

## INDUCTION AND STRUCTURE THEOREMS FOR GROTHENDIECK AND WITT RINGS OF ORTHOGONAL REPRESENTATIONS OF FINITE GROUPS

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**ABSTRACT.** The Grothendieck- and Witt- ring of orthogonal representations of a finite group is defined and studied. The main application (only indicated) is the reduction of the computation of Wall's various  $L$ -groups for a finite group  $\pi$  to those subgroups of  $\pi$ , which are a semi-direct product of a cyclic group  $\gamma$  of odd order with a 2-group  $\beta$ , such that any element in  $\beta$  acts on  $\gamma$  either by the identity or by taking any element in to its inverse.

Let  $\pi$  be a finite group and  $R$  a Dedekind ring. An  $R\pi$ -lattice  $(M, f)$  or just  $M$  is defined to be a finitely generated,  $R$ -projective  $R\pi$ -module  $M$  together with a symmetric,  $\pi$ -invariant nonsingular form  $f: M \times M \rightarrow R$  (cf. [3]). For two  $R\pi$ -lattices  $M_1$  and  $M_2$  one has their orthogonal sum  $M_1 \perp M_2$  and tensor product  $M_1 \otimes M_2$ , thus the isomorphism classes of  $R\pi$ -lattices form a half-ring  $Y^+(R, \pi)$ , whose associated Grothendieck ring is denoted by  $Y(R, \pi)$ . For a subgroup  $\gamma \leq \pi$  one has in an obvious way, restriction and induction of  $R\pi$ -lattices, resp.  $R\gamma$ -lattices, and it is easily seen (cf. [3]) that this makes  $Y(R, -)$  into a  $G$ -functor in the sense of Green (cf. [5]).

As in the theory of integral group-representations, where the Grothendieck ring of isomorphism classes of  $R\pi$ -modules is much too large for many purposes and is thus replaced by its quotient  $G_0(R, \pi)$  (in the sense of [9]) modulo the ideal, generated by the Euler characteristics of short exact sequences of  $R\pi$ -modules, we are going to define certain quotients of  $Y(R, \pi)$ , using a relation which was first introduced by D. Quillen in [7, §5]. At first let us remark, that for any finitely generated  $R$ -projective  $R\pi$ -module  $N$ , one has the associated hyperbolic module  $H(N) = (N \oplus N^*, f)$  with  $N^* = \text{Hom}_R(N, R)$  the  $R$ -dual of  $N$ , considered as  $R\pi$ -module (with  $(g \cdot v)(n) = v(g^{-1} \cdot n)$ ,  $g \in \pi$ ,  $v \in N^*$ ,  $n \in N$ ) and  $f(N, N) = f(N^*, N^*) = 0$ ,  $f(n, v) = v(n)$ ,  $n \in N$ ,  $v \in N^*$ . We now define a Quillen pair  $(M, N)$  to be an  $R\pi$ -lattice  $M = (M, f)$  together with an

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$R\pi$ -submodule  $N \subseteq M$ , such that  $N$  is an  $R$ -direct summand (i.e.,  $M/N$  and thus also  $N$  is  $R$ -projective) and  $f(N, N) = 0$ . For example,  $(H(N), N)$  and  $(H(N), N^*)$  are Quillen pairs. If  $(M, N)$  is a Quillen pair, then  $N \subseteq N^\perp = \{m \in M \mid f(m, N) = 0\}$  and  $N^\perp/N$  is an  $R\pi$ -lattice again.

Let  $I_1$ , resp.  $I_2$ ,  $\subseteq Y(R, \pi)$  be the ideal, generated by all elements of the form  $[M] - [N^\perp/N] - [H(N)]$ , resp.  $[M] - [N^\perp/N]$ , where  $(M, N)$  is a Quillen pair, and define  $GU_0(R, \pi) = Y(R, \pi)/I_1$ ,  $GW_0(R, \pi) = Y(R, \pi)/I_2$ . Because  $H(N) = 0$  in  $GW_0(R, \pi)$  one has  $I_1 \subseteq I_2$  and thus a natural surjection  $GU_0(R, \pi) \rightarrow GW_0(R, \pi)$ . Moreover one checks easily, that the hyperbolic construction defines a well-defined map

$$H: G_0(R, \pi) \rightarrow GU_0(R, \pi),$$

whose image is precisely the kernel of  $GU_0(R, \pi) \rightarrow GW_0(R, \pi)$ , i.e., we have a natural exact sequence  $G_0(R, \pi) \rightarrow GU_0(R, \pi) \rightarrow GW_0(R, \pi) \rightarrow 0$ .

Finally the  $G$ -functor structure on  $Y(R, -)$  carries through to a  $G$ -functor structure on  $GU_0(R, -)$  and on  $GW_0(R, -)$  and the above sequence behaves well with respect to restriction and induction.

Now for a set  $\mathfrak{H}$  of subgroups of  $\pi$  define  $I(\mathfrak{H}, GW_0)$ , resp.  $I(\mathfrak{H}, GU_0)$ , to be the sum of the images of  $GW_0(R, \gamma)$ , resp.  $GU_0(R, \gamma)$ , ( $\gamma \in \mathfrak{H}$ ) in  $GW_0(R, \pi)$ , resp.  $GU_0(R, \pi)$ , with respect to the induction maps from  $\gamma$  to  $\pi$ . For a set  $\Sigma$  of prime numbers let  $\mathfrak{H}_\Sigma(\pi)$  be the set of subgroups of  $\pi$ , which are  $p$ -hypercyclic (i.e. have a cyclic normal subgroup of  $p$ -power index) for some  $p \in \Sigma$ , especially  $\mathfrak{H}_\phi(\pi) = \{\gamma \leq \pi \mid \gamma \text{ cyclic}\}$ . For the order  $|\pi| = \prod p^{a_p}$  of  $\pi$  define  $|\pi|_\Sigma = \prod_{p \in \Sigma} p^{a_p}$ ,  $|\pi|_{\Sigma'} = \prod_{p \notin \Sigma} p^{a_p}$  (thus  $|\pi| = |\pi|_\Sigma \cdot |\pi|_{\Sigma'}$ ). Then we have

**THEOREM 1.**  $n \cdot 1_{GW_0(R, \pi)} \in I(\mathfrak{H}_\Sigma(\pi), GW_0)$  with  $n = |\pi|_{\Sigma'}$  for  $|\pi|_\Sigma$  odd and  $n = 4 \cdot |\pi|_{\Sigma'}$  in any case.

**THEOREM 2.**  $n \cdot 1_{GU_0(R, \pi)} \in I(\mathfrak{H}_\Sigma(\pi), GU_0)$  with

$$\begin{aligned} n &= |\pi|_\Sigma^2 && \text{for } R \text{ semilocal, } |\pi|_{\Sigma'} \text{ odd,} \\ &= 4 \cdot |\pi|_\Sigma^2 && \text{for } R \text{ semilocal,} \\ &= |\pi|_\Sigma^3 && \text{for } |\pi|_{\Sigma'} \text{ odd,} \\ &= 4 \cdot |\pi|_\Sigma^3 && \text{in any case.} \end{aligned}$$

Since  $GU_0(R, \pi)$  acts naturally as a Frobenius-functor on most (if not all) of the various  $L$ -groups, associated with a finite group  $\pi$  (cf. [11]), one thus can reduce the study of these  $L$ -groups to the case of  $p$ -hypercyclic groups. Actually for any such  $L$ -functor—let it be called just  $L$ —one has

**COROLLARY 1.** *The various restriction maps define an isomorphism of*

$L_{\Sigma}(\pi) =_{\text{Df}} Z[1/p | p \notin \Sigma] \otimes L(\pi)$  onto the subgroup of  $\prod_{\gamma \in \mathfrak{S}_{\Sigma}(\pi)} L_{\Sigma}(\gamma)$ , consisting of those tuples  $(x_{\gamma})_{\gamma \in \mathfrak{S}_{\Sigma}(\pi)}$  with  $x_{\gamma} \in L_{\Sigma}(\gamma)$ ,  $x_{\gamma|\delta} = x_{\delta}$  for any  $\delta \leq \gamma$  and  $x_{\gamma}^g = x_{g\gamma g^{-1}}$  for any  $g \in \pi$  ( $x_{\gamma|\delta}$  the restriction from  $\gamma$  to  $\delta$ ,  $x \rightarrow x^g$  the natural isomorphism from  $L_{\Sigma}(\gamma)$  onto  $L_{\Sigma}(g\gamma g^{-1})$ , associated with  $g$ ).

PROOF. This follows the same way from Theorem 2 as R. Brauer's characterization of generalized characters among class functions by their restriction to elementary subgroups from his induction theorem. Thus it can also be considered as a special case of [1, §8, Appendix].

Using the above exact sequence and Swan's induction theorems for  $G_0(R, \pi)$  (cf. [10]), Theorem 2 follows by a well-known trick, due to Swan (cf. the proof of Proposition 1 in [10, pp. 558–559]), from Theorem 1. Theorem 1 itself follows to some extent from the following result on the structure of  $GW_0(R, \pi)$ :

THEOREM 3. For any ring  $A$  write  $A'$  for  $Z[\frac{1}{2}] \otimes A$ . Then

- (i)  $GW_0(\pi)' =_{\text{Df}} GW_0(\mathbf{Z}, \pi)' \cong G_0(\mathbf{R}, \pi)'$ .
- (ii)  $GW_0(\mathbf{R}, \pi)' \cong GW_0(\pi)' \otimes_{\mathbf{Z}} W(\mathbf{R})'$  (with  $W(\mathbf{R}) = GW_0(\mathbf{R}, \varepsilon) - \varepsilon$  the trivial group—the Witt ring of  $\mathbf{R}$  in the sense of Knebusch [6]).
- (iii) The torsion subgroup of  $GW_0(\pi)$  is annihilated by 4.

Indeed, using the general theory of the Burnside ring (cf. [1, especially §8, Theorem 8.2]), Theorem 3 implies Theorem 1 with  $n = 4 \cdot |\pi|_{\Sigma}$ . Theorem 3 itself follows from results of A. Fröhlich (cf. [4]) on  $GW_0(\mathbf{R}, \pi)$  for  $\mathbf{R}$  a field of characteristic 0 and from

PROPOSITION 1. If  $K$  is the quotient field of  $\mathbf{R}$ , then the natural map  $GW_0(\mathbf{R}, \pi) \rightarrow GW_0(K, \pi)$  is injective. Furthermore, if  $\mathbf{R}$  has no formally real residue class field, then  $GW_0(\mathbf{R}, \pi)' \rightarrow GW_0(K, \pi)'$  is an isomorphism.

PROOF. Straightforward generalization of the argument for Satz 11.1.1 in [6]. Another way to prove Theorem 3 is to combine Proposition 1 with

PROPOSITION 2. Let  $L$  be a finite Galois extension of a field  $K$  with Galois group  $\mathfrak{G}$ , such that any ordering of  $K$  can be extended to  $L$ . Then we have  $GW_0(K, \pi)' \cong (GW_0(L, \pi)')^{\mathfrak{G}}$  for the natural action of  $\mathfrak{G}$  on  $GW_0(L, \pi)'$ .

This follows from [1, Appendix B, Theorem 3.2], using Scharlau's induction technique for Witt rings (cf. [8] and also [1, Appendix A]).

PROPOSITION 3. Let us call a formally real field  $K$  a real splitting field for the group  $\pi$ , if for any irreducible  $K\pi$ -module  $N$  and any formally real extension  $L$  of  $K$  the module  $L \otimes_K N$  is an irreducible  $L\pi$ -module. Then

- (i)  $G_0(K, \pi) \cong G_0(\mathbf{R}, \pi)$ ,
- (ii)  $GW_0(K, \pi)' \cong G_0(K, \pi)' \otimes_{\mathbf{Z}} W(K)'$ .

Moreover if  $n = \exp(\pi)$ ,  $\xi$  a primitive  $n$ th root of unity and  $K$  any formally

real field, then  $K(\xi + \xi^{-1})$  is a real splitting field of  $\pi$ .

Propositions 1, 2 and 3 now imply the most important part of Theorem 3

$$\begin{aligned} GW_0(\pi)' &= GW_0(\mathbf{Z}, \pi)' \\ &\cong GW_0(\mathbf{Q}, \pi)' \cong (GW_0(\mathbf{Q}(\xi + \xi^{-1}), \pi))'^{\oplus 6} \\ &\cong (G_0(\mathbf{Q}(\xi + \xi^{-1}), \pi)' \otimes_{\mathbf{Z}} W(\mathbf{Q}(\xi + \xi^{-1})))'^{\oplus 6} \\ &\cong (G_0(\mathbf{R}, \pi)' \otimes_{\mathbf{Z}} W(\mathbf{Q}(\xi + \xi^{-1})))'^{\oplus 6} \\ &\cong G_0(\mathbf{R}, \pi)' \otimes_{\mathbf{Z}} (W(\mathbf{Q}(\xi + \xi^{-1})))'^{\oplus 6} \\ &\cong G_0(\mathbf{R}, \pi)' \otimes_{\mathbf{Z}} W(\mathbf{Q})' \cong G_0(\mathbf{R}, \pi)', \end{aligned}$$

especially all torsion in  $GW_0(\pi)$  is 2-torsion. The other parts of Theorem 3 need some more care. (But for  $R$  a field  $K$  one has of course

$$\begin{aligned} WG_0(K, \pi)' &\cong (WG_0(K(\xi + \xi^{-1}), \pi))'^{\oplus 6} \\ &\cong (G_0(K(\xi + \xi^{-1}), \pi)' \otimes W(K(\xi + \xi^{-1})))'^{\oplus 6} \\ &\cong (G_0(\mathbf{R}, \pi)' \otimes W(K(\xi + \xi^{-1})))'^{\oplus 6} \\ &\cong (GW_0(\pi)' \otimes W(K(\xi + \xi^{-1})))'^{\oplus 6} \\ &\cong GW_0(\pi)' \otimes (W(K(\xi + \xi^{-1})))'^{\oplus 6} \cong GW_0(\pi)' \otimes W(K)'; \end{aligned}$$

thus the same holds as well for Dedekind rings with no formally real residue class field. It also shows that for any field  $K$  all torsion in  $GW_0(K, \pi)$  is 2-torsion, which was conjectured by A. Fröhlich in [4].)

To get rid of the factor 4 in Theorem 1 for  $|\pi|_{\Sigma}$ , odd, one has to use multiplicative induction theory as developed, for instance, in [2]. Reducing trivially to the case  $\Sigma = \{2\}$  and using this technique, it is enough to prove the corresponding statement for groups of rather simple types: elementary abelian groups of order  $p^2$  ( $p$  odd), nonabelian groups of order  $p \cdot q$  ( $p, q$  odd primes) and semidirect products of cyclic groups of order  $p$  with elementary abelian 2-groups, on which the cyclic group of order  $p$  acts nontrivially and irreducibly. But in all these cases the torsion part of  $GW_0(\pi)$  is easily shown to be nilpotent and thus one can use the fact that, in case all torsion elements in  $GW_0(R, \pi)$  are nilpotent, the wanted result follows directly from Theorem 3 by AGN-methods and Burnside ring theory (cf. [1, especially §8, Theorem 8.2]). Actually I conjecture that for any group  $\pi$  all torsion elements in  $GW_0(R, \pi)$  are nilpotent. This would allow us to avoid multiplicative induction techniques in this case completely; on the other hand, our induction theorem reduces this question to the case of 2-hyerelementary groups. I can prove the conjecture for a great number of special classes of groups, but right now

it seems to me, that a proof in the general case might be as complicated and even more involved than the multiplicative induction techniques I am using now.

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