \in \mathcal{A}.$$

Now using the fact that the $*$ -operation is weak-operator continuous we obtain

$$y^*x = \lim_{\beta} y_\beta^*x \in \mathcal{A}.$$

The proof of (ii) is similar and is omitted.

Note. Since A is a weak-operator closed subspace of $\mathcal{B}(H, K)$ it has a predual A_* , and with respect to this predual it becomes a dual normal \mathcal{A} -module in the sense of [8, p. 404]. Further, by (i) of Lemma 2 we can define an \mathcal{A} -valued “inner product” on A by

$$(x, y) \mapsto y^*x \quad (x, y \in A)$$

and A thus becomes a right Hilbert \mathcal{A} -module [6]. It can be shown that the dual normal module structure on A implies that it is a self-dual right Hilbert \mathcal{A} -module in the sense of Paschke [6]. By Proposition 1, V is a derivation of \mathcal{A} into A . In what follows we implicitly use the \mathcal{A} -module structure on A , and in particular the \mathcal{A} -valued inner product.

2. The Main Results

The proof of the following proposition is an adaptation of the proof by Johnson and Ringrose ([2] or [9, Theorem 4.1.6]) that every derivation on a von Neumann algebra is inner.

The proof easily generalises to prove that every derivation on a dual normal Hilbert module over a semi-finite von Neumann algebra is inner.

Proposition 3. *Let \mathcal{A} be a semi-finite von Neumann algebra. Then with the same notation and assumptions as in the previous section, there is a $\hat{V} \in A$ with $\|\hat{V}\| \leq \|V\|$ such that for a in \mathcal{A} ,*

$$V(a) = \hat{V}a - \pi(a)\hat{V}.$$

Proof. We write \mathcal{A}^u for the group of unitary elements of \mathcal{A} . For u in \mathcal{A}^u define as map

$$T_u : A \rightarrow A$$

by

$$T_u(x) = \pi(u)xu^* + V(u)u^* \quad (x \in A).$$

For u, v in \mathcal{A}^u and x in A ,

$$\begin{aligned} T_u(T_v(x)) &= T_u[\pi(v)xv^* + V(v)v^*] \\ &= \pi(u)[\pi(v)xv^* + V(v)v^*]u^* + V(u)u^* \\ &= \pi(uv)x(uv)^* + [\pi(u)V(v) + V(u)v](uv)^* \\ &= \pi(uv)x(uv)^* + V(uv)(uv)^* \\ &= T_{uv}(x), \end{aligned}$$

so $T_u T_v = T_{uv}$ for u, v in \mathcal{A}^u .

Let \mathcal{A} be the collection of non-empty, weak-operator closed convex sets K of A satisfying

$$1) \quad T_u(K) \subseteq K \quad (u \in \mathcal{A}^u),$$

and

$$2) \quad \sup\{\|x\| : x \in K\} \leq \|V\|.$$

For u in \mathcal{A}^u , $\|T_u(0)\| = \|V(u)u^*\| \leq \|V\|$, $T_u(0) \in A$, and

$$T_u(\{T_v(0):v \in \mathcal{A}^u\}) = \{T_{uv}(0):v \in \mathcal{A}^u\} = \{T_v(0):v \in \mathcal{A}^u\},$$

so the weak-operator closure of the convex hull of $\{T_v(0):v \in \mathcal{A}^u\}$ is a member of Δ , and Δ is non-empty.

Order Δ by inclusion. Using the fact that weak-operator closed bounded sets in A are weak-operator compact [since A is weak-operator closed in $\mathcal{B}(H, K)$], we can easily see that each chain in Δ has a lower bound, namely the intersection of all members of the chain. So by Zorn's lemma, Δ has a minimal element K_0 .

If $x, y \in K_0$ and $u \in \mathcal{A}^u$

$$\pi(u)(x - y)u^* = T_u(x) - T_u(y) \in K_0 - K_0,$$

so $K_0 - K_0$ is invariant under the mappings

$$\Phi^u: z \mapsto \pi(u)zu^* (z \in A)$$

for each $u \in \mathcal{A}^u$.

Firstly assume that \mathcal{A} is a finite, countably-decomposable von Neumann algebra and therefore has a faithful tracial state τ . Define an inner product $\langle \cdot, \cdot \rangle_\tau$ on A by

$$\langle x, y \rangle_\tau = \tau(y^*x) \quad (x, y \in A).$$

This is well-defined by Lemma 2(i). We write

$$\|x\|_\tau = \langle x, x \rangle_\tau^{1/2} \quad (x \in A).$$

We want to show that $K_0 - K_0 = \{0\}$, so conversely assume that there is a non-zero $c = a - b$ with a, b in K_0 . Let

$$\lambda = \sup \{ \|x\|_\tau : x \in K_0 \}.$$

If $x \in K_0$, the weak-operator closure of the convex hull of $\{T_u(x):u \in \mathcal{A}^u\}$ is a member of Δ and contained in K_0 (by the invariance of K_0 relative to the T_u), so by minimality it must be equal to K_0 . So taking $x = \frac{1}{2}(a + b)$ and $\varepsilon > 0$ we can find a $u \in \mathcal{A}^u$ such that

$$\left\| T_u\left(\frac{a+b}{2}\right) \right\|_\tau > \lambda - \varepsilon.$$

Since $\|T_u(a)\|_\tau \leq \lambda$, $\|T_u(b)\|_\tau \leq \lambda$, by the parallelogram law,

$$\begin{aligned} \frac{1}{2}\|T_u(a) - T_u(b)\|_\tau^2 &= \frac{1}{2}(\|T_u(a)\|_\tau^2 + \|T_u(b)\|_\tau^2) \\ &\quad - \frac{1}{2}\|T_u(a) + T_u(b)\|_\tau^2 \\ &\leq \frac{1}{2}(\lambda^2 + \lambda^2) - (\lambda - \varepsilon)^2 \\ &= 2\lambda\varepsilon - \varepsilon^2 \end{aligned}$$

since $\frac{1}{2}(T_u(a) + T_u(b)) = T_u\left(\frac{a+b}{2}\right)$.

But on the other hand

$$\begin{aligned}\|T_u(a) - T_u(b)\|_\tau^2 &= \|\pi(u)(a-b)u^*\|_\tau^2 \\ &= \tau(u(a-b)^*(a-b)u^*) \\ &= \tau((a-b)^*(a-b)) \quad (\text{since } \tau \text{ is tracial}) \\ &= \|a-b\|_\tau^2,\end{aligned}$$

so letting $\varepsilon \rightarrow 0$ we get $\|a-b\|_\tau^2 = 0$ and $a-b=c=0$ (since τ is faithful), a contradiction. Hence $K_0 - K_0 = \{0\}$, and K_0 consists of a single point \hat{V} say. Since K_0 is invariant under each T_u ,

$$[\pi(u)\hat{V} + V(u)]u^* = \hat{V} \quad (u \in \mathcal{A}^u)$$

and rearranging

$$V(u) = \hat{V}u - \pi(u)\hat{V} \quad (u \in \mathcal{A}^u).$$

But \mathcal{A}^u linearly generates \mathcal{A} , so

$$V(a) = \hat{V}a - \pi(a)\hat{V} \quad (a \in \mathcal{A}).$$

Note that by construction $\|\hat{V}\| \leq \|V\|$ and $\hat{V} \in \mathcal{A}$.

Now let \mathcal{A} be any semifinite von Neumann algebra. For a countably-decomposable finite projection e in \mathcal{A} define

$$V_e : e\mathcal{A}e \rightarrow \mathcal{B}(eH, \pi(e)K)$$

by

$$V_e(eae) = \pi(e)V(eae)e.$$

$e\mathcal{A}e$ is a finite countably-decomposable von Neumann algebra, so by the first half of the proof there is a $\hat{V}_e \in \pi(e)\mathcal{A}e$ with $\|\hat{V}_e\| \leq \|V_e\| \leq \|V\|$ and

$$V_e(eae) = \hat{V}_e eae - \pi(eae)\hat{V}_e \quad (a \in \mathcal{A}).$$

Now let $(e_x)_{x \in I}$ be an increasing directed set of finite countably decomposable projections with supremum 1 (see corresponding proof in [2] for a proof of the existence of such a net). Then for each $\alpha \in I$ there is a $\hat{V}_\alpha = \hat{V}_{e_\alpha}$ with $\|\hat{V}_\alpha\| \leq \|V\|$ and

$$V_{e_\alpha}(e_\alpha a e_\alpha) = \hat{V}_\alpha e_\alpha a e_\alpha - \pi(e_\alpha a e_\alpha)\hat{V}_\alpha \quad (a \in \mathcal{A}).$$

Also $\hat{V}_\alpha \in \pi(e_\alpha)\mathcal{A}e_\alpha \subseteq \mathcal{A}$. By the weak-operator compactness of bounded sets in \mathcal{A} we can find a cofinal convergent subset of $(\hat{V}_\alpha)_{\alpha \in I}$, and so we may assume that $(V_\alpha)_{\alpha \in I}$ is weak-operator convergent to an element \hat{V} in \mathcal{A} with $\|\hat{V}\| \leq \|V\|$ (the subnet of projections has supremum 1 since it is cofinal).

If $\beta \leq \alpha$, $e_\beta \leq e_\alpha$ so

$$V_{e_\alpha}(e_\beta a e_\beta) = \hat{V}_\alpha e_\beta a e_\beta - \pi(e_\beta a e_\beta)\hat{V}_\alpha \quad (a \in \mathcal{A}).$$

Letting $\alpha \rightarrow \infty$ and noting that $V_{e_\alpha}(e_\beta a e_\beta) = \pi(e_\alpha)V(e_\beta a e_\beta)e_\alpha \rightarrow V(e_\beta a e_\beta)$ in the weak-operator topology, we get

$$V(e_\beta a e_\beta) = \hat{V} e_\beta a e_\beta - \pi(e_\beta a e_\beta)\hat{V} \quad (a \in \mathcal{A}).$$

Now let $\beta \rightarrow \infty$, so $e_\beta a e_\beta$ converges to a ultraweakly and in the weak-operator topology (the two topologies coincide on bounded sets); then using the normality of π and V ,

$$\begin{aligned} V(a) &= \lim_{\beta} V(e_\beta a e_\beta) \\ &= \lim_{\beta} [\hat{V} e_\beta a e_\beta - \pi(e_\beta a e_\beta) \hat{V}] \\ &= \hat{V} a - \pi(a) \hat{V} \quad (a \in \mathcal{A}) \end{aligned}$$

as required.

We can now easily deduce the main result of the paper.

Theorem 4. *Every complete normal dissipation on a semi-finite von Neumann algebra is inner.*

Proof. With the same notation as before, put

$$\theta(a) = \hat{V}^* \pi(a) \hat{V} \quad (a \in \mathcal{A}).$$

By Lemma 2 $\theta(\mathcal{A}) \subseteq \mathcal{A}$ and by [10] θ is completely positive. A straightforward calculation gives

$$\begin{aligned} d_{\gamma_0}(a, b) &= (\hat{V} a^* - \pi(a^*) \hat{V})^* (\hat{V} b - \pi(b) \hat{V}) \\ &= V(a^*)^* V(b) \\ &= D_{\gamma}(a, 1, b) \\ &= d_{\gamma}(a, b) \quad (a, b \in \mathcal{A}), \end{aligned}$$

so

$$d_{(\gamma - \gamma_0)}(a, b) = d_{\gamma}(a, b) - d_{\gamma_0}(a, b) = 0 \quad (a, b \in \mathcal{A}),$$

that is, $\gamma - \gamma_0$ is a derivation on \mathcal{A} . But every derivation on a von Neumann algebra is inner, so γ is inner.

Note. If \mathcal{A} is a non-hyperfinite type III von Neumann algebra we do not know whether every complete normal dissipation on \mathcal{A} is inner. However Christensen has proved in [1] that if \mathcal{A} is considered as a weakly-closed subalgebra of $\mathcal{B}(H)$, containing the identity on H , and $V: \mathcal{A} \rightarrow \mathcal{B}(H)$ is a derivation, then there is a $\hat{V} \in \mathcal{B}(H)$ such that

$$V(a) = \hat{V} a - a \hat{V} \quad (a \in \mathcal{A}).$$

Using this result we can easily deduce that if $\pi: \mathcal{A} \rightarrow \mathcal{B}(K)$ is a normal *-representation of \mathcal{A} on a Hilbert space K and $V: \mathcal{A} \rightarrow \mathcal{B}(H, K)$ is a derivation (where $\mathcal{B}(H, K)$ is an \mathcal{A} -module in the obvious way), then there is a $\hat{V} \in \mathcal{B}(H, K)$ such that

$$V(a) = \hat{V} a - \pi(a) \hat{V}$$

[consider $\mathcal{B}(H, K)$ as a submodule of $\mathcal{B}(H \oplus K)$ in the obvious way]. Combining this result with Proposition 1 we obtain the following:

If γ is a complete normal dissipation on a type III von Neumann algebra $\mathcal{A} \subseteq \mathcal{B}(H)$ then there is a completely positive map $\theta: \mathcal{A} \rightarrow \mathcal{B}(H)$ such that γ_θ is a complete normal dissipation on \mathcal{A} and $\gamma - \gamma_\theta$ is a derivation on \mathcal{A} (which is therefore inner).

Acknowledgements. I would like to thank Dr. E. C. Lance for suggesting this problem to me and giving me guidance in my effort towards its solution. I would also like to thank Professor J. R. Ringrose for bringing to my attention the paper by Christensen [1].

References

1. Christensen, E.: Extensions of derivations (to appear)
2. Johnson, B.E., Ringrose, J.R.: Derivations of operator algebras and discrete group algebras. *Bull. London Math. Soc.* **1**, 70–74 (1969)
3. Kishimoto, A.: Dissipations and derivations. *Commun. math. Phys.* **47**, 25–32 (1976)
4. Lindblad, G.: On the generators of quantum dynamical semigroups. *Commun. math. Phys.* **48**, 119–130 (1976)
5. Lindblad, G.: Dissipative operators and cohomology of operator algebras. *Letters Math. Phys.* **1**, 219–224 (1976)
6. Paschke, W.L.: Inner product modules over B^* -algebras. *Trans. Am. Math. Soc.* **182**, 443–468 (1973)
7. Ringrose, J.R.: Automatic continuity of derivations of operator algebras. *J. London Math. Soc.* **5**, 432–438 (1972)
8. Ringrose, J.R.: Cohomology of operators algebras. *Lectures on operator algebras. Lecture notes in mathematics, Vol. 247.* Berlin-Heidelberg-New York: Springer 1972
9. Sakai, S.: C^* -algebras and W^* -algebras. Berlin-Heidelberg-New York: Springer 1971
10. Stinespring, S.: Positive functions on C^* -algebras. *Proc. Am. Math. Soc.* **6**, 211–216 (1955)

Communicated by H. Araki

Received December 12, 1977