

## THE CLASSIFICATION OF MAPS OF SURFACES

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In this note we discuss the topology of maps of positive degree between closed orientable surfaces. Two maps  $f, g: M \rightarrow N$  are said to be *equivalent* if there exist homeomorphisms  $h: M \rightarrow M$  and  $k: N \rightarrow N$  such that  $k \circ f = g \circ h$  (or  $k \circ f \simeq g \circ h$  in the homotopy category). If  $k$  is homotopic to  $\text{id}_N$  we say  $f$  and  $g$  are *strongly equivalent*. The notion of equivalence is analogous to a change of basis in domain and range in linear algebra.

Surface maps of special interest are branched coverings, i.e.,  $f: M \rightarrow N$  is a *branched covering* if there exists a finite set of points  $B \subset N$  such that  $f|_{M - f^{-1}(B)}$  is a covering map. An arbitrary branched covering may be approximated by a *generic branched covering*, i.e., one in which each point of  $N$  has degree  $(f)$  or degree  $(f) - 1$  preimages.

One of the first people to study branched coverings was Riemann, who proved in his thesis (1851) that Riemann surfaces occur as conformal branched coverings of  $S^2$ . In 1871 and 1873 the classical function theorists Lüroth and Clebsch succeeded in showing that generic branched coverings of  $S^2$  are classified up to (strong) equivalence by their degree. The classification problem for general range  $N$  was reduced by Hurwitz in 1891 to the algebraic-combinatorial study of representations of  $\pi_1(N - B)$  into  $\Sigma_d$ , the symmetric group on  $d$  letters where  $d = \text{degree of the branched covering}$ .

In 1928 Reidmeister showed that there is a 1-1 correspondence between subgroups of  $\pi_1(N)$  and covering spaces of  $N$ . This allows a generic branched covering  $\phi: M \rightarrow N$  to be factored uniquely as a primitive (surjective on  $\pi_1$ ) generic branched covering  $\tilde{\phi}: M \rightarrow \tilde{N}$  followed by an unbranched covering map  $p: \tilde{N} \rightarrow N$  corresponding to the image of  $\phi$  on  $\pi_1$ .

Primitive generic branched coverings were shown to be classified by their degree by Hamilton in 1966 for arbitrary  $N$  provided that  $b \geq 2d$ , where  $b$  is the number of branch points and  $d$  is the degree. This was improved by Berstein and Edmonds in 1979 and 1984 to  $b > d/2$  and arbitrary  $N$ , or with no restriction on  $b$  to  $N = S^1 \times S^1$ . More importantly, Berstein and Edmonds stressed that primitive generic branched coverings should be classified up to equivalence by their degree and they conjectured a suggestive normal form.

Recently we have shown that primitive generic branched coverings are actually classified up to strong equivalence by their degree, and consequently we prove the following theorem.

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**THEOREM 1.** *Two generic branched coverings  $\phi, \psi: M \rightarrow N$  of closed orientable surfaces are strongly equivalent if and only if  $\text{degree}(\phi) = \text{degree}(\psi)$  and  $\phi_{\#}\pi_1(M) = \psi_{\#}\pi_1(M)$ .*

As a corollary we deduce the homotopy classification of surface maps.

**COROLLARY 2.** *If  $f, g: M \rightarrow N$  have positive degree then  $f$  and  $g$  are strongly equivalent in the pointed homotopy category if and only if  $\text{degree}(f) = \text{degree}(g)$  and  $f_{\#}\pi_1(M) = g_{\#}\pi_1(M)$ .*

**PROOF.** Diagram 1 summarizes results of Nielsen 1927 (column 1) and Edmonds 1978 (column 2). The entry in each box is a map which necessarily exists in a given homotopy class of maps from  $M$  to  $N$ . (A pinch is a map which contracts a subsurface of  $M$  with connected boundary to a point.)

$\simeq$	injective on $\pi_1$	primitive
degree 1	homeomorphism	pinch
degree $> 1$	covering map	generic branched covering

DIAGRAM 1

Since  $f$  and  $g$  may be written as primitive maps followed by the same covering map, the corollary follows directly from Theorem 1.  $\square$

Since surfaces are  $K(\pi, 1)$ 's the last corollary gives a classification of homomorphisms of surface groups.

**COROLLARY 3.** *If  $f, g: G \rightarrow H$  are homomorphisms of surface groups of equal topological degree greater than zero such that  $f(G) = g(G) \subset H$  then there exists an isomorphism  $h: G \rightarrow G$  such that  $f = g \circ h$ .*

**IDEA OF THE PROOF OF THE THEOREM.** The proof of the theorem starts with the idea introduced by Gabai in his proof of the simple loop conjecture (1985) of factoring a map  $\phi: M \rightarrow N$  as a branched immersion  $s: M \rightarrow N \times I$  followed by a projection  $\pi: N \times I \rightarrow N$ . In this way the branched covering is "identified" with the space  $s(M) \subset N \times I$ .

As Figure 1 shows, slight changes in  $s$  may result in quite different sets of double curves in  $s(M)$ . By applying various such topological manipulations to  $s$  we eventually arrive at a branched immersion whose double curves when projected onto  $N$  are as in Figure 2.

Figure 2 shows the normal form for a primitive generic branched covering of degree  $d$ . The domain may be visualized as an immersed subset of  $N \times I$  by first embedding  $d$  parallel copies of  $N$  in  $N \times I$ , then cutting the  $i$  and  $i + 1$  copies along each curve labelled  $i$ , and finally interchanging sheets and gluing. See Figure 3.

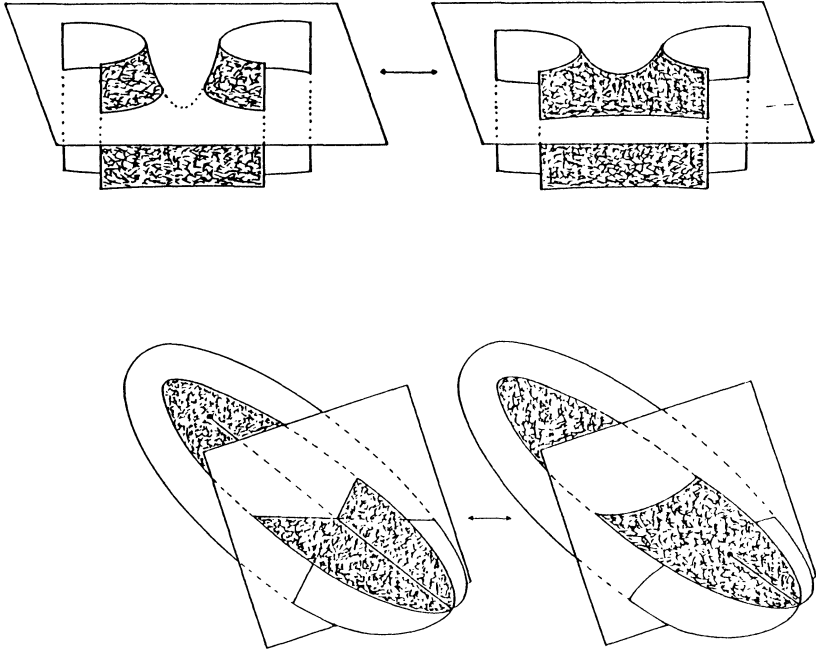


FIGURE 1

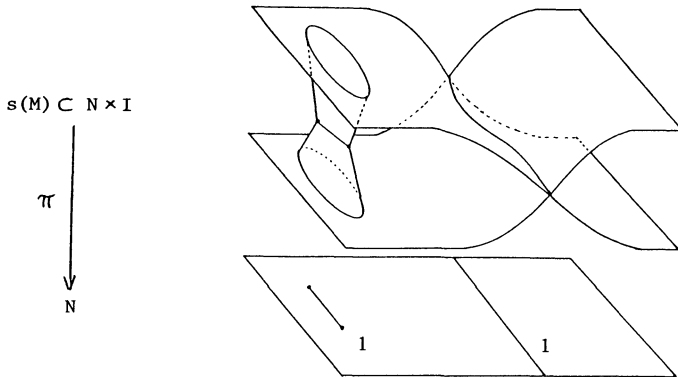


FIGURE 2

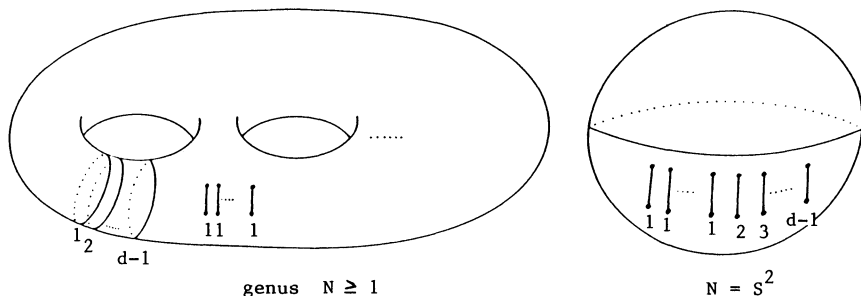


FIGURE 3

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