

REAL FORMS OF HERMITIAN SYMMETRIC SPACES¹

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Introduction. The results we give here only begin to answer the following general problems: Let X be a hermitian symmetric domain, Γ a group acting holomorphically and discontinuously, and $U = \Gamma \backslash X$ the quotient. Then, by Kodaira if U is compact and smooth, or, by Baily-Borel if just U has finite volume (and Γ arithmetic), U is algebraic. One can ask for all ways of algebraicizing U over \mathbf{R} , for each of the number of connected components of $U(\mathbf{R})$, and the type of each component as, say, a real analytic space. For the smooth \mathbf{R} -algebraic varieties $U = \Gamma \backslash X$, each component of $U(\mathbf{R})$ is a quotient of the form $U' = \Gamma' \backslash X'$ of a globally symmetric space $X' \subset X$ by a subgroup $\Gamma' \subset \Gamma$. To determine which X' and Γ' -actions occur is our goal.

Generalities. Let X be as above, $\sigma: X \rightarrow X$ an antiholomorphic involution, and X' the set of fixed points of σ . (We consider Γ only virtually now.)

PROPOSITION. (a) σ is an isometry of the Bergmann metric.

(b) X' is a nonempty connected totally geodesic subsymmetric space of X ; $\dim_{\mathbf{R}} X' = \dim_{\mathbf{C}} X$.

(c) X' is holomorphically dense: a holomorphic or antiholomorphic automorphism of X is determined by its restriction to X' .

One can construct, at least for X without "exceptional" factors, (for example via the Lie algebra of the isometry group) involutions as above. Choose σ_0 as "standard" and x_0 a fixed point of σ_0 . Let G^h be the group of holomorphic automorphisms of X , K^h the isotropy group at x_0 . Then $\text{Gal} = \{1, \sigma_0\}$ acts by conjugation on G^h and K^h . Moreover if C denotes the set of all antiholomorphic involutions of X , and C_0 the subset fixing x_0 , then G^h acts by conjugation on C and K^h preserves C_0 . The quotients C/G^h and C_0/K^h are the G^h - and K^h -conjugacy classes of C and C_0 . These can be

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identified with the cohomology sets $H^1(\text{Gal}, G^h)$ and $H^1(\text{Gal}, K^h)$.

PROPOSITION. *The canonical map $H^1(\text{Gal}, K^h) \rightarrow H^1(\text{Gal}, G^h)$ induced by the inclusion of K^h in G^h is a bijection.*

One wishes to compute $H^1(\text{Gal}, G^h)$, and from it the isometry types of real forms $X' \subset X$ of antiholomorphic involutions. The standard maps σ_0 can be chosen so that the crucial fact becomes:

LEMMA. *$H^1(\text{Gal}, U(n)/\{\pm 1\})$ with action = complex conjugation is trivial for n odd, and for n even has two elements represented by the identity matrix and $J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$.*

Results. Since all of our X and X' are globally symmetric of purely non-compact type, we can (if we are interested only in isometry types) refer to them by the Lie algebra of the isometry group. Denote by $c(X)$, $\text{card}(H^1(\text{Gal}, G^h))$, and call it the "number of complex conjugations of X ". The results below show that different conjugations have real forms with distinct isometry types.

X	$c(X)$	X'
$\mathfrak{sp}(n, \mathbf{R}) - n$ odd	1	$\mathbf{R} \times \mathfrak{sl}(n, \mathbf{R})$
n even	2	$\mathbf{R} \times \mathfrak{sl}(n, \mathbf{R}), \mathfrak{sp}(n/2, \mathbf{C})$
$\mathfrak{so}^*(2n) - n$ odd	1	$\mathfrak{so}(n, \mathbf{C})$
n even	2	$\mathfrak{so}(n, \mathbf{C}), \mathbf{R} \times \mathfrak{su}^*(n)$
$\mathfrak{so}(p, 2) - p$ odd	$(p + 1)/2$	$\mathfrak{so}(k, 1) \times \mathfrak{so}(p - k, 1), 0 \leq k < p/2$
p even > 2	$(p/2) + 1$	$\mathfrak{so}(k, 1) \times \mathfrak{so}(p - k, 1), 0 \leq k \leq p/2$
$\mathfrak{su}(p, q) - p \neq q$ or $p = q = 1$		
not both even	1	$\mathfrak{so}(p, q)$
both even	2	$\mathfrak{so}(p, q), \mathfrak{sp}(p/2, q/2)$
$p = q > 1$		
odd	2	$\mathfrak{so}(p, p), \mathbf{R} \times \mathfrak{sl}(p, \mathbf{C})$
even	3	$\mathfrak{so}(p, p), \mathbf{R} \times \mathfrak{sl}(p, \mathbf{C}), \mathfrak{sp}(p/2, p/2)$

If X is a product of irreducibles (all nonexceptional), we can describe the conjugations of X in terms of the conjugations of the factors.

THEOREM. (a) $c(X)$ is finite.

(b) If $X = \prod_i X_i^{n(i)}$, where the X_i are nonisomorphic irreducibles, then $c(X) = \prod_i c(X_i)^{n(i)}$.

(c) If X is irreducible, $c(X^n) = \sum_{l \equiv n \pmod{2}; 0 \leq l < n} c(X)^{l/}$, where the exponent denotes "symmetric" l th power of the cardinality $c(X)$.

REMARKS. To illustrate (c) in the above theorem, we give a "typical" conjugation of X^5 if $c(X) = 2$.

$$(x, y, z, u, v) \mapsto (a(y), a^{-1}(x), \sigma_0(z), \sigma_0(u), \sigma_1(v))$$

where a denotes any antiholomorphic automorphism, and the ordering $\sigma_0, \sigma_0, \sigma_1$ is irrelevant. The corresponding X' is $X \times X'_0 \times X'_0 \times X'_1$. Part (c) of the Theorem and the above table show that there are symmetric spaces which do not occur as X' , for example $\mathfrak{sl}(n, \mathbf{R})$ and $\mathfrak{sl}(n, \mathbf{C})$. Finally, almost all of the conjugations in the table have been interpreted either geometrically on X , or as elements of classical matrix groups acting on X .

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