

THE TRACE FORMULA FOR VECTOR BUNDLES

V. GUILLEMIN AND A. URIBE

Let X be a compact Riemannian manifold and let $0 = \lambda_1 < \lambda_2 \leq \lambda_3 \leq \dots$ be the spectrum of the Laplace operator. By a theorem of Hermann Weyl the spectral counting function

$$(I) \quad N(\lambda) = \#\{\lambda_i^2 < \lambda\}$$

satisfies a growth estimate of the form $O(\lambda^N)$, so its Fourier-Stieltjes transform

$$(II) \quad \int e^{i\lambda t} dN(\lambda) = \sum_k e^{\pm i\sqrt{\lambda_k}t}$$

is a tempered distributional function of t . The classical trace formula says that the singular support of (II) is contained in the length spectrum of X . Moreover, under suitable hypotheses on geodesic flow, the trace formula gives considerable information about the singularities in (II). (See [DG and C].)

There is a fairly straightforward (and not terribly interesting) generalization of the trace formula to vector bundles. (See, for instance, the introduction to [DG].) We will be concerned in this article with a much more subtle generalization inspired by recent articles of Hodgeve, Potthoff, and Schrader [HPS], and Schrader and Taylor [ST] in *Communications in Mathematical Physics*.

Let G be a compact Lie group and $\pi: P \rightarrow X$ a principle G -bundle with connection. Given a finite-dimensional unitary representation, ρ , of G we will denote by $E\rho$ the vector bundle over X associated with ρ and by D_ρ the associated connection.

Now consider a ladder $\{\rho_e, e = 1, 2, \dots\}$ of irreducible representations of G . (This means that the maximal weight of ρ_e is e times the maximal weight of ρ_1 .) For given e let

$$\lambda_{k,e}, \quad k = 1, 2, 3, \dots$$

be the spectrum of the Laplace operator on $C^\infty(E\rho_e)$:

$$\Delta_e = D^*\rho_e D\rho_e + e^2.$$

The Hodgeve-Potthoff-Schrader and Schrader-Taylor papers are concerned with asymptotic properties of the quantities e and $E = \lambda_{k,e}$ when e and E tend to infinity in such a way that the ratio $r = e/\sqrt{E}$ is (approximately) constant. One way to measure such asymptotic behavior is as follows: Fix a Schwartz function of one variable, $\varphi(s)$, with $\varphi(s) \geq 0$ and $\int \varphi(s) ds = 1$, and form the sum

$$(III) \quad N_{\varphi,r}(\lambda) = \sum_e \sum_{\lambda_{k,e} < \lambda^2} \varphi(r\sqrt{\lambda_{k,e}} - e).$$

Received by the editors May 7, 1986.

1980 *Mathematics Subject Classification* (1985 *Revision*). Primary 58G25.

©1986 American Mathematical Society
 0273-0979/86 \$1.00 + \$.25 per page

This sum is similar to the sum (I) except that it counts eigenvalues in such a way that eigenvalues for which the ratio $e: \sqrt{E}$ is close to r are weighted much more heavily than eigenvalues for which the ratio is far away from r . Our main result is a trace formula for the Fourier-Stieltjes transform of $N_{\varphi,r}$. To formulate it we need to recall some facts about the coupling of a classical dynamical system to a Yang-Mills field. Let O_e be the co-adjoint orbit in g^* associated with the representation, ρ_e , and let M_e be the symplectic manifold obtained by reducing T^*P with respect to O_e . By a theorem of Sternberg [S] and Weinstein [W] the choice of a connection on P gives rise to a symplectic fiber mapping

$$\pi_e: M_e \rightarrow T^*X.$$

If H is the standard Kinetic energy Hamiltonian on T^*X describing the motion of a classical particle on X when no background field is present, π_e^*H describes the motion of a classical particle (of “charge” e) when a background field is present. (See [S].)

Now fix e and E so that $e: \sqrt{E} = r$ and consider the restriction to the energy surface $\pi_e^*H = E$ of the Hamiltonian system on M_e associated with π_e^*H . We will assume that the periodic trajectories of this system are *non-degenerate* and denote the set of these trajectories by Γ .

THEOREM. *If the function $\hat{\varphi}$ has compact support, the Fourier-Stieltjes transform of $N_{\varphi,r}(\lambda)$ can be written as a locally finite sum*

$$(IV) \quad e_0(t) + \sum_{\gamma \in \Gamma} e_\gamma(t),$$

where e_0 and the e_γ 's are distributions of compact support. Moreover, e_0 has no singularities except for a classical conormal singularity at the origin, and e_γ has no singularities except for a classical conormal singularity at $t = T_\gamma + r\theta_\gamma$. Here T_γ is the period of γ and θ_γ an appropriate determination of

$$(V) \quad \frac{\text{Log}(\text{holonomy of } \gamma)}{2\pi i} - rT_\gamma.$$

Moreover, $e_\gamma(t)$ is equal to

$$(VI) \quad c_\gamma \delta(t - T_\gamma - r\theta_\gamma) + \tilde{e}_\gamma(t)$$

where $\tilde{e}_\gamma(t)$ is in \mathcal{L}^1 and the constant c_γ is related to the primitive period T_γ^* of γ and the linearized Poincaré map, P_γ , by the formula

$$(VII) \quad c_\gamma = \hat{\varphi}(\theta_\gamma) \frac{T_\gamma^* \exp(i\pi\sigma_\gamma/4)}{2\pi |I - P_\gamma|^{1/2}},$$

σ_γ being a suitable Maslov index.

REMARKS. (1) There is an analogue of (VI) for $e_0(t)$ as well, which we won't both to describe here. From it one gets a Weyl formula for the asymptotic behavior of $N_{\varphi,r}(\lambda)$.

(2) Just as in the classical case, an analogue of the expansion (V) is true when the periodic trajectories on the $\pi_e^*H = E$ energy surface form “clean” submanifolds. (See [DG, §6].)

BIBLIOGRAPHY

- [C] J. Chazarain, *Formule de Poisson pour les variétés riemanniennes*, Invent. Math. **24** (1974), 65–82.
- [DG] J. J. Duistermaat and V. Guillemin, *The spectrum of positive elliptic operators and periodic geodesics*, Invent. Math. **29** (1975), 184–269.
- [HPS] H. Hogreve, J. Potthoff and R. Schrader, *Classical limits for quantum particles in external Yang-Mills potentials*, Comm. Math. Phys. **91** (1983), 573–598.
- [ST] R. Schrader and M. Taylor, *Small \hbar asymptotics for quantum partition functions associated to particles in external Yang-Mills potentials*, Comm. Math. Phys. **92** (1984), 555–594.
- [S] S. Sternberg, *On minimal coupling and the symplectic mechanics of a classical particle in the presence of a Yang-Mills field*, Proc. Nat. Acad. Sci. U.S.A. **74** (1977), 5253–5254.
- [W] A. Weinstein, *A universal phase space for particles in Yang-Mills fields*, Lett. Math. Phys. **2** (1978), 417–20.

DEPARTMENT OF MATHEMATICS, MASSACHUSETTS INSTITUTE OF TECHNOLOGY, CAMBRIDGE, MASSACHUSETTS 02139

DEPARTMENT OF MATHEMATICS, PRINCETON UNIVERSITY, PRINCETON, NEW JERSEY 08540